



# Precise strong coupling determinations from tau decays and $e^+e^- \rightarrow$ hadrons

**Diogo Boito**

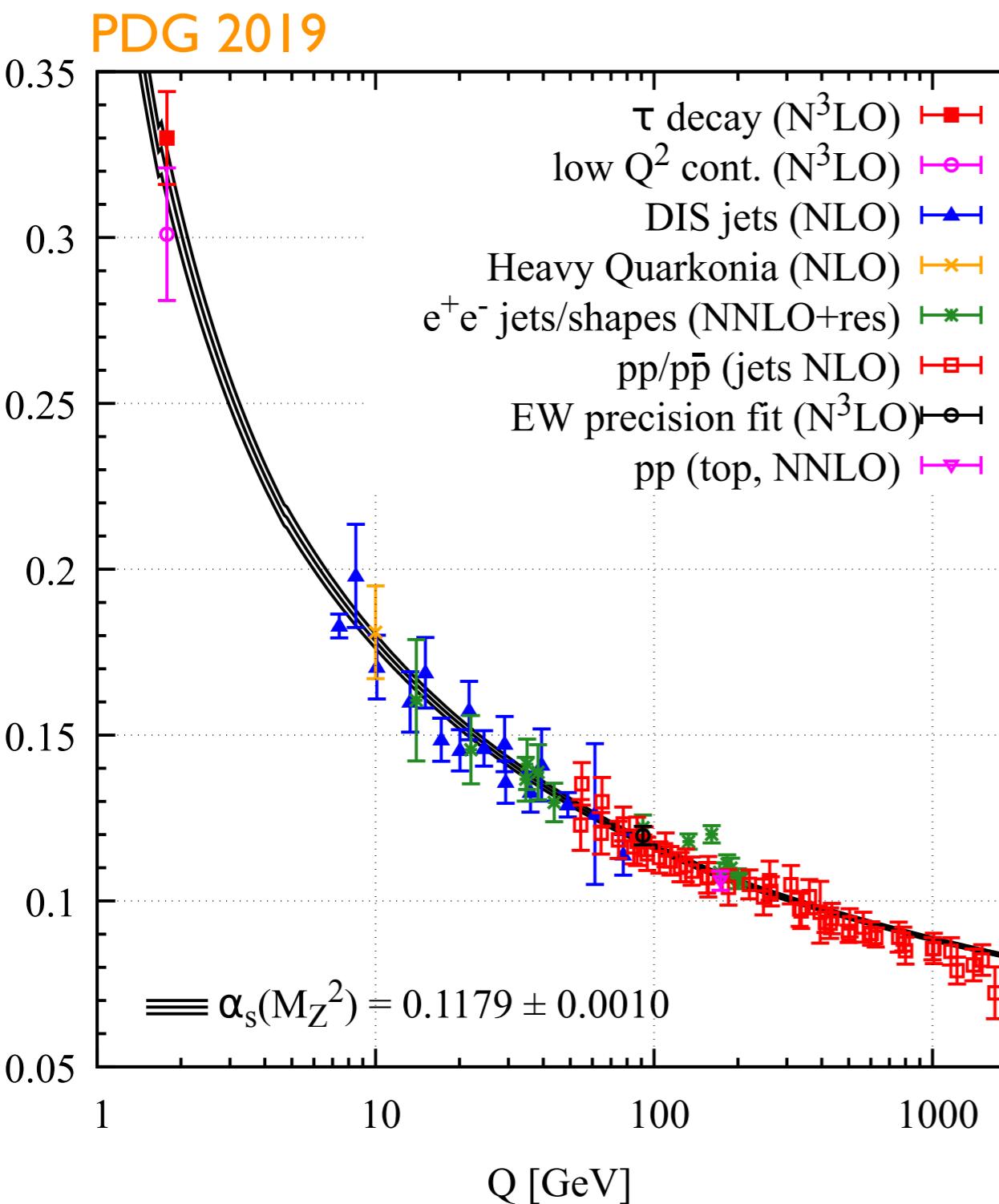
University of Vienna  
University of São Paulo

- DB, M. Golterman, K. Maltman, S. Peris, M.V. Rodrigues and W. Schaaf, arXiv:2012.10440 , PRD (2021).
- DB.V. Mateu, arXiv:1912:06237 PLB (2020), 2001:11041 JHEP (2020)
- DB.V Mateu and MV Rodrigues, in preparation



# $\alpha_s$ in 2021

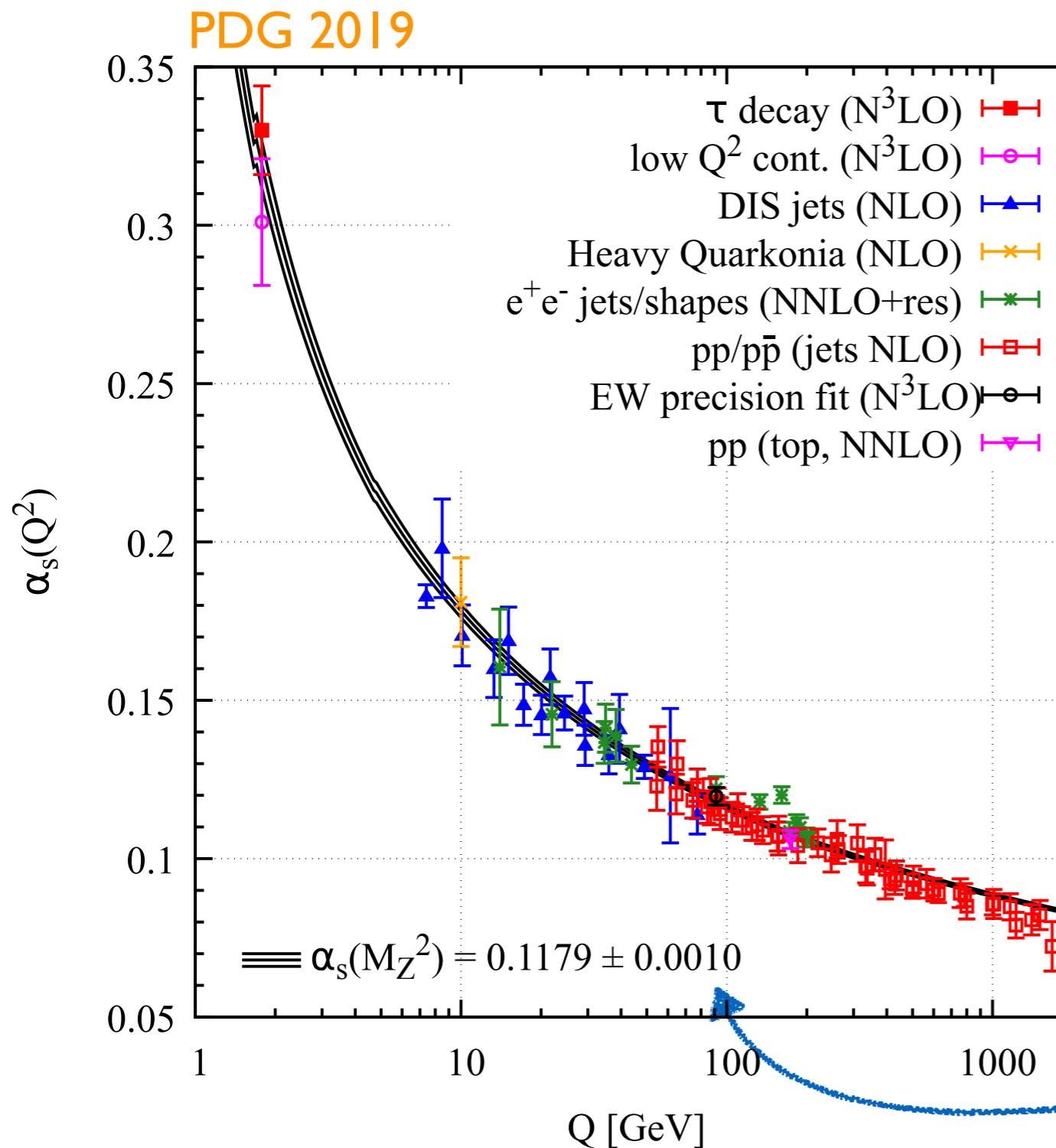
# The strong coupling in 2021



Overall picture is very consistent.  
Discrepancies persist:  
uncertainty has been *enlarged*.

*Precision: cross-sections for the LHC, top-quark observables, SM vacuum...*

# The strong coupling in 2021



Overall picture is very consistent.

Discrepancies persist:  
uncertainty has been *enlarged*.

The PDG uncertainty  
was  $\pm 0.0007$  in 2014

*Precision: cross-sections for the LHC, top-quark observables, SM vacuum...*

# The strong coupling in 2021

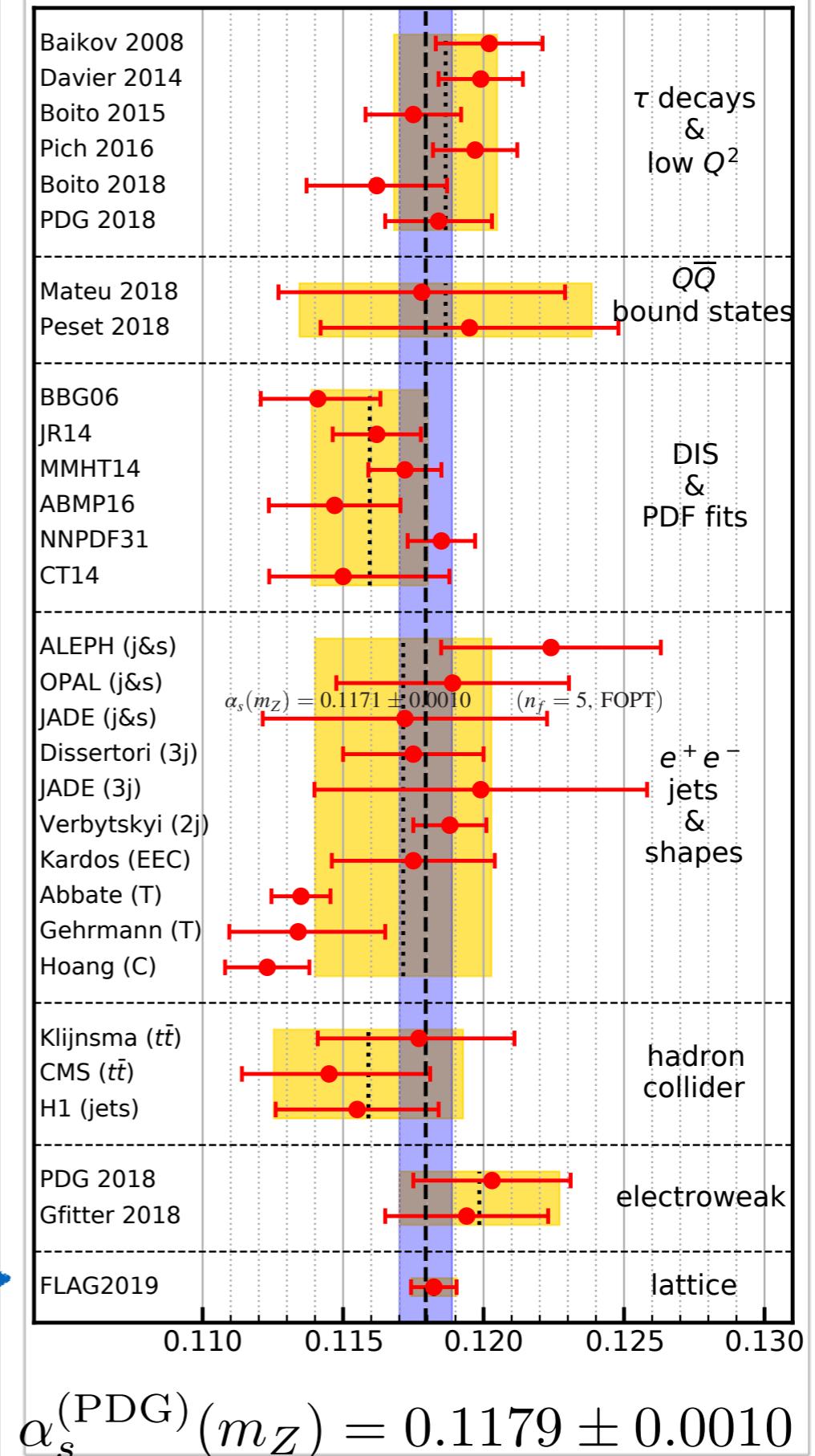
PDG 2019

2

Tensions in determinations  
from same data

Event shapes give  
systematically lower results

Starting to be dominated  
by lattice (some uncertainties  
should still be scrutinised?)



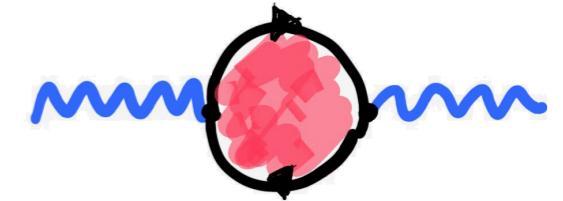
# Strong coupling from quarkonium sum rules

- DB.V. Mateu, arXiv:1912:06237 PLB (2020), 2001:11041 JHEP (2020)
- DB.V Mateu and MV Rodrigues, in preparation

# Sum rules

$$j^\mu(x) = \bar{q}(x)\gamma^\mu q(x)$$

$$\Pi_{\mu\nu}(q) = i \int d^4x e^{iqx} \langle 0 | T\{J_\mu(x) J_\nu(0)^\dagger\} | 0 \rangle$$



Correlators with massive quarks: expansion around  $q^2 = 0$

$$R_{q\bar{q}}(s) = \frac{\sigma_{e^+e^- \rightarrow q\bar{q} + X}(s)}{\sigma_{e^+e^- \rightarrow \mu^+\mu^-}(s)} \quad (\text{for } q=c, b)$$

Using analyticity and unitarity (dispersion relation): sum rules

**Experiment**

$$M_q^{V,n} = \int \frac{ds}{s^{n+1}} R_{q\bar{q}}(s) = \frac{12\pi^2 Q_q^2}{n!} \left. \frac{d^n}{ds^n} \Pi_q^V(s) \right|_{s=0}$$

**Theory**

Shifman, Vainshtein, Zakharov '79

We restrict the sum rules to  $n \leq 4$ . Typical scale  $m_q/n$ .

**Relativistic sum rules**

# Theory input

$$M_q^{V,n} = \frac{12\pi^2 Q_q^2}{n!} \left( \frac{d}{dq^2} \right)^n \left[ \text{Diagram } 1 + \text{Diagram } 2 + \text{Diagram } 3 + \text{Diagram } 4 + \dots \right]_{q^2=0}$$

A Maier

## Perturbative expansion

$$\hat{M}_q^{X,n} = \frac{1}{(2\bar{m}_q)^{2n}} \sum_{i=0} \left[ \frac{\alpha_s(\bar{m}_q)}{\pi} \right]^i c_i^{X,n} \quad (\text{summing logs})$$

Known up to  $\mathcal{O}(\alpha_s^3)$  for  $n \leq 4$

Chetyrkin, Kühn, Sturm '06; Boughezal, Czakon, Schutzmeier '06  
Maier, Maierhöfer, Smirnov '08/'09; Maier and Marquard '17

General expansion in terms of the **two scales** (using RG)

$$M_q^{(n)} = \frac{1}{[2\bar{m}_b(\mu_m)]^{2n}} \sum_{i=0} \left[ \frac{\alpha_s^{(n_f)}(\mu_\alpha)}{\pi} \right]^i \sum_{a=0}^i \sum_{b=0}^{[i-1]} c_{i,a,b}^{(n)}(n_f) \ln^a \left( \frac{\mu_m}{\bar{m}_b(\mu_m)} \right) \ln^b \left( \frac{\mu_\alpha}{\bar{m}_b(\mu_m)} \right)$$

Highly sensitive to the mass, ideal for quark-mass determinations

Dehnadi, Hoang, Mateu, Zebarjad '11, Dehnadi, Hoang, Mateu '15  
Chetyrkin, Kühn, Steinhauser, Sturm, Maier...

# Ratios of moments: strong coupling extraction

We consider dimensionless ratios of moments

$$R_q^{X,n} \equiv \frac{(M_q^{X,n})^{\frac{1}{n}}}{(M_q^{X,n+1})^{\frac{1}{n+1}}}$$

Central object of this part

...similar to the ones used in lattice studies of the PS correlators

Maezawa, Petreczky '16

Perturbative expansion

$$R_b^{V,n} = \sum_{i=0} \left[ \frac{\alpha_s(\mu_\alpha)}{\pi} \right]^i \sum_{k=0}^{[i-1]} \sum_{j=0}^{[i-2]} r_{i,j,k}^{(n)} \ln^j \left( \frac{\mu_m}{\bar{m}_b(\mu_m)} \right) \ln^k \left( \frac{\mu_\alpha}{\bar{m}_b(\mu_m)} \right)$$

Example

$$\alpha_s = \frac{\alpha_s(\mu_\alpha)}{\pi}$$

$$R_c^{V,2} = 1.0449 [1 + 0.57448 a_s + (0.32576 + 2.3937 L_\alpha) a_s^2]$$

DB, Mateu '19

$$- (2.1093 + 4.7873 L_m - 6.4009 L_\alpha - 9.9736 L_\alpha^2) a_s^3 + \mathcal{O}(a_s^4)]$$

Almost insensitive to the quark mass (only through logs at  $\mathcal{O}(\alpha_s^2)$ )

Sensitive to the coupling.

Available at N<sup>3</sup>LO up to  $R_q^{V,3}$

Can be accurately determined from data.

# Ratios of moments: strong coupling extraction

## Perturbative expansion

$$a_s = \frac{\alpha_s(\mu_\alpha)}{\pi}$$

$$R_c^{V,2} = 1.0449 \left[ 1 + 0.57448 a_s + (0.32576 + 2.3937 L_\alpha) a_s^2 - (2.1093 + 4.7873 L_m - 6.4009 L_\alpha - 9.9736 L_\alpha^2) a_s^3 + \mathcal{O}(a_s^4) \right]$$

Typical size of pt. corrections: 13%, 7%, and 5% (for charm with  $n=1,2,3$ )

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Typical size of pt. corrections: 13%, 7%, and 5% (for charm with  $n=1,2,3$ )

Non-perturbative contributions: gluon-condensate contri. known to NLO.

$$\Delta M_n^{X, \langle G^2 \rangle} = \frac{1}{(4M_q^2)^{n+2}} \left\langle \frac{\alpha_s}{\pi} G^2 \right\rangle_{\text{RGI}} \left[ [a_X(n_f)]_n^0 + \frac{\alpha_s^{(n_f)}(\mu_\alpha)}{\pi} [a_X(n_f)]_n^1 \right]$$

Added as an estimate of non-perturbative uncertainties.

Completely irrelevant for the bottom-quark case.

# Theory errors: scale variation

$$R_b^{V,n} = \sum_{i=0} \left[ \frac{\alpha_s(\mu_\alpha)}{\pi} \right]^i \sum_{k=0}^{[i-1]} \sum_{j=0}^{[i-2]} r_{i,j,k}^{(n)} \ln^j \left( \frac{\mu_m}{\bar{m}_b(\mu_m)} \right) \ln^k \left( \frac{\mu_\alpha}{\bar{m}_b(\mu_m)} \right)$$

Independent scale variation important for conservative error estimate

$\bar{m}_q \leq \mu_\alpha, \mu_m \leq \mu_{\max}$  With  $\mu_{\max} = 4 (15)$  GeV for charm (bottom)

Dehnadi, Hoang, Mateu '15

With the following constraint

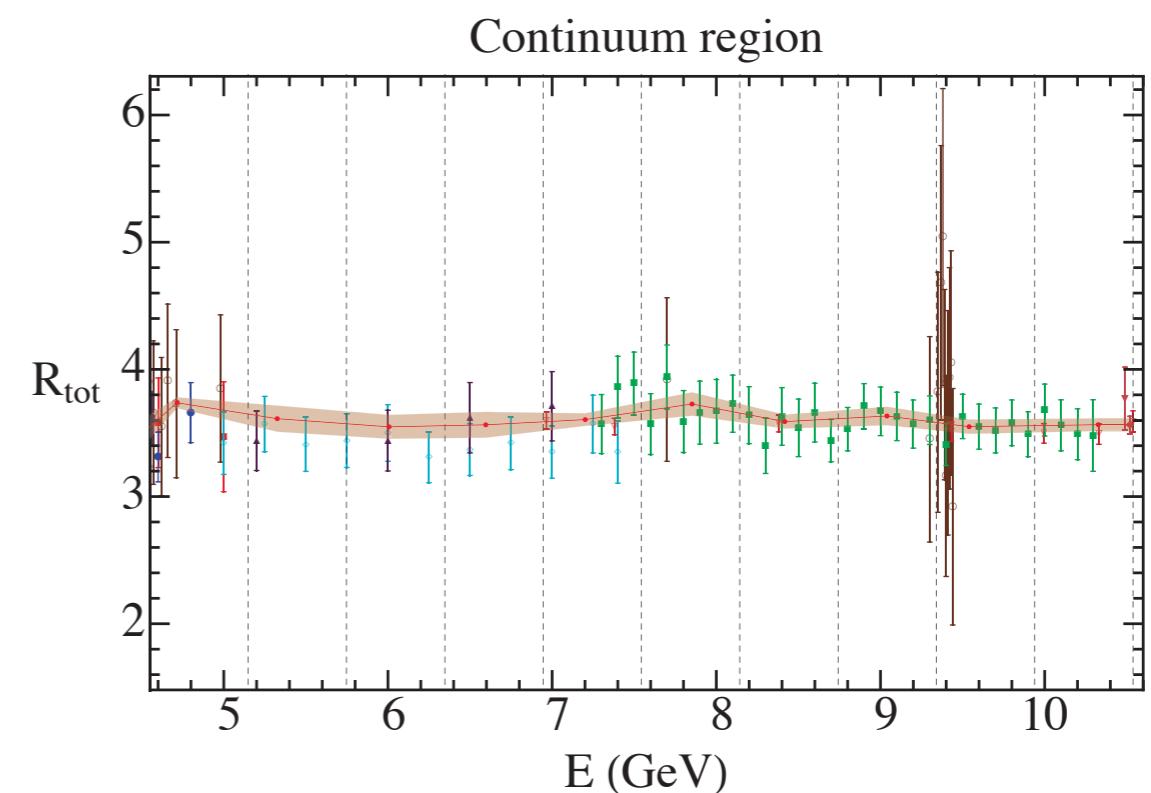
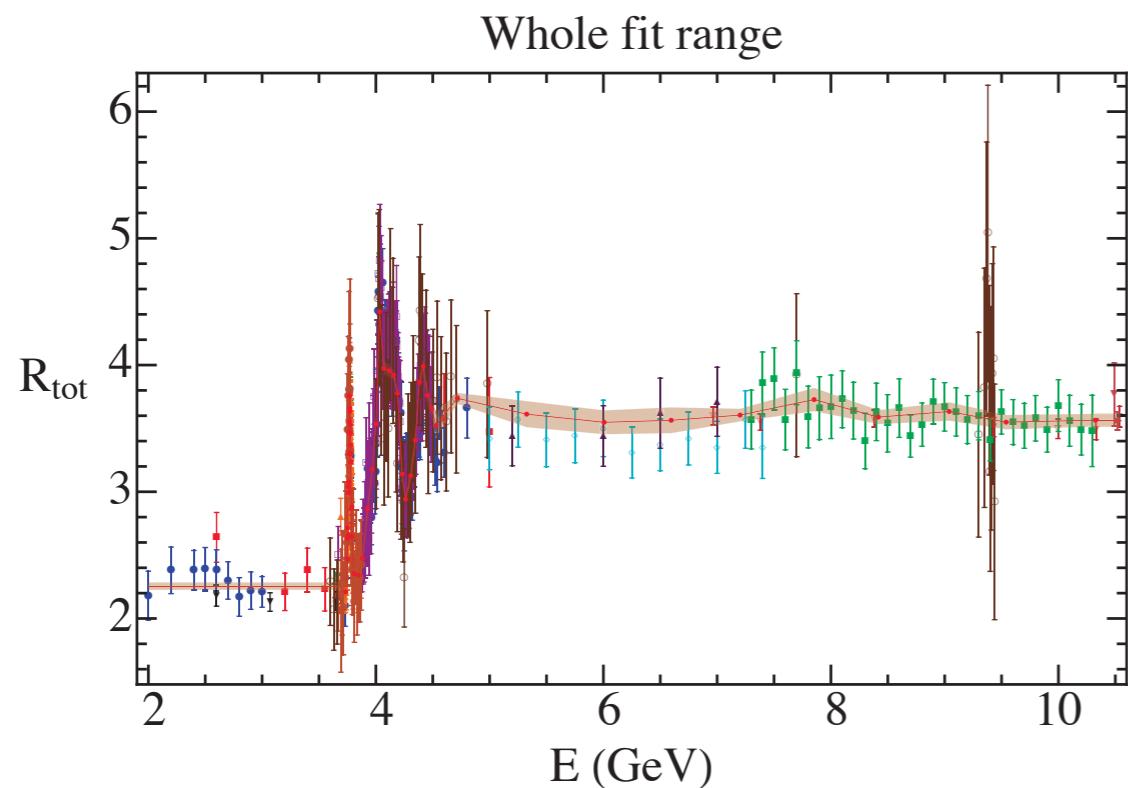
$1/\xi \leq (\mu_m/\mu_\alpha) \leq \xi$  With  $\xi = 2$  our (canonical) choice

Always checking order-by-order convergence.

# Experimental ratios of moments

$$M_q^{V,n} = \int \frac{ds}{s^{n+1}} R_{q\bar{q}}(s) = (\text{resonan.}) + \int_{s_{\text{th}}}^{s_{\max}} \frac{ds}{s^{n+1}} R_{q\bar{q}}(s) + \int_{s_{\max}}^{\infty} \frac{ds}{s^{n+1}} R_{q\bar{q}}(s)$$

Resonance data      Combined R data      Pt. continuum (theory)



Dehnadi, Hoang, Mateu, Zebarjad '11, Dehnadi, Hoang, Mateu '15

Exp moments determined from resonances and combined  $R$  data.

Correlations must be taken into account in the procedure.

Parametrize the continuum contribution (highly linear dependence on the coupling)  
(including mass corrections)

# Experimental ratios of moments

$$M_q^{V,n} = \int \frac{ds}{s^{n+1}} R_{q\bar{q}}(s) = \text{(resonan.)} + \int_{s_{\text{th}}}^{s_{\max}} \frac{ds}{s^{n+1}} R_{q\bar{q}}(s) + \int_{s_{\max}}^{\infty} \frac{ds}{s^{n+1}} R_{q\bar{q}}(s)$$

Resonance data      Combined R data      Pt. continuum (theory)

Slightly update as compared with the original works. Dehnadi, Hoang, Mateu, Zebarjad '11, Dehnadi, Hoang, Mateu '15  
 Cross checked with other  $R$ -data combinations Keshavarzi, Nomura, Teubner '18

For the charm quark ratios we have

$$R_c^{V,1} = (1.770 - 0.705 \Delta_\alpha) \pm 0.017, \quad [\sigma_{\text{rel}} = 0.98\%]$$

$$R_c^{V,2} = (1.1173 - 0.1330 \Delta_\alpha) \pm 0.0022, \quad [\sigma_{\text{rel}} = 0.22\%]$$

$$R_c^{V,3} = (1.03535 - 0.04376 \Delta_\alpha) \pm 0.00084. \quad [\sigma_{\text{rel}} = 0.104\%]$$

$$\Delta_\alpha = 0.1181 - \alpha_s$$

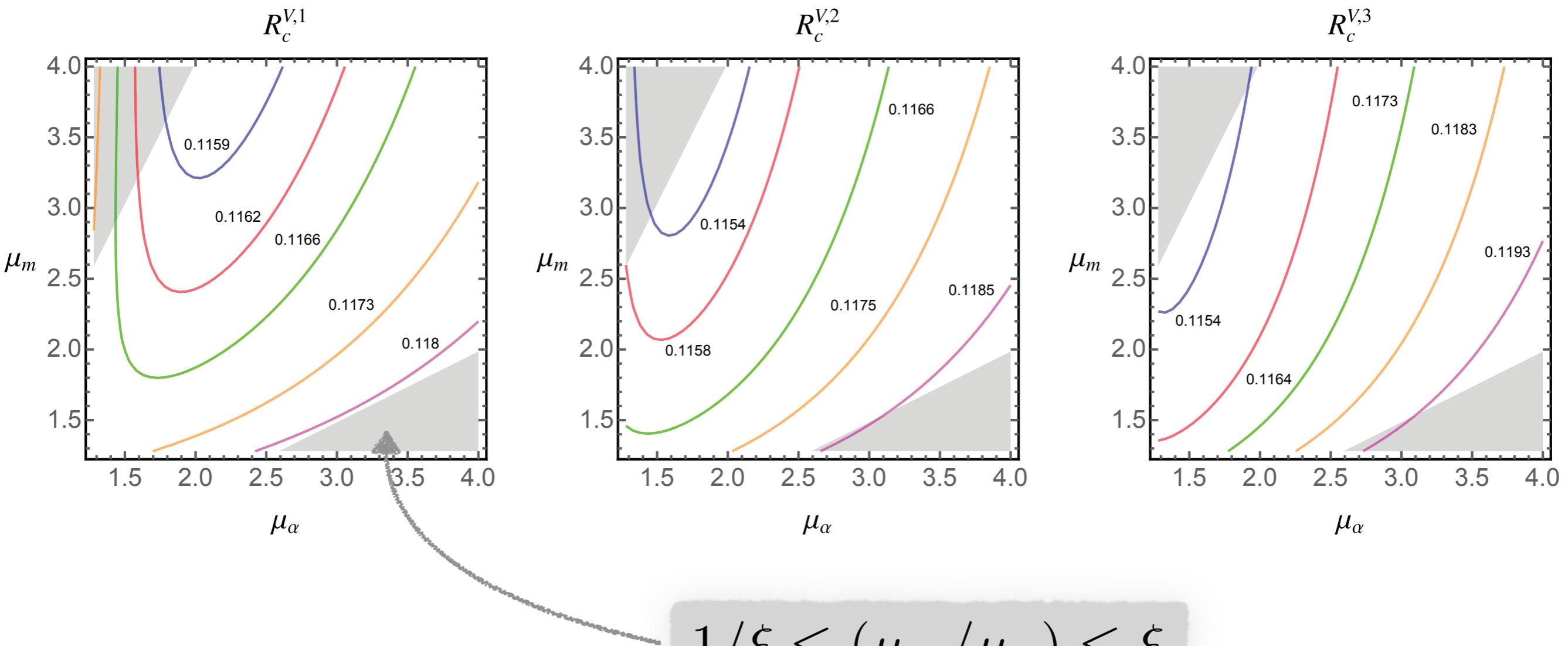
Continuum contribution  
smaller for higher  $n$

Small uncertainties partially  
due to positive correlation  
among the moments  $M_n$ .

# Results for charmonium sum rules

$\alpha_s$  with  $n_f = 4$  and  $R_c^{V,n}$  with  $n = 1, 2$ , and  $3$

# Perturbative error analysis



$$1/\xi \leq (\mu_m / \mu_\alpha) \leq \xi$$

The gray areas are not included in the analysis

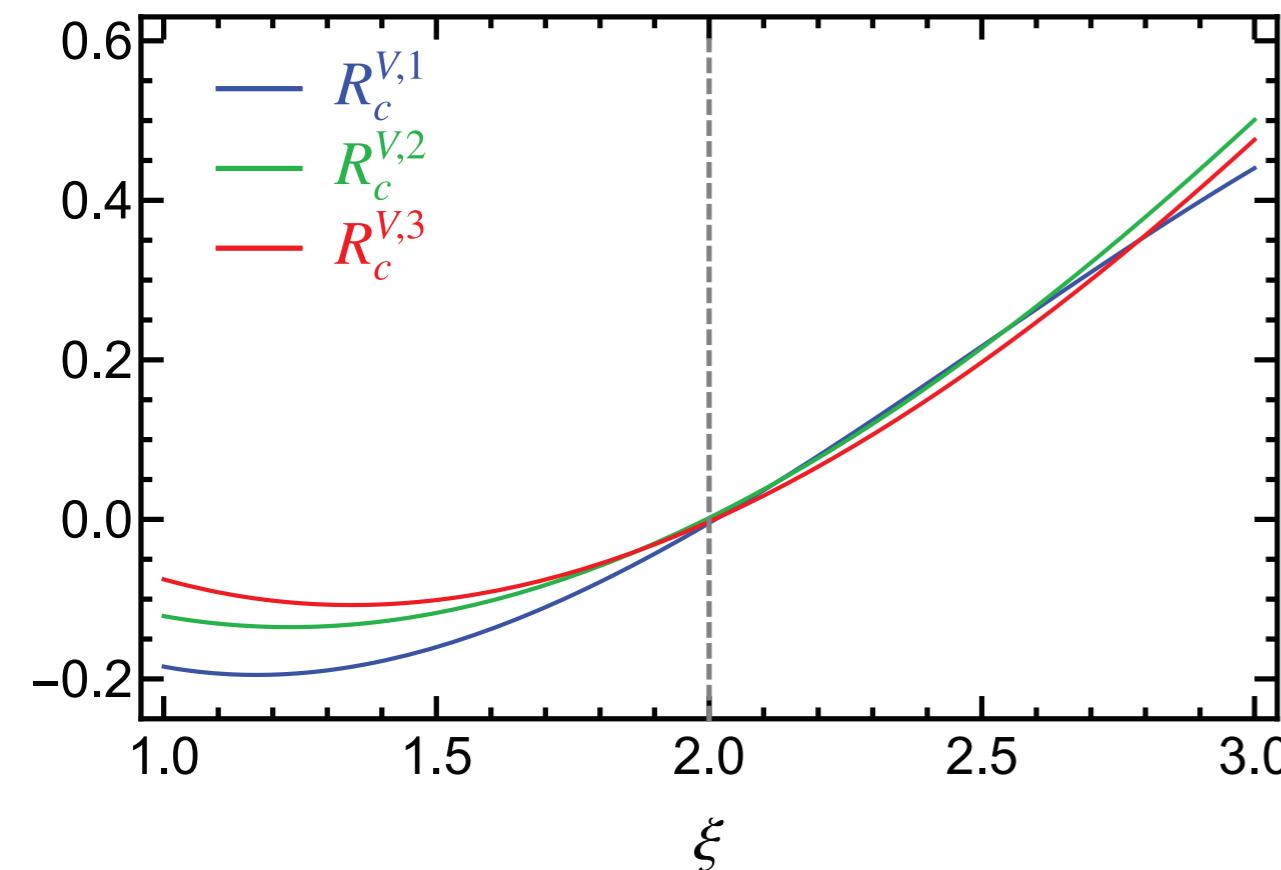
$$\xi = 2$$

# Perturbative error analysis

$$1/\xi \leq (\mu_m/\mu_\alpha) \leq \xi$$

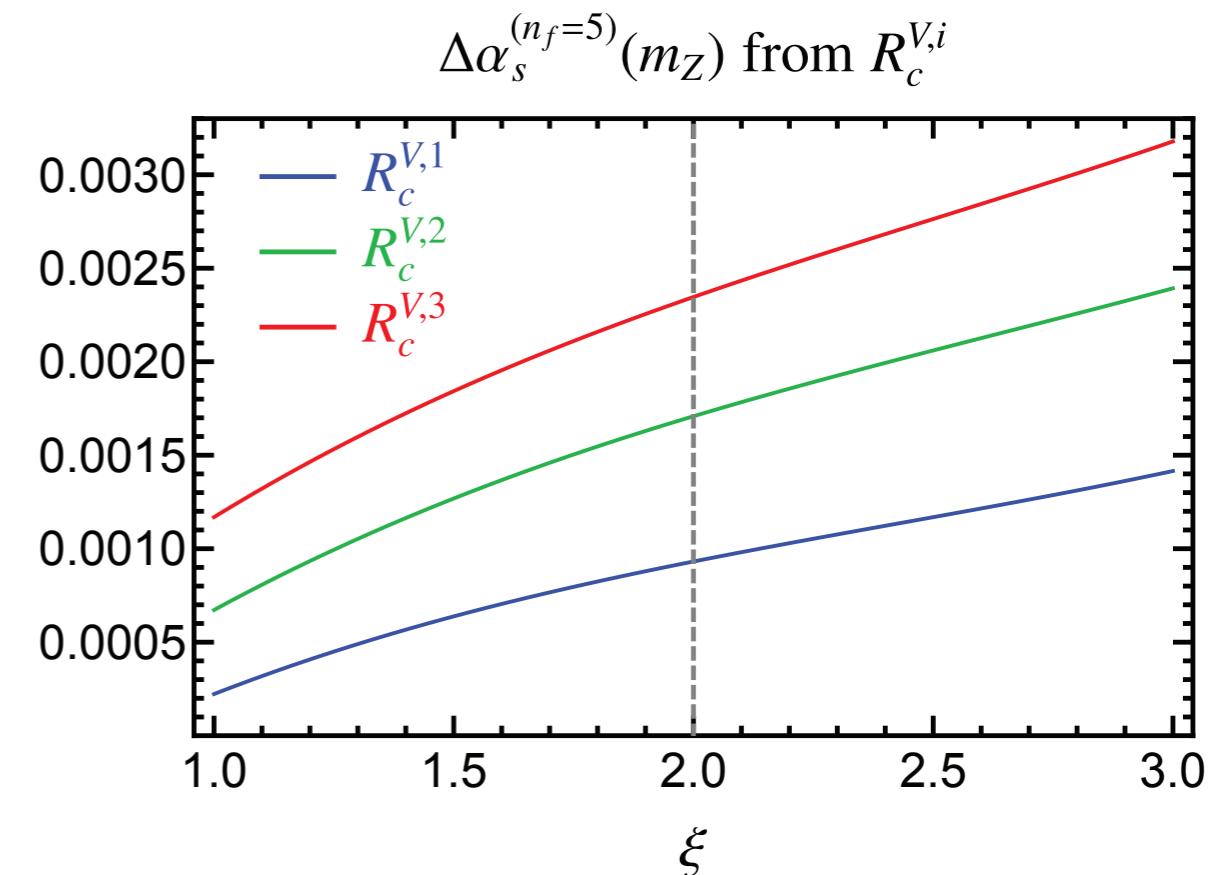
$\xi = 1 \rightarrow \mu_m = \mu_\alpha$   
 $\xi = 2$  our (canonical) choice

$$100 \times \left[ \frac{\alpha_s^{(n_f=5)}(m_Z)}{\alpha_s^{(n_f=5)}(m_Z)|_{\xi=2}} - 1 \right] \text{ from } R_c^{V,i}$$

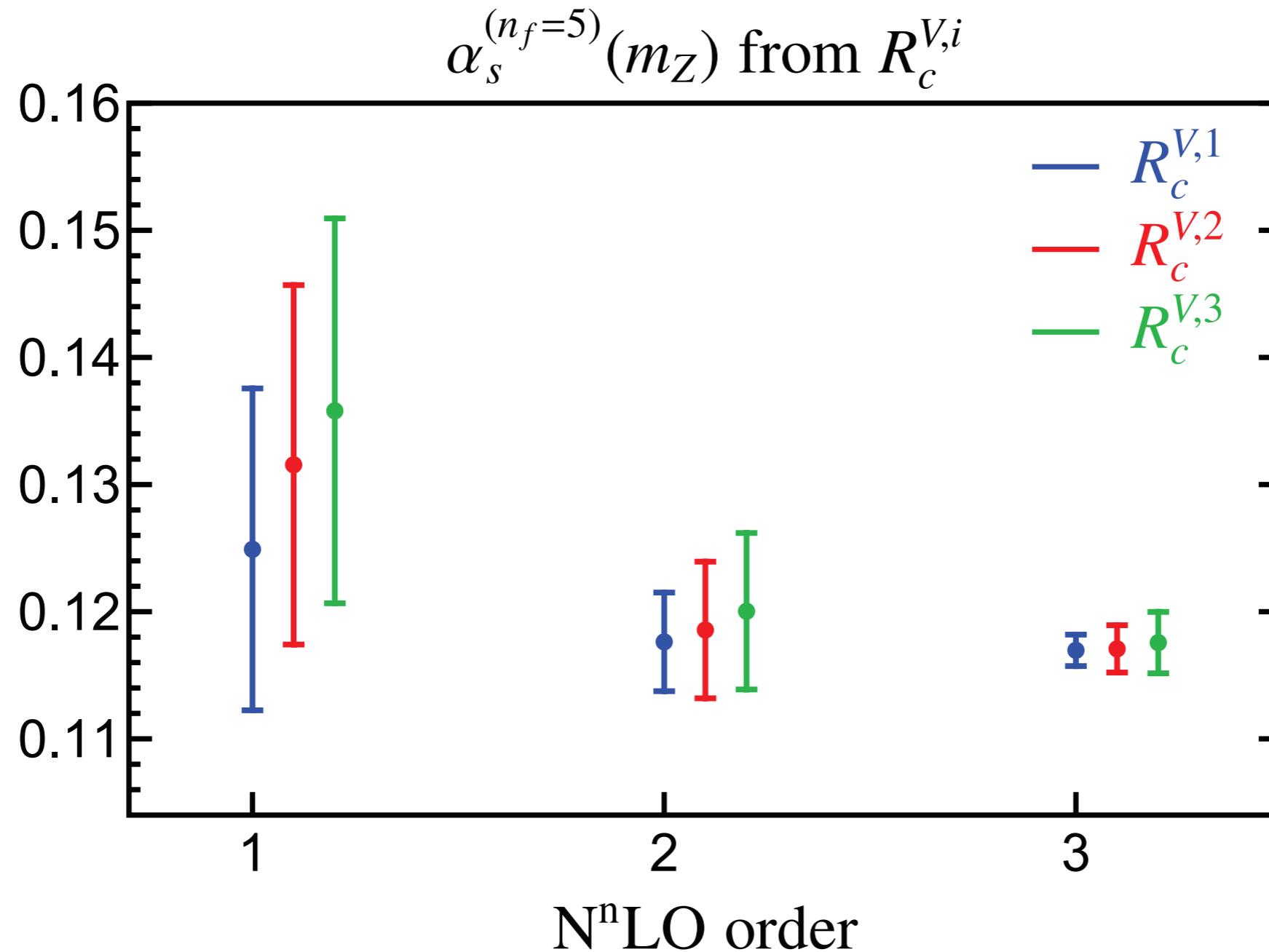


Small variations in the central values (~0.5%)

Diagonal variation: errors underestimated by a factor of up to ~2.0



## Order by order convergence

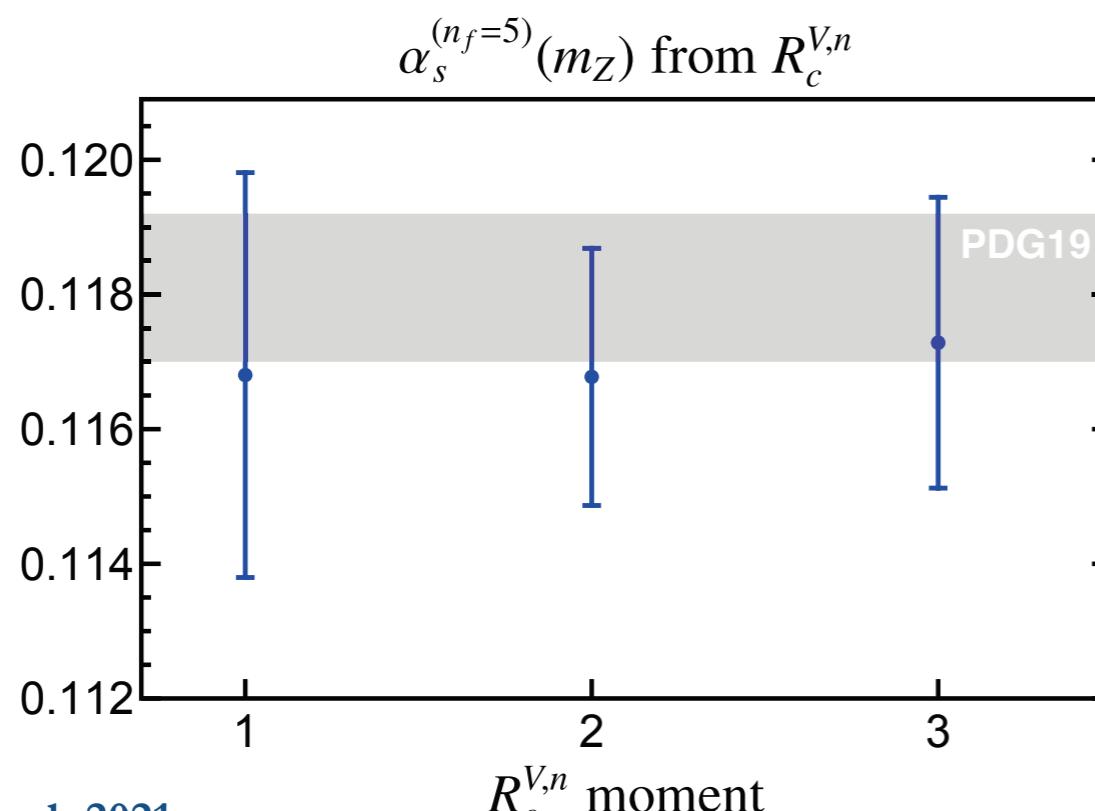


# Results

flavor	$n$	$\alpha_s^{(n_f=5)}(m_Z)$	$\sigma_{\text{pert}}$	$\sigma_{\text{exp}}$	$\sigma_{m_q}$	$\sigma_{\text{np}}$	$\sigma_{\text{total}}$
bottom	1	0.1183	0.0011	0.0089	0.0002	0.0000	0.0090
	2	0.1186	0.0011	0.0046	0.0001	0.0000	0.0048
	3	0.1194	0.0013	0.0029	0.0001	0.0000	0.0032
charm	1	0.1168	0.0010	0.0028	0.0003	0.0006	0.0030
	2	0.1168	0.0015	0.0009	0.0003	0.0007	0.0019
	3	0.1173	0.0020	0.0005	0.0003	0.0006	0.0022

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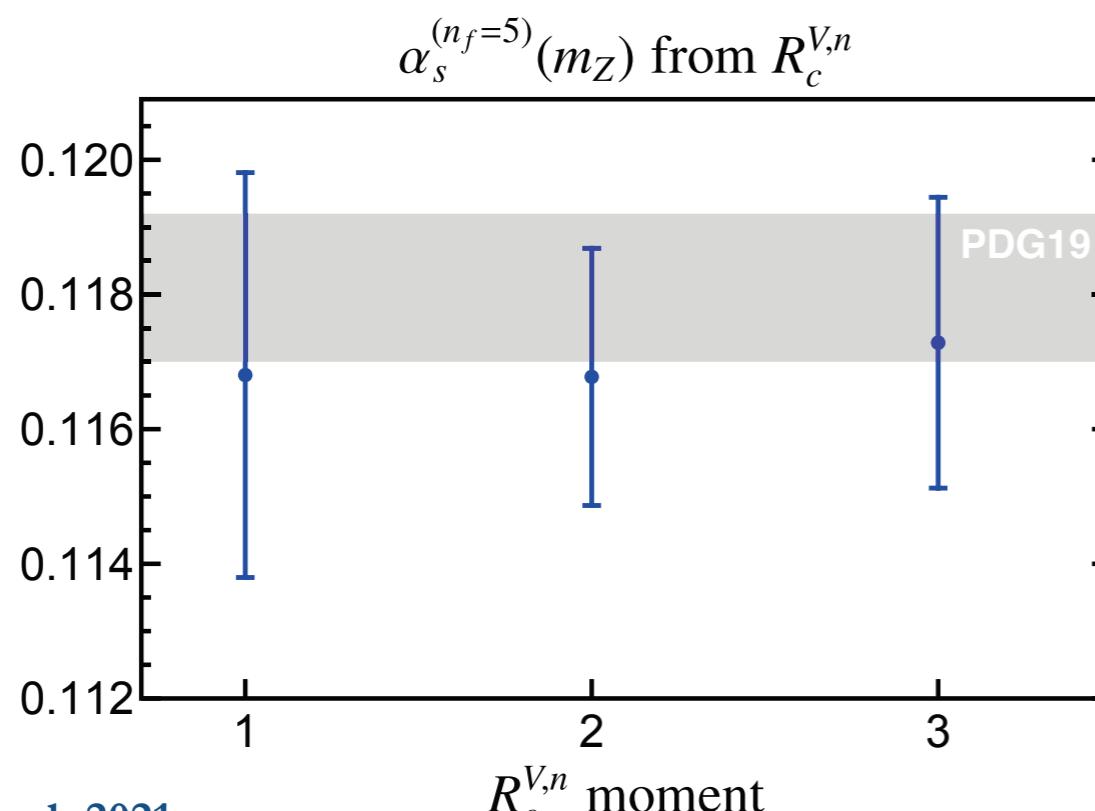


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Trend to larger values



$$\alpha_s(m_Z) = 0.1168 \pm 0.0019$$

# (Re)analysis of lattice data for pseudo-scalar charm-quark moments

# Results from lattice correlators

Data for moments of the pseudo-scalar currents are available from the lattice

moment	[6]	[9]	[10]	[11]	[12]
$M_c^{P,0}$	1.708(7)	1.709(5)	1.699(9)	1.705(5)	–
$R_c^{P,1}$	–	–	1.199(4)	1.1886(13)	1.188(5)
$R_c^{P,2}$	–	–	1.0344(13)	1.0324(16)	1.0341(19)

[6] HPQCD, Allison et al, Phys. Rev. D (2008)

[9] McNeile et al., Phys. Rev. D (2010)

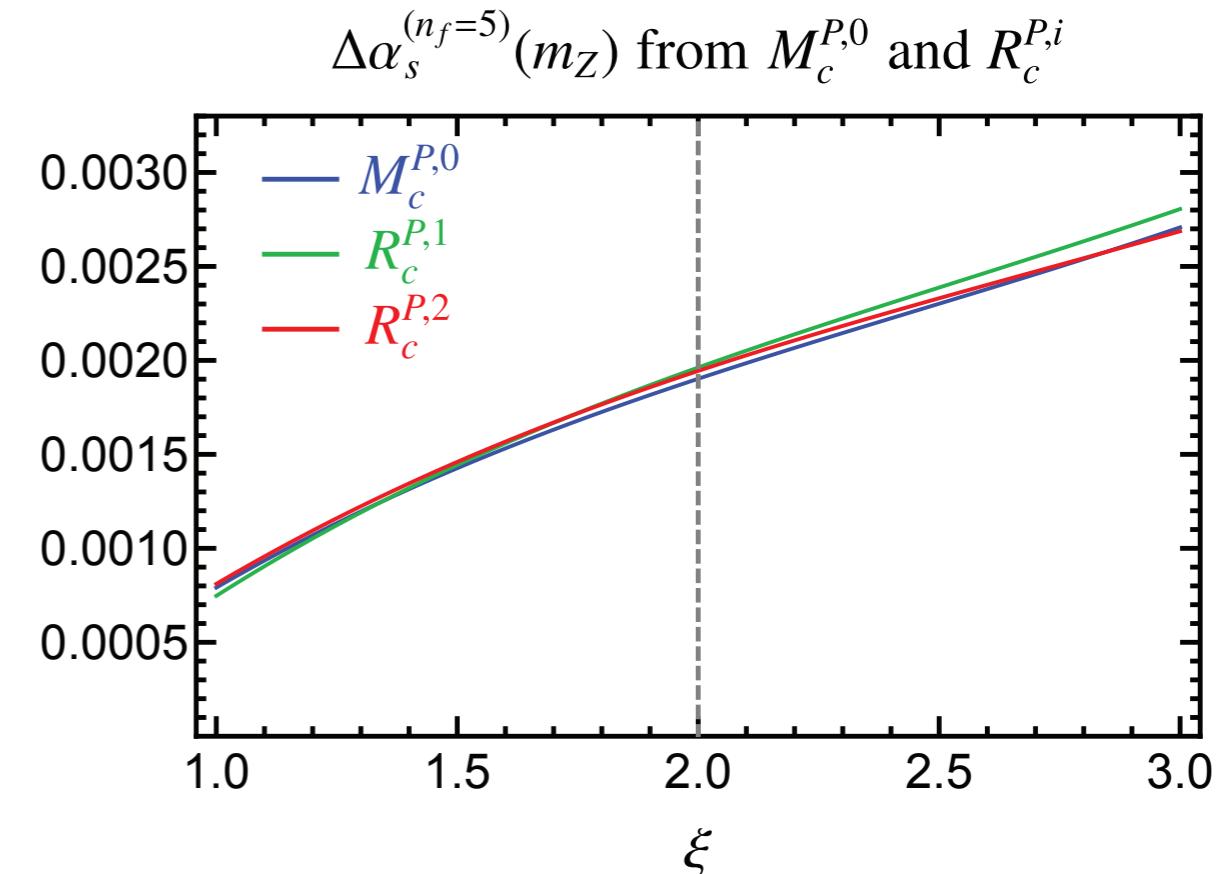
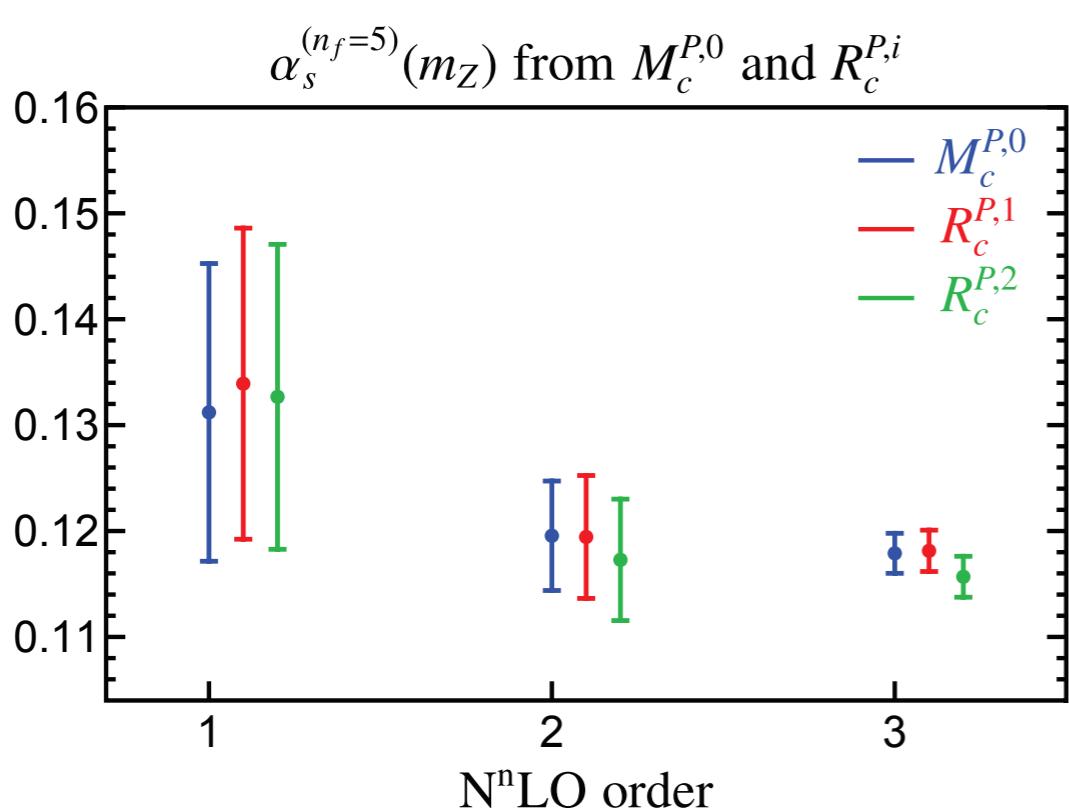
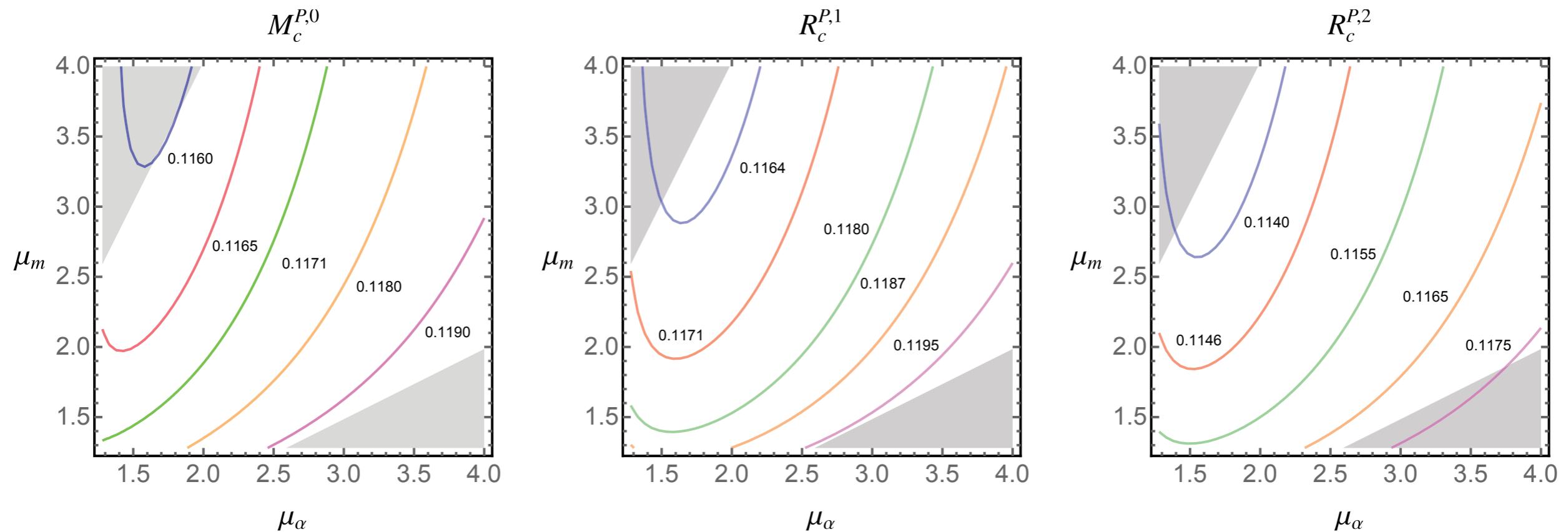
[10] Maezawa and Petreczky, Phys. Rev. D (2016)

[11] Petreczky and Weber, Phys. Rev. D (2019)

[12] Nakayama, Fahy, Hashimoto, Phys. Rev. D (2016)

We use the ratios and the 0-th moment to extract alpha\_s and reassess pt. errors

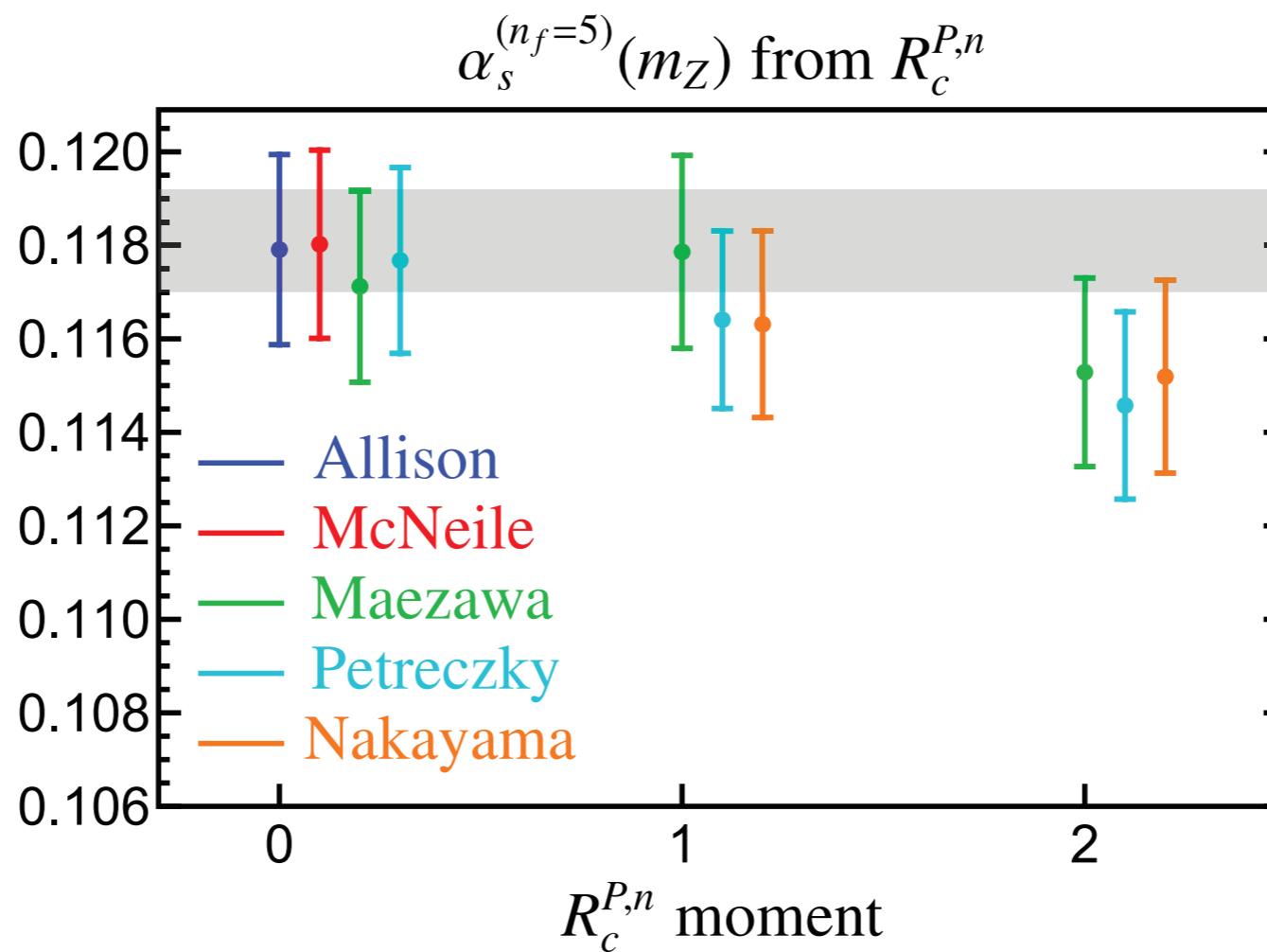
# Results from lattice correlators



# Results from lattice correlators

Larger errors due  
to more  
conservative pt.  
theory errors

Ref.	$\alpha_s^{(n_f=5)}(m_Z)$	$\sigma_{\text{pert}}$	$\sigma_{\text{lattice}}$	$\sigma_{m_c}$	$\sigma_{\text{NP}}$	$\sigma_{\text{total}}$
Allison et al. [6]	0.1179	0.0019	0.0006	0.0003	0.0004	0.0020
McNeile et al. [9]	0.1180	0.0019	0.0005	0.0003	0.0004	0.0020
Maezawa et al. [10]	0.1171	0.0018	0.0008	0.0003	0.0004	0.0020
Petreczky et al. [11]	0.1177	0.0019	0.0005	0.0003	0.0004	0.0020



# Main result

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Extraction from ***charm-quark vector-current moment ratios***:

$$\alpha_s(m_Z) = 0.1168(10)_{\text{pt}}(28)_{\text{exp}}(6)_{\text{np}} = 0.1168(30) [R_c^{V,1}],$$

$$\alpha_s(m_Z) = 0.1168(15)_{\text{pt}}(9)_{\text{exp}}(7)_{\text{np}} = 0.1168(19) [R_c^{V,2}],$$

$$\alpha_s(m_Z) = 0.1173(20)_{\text{pt}}(5)_{\text{exp}}(6)_{\text{np}} = 0.1173(22) [R_c^{V,3}],$$

Larger errors

Large values of  $n$

Very *conservative errors* (with diagonal scale variation error would be +/-0.0013)

Continuum contribution *treated self-consistently* (fixing it would give smaller errors).

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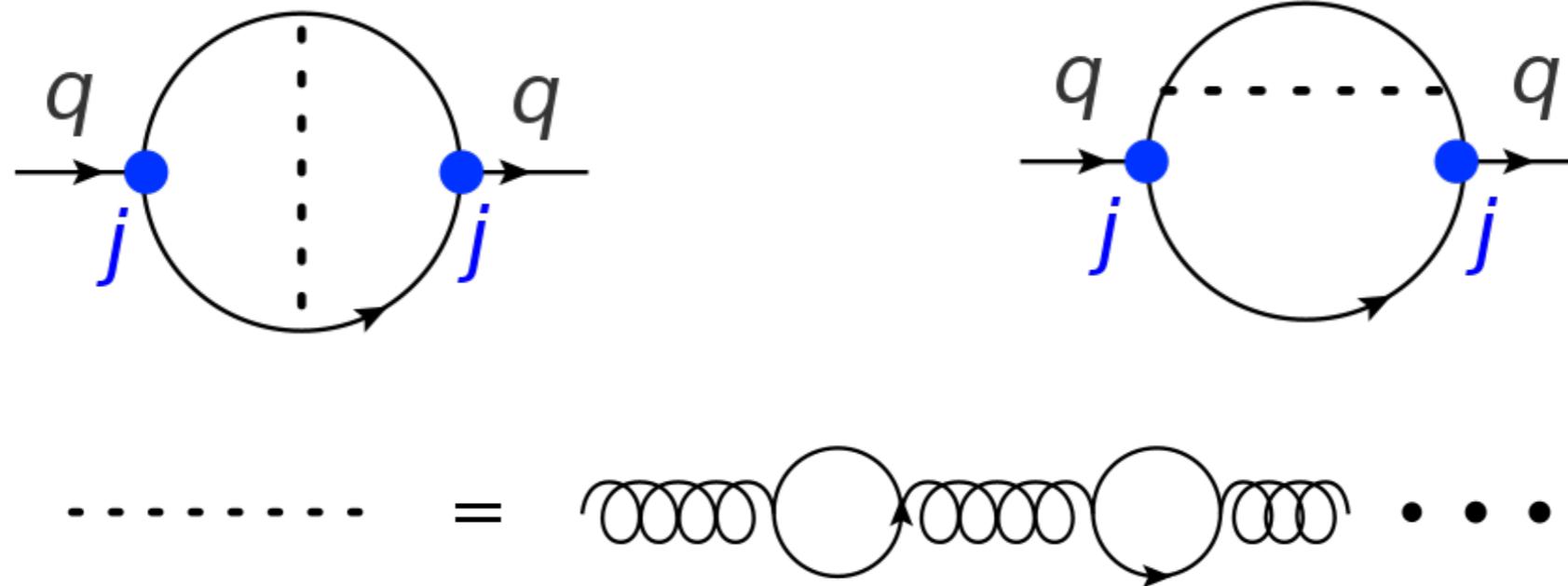
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Continuum contribution *treated self-consistently* (fixing it would give smaller errors).

# Perturbative behaviour and renormalons

## large- $\beta_0$ results

### Large- $\beta_0$ calculation of heavy-quark current correlators



Results available in the literature only for the moments of the *vector current*

Grozin & Sturm '04

$$j_\mu^V = \bar{\psi} \gamma_\mu \psi, \quad j_\mu^A = \bar{\psi} \gamma_\mu \gamma_5 \psi, \quad j^S = \bar{\psi} \psi \quad \text{and} \quad j^P = i \bar{\psi} \gamma_5 \psi.$$

We have calculated for the first time the corresponding result for A, S and PS cases

DB,V Mateu, M.V. Rodrigues, in preparation

# large- $\beta_0$ results

one-loop normalizations

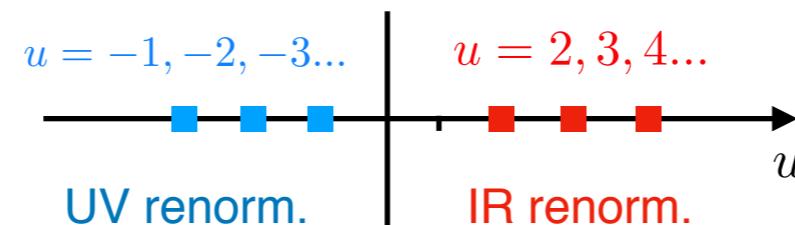
$$M_n^V = \left[ 12\pi^2 Q_q^2 \frac{3}{16\pi^2} \right] \frac{g_n^V(0)}{(4m^2(\mu))^n} A_n^V(\mu)$$

After renormalization and expressing everything in terms of the  $\overline{\text{MS}}$  Mass

$$\hat{A}_n^\delta = 1 + \frac{1}{\beta_0} \int_0^\infty du e^{-u/\beta(\alpha_s(\mu_0))} S_n^\delta(u) + \mathcal{O}\left(\frac{1}{\beta_0^2}\right)$$

General structure of the Borel transform of the moments

$$S_n^V(u) = \frac{8n}{u} + \left( \frac{e^{5/3} \mu_0^2}{m^2} \right)^u \frac{\text{Csc}(\pi u) \Gamma(n+u)}{4^u \Gamma(3/2+n+u)} \pi^{3/2} (-1+u)(u+1+n) N_n^V(u)$$



Non-trivial polynomials in  $u$  for each value of  $n$

$$N_1^V(u) = \frac{u^3}{9} + \frac{29u^2}{27} + \frac{92u}{27} + 3,$$

$$N_2^V(u) = \frac{u^5}{96} + \frac{7u^4}{54} + \frac{2887u^3}{2592} + \frac{7393u^2}{1296} + \frac{2095u}{162} + 10$$

...

Similar results for A, S, PS cases

# large- $\beta_0$ results

Ratios of moments

$$R_n^V = \frac{(M_n^V)^{\frac{1}{n}}}{(M_{n+1}^V)^{\frac{1}{n+1}}}$$

Borel representation of the ratios of moments

$$R_n^V = \left(\frac{9}{4}Q_q^2\right)^{\frac{1}{n(n+1)}} \frac{(g_n^V(0))^{\frac{1}{n}}}{(g_{n+1}^V(0))^{\frac{1}{n+1}}} \left[ 1 + \frac{1}{\beta_0} \int_0^\infty du e^{-u/\hat{a}(\mu)} B_n^V(u) + \mathcal{O}\left(\frac{1}{\beta_0^2}\right) \right]$$

DB,V Mateu, M.V. Rodrigues, in preparation

Borel transform

$$B_n^V(u) = \frac{S_n^V(u)}{n} - \frac{S_{n+1}^V(u)}{n+1}$$

Explicitly

$$B_n^V(u) = \left(\frac{e^{5/3}\mu^2}{m^2}\right)^u \frac{\text{Csc}(\pi u)\pi^{3/2}(-1+u)}{4^u} \left[ \frac{\Gamma(n+u)(u+1+n)N_n^V(u)}{n\Gamma(3/2+n+u)} - \frac{\Gamma(n+u+1)(u+2+n)N_{n+1}^V(u)}{(n+1)\Gamma(5/2+n+u)} \right]$$

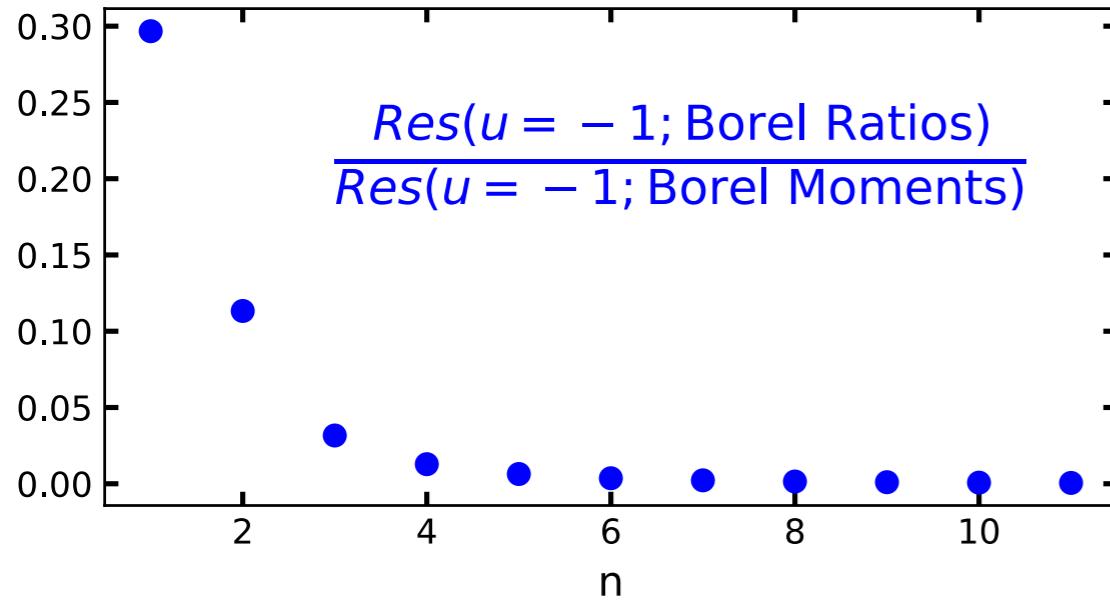
(partial) renormalon  
cancelations



# large- $\beta_0$ results

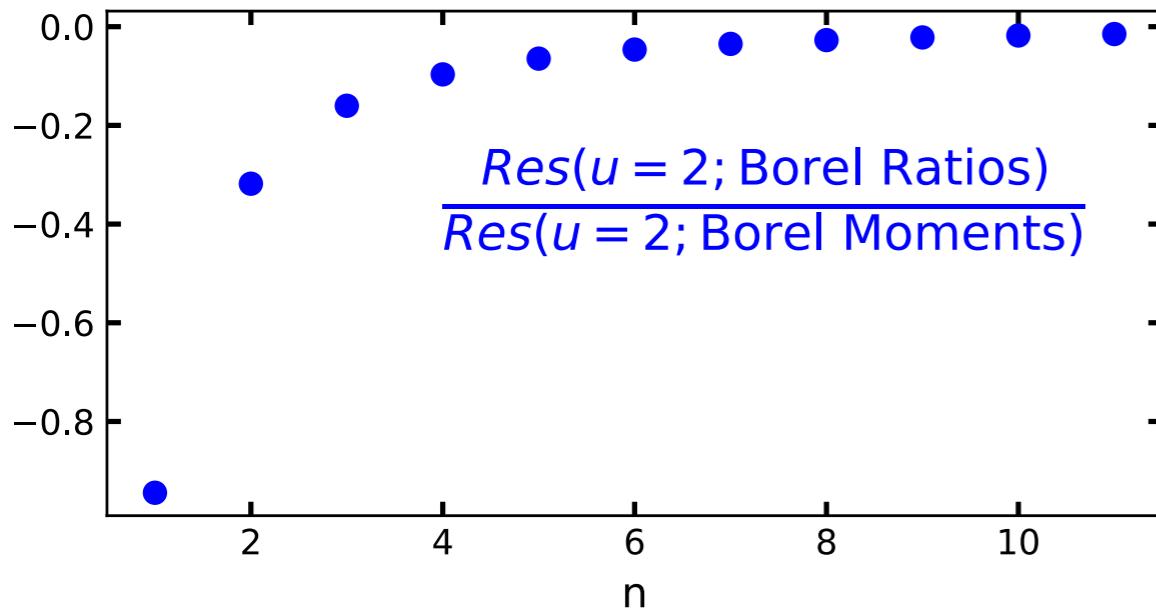
Renormalon cancellation in the  $R_{n\mu}$  ratios (vector case)

Cancellation of the  $u = -1$  renormalon



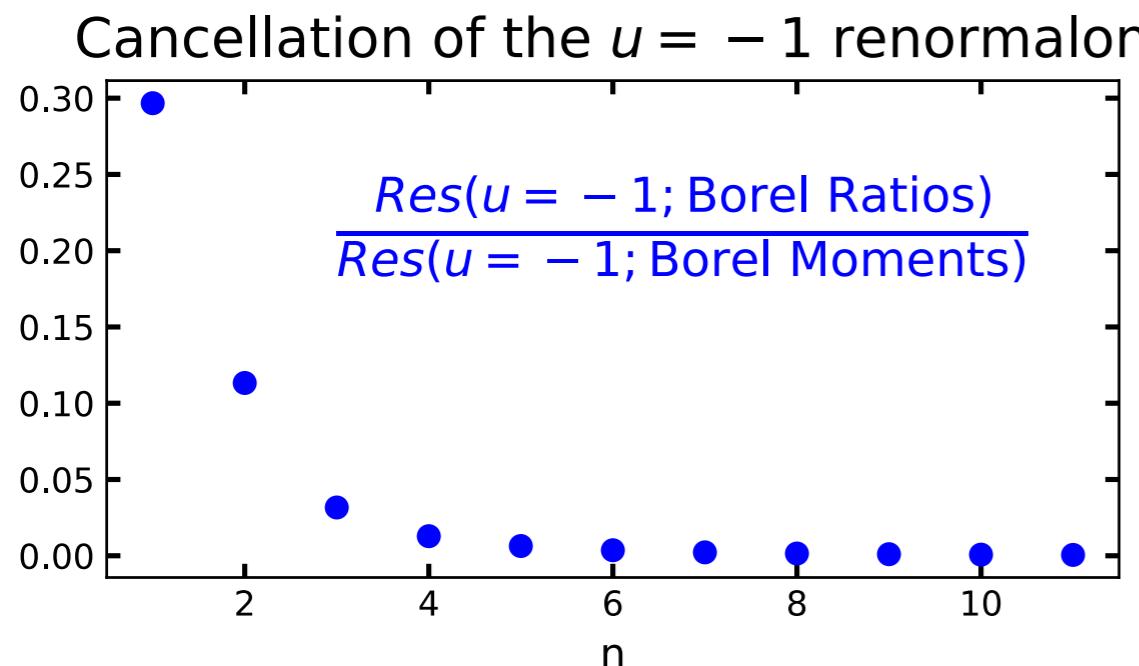
Preliminary

Cancellation of the  $u = 2$  renormalon

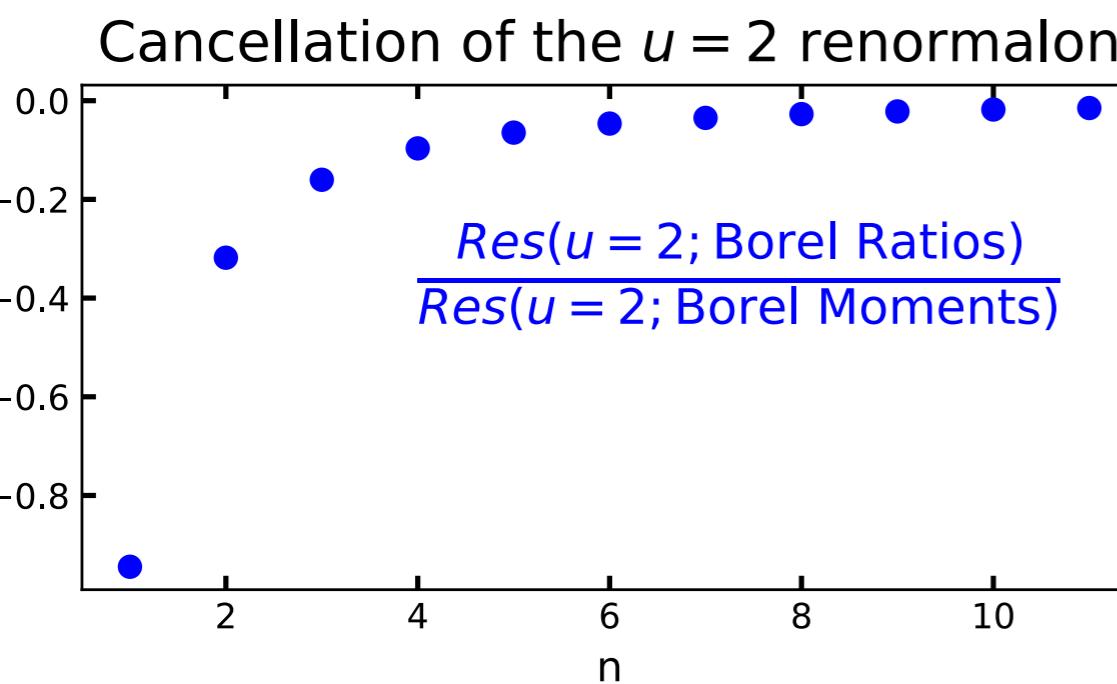
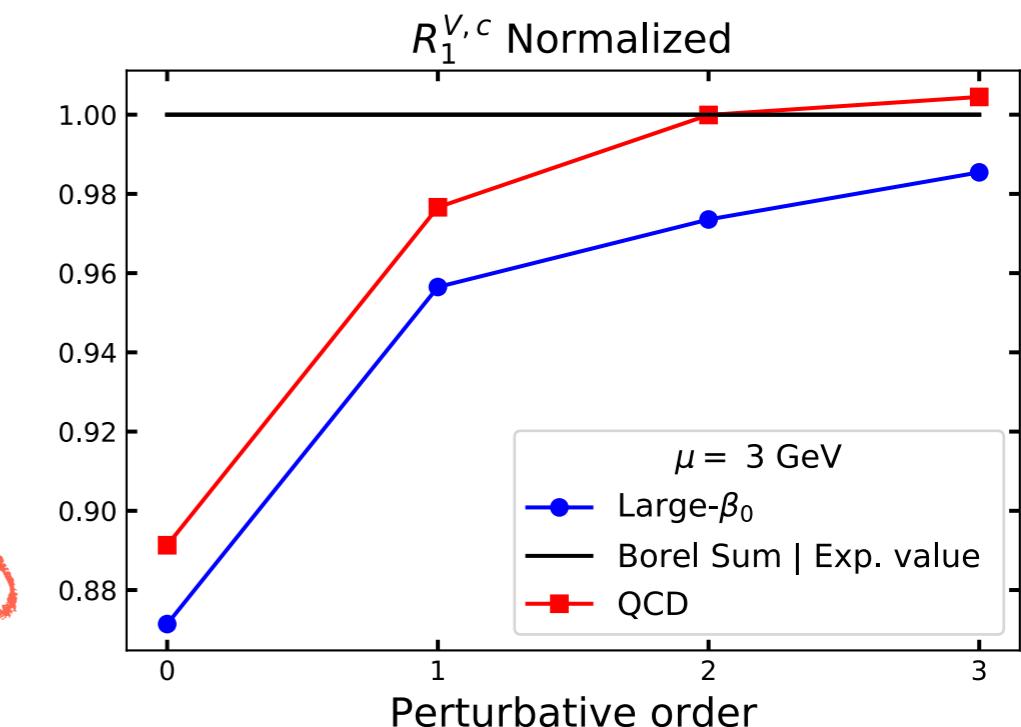


# large- $\beta_0$ results

Renormalon cancellation in the  $R_{n,h}$  ratios (vector case)

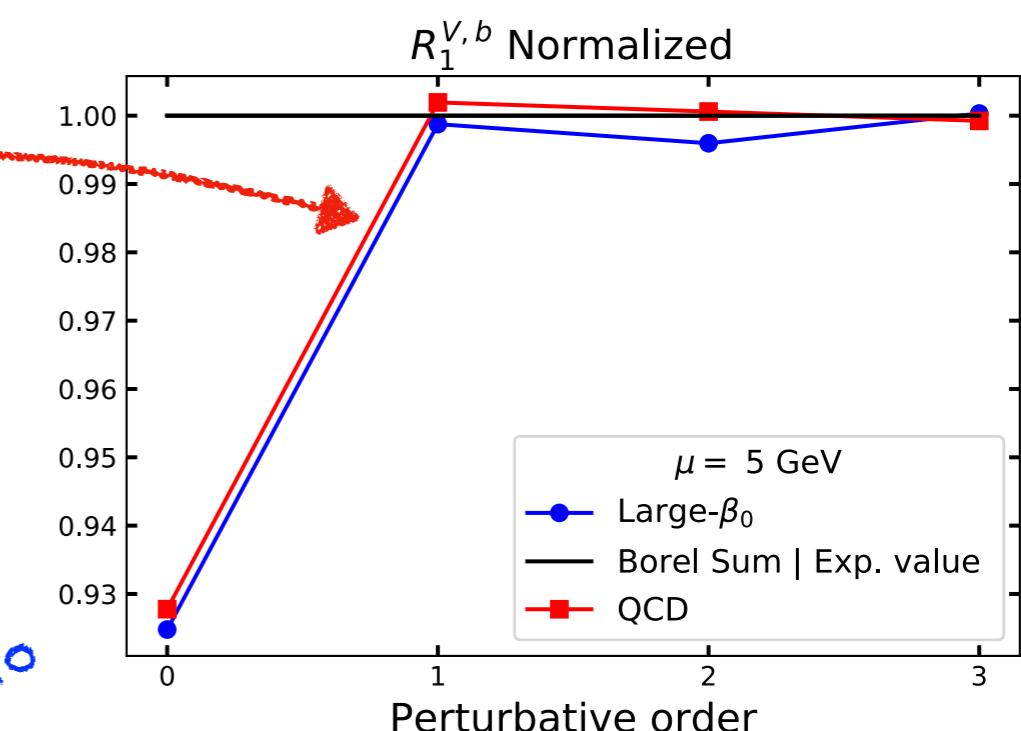


QCD vs Large-beta 0



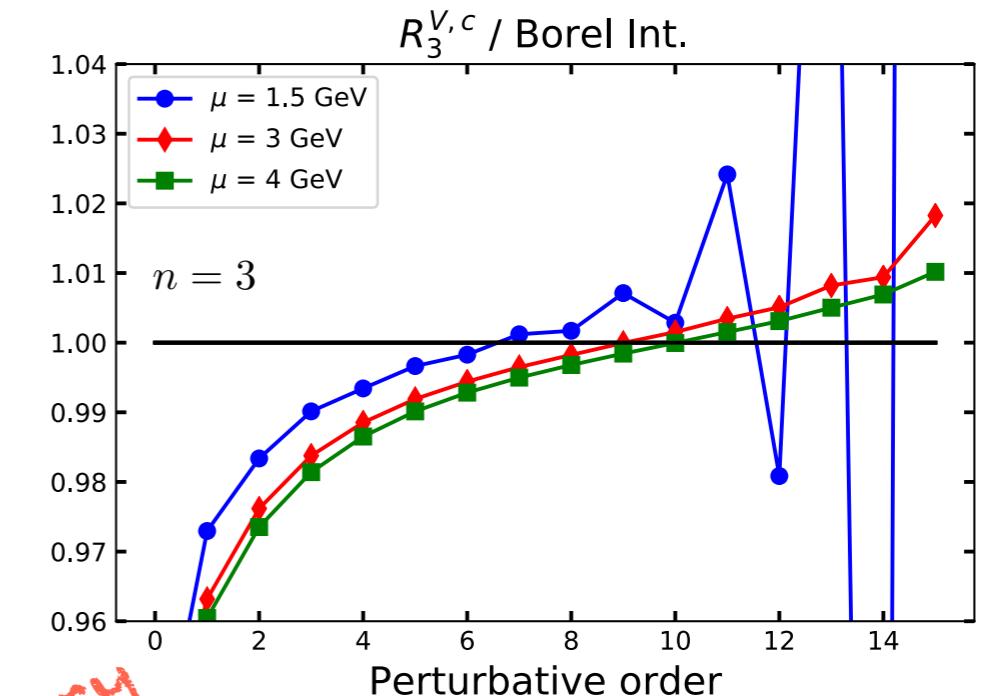
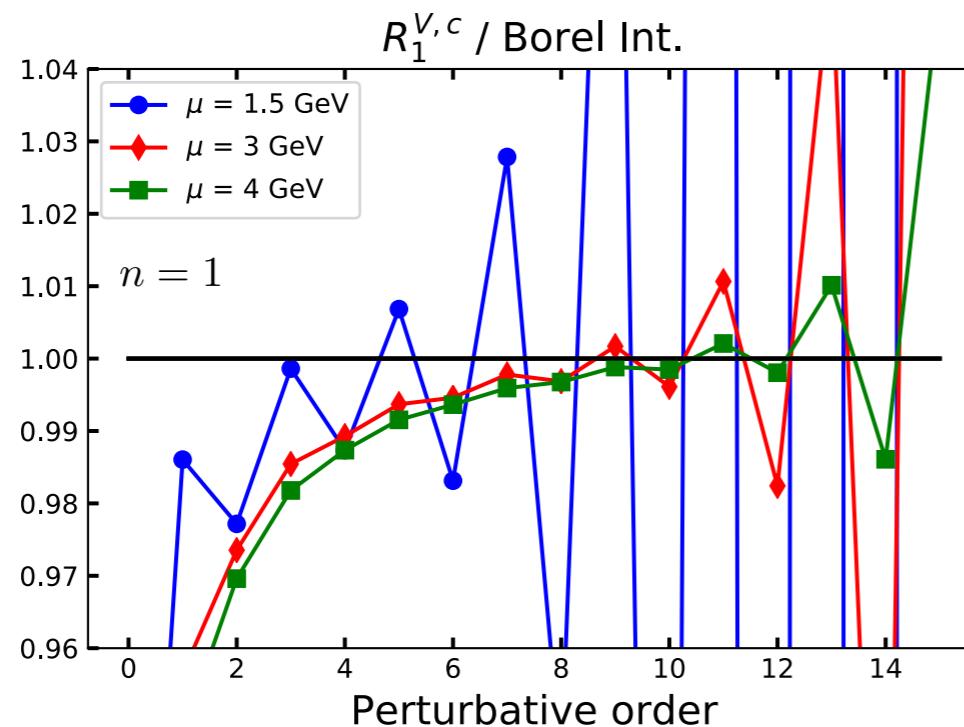
Preliminary

Similar  
behaviour  
but QCD is  
actually  
better than  
Large-beta\_0

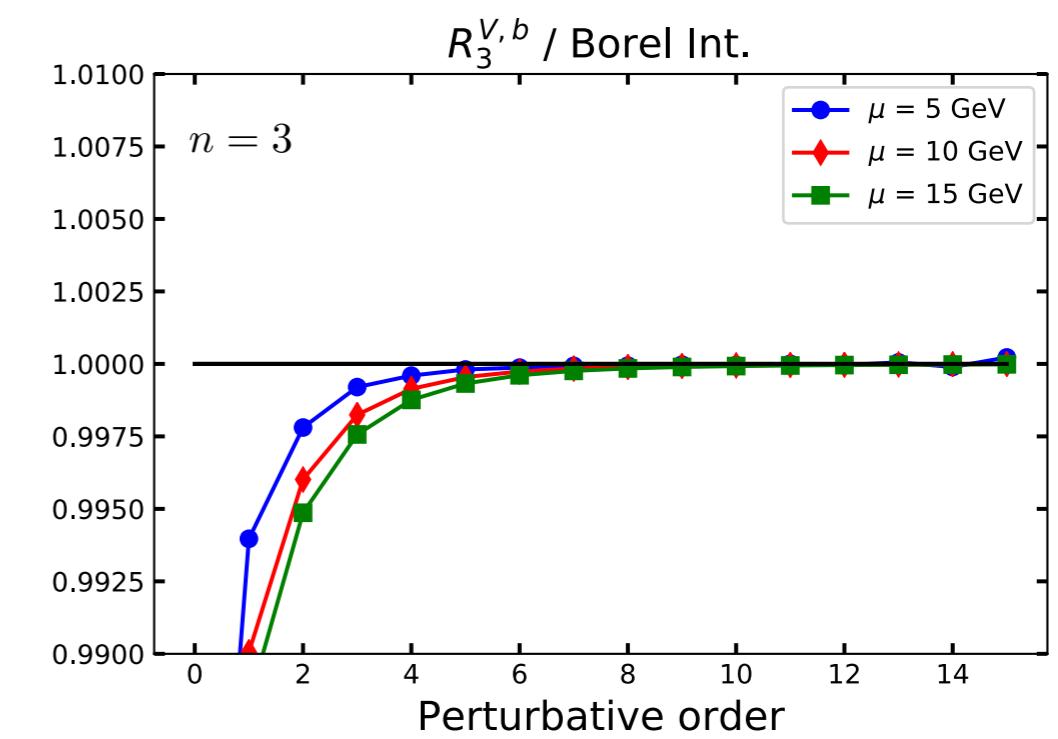
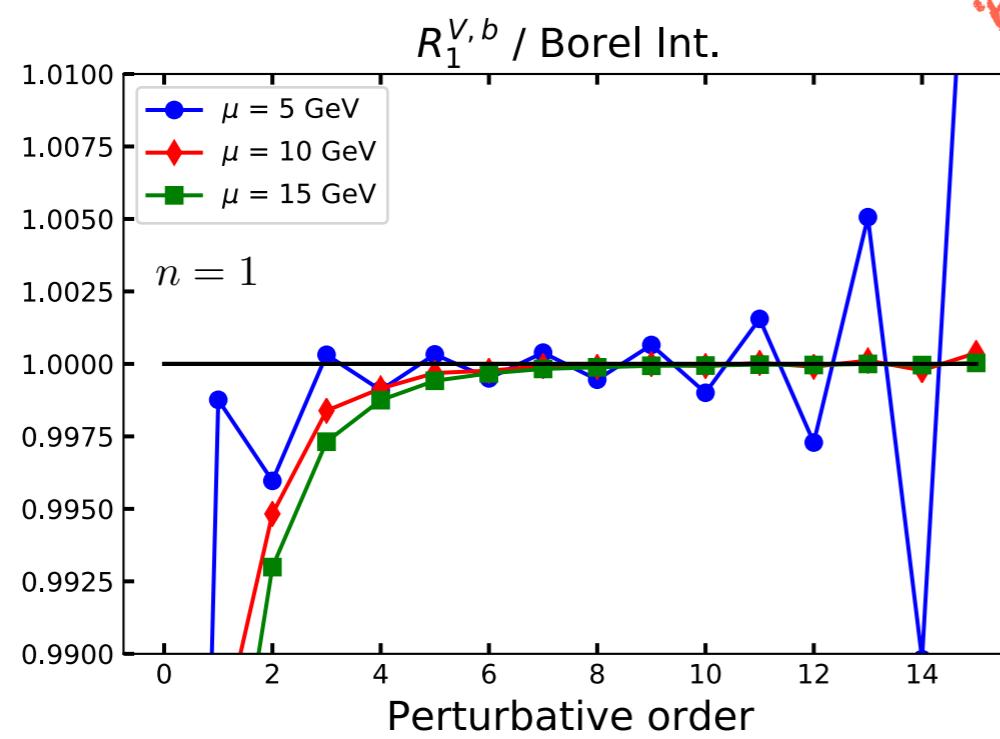


# large- $\beta_0$ results

$R_n^V$

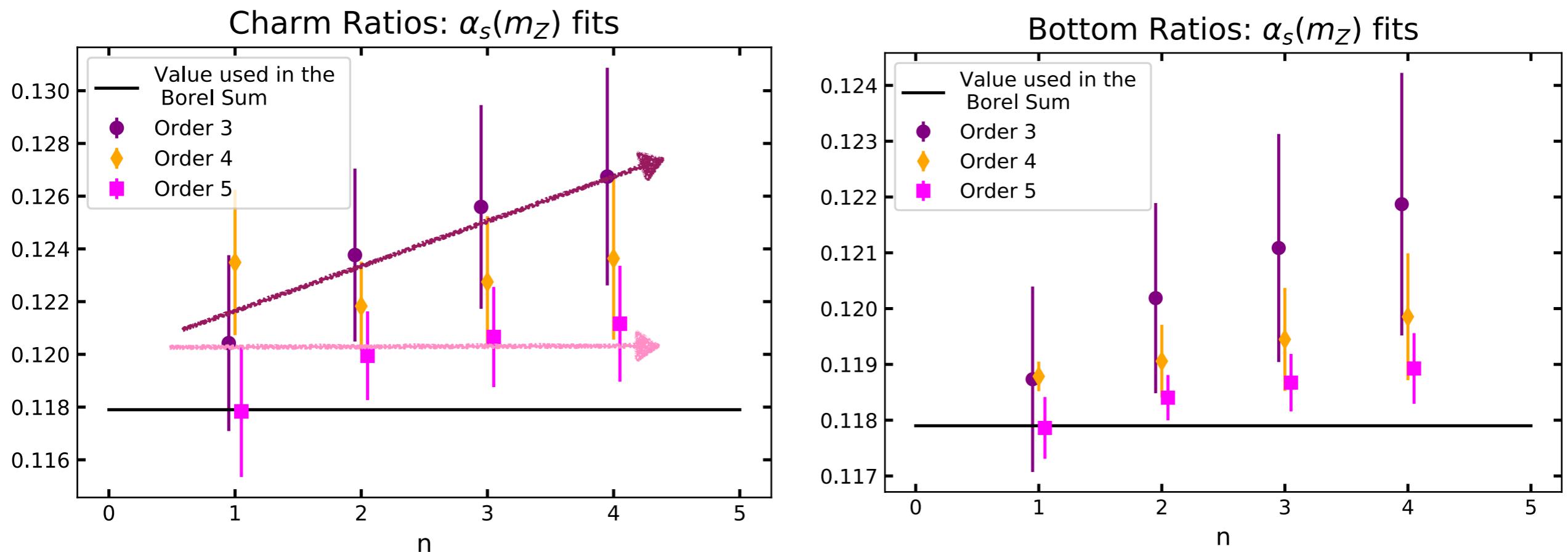


Preliminary



# large- $\beta_0$ results

Toy extraction of  $\alpha_s$  in large- $\beta_0$  with the Borel sum as “experiment”



Trends in  $\alpha_s$  values qualitatively corroborated by large- $\beta_0$  results.

One order more in the pt. series should lead to more stable results.

# Partial conclusions

# Conclusions

$\alpha_s$  can be extracted reliably from  $R$  data with 4, and 5 active flavours.

Ratios of moments of bottomonium vector-current correlators ideal from the theory view point, but larger exp. errors.

Ratios tend to have good perturbative expansion (renormalon cancelations).

At present, best determination from charm ratio with  $n=2$ :

$$\alpha_s(m_Z) = 0.1168 \pm 0.0019$$

Our results are obtained with a conservative error estimate.

PS current moments (from lattice) give stable results but with larger uncertainty.

Our analysis of the perturbative error is more conservative than original studies

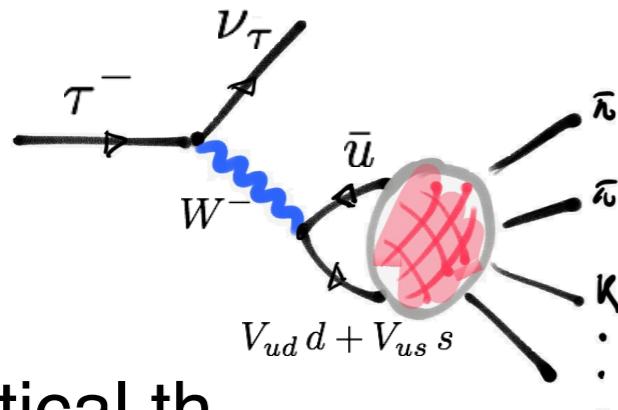
# Strong coupling below charm ( $n_f = 3$ )

- DB, M. Golterman, A. Kheshavarzi, K. Maltman, D. Nomura, S. Peris, T. Teubner,  
arXiv:1805.08176  
Phys. Rev. D **98** n. 7 074030 (2018)

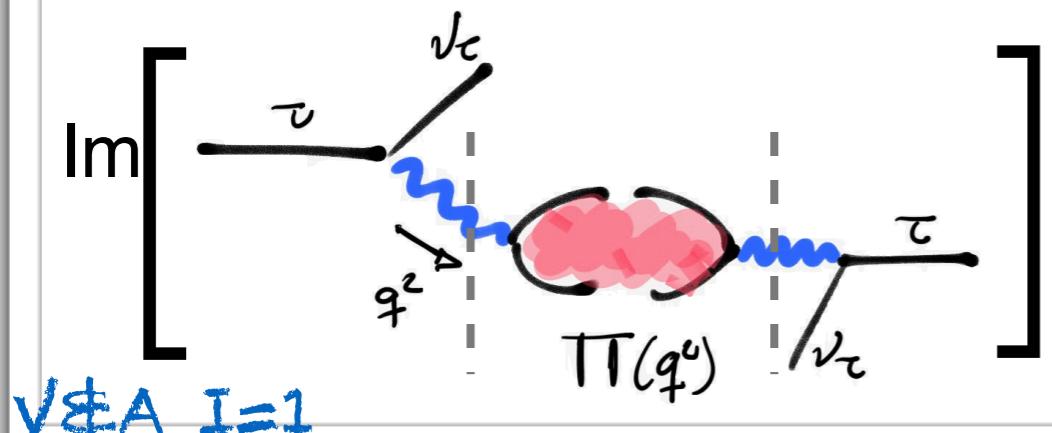
-DB, M. Golterman, K. Maltman, S. Peris, M.V. Rodrigues and W. Schaaf,  
arXiv:2012.10440  
Phys. Rev. D **103** 034028 (2021)

# Inclusive process at low energies

$$\tau^- \rightarrow (\text{hadrons}) + \nu_\tau$$

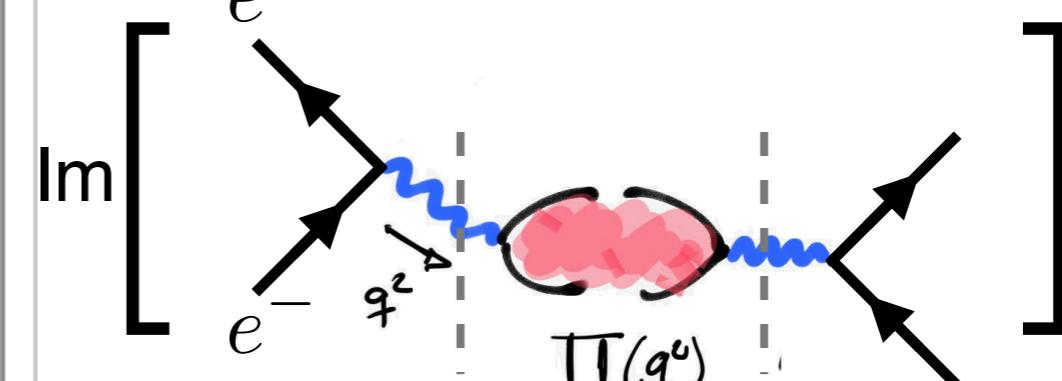
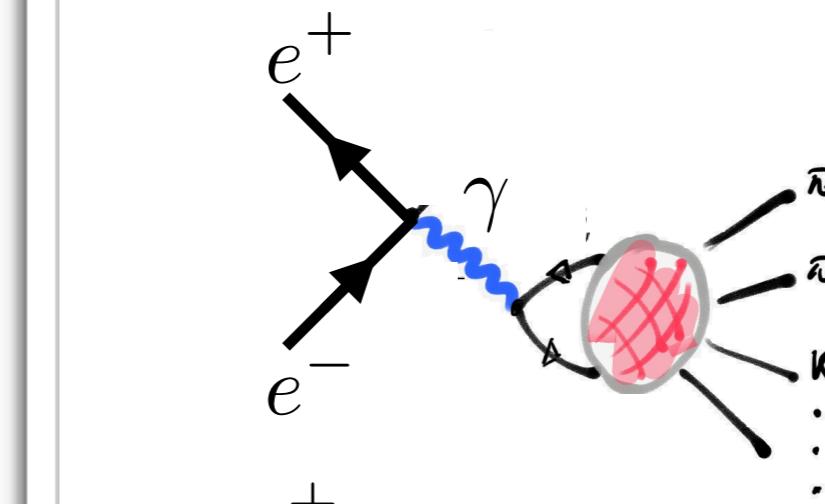


Optical th.



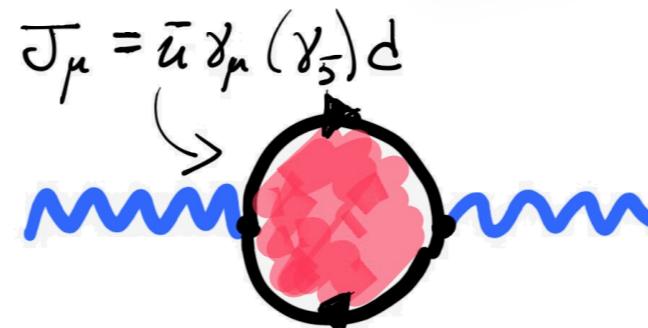
$V \& A \quad I=1$

$$e^+ e^- \rightarrow (\text{hadrons})$$



$V, I=0 \text{ and } I=1$

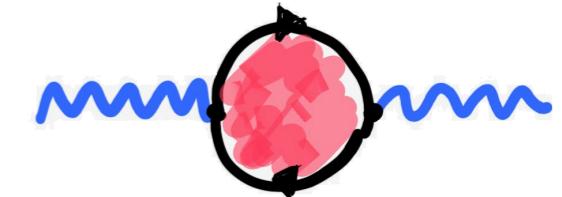
Massless  
(V&A)  
correlators



$$\Pi_{\mu\nu}(q) = i \int d^4x e^{iqx} \langle 0 | T\{J_\mu(x) J_\nu(0)^\dagger\} | 0 \rangle$$

# Theory input

$$\Pi_{\mu\nu}(q) = i \int d^4x e^{iqx} \langle 0 | T\{J_\mu(x) J_\nu(0)^\dagger\} | 0 \rangle$$



Below charm one works with **massless** correlators.

$$\frac{-1}{2\pi i} \oint_{|z|=s_0} dz w(z) \tilde{\Pi}(z) \approx N_c (1 + \delta^{(0)} + \delta_{\text{EW}} + \delta_{\text{OPE}} + \delta_{\text{DVs}}) \quad \delta^{(0)} = \sum_n^4 c_n \alpha_s^n$$

$$\frac{\alpha_s}{\pi} \approx \frac{0.3}{\pi} \sim 10\%$$

Gorishnii, Kataev, Larin '91  
Surguladze&Samuel '91      Baikov, Chetyrkin, Kühn '08

$$\alpha_s^1 \quad \alpha_s^2 \quad \downarrow \alpha_s^3 \quad \downarrow \alpha_s^4$$

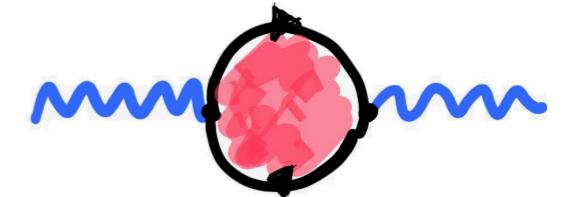
$$\delta_{\text{FO}}^{(0)} = 0.1012 + 0.0533 + 0.0273 + 0.0133 = 0.1952$$

**pt. correction is ~20%**

**Moments dominated by perturbation theory**

# Theory input

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→

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Surguladze&Samuel '91

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$$\delta_{\text{FO}}^{(0)} = 0.1012 + 0.0533 + 0.0273 + 0.0133 = 0.1952$$

$$\delta_{\text{CI}}^{(0)} = 0.1375 + 0.0262 + 0.0104 + 0.0072 = 0.1814$$

**pt. correction is ~20%**

**theoretical uncertainty**

**Moments dominated by perturbation theory**

# Theory input

Discrepancy between FO and CIPT  
 Linked to an incoherent treatment of the OPE  
 (previously assumed to be the same for both prescriptions)

Hoang and Regner '20

$\alpha_s^1$ $\alpha_s^{(0)}$ $\delta_{FO}^{(0)} = 0.1012 + 0.0533 + 0.0273 + 0.0133 = 0.1952$	<small>Gorishnii, Kataev, Larin '91 Surguladze&amp;Samuel '91</small>	$\alpha_s^2$ $\alpha_s^{(0)}$ $\delta_{CI}^{(0)} = 0.1375 + 0.0262 + 0.0104 + 0.0072 = 0.1814$
	$\downarrow \alpha_s^3$ $\alpha_s^{(0)}$ $\delta_{FO}^{(0)} = 0.1012 + 0.0533 + 0.0273 + 0.0133 = 0.1952$	$\downarrow \alpha_s^4$ $\alpha_s^{(0)}$ $\delta_{CI}^{(0)} = 0.1375 + 0.0262 + 0.0104 + 0.0072 = 0.1814$
	<small>Baikov, Chetyrkin, Kühn '08</small>	

pt. correction is ~20%

theoretical uncertainty

**I will avoid results from CIPT because of potential inconsistent treatment of the OPE**

# Theory input

Discrepancy between FO and CIPT  
 Linked to an incoherent treatment of the OPE  
 (previously assumed to be the same for both prescriptions)

Hoang and Regner '20

$\alpha_s^1$ Gorishnii, Kataev, Larin '91 Surguladze&Samuel '91	$\alpha_s^2$ Baikov, Chetyrkin, Kühn '08	$\downarrow$ $\alpha_s^3$	$\downarrow$ $\alpha_s^4$
$\delta_{\text{FO}}^{(0)} = 0.1012 + 0.0533 + 0.0273 + 0.0133 = 0.1952$			

**pt. correction is ~20%**

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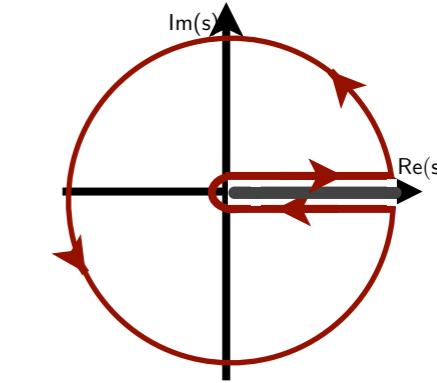
# Strategy

Integrated moments



Sum rules

(using Cauchy's theorem)



experiment

$$\frac{1}{s_0} \int_0^{s_0} ds w(s/s_0) \frac{1}{\pi} \text{Im} \Pi(s) = - \frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(z) \Pi(z)$$

theory

# Strategy

Integrated moments



Sum rules

(using Cauchy's theorem)

experiment

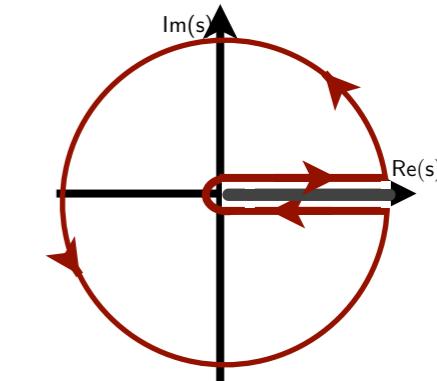
$$\frac{1}{s_0} \int_0^{s_0} ds w(s/s_0) \frac{1}{\pi} \text{Im} \Pi(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(z) \Pi(z)$$

theory

$$\rho(s) = \frac{1}{\pi} \text{Im} \Pi(s) = \frac{1}{12\pi^2} R(s)$$

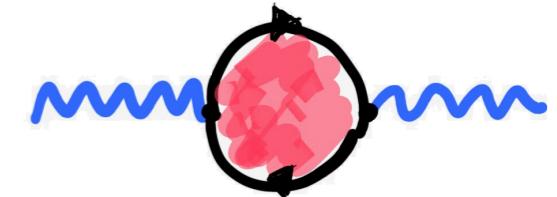
Spectral function

R ratio (in  $e^+e^-$ )



# Theory input: summary

$$\Pi_{\mu\nu}(q) = i \int d^4x e^{iqx} \langle 0 | T\{J_\mu(x) J_\nu(0)^\dagger\} | 0 \rangle$$



Perturbation theory + Condensates (OPE)  $\rightarrow$   $\sum_{n=0}^4 a_\mu^n \sum_{k=1}^{n+1} k c_{n,k} \left( \log \frac{-s}{\mu^2} \right)^{k-1} + \frac{C_4}{Q^4} + \frac{C_6}{Q^6} + \frac{C_8}{Q^8} + \dots$

Known up to  $\alpha_s^4$

Baikov, Chetyrkin, Kühn '08

Mass corrections  $\rightarrow$   $\frac{m_s^2(\mu^2)}{6\pi^2} \sum_{n=0}^{\infty} \left( \frac{\alpha_s(\mu^2)}{\pi} \right)^n \sum_{k=0}^n f_{nk} \left( \log \frac{-z}{\mu^2} \right)^k$

EM and EW corrections  $\rightarrow$   $\frac{1}{6\pi^2} c_{01} \rightarrow \frac{1}{6\pi^2} c_{01} \left( 1 + \frac{\alpha}{4\pi} \right)$   $S_{\text{EW}}$

Duality Violations (consistency check)  $\rightarrow$   $\rho(s)_{\text{DV}} = e^{-\delta - \gamma s} \sin(a + bs)$

O. Catà, M. Golterman, S. Peris '05, '06, '08  
DB, Caprini, Golterman, Maltman, Peris, PRD '18

# Theory input: moments and general strategy

$$\frac{1}{12\pi^2 s_0} \int_0^{s_0} ds w(s/s_0) R(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(s/s_0) \Pi(z)$$

1. Good perturbative behaviour.
2. Small condensate contributions.
3. Suppression of DVs.

$$w(y) \rightarrow 1$$

$$1 - y^2$$

$$(1 - y)^2(1 + 2y)$$

$$(1 - y^2)^2$$

$$\frac{-1}{2\pi i} \oint_{|z|=s_0} dz w(z) \tilde{\Pi}(z) \approx N_c (1 + \cancel{\delta^{(0)}} + \delta_{\text{EM}} + \delta_{\text{OPE}} + \delta_{\text{DVs}})$$

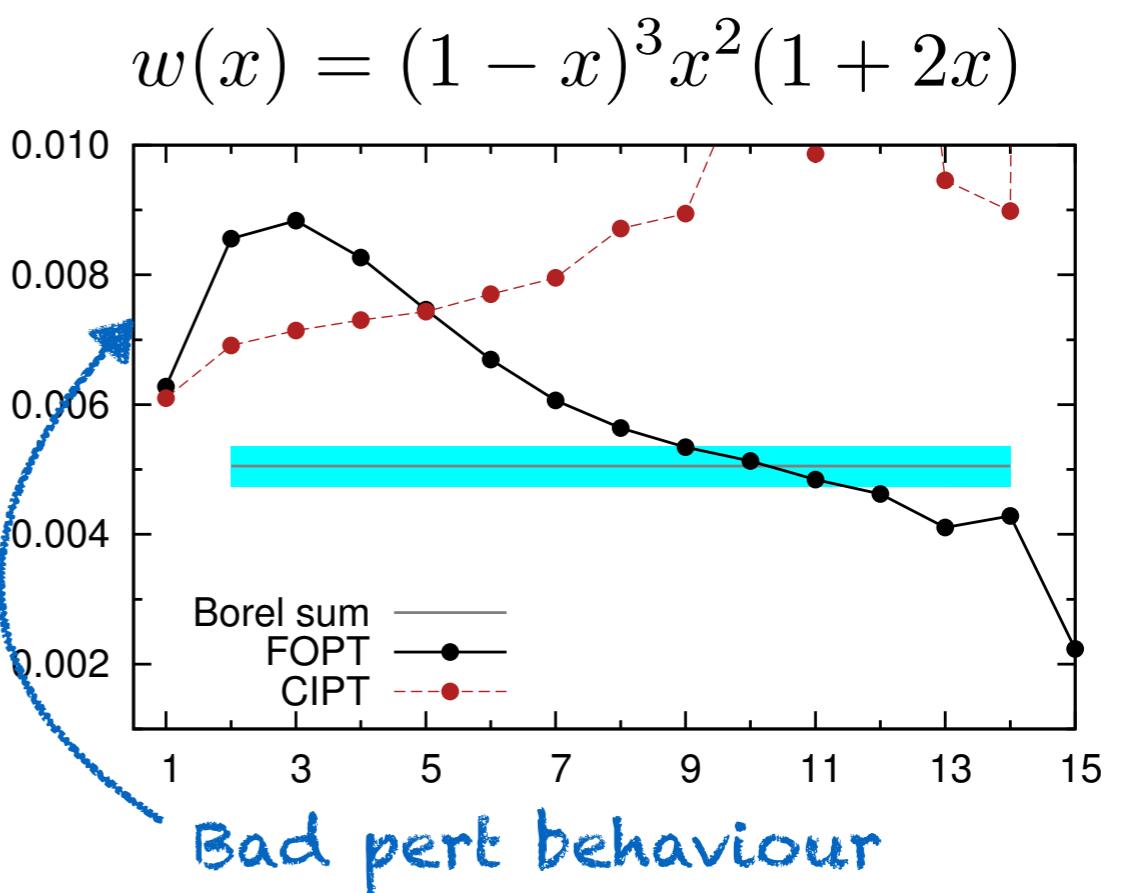
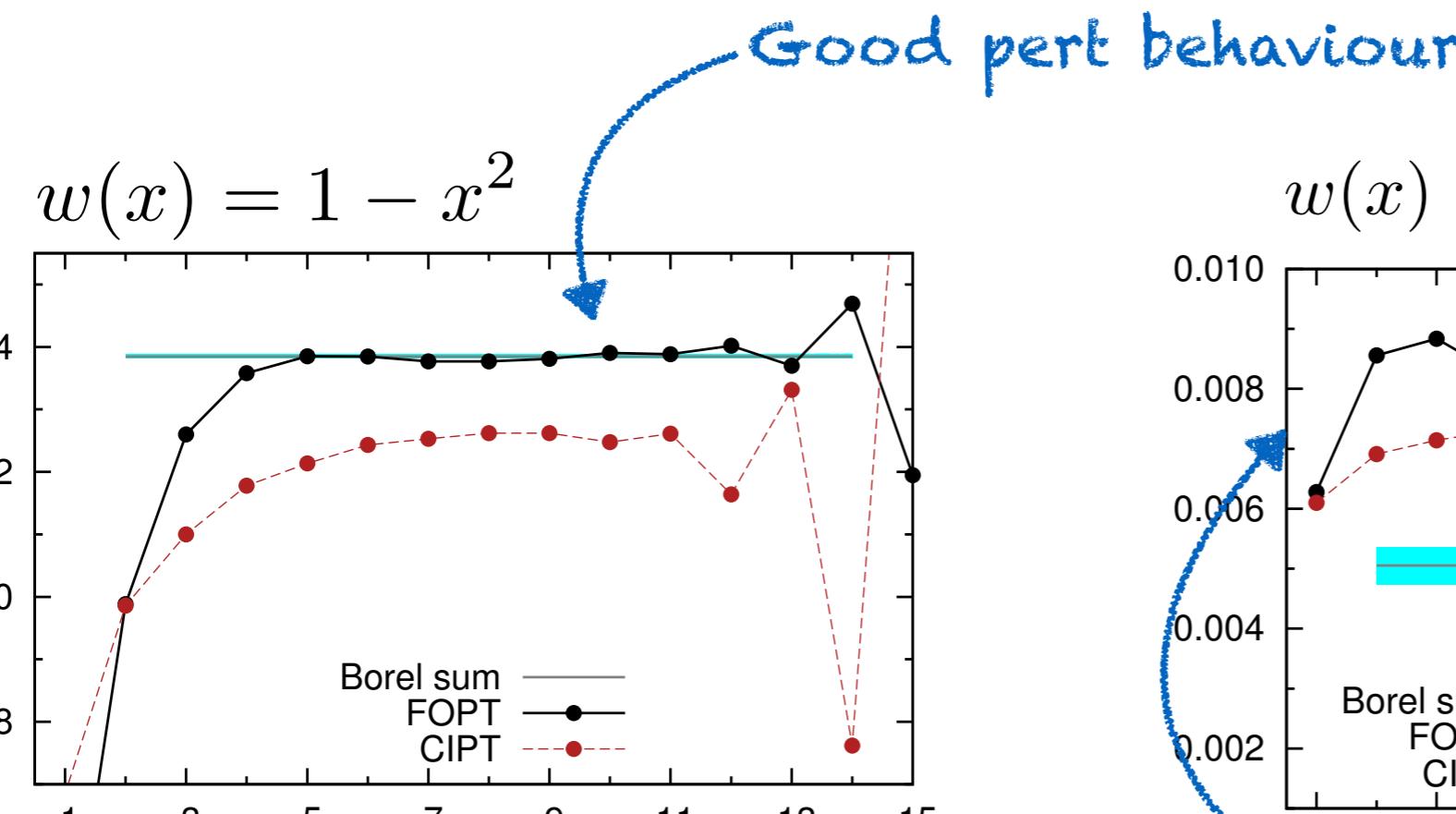
# Theory input: choice of weight functions

$$\frac{1}{12\pi^2 s_0} \int_0^{s_0} ds w(s/s_0) R(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(s/s_0) \Pi(z)$$

$$\begin{aligned} w(y) &\rightarrow 1 \\ &1 - y^2 \\ &(1 - y)^2(1 + 2y) \\ &(1 - y^2)^2 \end{aligned}$$

## 1. Good perturbative behaviour.

Reconstruction of the series at high orders.



Avoid moments with linear term in  $x$  (first IR renormalon)

# Theory input: choice of weight functions

$$\frac{1}{12\pi^2 s_0} \int_0^{s_0} ds w(s/s_0) R(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(s/s_0) \Pi(z)$$

## 2. Small condensate contributions.

$$\Pi_{\text{OPE}}^{(1+0)} = \sum_{D=0,2,4,\dots}^{\infty} \frac{C_D(s)}{(-s)^{D/2}} \quad w(x) = x^n \quad \delta_{x^n}^{(D)} = \frac{6\pi i}{(-s_0)^{D/2}} C_D \int dx \frac{1}{x^{-n+D/2}}$$

Only non-zero for  
D=2(n+1)

$$w_0(y) = 1,$$

Tiny condensate contributions

$$w_2(y) = 1 - y^2,$$

Only D=6

$$w_3(y) = (1 - y)^2(1 + 2y),$$

Only D=6 and 8 Tau kin. Moment

$$w_4(y) = (1 - y^2)^2,$$

Only D=6 and 10

Avoid higher orders in the OPE! (Asymptotic expansion)

# Theory input: choice of weight functions

$$\frac{1}{12\pi^2 s_0} \int_0^{s_0} ds w(s/s_0) R(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(s/s_0) \Pi(z)$$

$w(y) \rightarrow 1$

$1 - y^2$

$(1 - y)^2(1 + 2y)$

$(1 - y^2)^2$

## 3. Suppression of duality violations.

DVs are the “OPE of the OPE”. Perturbation theory is only asymptotic

$$R \sim \sum_n r_n \alpha_s^{n+1} + e^{-p/\alpha_s(Q^2)}$$

# Theory input: choice of weight functions

$$\frac{1}{12\pi^2 s_0} \int_0^{s_0} ds w(s/s_0) R(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(s/s_0) \Pi(z)$$

$w(y) \rightarrow$

1
$1 - y^2$
$(1 - y)^2(1 + 2y)$
$(1 - y^2)^2$

## 3. Suppression of duality violations.

DVs are the “OPE of the OPE”. Perturbation theory is only asymptotic

$$R \sim \sum_n^{n^*} r_n \alpha_s^{n+1} + e^{-p/\alpha_s(Q^2)} \xrightarrow{\text{red arrow}} R \sim \sum_n^{n^*} r_n \alpha_s^{n+1} + \sum_k^{k^*} \frac{C_{2k}}{Q^{2k}}$$

# Theory input: choice of weight functions

$$\frac{1}{12\pi^2 s_0} \int_0^{s_0} ds w(s/s_0) R(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(s/s_0) \Pi(z)$$

$w(y) \rightarrow 1$

$1 - y^2$
$(1 - y)^2(1 + 2y)$
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The OPE is also only asymptotic

$$R \sim \sum_n^{n^*} r_n \alpha_s^{n+1} + \sum_k^{k^*} \frac{C_{2k}}{Q^{2k}} + e^{-\gamma q^2} \kappa \sin(\alpha + \beta q^2)$$

DB, I. Caprini, M. Golterman, K. Maltman, S. Peris '18

O. Catà, M. Golterman, S. Peris '05, '06, '08

DV suppression: higher energies and/or zeros in  $w(x)$  for  $x=1$ .

# One of the main messages

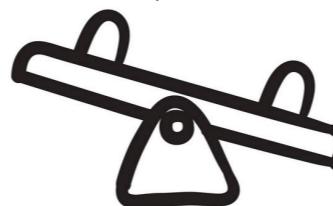
Higher suppression of D.Vs comes with the price of additional (unknown) higher D contributions from the OPE.

$$w(y) = (1 - y^2)^n$$

DV strategy

DB, M. Golterman, K. Maltman, S. Peris,  
M. V. Rodrigues and W. Schaaf,  
2012.10440

- Accept some D.Vs and have very little contamination on the OPE side.



Truncated OPE strategy

A Pich, A. Rodriguez-Sanchez 1605.06830

- Suppress D.Vs strongly but need to ignore the higher order contributions on the OPE side (too many parameters).

(Serious issues with the truncation of the OPE)

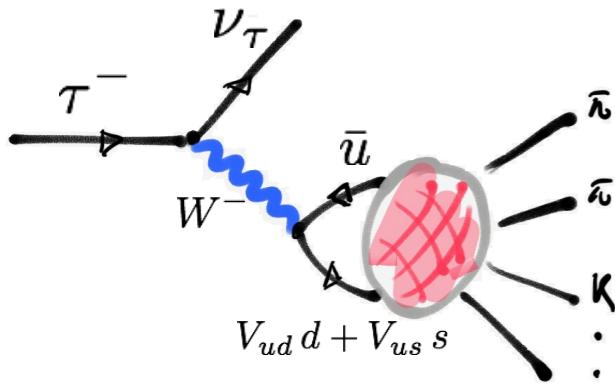
DB, M. Golterman, K. Maltman, S. Peris '16

# Data

$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

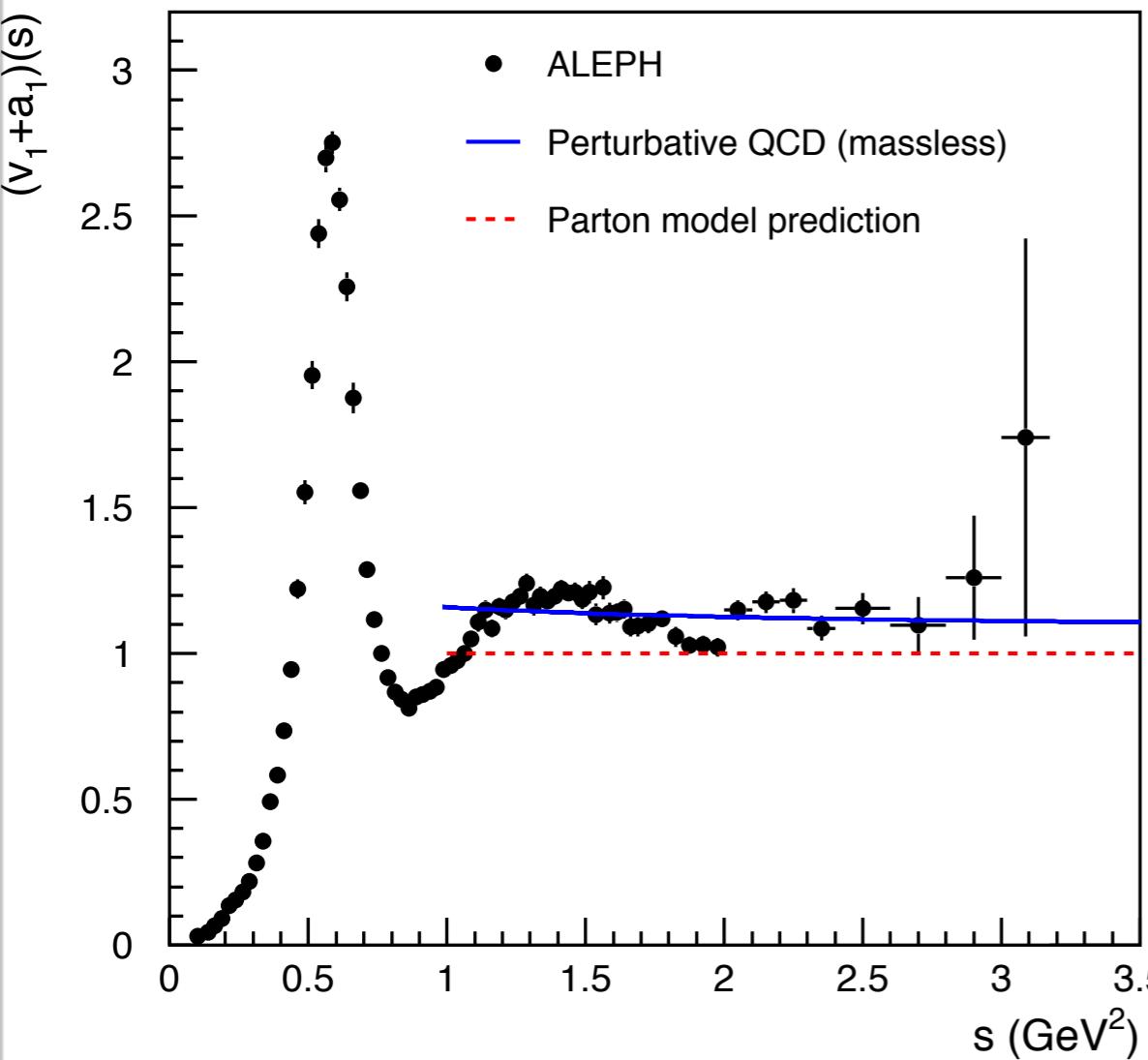
DB, Golterman, Maltman, Peris, Rodrigues and Schaaf, arXiv:2012.10440

# $\tau \rightarrow (\text{hadrons}) + \nu_\tau$

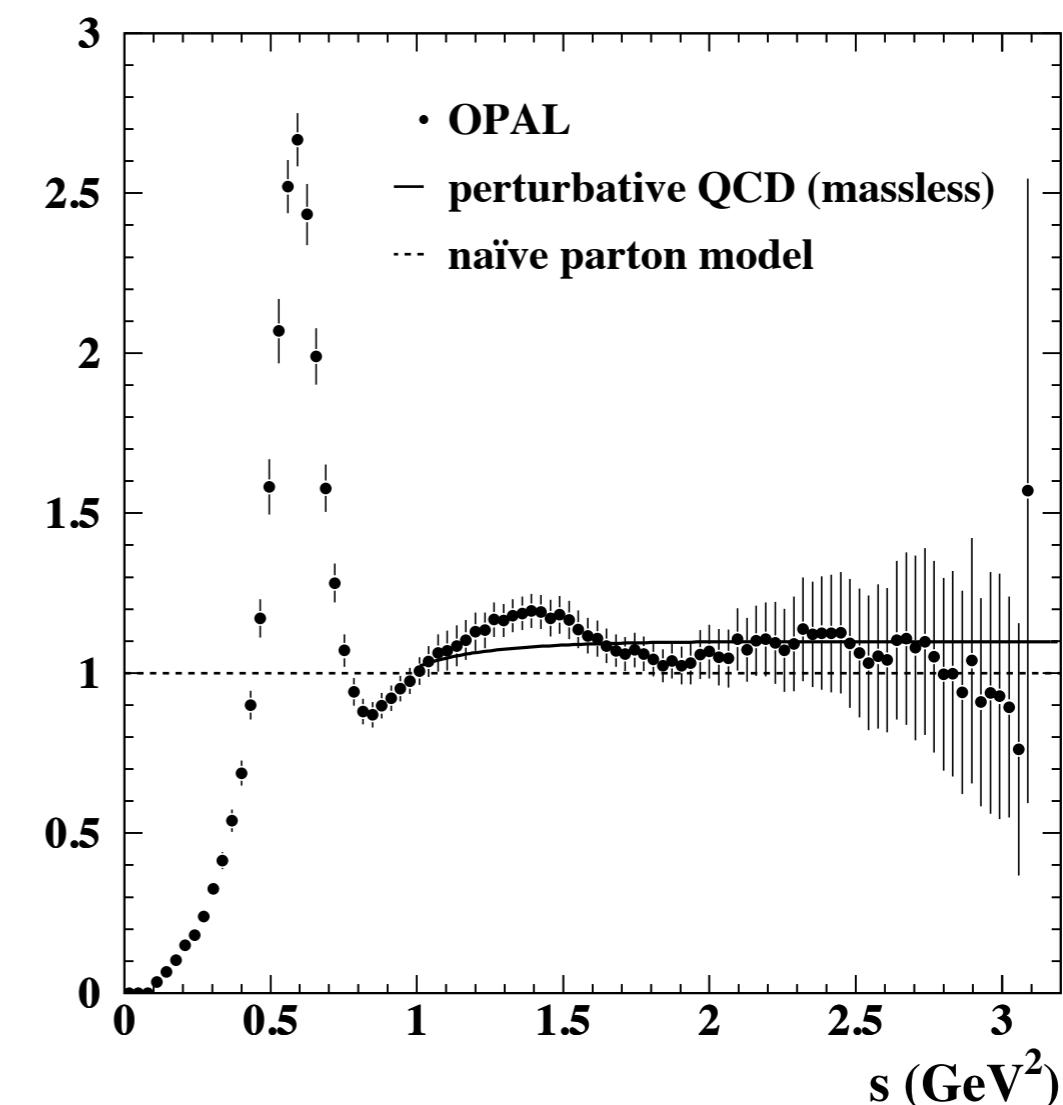


- V+A inclusive data from LEP
- V & A can be separated unambiguously for most channels (not all)
- Not all channels are measured (MC inputs)

Davier et al '14



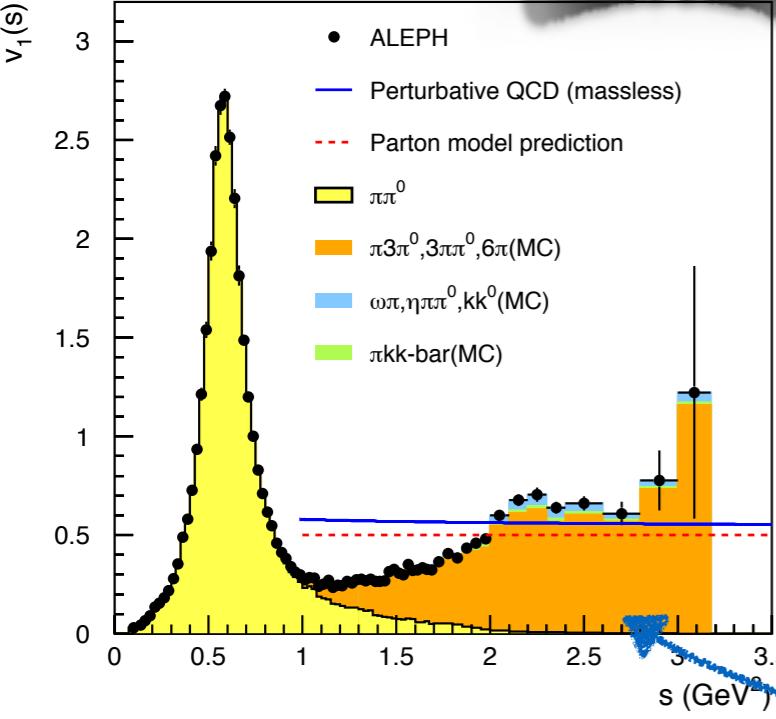
OPAL '98



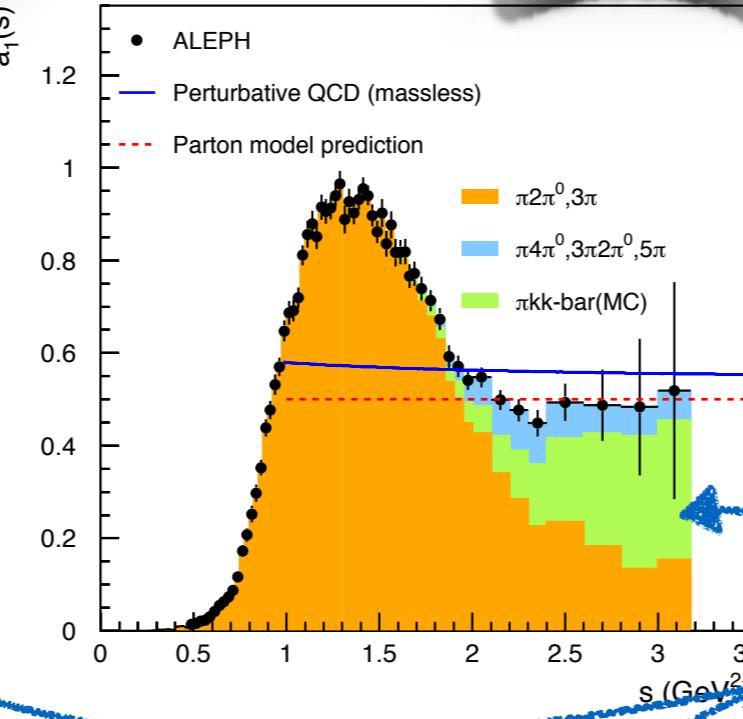
$\tau \rightarrow (\text{hadrons}) + \nu_\tau$ 

Davier et al '14

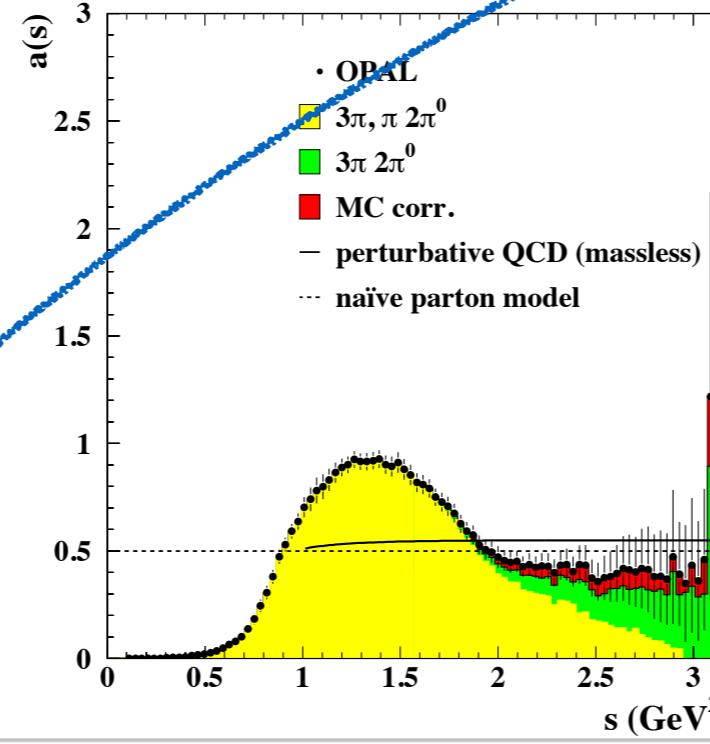
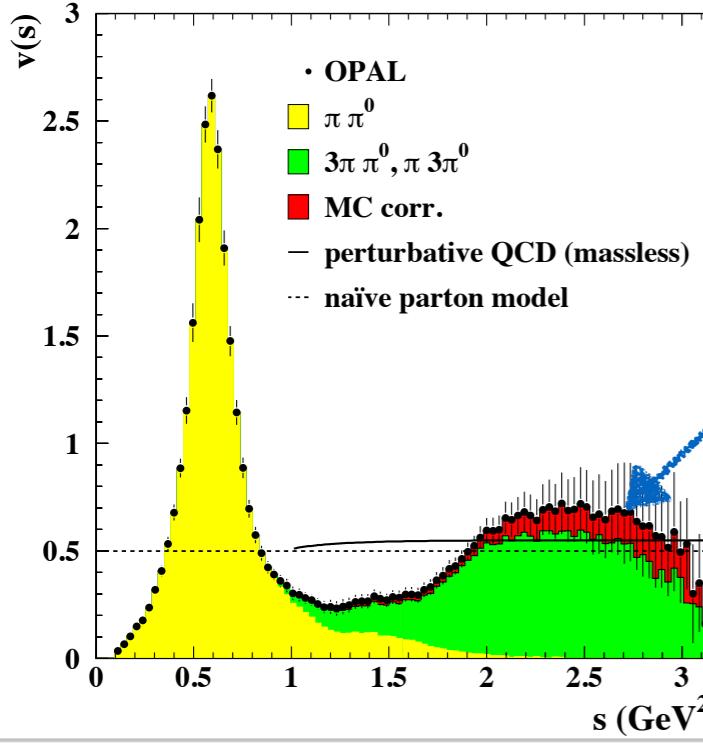
$$\frac{1}{\pi} \text{Im}\Pi_V(s)$$



$$\frac{1}{\pi} \text{Im}\Pi_A(s)$$



OPAL '98



- V channel dominated by  $\tau \rightarrow 2\pi + \nu_\tau$  and  $\tau \rightarrow 4\pi + \nu_\tau$
- “Residual” channels subdominant (but important!)
- MC inputs for several channels

**Results were always based on OPAL or ALEPH (never combined)**

$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

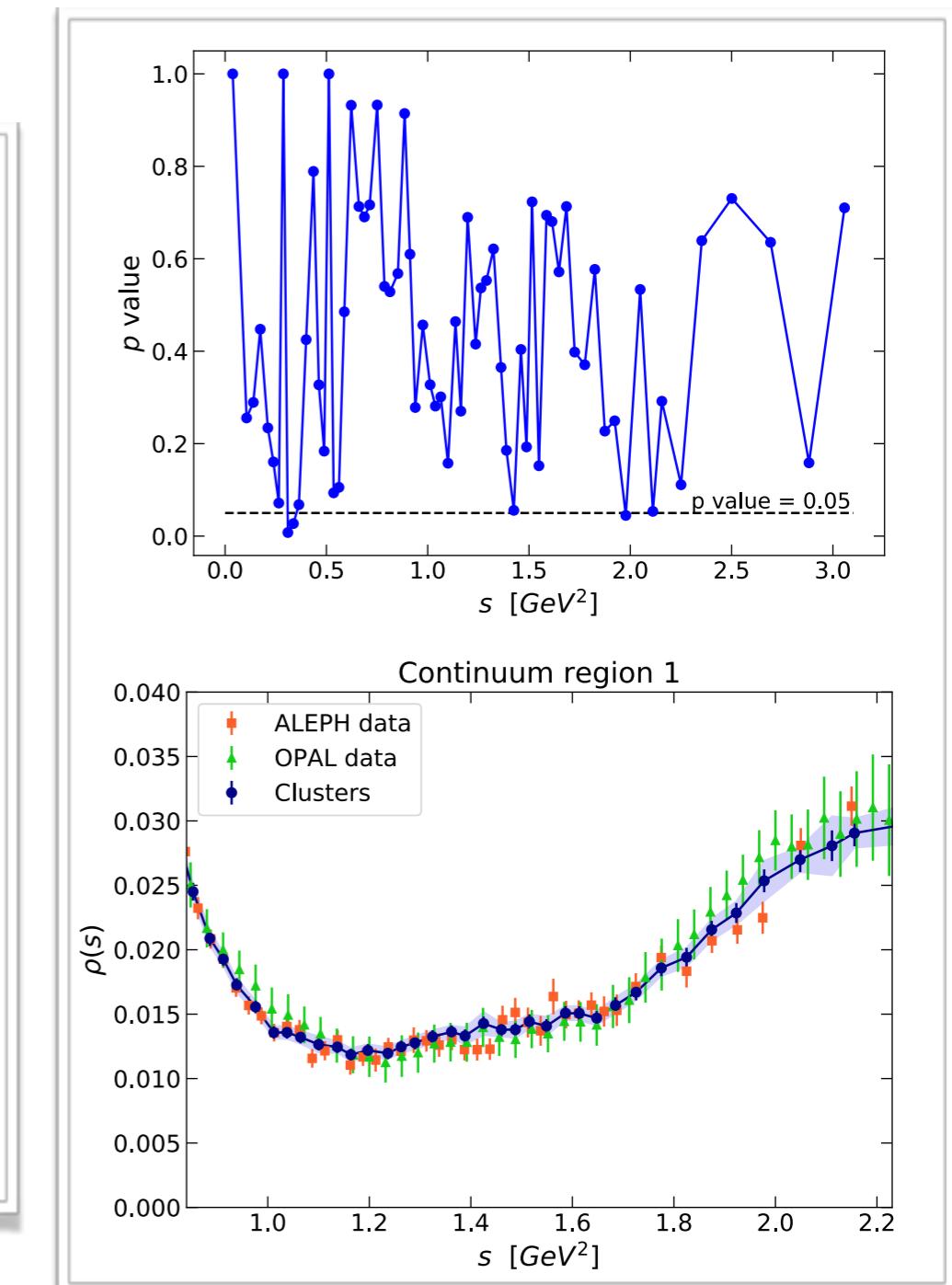
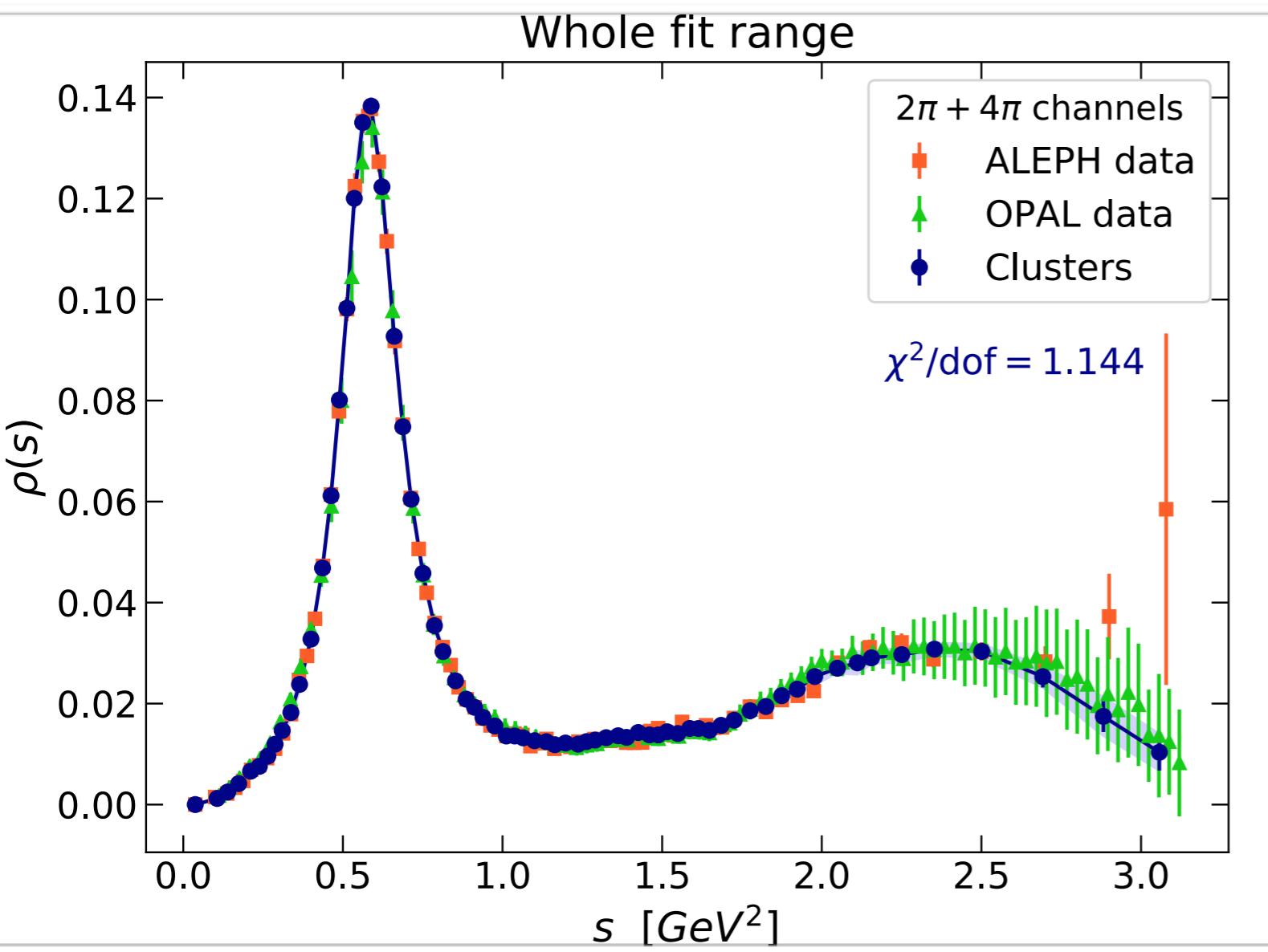
## New **vector** isovector spectral function

- Combined data for 2pi and 4pi channels from ALEPH & OPAL  
*Data combination done with same algorithm used in R-data combination for the muon g-2.* Keshavarzi, Nomura, Teubner '18
- Exp. data only: all residual channels extracted from recent cross-section measurement in  $e^+e^-$  *No MC inputs*
- All results updated for recent branching ratio measurements

$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

Combination of  $2\pi + 4\pi$  channels  
Good  $\chi^2$  both locally and globally, no  $\chi^2$  inflation needed

DB, Golterman, Maltman, Peris, Rodrigues and Schaaf, arXiv:2012.10440

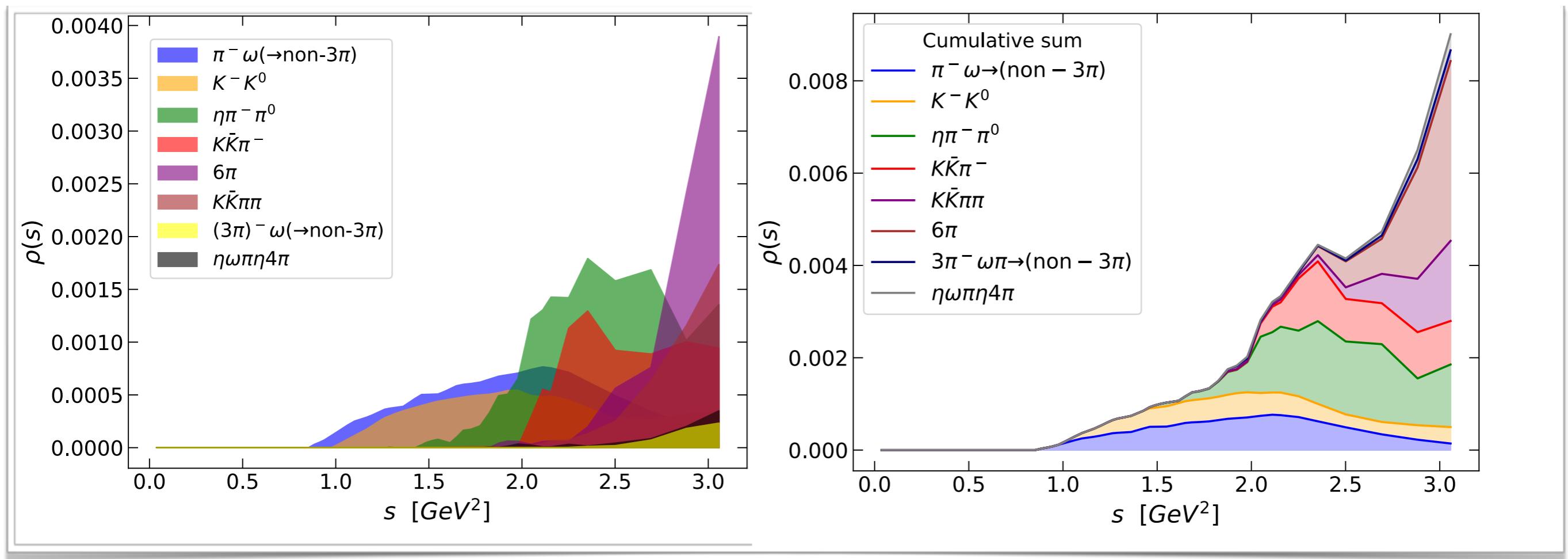


$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

8 residual channels extracted from electroproduction data

Dramatic improvement for higher multiplicity modes (near end point)

No MC input.



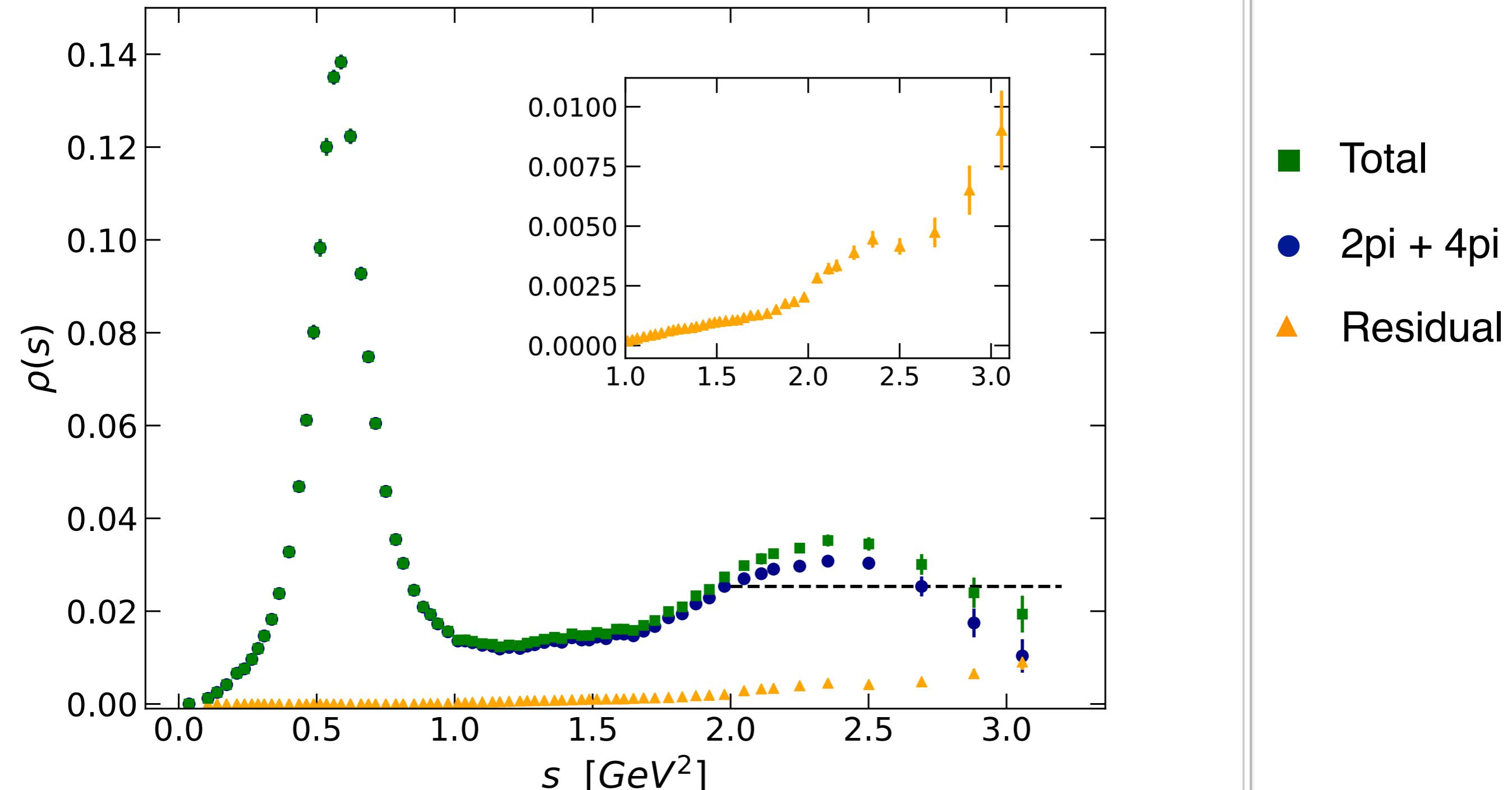
Original data sets from: BABAR, SND and CMD-3

DB, Golterman, Maltman, Peris, Rodrigues and Schaaf, arXiv:2012.10440

$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

Final *new* vector-isovector spectral function

Combined 2pi + 4pi from ALEPH and OPAL + 8 residual channels from  $e^+e^-$



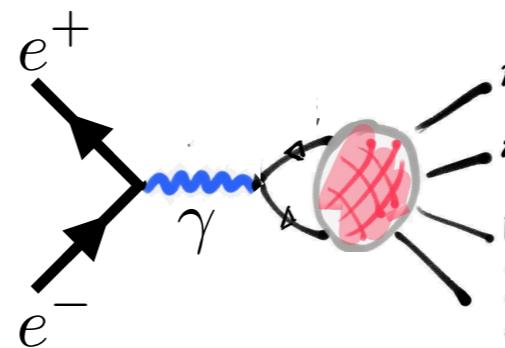
# Data

$$e^+ e^- \rightarrow (\text{hadrons})$$

Keshavarzi, Nomura, Teubner '18

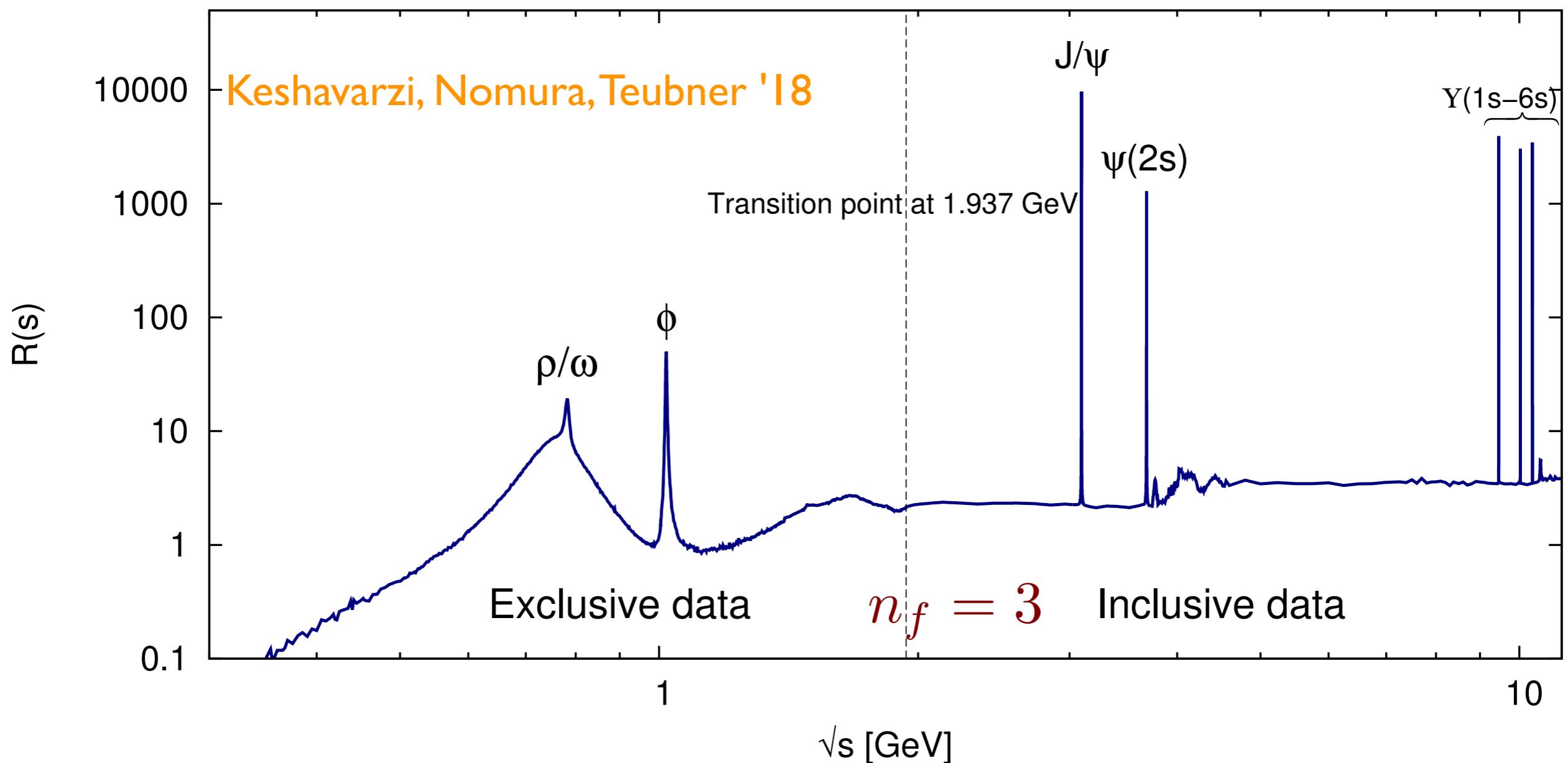
# $e^+e^- \rightarrow \text{hadrons}$

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$



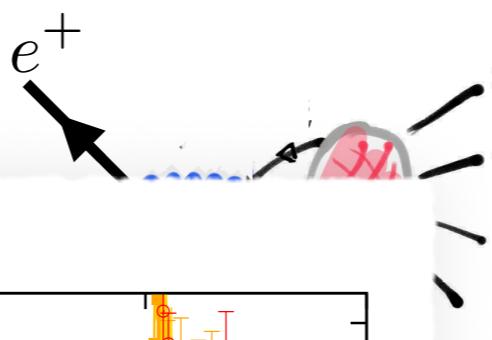
New compilation of  $R$ -ratio data

(see also Davier et al '17, Jegerlehner '16)

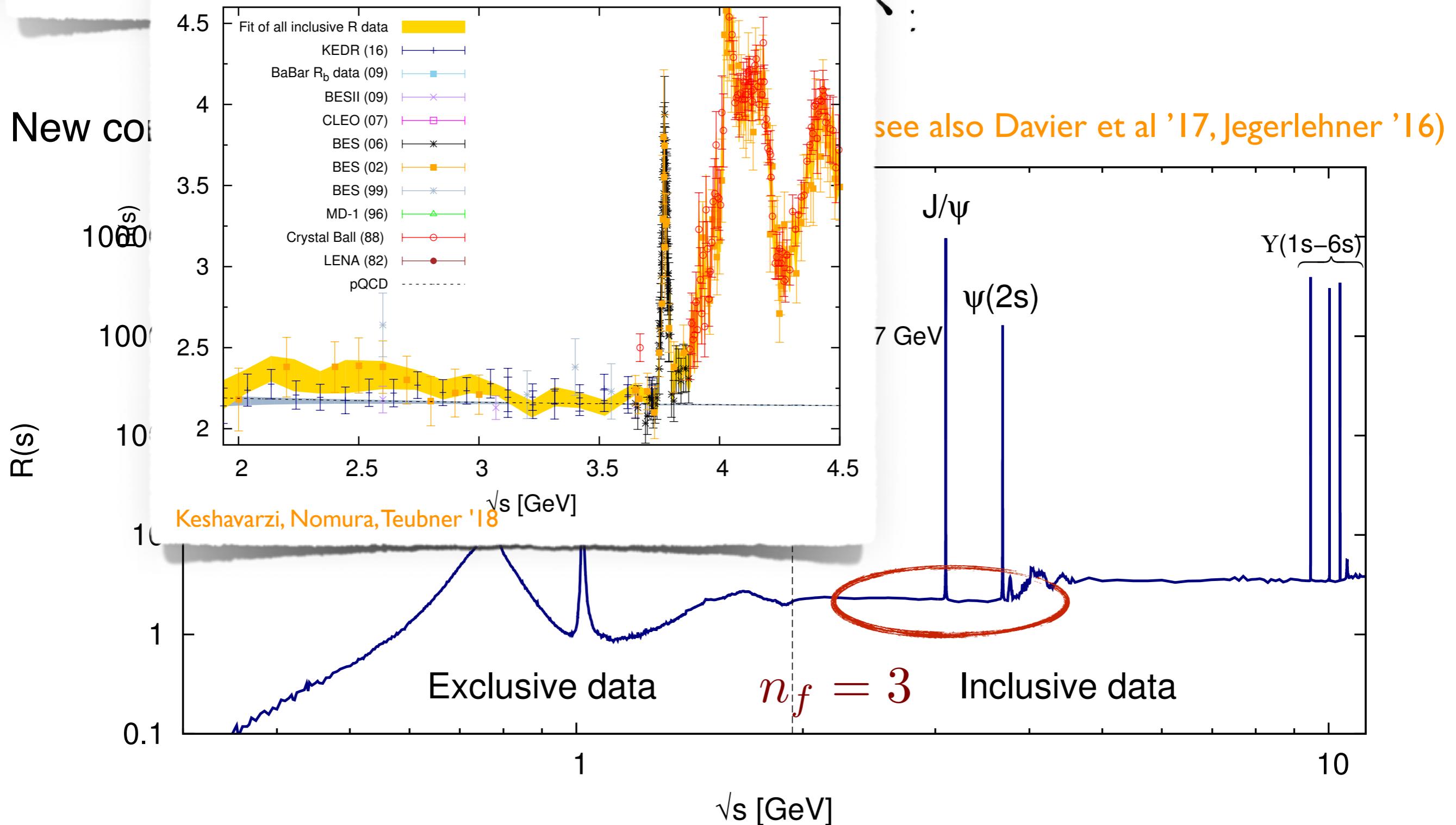


# $e^+e^- \rightarrow \text{hadrons}$

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow e^+e^-)}$$



New coll.



# Integrated moments

$$\frac{1}{12\pi^2 s_0} \int_0^{s_0} ds w(s/s_0) R(s) = -\frac{1}{2\pi i s_0} \oint_{|z|=s_0} dz w(s/s_0) \Pi(z)$$

$$w(y) \rightarrow 1$$

integrated moments  
have reduced errors

$$1 - y^2$$

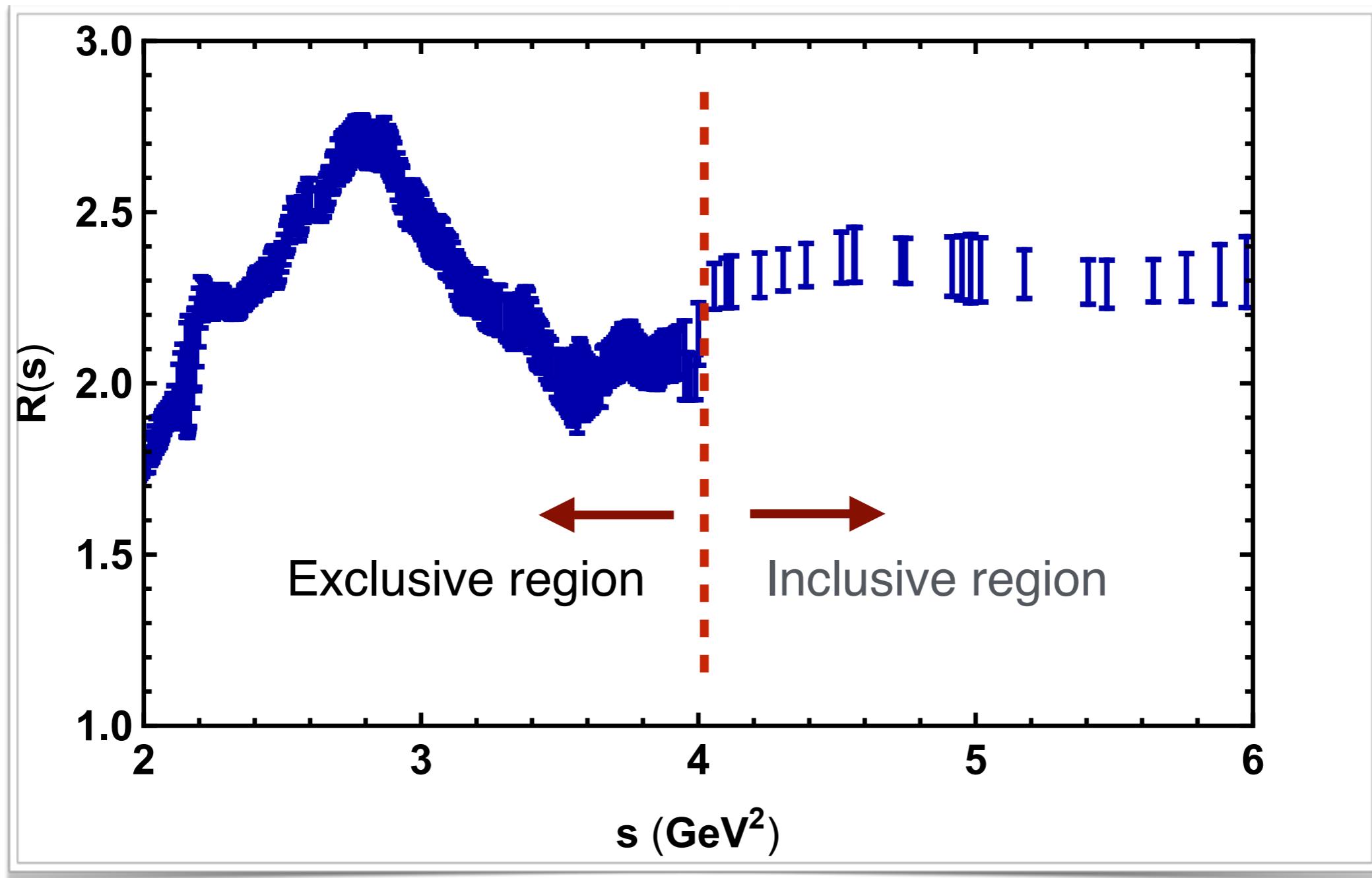
$$(1 - y)^2(1 + 2y)$$

$$(1 - y^2)^2$$

quantity	error at $s_0 = 4 \text{ GeV}^2$	OPE coeff: $D = 2k$
$R(s_0)$	4.3%	-
$I^{(w=1)}(s_0)$	1.04%	$D = 2$
$I^{(w=1-y^2)}(s_0)$	0.73%	$D = 2, 6$
$I^{(w=(1-y)^2(1+2y))}(s_0)$	0.56%	$D = 2, 6, 8$
$I^{(w=(1-y^2)^2)}(s_0)$	0.59%	$D = 2, 6, 10$

# Strategy

Much more data in the exclusive region



The precision of  $\alpha_s$  is determined by the data in the exclusive region

# Results

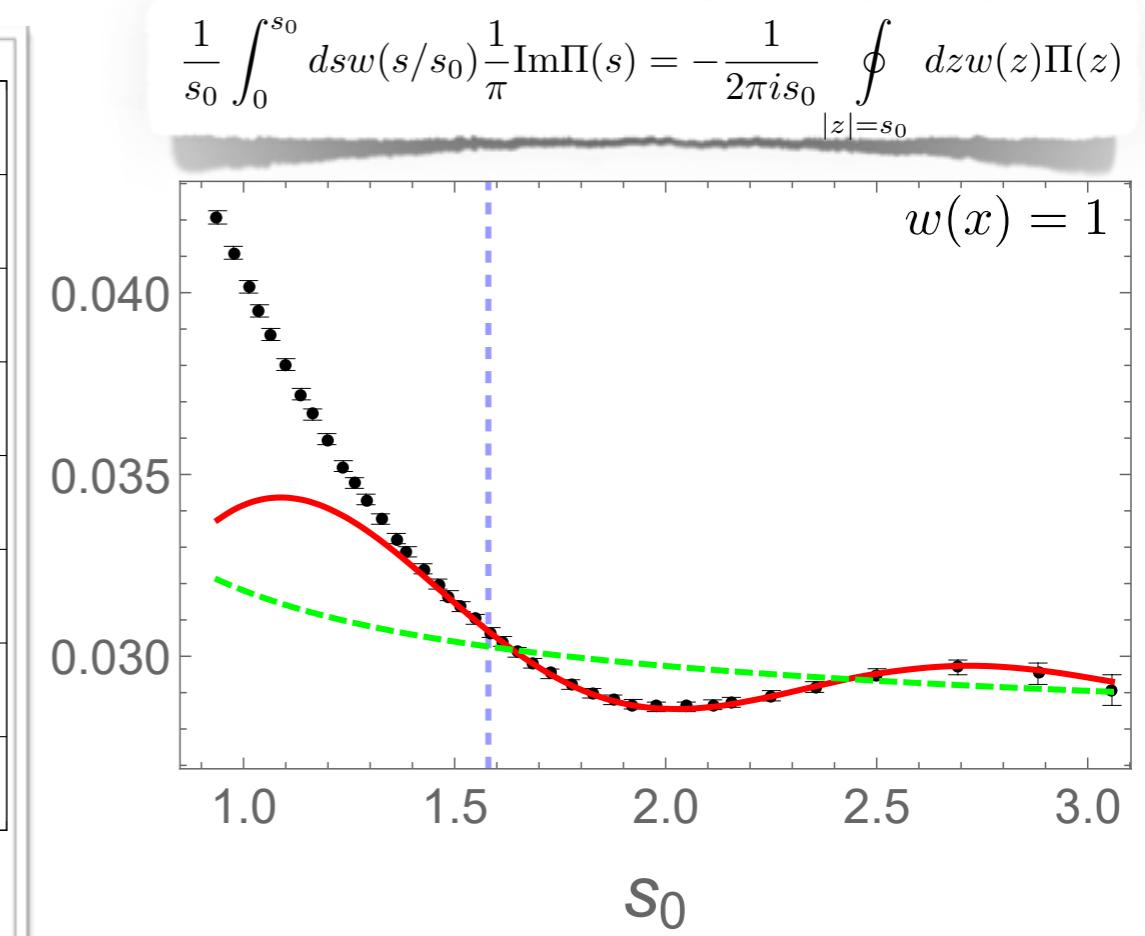
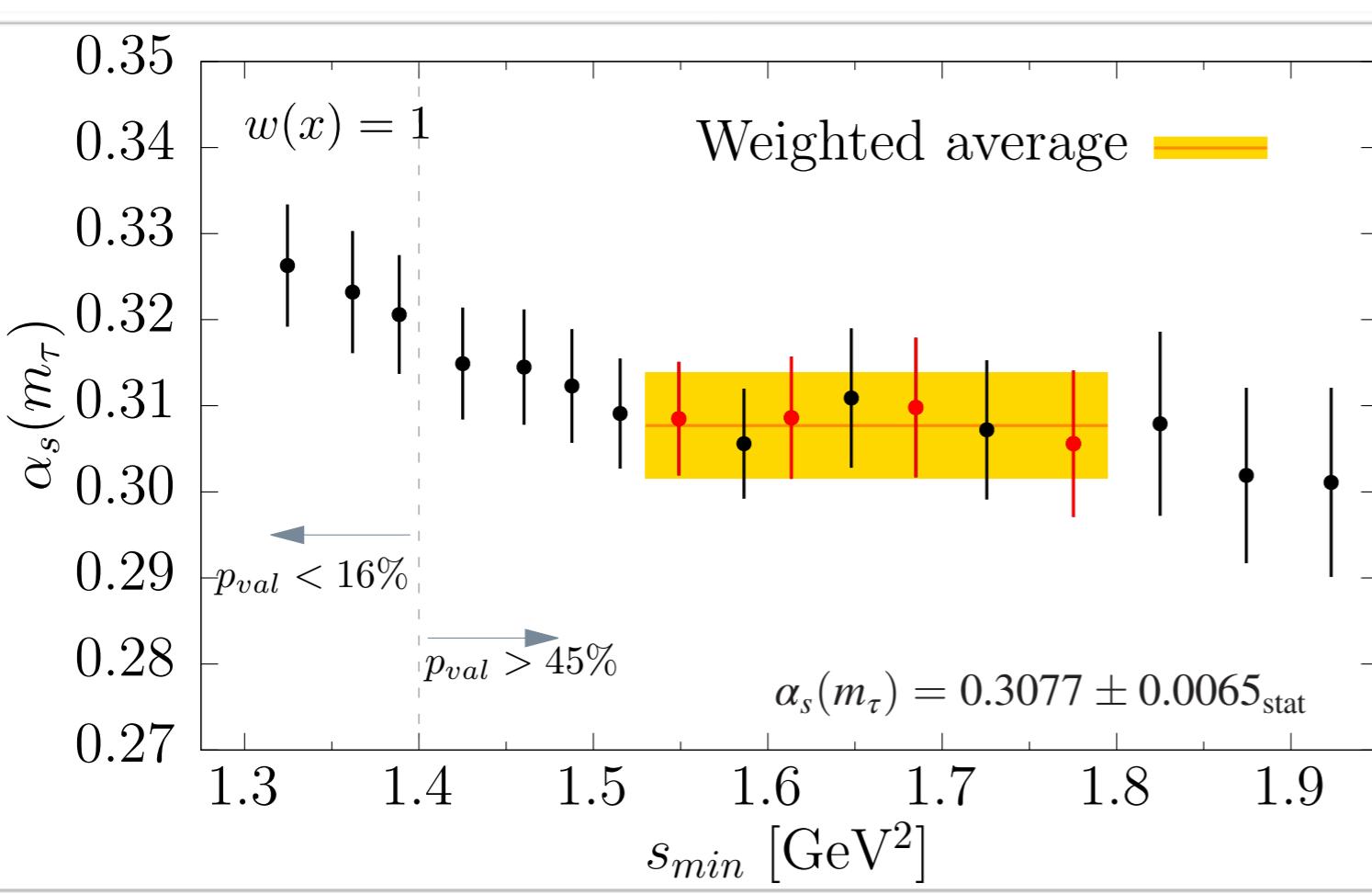
$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

Several fits, single moments or in combination

Many fit windows:  $[s_{\min} : m_\tau^2]$

Consistency between different fits (alpha\_s, condensates DV parameters.)

$$\begin{aligned} w_0(y) &= 1, \\ w_2(y) &= 1 - y^2, \\ w_3(y) &= (1 - y)^2(1 + 2y), \\ w_4(y) &= (1 - y^2)^2, \end{aligned}$$



$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

Consistency between different fits (alpha\_s, condensates DV parameters.)

$$\begin{aligned} w_0(y) &= 1, \\ w_2(y) &= 1 - y^2, \\ w_3(y) &= (1 - y)^2(1 + 2y), \\ w_4(y) &= (1 - y^2)^2, \end{aligned}$$

$w_0$	$w_0 \& w_2$	$w_0 \& w_3$	$w_0 \& w_4$
$\alpha_s(m_\tau) = 0.3077(65)$	$0.3091(69)$	$0.3080(70)$	$0.3079(70)$
$c_6 =$	$-0.0059(13)$	$-0.0070(12)$	$-0.0068(12) \text{ [GeV}^6]$

## Final value

pt. series truncation, scale variation



$$\begin{aligned} \alpha_s(m_\tau) &= 0.3077 \pm 0.0065_{\text{stat}} \pm 0.0038_{\text{pert}} \\ &= 0.3077 \pm 0.0075 \quad (n_f = 3, \text{FOPT}) \end{aligned}$$

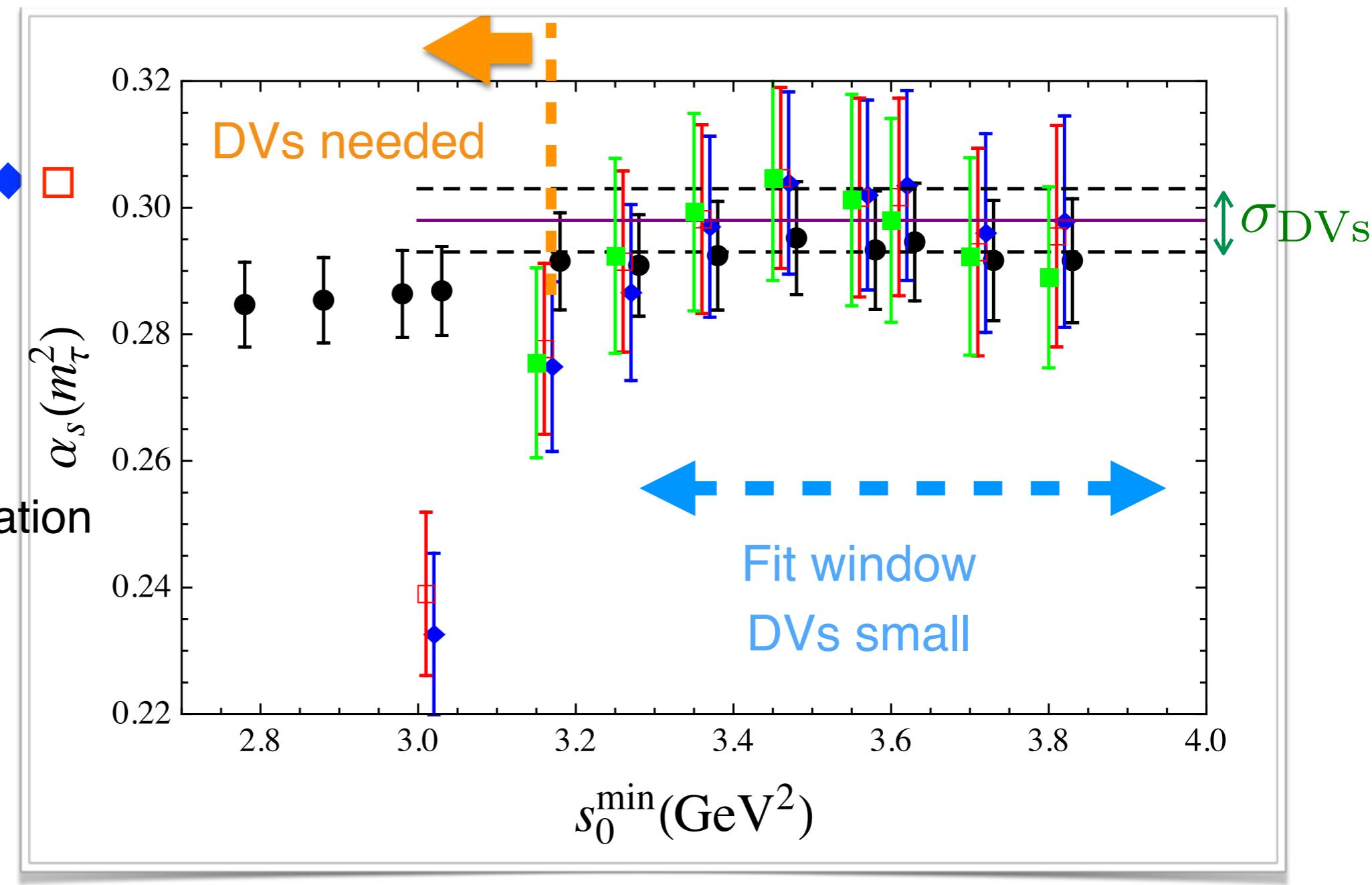
# DVs in the analysis from $e^+e^- \rightarrow (\text{hadrons})$

- Consistency check: adding DVs is small effect above  $\sim 3.2 \text{ GeV}^2$

Three moments,  
FOPT, no DVs

$w = 1$  with DVs

(our DVs use information  
from tau decays)



# Final results (with three flavours)

# Final results

Results evolved to  $m_Z$

$$\alpha_s(m_Z)(\overline{\text{MS}}, N_f = 5)$$

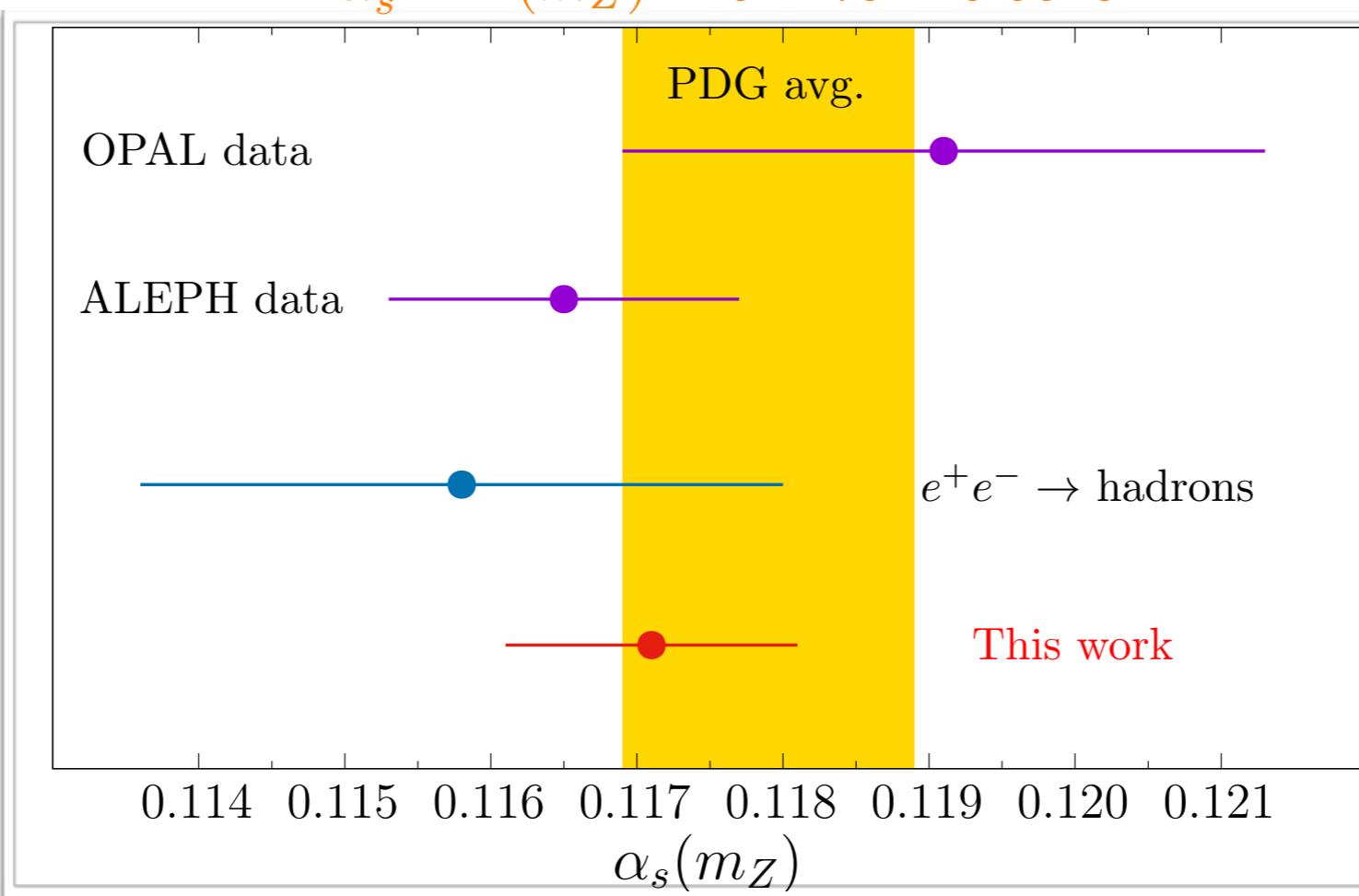
$\tau \rightarrow (\text{hadrons}) + \nu_\tau$

$$\alpha_s(m_Z) = 0.1171 \pm 0.0010$$

$e^+e^- \rightarrow (\text{hadrons})$

$$\alpha_s(m_Z) = 0.1158 \pm 0.0022$$

$$\alpha_s^{(\text{PDG})}(m_Z) = 0.1179 \pm 0.0010$$



# Final results

Results evolved to  $m_Z$

$$\alpha_s(m_Z)(\overline{\text{MS}}, N_f = 5)$$

$\tau \rightarrow (\text{hadrons}) + \nu_\tau$

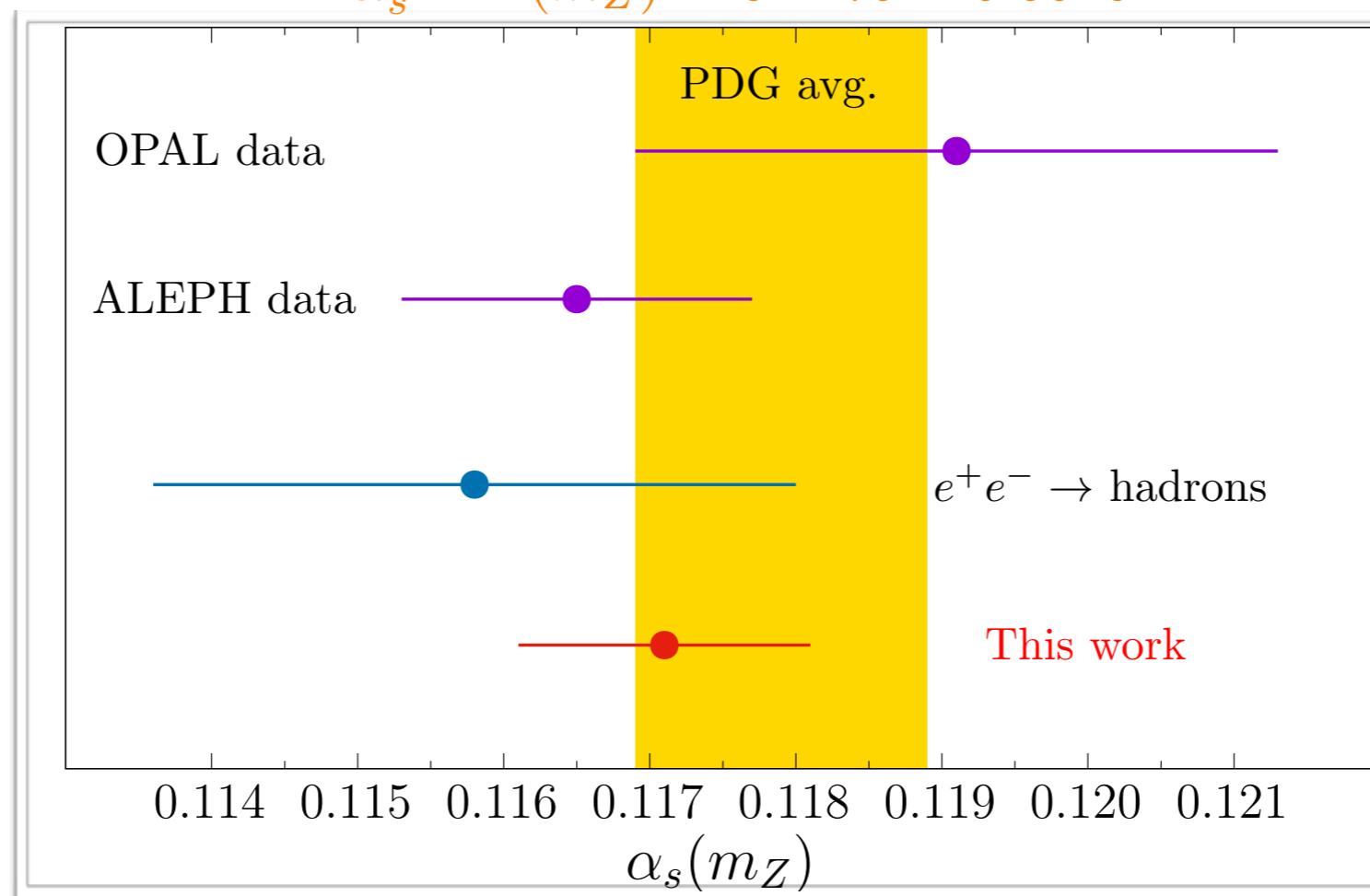
$$\alpha_s(m_Z) = 0.1171 \pm 0.0010$$

$$\alpha_s^{(\text{CIPT})} = 0.1191$$

$e^+e^- \rightarrow (\text{hadrons})$

$$\alpha_s(m_Z) = 0.1158 \pm 0.0022$$

$$\alpha_s^{(\text{PDG})}(m_Z) = 0.1179 \pm 0.0010$$



# Conclusions

$\alpha_s$  can be extracted reliably from  $R$  data with 3, 4, and 5 active flavours.

Smallest uncertainties from hadronic tau decays ( $\sim 0.0010$ ).

Previous results from CIPT were inconsistent (important reduction in theory error).

$$\tau \rightarrow (\text{hadrons}) + \nu_\tau$$

$$\alpha_s(m_Z) = 0.1171 \pm 0.0010$$

Ratio of  $V$  charm moments

$$\alpha_s(m_Z) = 0.1168 \pm 0.0019$$

Bottom vector moment ratios and purely  $R(s)$  extractions are theoretically clean, but the data is not precise enough (yet).

# Conclusions

