

# 12. Nonabelian gauge theories

reminder: QED is abelian gauge theory  
(gauge group U(1))

QED of spin 1/2 fields  $\psi(x)$  and complex scalar fields  $\phi(x)$

e.g.

$$\psi = \begin{bmatrix} e \\ \mu \\ \tau \\ u_r \\ u_g \\ u_b \\ \vdots \end{bmatrix}, \quad \phi = \begin{bmatrix} \phi_1 \\ \phi_2 \\ \vdots \end{bmatrix}$$

$$\mathcal{L}_{\text{QED}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{\psi} (i \gamma^\mu D_\mu - m) \psi + (D_\mu \phi)^\dagger D^\mu \phi - V(\phi)$$

mass matrix

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

charge matrix of spin 1/2 fields

$$D_\mu \psi = (\partial_\mu + ie Q A_\mu) \psi$$

$$D_\mu \phi = (\partial_\mu + ie Q' A_\mu) \phi$$

charge matrix of spin 0 fields

$\mathcal{L}_{QED}$  is invariant under the local  $U(1)$  gauge transformation

$$\psi(x) \rightarrow e^{-iQ\alpha(x)} \psi(x)$$

$$\phi(x) \rightarrow e^{-iQ'\alpha(x)} \phi(x)$$

$$A_\mu(x) \rightarrow A_\mu(x) + \frac{1}{e} \partial_\mu \alpha(x)$$

generalization: nonabelian gauge group  
(Yang - Mills 1954)

compact Lie group  $G$

$$U(\alpha_1, \dots, \alpha_n) = e^{-i\alpha_a T_a} \quad \alpha_a \in \mathbb{R}$$

$$T_a^\dagger = T_a, \quad a = 1, \dots, n =: d(G) = \text{number of generators}$$

Lie algebra  $\mathcal{L}$  (of  $G$ ) spanned by all real linear combinations of  $T_1, \dots, T_n$

commutation relation

$$[T_a, T_b] = i \underbrace{f_{abc}}_{\text{structure constants}} T_c$$

usual choice: orthogonal basis  $\text{tr}(T_a T_b) = c \delta_{ab}$

$\Rightarrow f_{abc}$  totally antisymmetric (exercise)

(linear) representation of Lie algebra  $\mathcal{L}$ :

$T_a \rightarrow D(T_a)$ ,  $D(T_a)$  are linear operators  
with  $[D(T_a), D(T_b)] = i f_{abc} D(T_c)$

adjoint representation: the matrices  $(t_a)_{bc} = -i f_{abc}$   
are building an  $n$ -dimensional representation  
of the Lie algebra  $\mathcal{L}$  (exercise)

examples:

$$1) \text{SU}(2) \quad U(\vec{\alpha}) = e^{-i\vec{\alpha} \cdot \frac{\vec{\sigma}}{2}}$$

three real parameters  $\alpha_1, \alpha_2, \alpha_3$

Pauli matrices  $\sigma_1, \sigma_2, \sigma_3$

$T_a = \frac{\sigma_a}{2}$  generators of  $\text{SU}(2)$

Lie algebra  $\text{su}(2)$  of  $\text{SU}(2)$  characterized

by fundamental commutation relations

$$[T_a, T_b] = i \varepsilon_{abc} T_c$$

with structure constants  $\varepsilon_{abc} = \begin{cases} +1 & a,b,c \text{ even perm. of } 1,2,3 \\ -1 & \text{--- odd ---} \\ 0 & \text{otherwise} \end{cases}$

of  $su(2)$

normalization:  $\text{tr}(T_a T_b) = \frac{1}{2} \delta_{ab}$

adjoint representation: three  $3 \times 3$  matrices  $(t_a)_{bc} = -i \varepsilon_{abc}$

$$[t_a, t_b] = i \varepsilon_{abc} t_c$$

2)  $SU(3) =$  group of unitary  $3 \times 3$  matrices  $U$   
with  $\det U = 1$

Lie algebra  $su(3) = \{T \mid T^\dagger = -T \wedge \text{tr} T = 0\}$

$$\Rightarrow \dim su(3) = 8 = d(SU(3))$$

defining representation of  $SU(3)$ :

$$\text{basis } T_a = \frac{\lambda_a}{2}$$

Gell-Mann matrices  $\lambda_1, \dots, \lambda_8$

$$\lambda_1 = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad \lambda_2 = \begin{bmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad \lambda_3 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\lambda_4 = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \quad \lambda_5 = \begin{bmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{bmatrix}, \quad \lambda_6 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\lambda_7 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{bmatrix}, \quad \lambda_8 = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{bmatrix}$$

construction of a nonabelian gauge theory

$$\mathcal{L}_\psi = i \bar{\psi} \gamma^\mu (\partial_\mu + ig T_a A_\mu^a) \psi - \bar{\psi} m \psi$$

$\psi$  fermionic multiplet with respect to gauge

group  $G$  with representation  $U = e^{-i\alpha_a T_a}$

$[T_a, m] = 0$  restricts mass matrix  $m$

analogously:

$$\mathcal{L}_\phi = (D_\mu \phi)^\dagger (D^\mu \phi) - V(\phi)$$

for scalar multiplet  $\phi$

$$D_\mu \phi = (\partial_\mu + ig T_a A_\mu^a) \phi$$

example: QCD  $G = SU(3)$

each quark flavour ( $u, d, c, s, t, b$ ) has three "colour" degrees of freedom, e.g.

$$u(x) = \begin{bmatrix} u_r(x) \\ u_g(x) \\ u_b(x) \end{bmatrix} \quad \text{transform according to defining repr. of } SU(3)$$

$$L_{QCD} = \sum_q \left\{ \bar{q}(x) i \gamma^\mu (\partial_\mu + i g_s \frac{\lambda_a}{2} G_\mu^a(x)) q(x) - m_q \bar{q}(x) q(x) \right\} + L_G$$

$q = u, d, \dots$

gluon field  $G_\mu^a(x)$ ,  $a = 1, \dots, 8$

back to the general case:

local gauge transformation

$$\psi(x) \rightarrow U(x) \psi(x)$$

$$U(x) = e^{-i \alpha_a(x) T_a}$$

$$T_a A_\mu^a(x) \equiv A_\mu(x) \rightarrow A'_\mu(x)$$

condition for invariance of  $L_\psi$  under local gauge transformation

$$D_\mu \psi = (\partial_\mu + i g A_\mu) \psi \rightarrow (\partial_\mu + i g A'_\mu) U \psi$$

$$= U \partial_\mu \psi + (\partial_\mu U) \psi + ig A'_\mu U \psi$$

$$= U \left[ \partial_\mu + ig \left( U^{-1} A'_\mu U - \frac{i}{g} U^{-1} \partial_\mu U \right) \right] \psi$$

$$= U D_\mu \psi$$

↑

$$\text{if } U^{-1} A'_\mu U - \frac{i}{g} U^{-1} \partial_\mu U = A_\mu$$

⇔

$$A'_\mu = U A_\mu U^{-1} + \frac{i}{g} (\partial_\mu U) U^{-1}$$

$A'_\mu$  can be written in the form  $A'_\mu = T_a A'_\mu^a$

proof:

we consider the expression  $X(t) := e^{-itY} X e^{itY}$

$X, Y$  are quadratic matrices

$X(t)$  fulfils the differential equation

$$\dot{X}(t) = -i [Y, X(t)]$$

with the initial condition  $X(0) = X$

I define the linear operator

$$(\text{ad } Y) X := [Y, X]$$

acting on the space of matrices;

with this definition, the differential equation can be written in the form

$$\dot{X}(t) = -i (\text{ad } Y) X(t),$$

the solution is given by

$$X(t) = e^{-it \text{ad } Y} \underbrace{X(0)}_X$$

$$\Rightarrow e^{-iY} X e^{iY} = X(1) = e^{-i \text{ad } Y} X$$

$$= \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} (\text{ad } Y)^n X$$

$$= \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} \underbrace{[Y, [Y, \dots [Y, X] \dots ]}_{n\text{-fold commutator}}$$

$n$ -fold commutator

we apply this formula to our problem:

$$X = T_a, \quad Y = \alpha_\beta T_\beta =: \alpha \cdot T$$

$$e^{-i\alpha \cdot T} T_a e^{i\alpha \cdot T} = e^{-i \text{ad}(\alpha \cdot T)} T_a =$$

$$= \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} [\alpha \cdot T, [\alpha \cdot T, \dots [\alpha \cdot T, T_a] \dots]]$$

$$(\text{ad } \alpha \cdot T) T_a = [\alpha_\beta T_\beta, T_a] = \alpha_\beta i f_{\beta a c} T_c$$

$$= T_c \alpha_\beta \underbrace{(-i f_{\beta c a})}_{(t_\beta)_c a} = T_c \alpha_\beta (t_\beta)_c a$$

$$\Rightarrow e^{-i\alpha \cdot T} T_a e^{i\alpha \cdot T} = T_c (e^{-i\alpha_\beta t_\beta})_c a$$

$$\Rightarrow U A_\mu U^{-1} = e^{-i\alpha \cdot T} T_a A_\mu^a e^{i\alpha \cdot T}$$

$$= T_c (e^{-i\alpha_\beta t_\beta})_c a A_\mu^a$$

second term:

$$U(x + t e_\mu) U(x)^{-1} = e^{-i\alpha_a(x + t e_\mu) T_a} e^{i\alpha_\beta(x) T_\beta} =$$

↑  
unit vector in  
μ-direction

$$= e^{-i\beta_a(x,t) T_a}$$

$$U(x) U(x)^{-1} = \mathbb{1} \Rightarrow \beta_a(x,0) = 0$$

$$\frac{d}{dt} U(x+te(\mu)) U(x)^{-1} \Big|_{t=0} = (\partial_\mu U(x)) U(x)^{-1}$$

$$= \frac{d}{dt} \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} (\beta_a(x,t) T_a)^n \Big|_{t=0} =$$

$$= -i \frac{\partial \beta_a(x,t)}{\partial t} \Big|_{t=0} T_a$$

$$\Rightarrow A'_\mu = U A_\mu U^{-1} + \frac{i}{g} (\partial_\mu U) U^{-1}$$

can be written as  $A'_\mu = A'^a_\mu T_a$

gauge boson sector

generalized field strength tensor

$$F^a_{\mu\nu} T_a =: \overline{F}_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + ig [A_\mu, A_\nu]$$

$$= \partial_\mu A^a_\nu T_a - \partial_\nu A^a_\mu T_a + ig \underbrace{[A^b_\mu T_b, A^c_\nu T_c]}_{i f_{bca} T_a A^b_\mu A^c_\nu}$$

$$= \underbrace{(\partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f_{abc} A_\mu^b A_\nu^c)}_{F_{\mu\nu}^a} T_a$$

$F_{\mu\nu}$  transforms homogeneously:  $F_{\mu\nu} \rightarrow U F_{\mu\nu} U^{-1}$  (exercise)

gauge boson term in the Lagrangean:

$$\text{Tr}(T_a T_b) = \Lambda \delta_{ab}$$

$$\rightarrow L_A = -\frac{1}{4\Lambda} \text{Tr}(F_{\mu\nu} F^{\mu\nu}) = -\frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu}$$

$\rightarrow$  kinetic terms + self couplings of the gauge bosons

