

Low-energy hints of physics beyond the Standard Model

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- ▶ Introduction
- ▶ On the recent B-physics anomalies
- ▶ Speculations on the breaking of **L**epton **F**lavor **U**niversality
- ▶ Conclusions

► Introduction (Where do we stand in the search for NP?)

The 1st run of the LHC has tested the validity of the SM in an un-explored range of energies, finding no significant deviations. The key results of the 1st LHC run can be summarized as follows:

- The Higgs boson (= last missing ingredient of the SM) has been found
- The Higgs boson is “light” ($m_h \sim 125$ GeV \rightarrow not the heaviest SM particle)
- There is a “mass-gap” above the SM spectrum (i.e. no unambiguous sign of NP up to ~ 1 TeV)

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- There is a “mass-gap” above the SM spectrum

This is perfectly consistent with the (pre-LHC) indications coming from indirect NP searches (EWPO + flavor → light Higgs + mass gap above SM spectrum).

But all the problems of the SM (hierarchy problem, flavor pattern, dark-matter, U(1) charges,...) are still unsolved → the motivation for NP are still there (*somehow even stronger than before*)

The key questions are (*as in the “pre LHC era”*):

- How large is the “mass gap”?
- Can we expect a non-minimal flavor pattern?

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- the absences of direct NP signals
- the SM is potentially stable up to very high energies with $m_h=125$ GeV

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However, looking more closely to data:

- Direct bounds on NP exceed $\sim 1-2$ TeV only for new states colored and/or strongly coupled to 1st & 2nd generation of quarks
- Similarly, the tight indirect bounds from flavor physics always involve transitions with 1st & 2nd generation of quarks & leptons

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The 2 questions may well be connected !!

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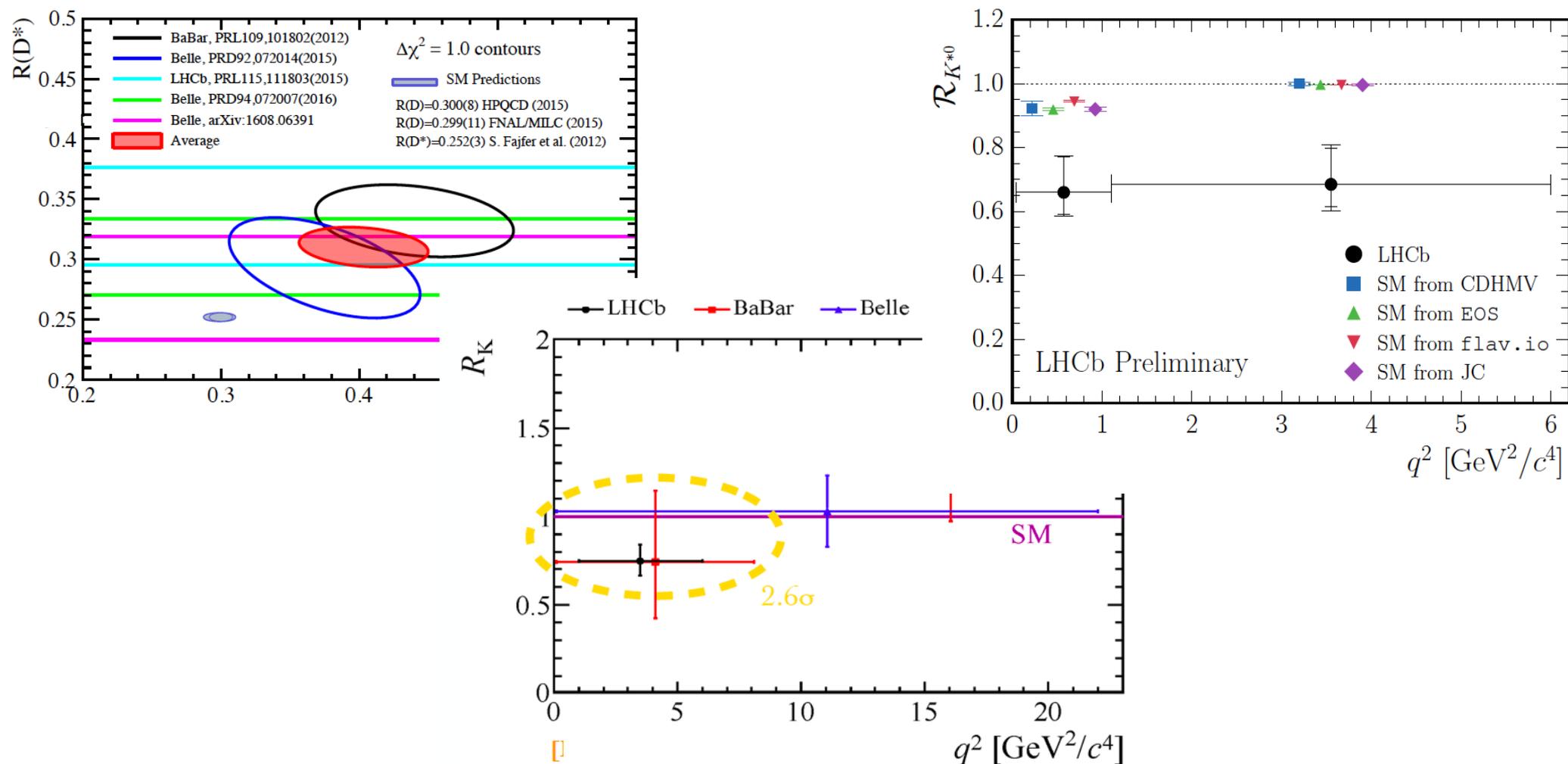
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NP models with (relatively) light NP and where 3rd generation of quarks & leptons have a special role are (still) very well-motivated
 The interplay of flavor-physics and high-pT physics extremely important

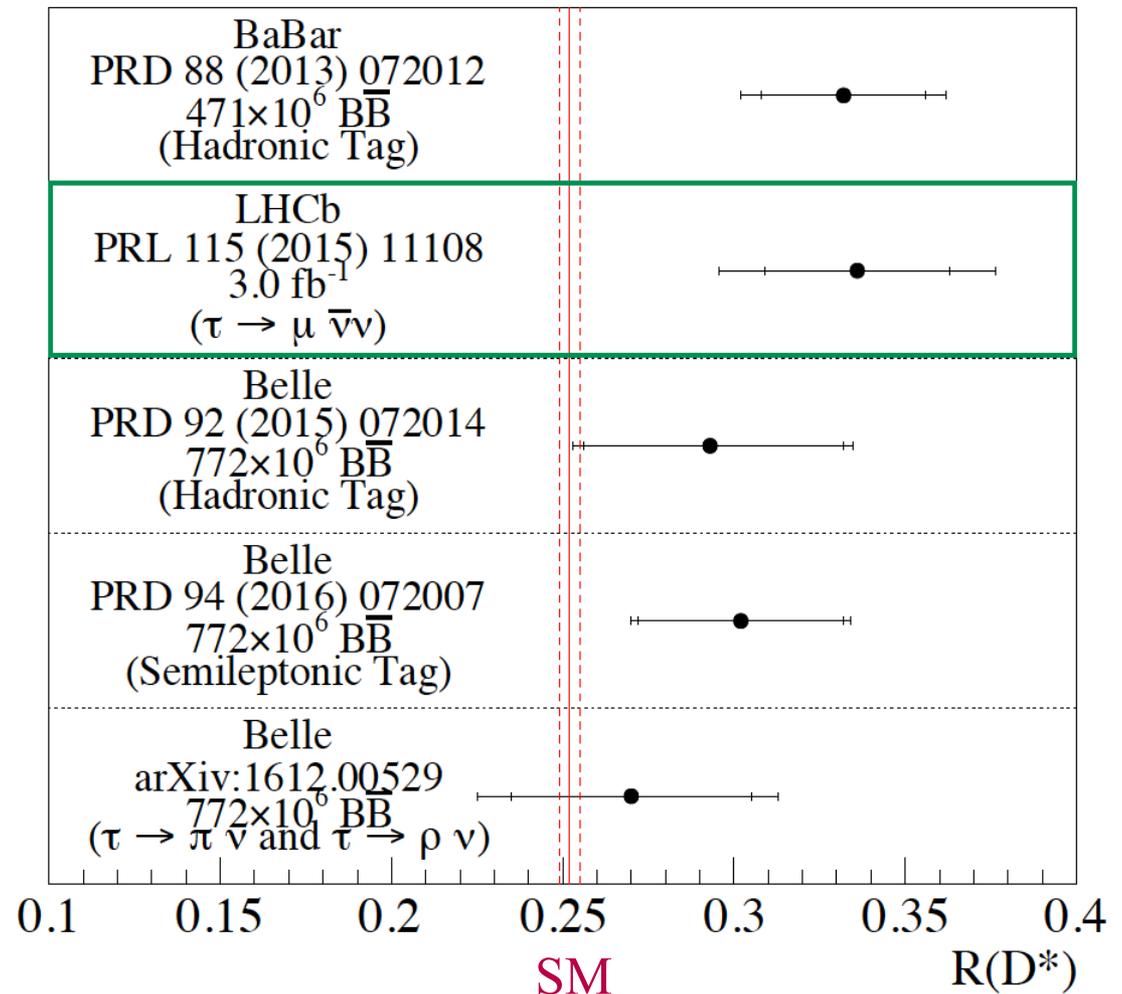
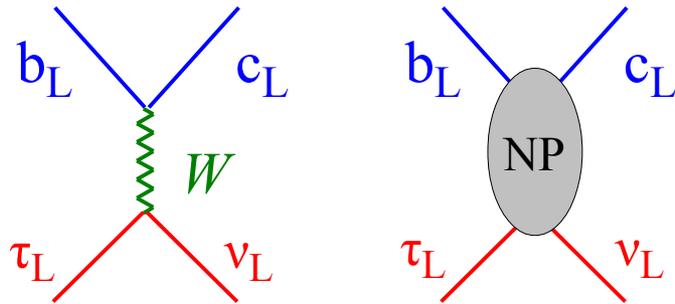
On the recent B-physics anomalies



I. $B \rightarrow D^{(*)} \tau \nu$ [LHCb, Belle]

Test of **LFU** in charged currents
[τ vs. light leptons (μ, e)]:

$$R(X) = \frac{\Gamma(B \rightarrow X \tau \bar{\nu})}{\Gamma(B \rightarrow X \ell \bar{\nu})}$$

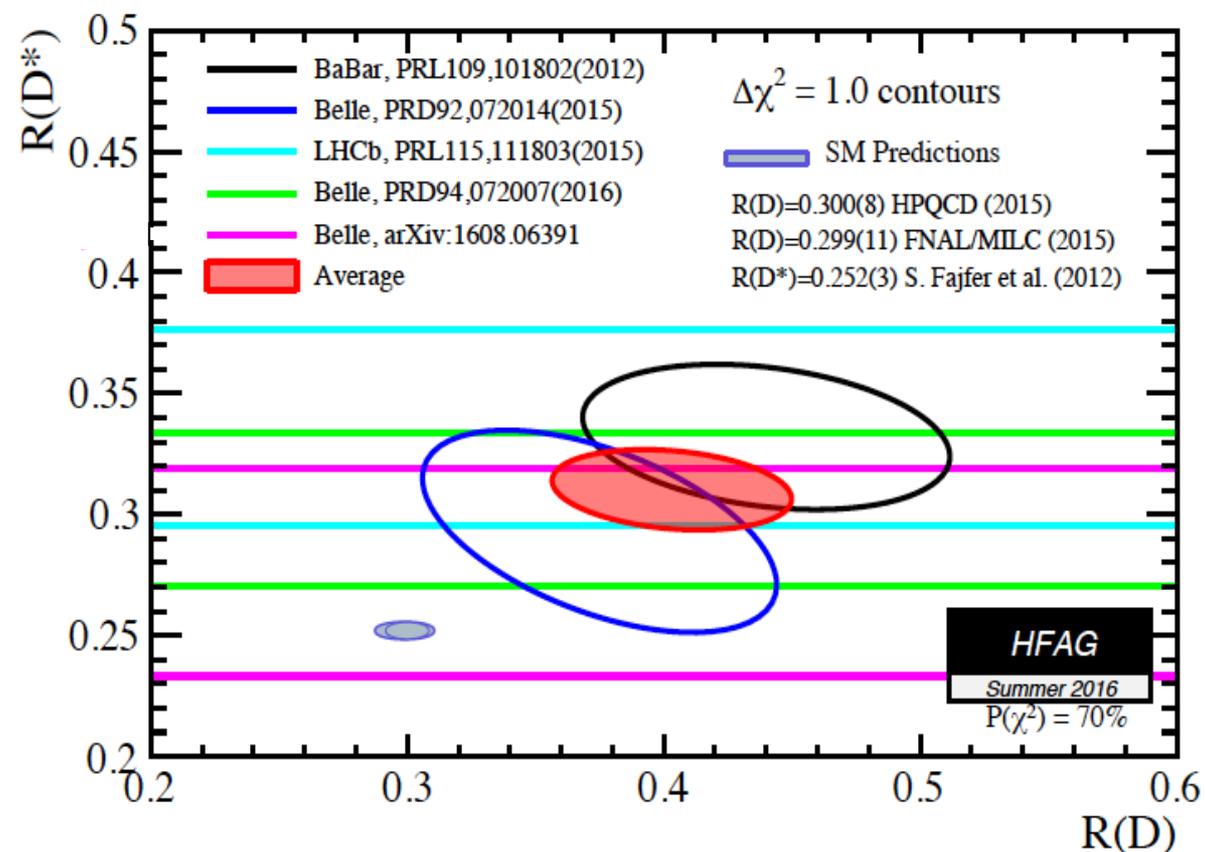
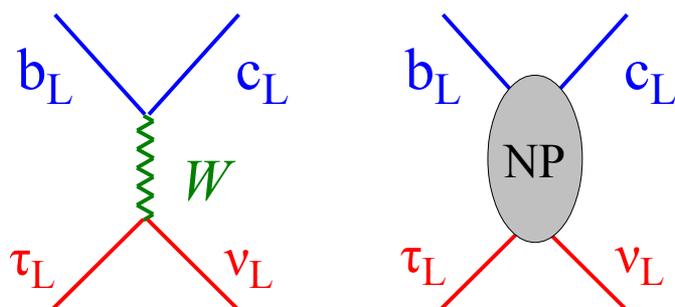


- **SM** prediction quite **solid**: f.f. uncertainty cancel (*to a good extent...*) in the ratio
- Consistent exp. results by 3 (very) different experiments

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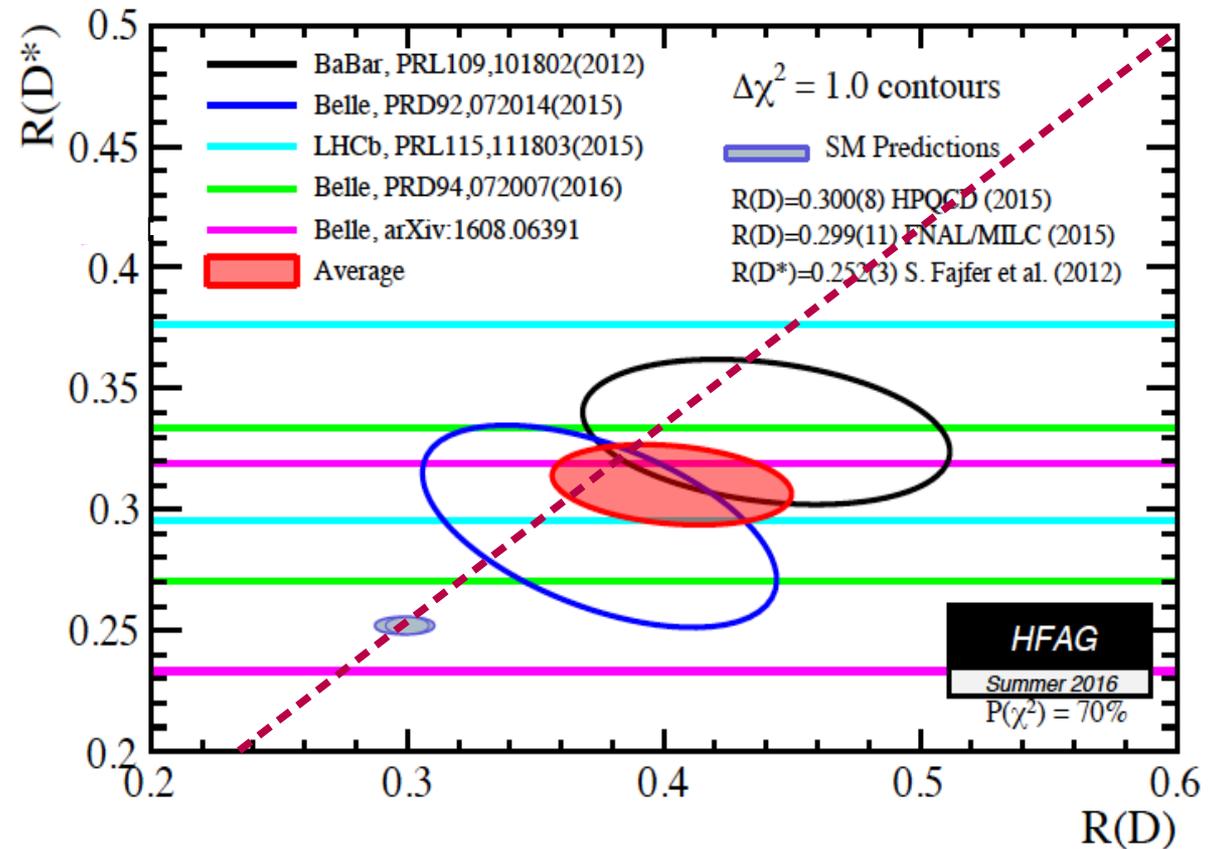
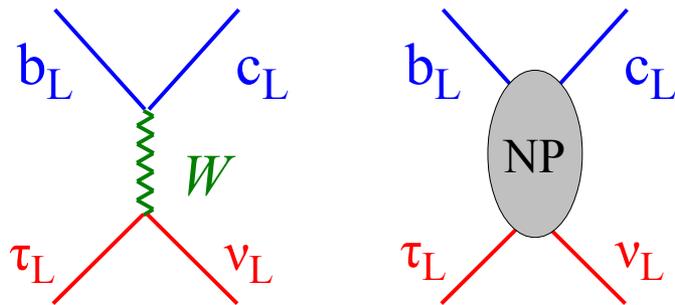


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- SM prediction quite **solid**: f.f. uncertainty cancel (*to a good extent...*) in the ratio
- Consistent exp. results by 3 (very) different experiments
 - 4σ excess over SM (if D and D* combined)
 - The two channels are well consistent with a **universal enhancement** ($\sim 30\%$) of the SM $b_L \rightarrow c_L \tau_L \nu_L$ amplitude (*RH or scalar amplitudes disfavored*)

II. Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

The largest anomaly is the one [*obs. in 2013 and confirmed with higher stat. in 2015*] in the P_5' [$B \rightarrow K^* \mu\mu$] angular distribution.

Less significant correlated anomalies present also in other $B \rightarrow K^* \mu\mu$ observables and also in other $b \rightarrow s \mu\mu$ channels [*overall smallness of all $BR(B \rightarrow \text{Hadron} + \mu\mu)$*]

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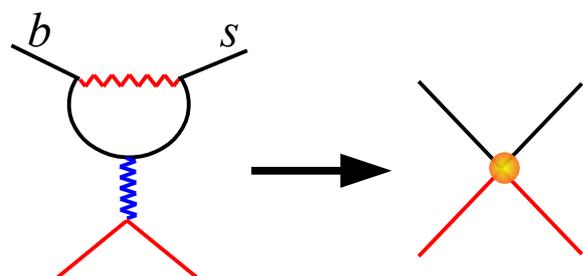
$B \rightarrow K^{(*)} ll$ are FCNC amplitudes (“natural” probes of physics beyond the SM):

- No SM tree-level contribution
- Strong suppression within the SM because of CKM hierarchy

Key point to be addressed: th. control of QCD effects

Three-step procedure to deal with the various scales of the problem:

A. Construction of a local eff. Hamiltonian at the electroweak scale



$$H_{\text{eff}} = \sum_i C_i(M_W) Q_i$$

- Heavy NP encoded in the $C_i(M_W)$
- No difference among all $b \rightarrow s ll$ decays

B. Evolution of H_{eff} down to low scales using RGE

FCNC operators (E.W. penguins)

$$H_{\text{eff}} = \sum_i C_i(M_W) Q_i$$

Four-quark (tree-level) ops.:

$$Q_9 = Q_f (bs)_{V-A} (ll)_V$$

$$Q_{10} = Q_f (bs)_{V-A} (ll)_A$$

⋮

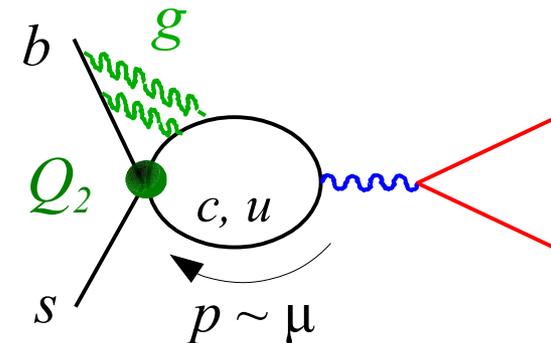
$$Q_1 = (bs)_{V-A} (cc)_{V-A}$$

$$Q_2 = (bc)_{V-A} (cs)_{V-A}$$

⋮

$$H_{\text{eff}} = \sum_i C_i(\mu \sim m_b) Q_i$$

Mixing of the **four-quark** Q_i into the **FCNC** Q_i
 [“**dilution**” of the **potentially interesting NP**]:



Negligible for Q_{10} [$B_{s,d} \rightarrow ll$ & $B \rightarrow K^{(*)}ll$]

Large for “**photon penguins**” Q_9 [$B \rightarrow K^{(*)}ll$ only]

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C. Evaluation of the hadronic matrix elements

$$A(B \rightarrow f) = \sum_i C_i(\mu) \langle f | Q_i | B \rangle (\mu)$$

- sensitivity to long-distances (cc threshold...)
- distinction between different modes



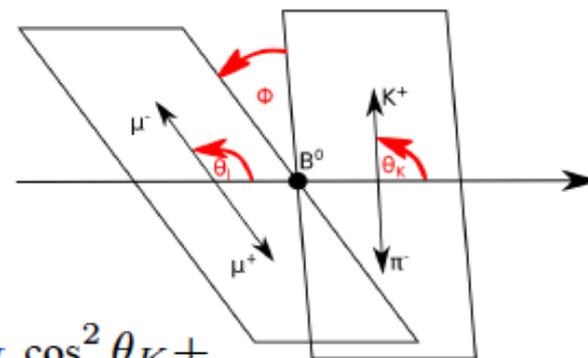
non-perturbative effects...

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Angular analysis of $B^0 \rightarrow K^{*0} \mu^+ \mu^-$



$$\frac{d^4(\Gamma + \bar{\Gamma})}{d \cos \theta_\ell d \cos \theta_K d\phi dq^2} = \frac{9}{32\pi} \left[\frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \right.$$

$$\begin{aligned} & \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell - F_L \cos^2 \theta_K \cos 2\theta_\ell + \\ & S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + \\ & S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi + S_6 \sin^2 \theta_K \cos \theta_\ell + \\ & S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi + \\ & \left. S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right] \end{aligned}$$

$$P'_{4,5} = \frac{S_{4,5}}{\sqrt{F_L(1-F_L)}}$$

observables designed to cancel f.f. dependence in the HQ limit

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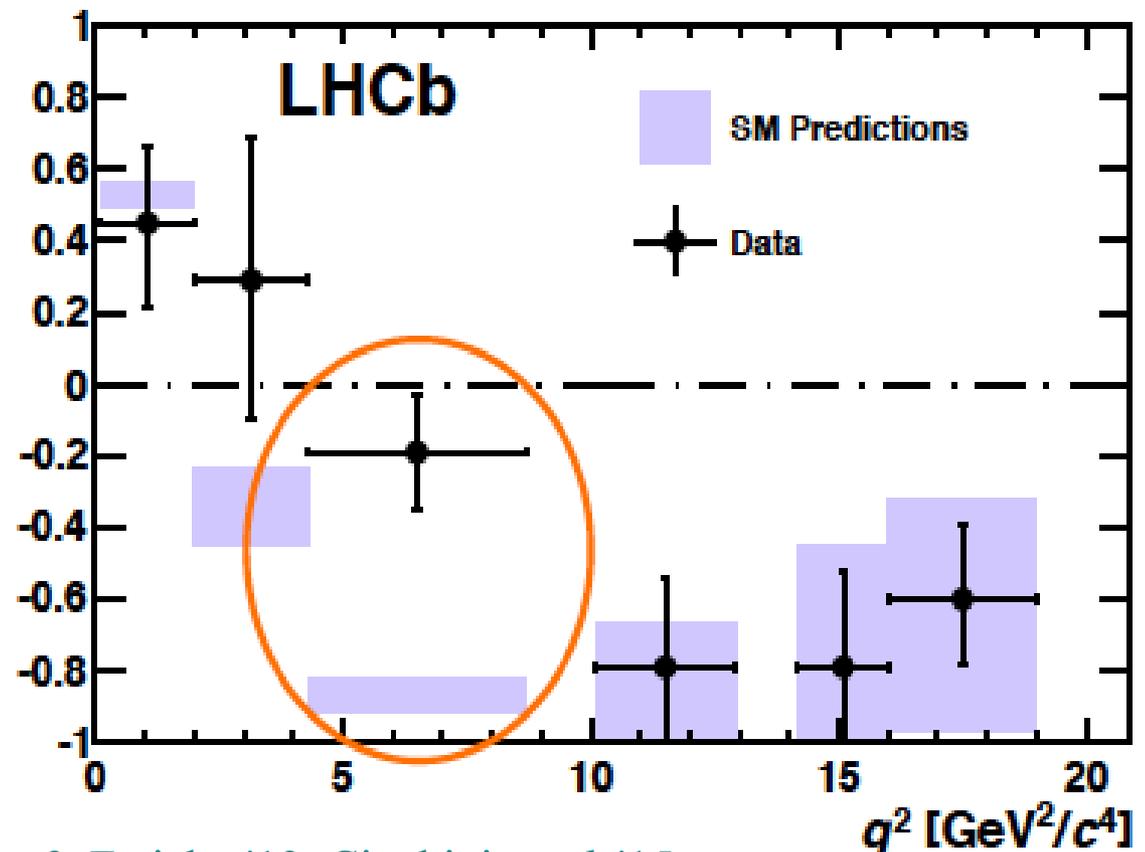
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Pro NP:

- Reduced tension in all the observables with a unique fit of non-standard $C_i(M_W)$

Against NP:

- Main effect in P_5' not far from cc threshold
- “NP” mainly in C_9 (\leftrightarrow charm)
- Significance reduced with conservative estimates of non-factorizable corrections



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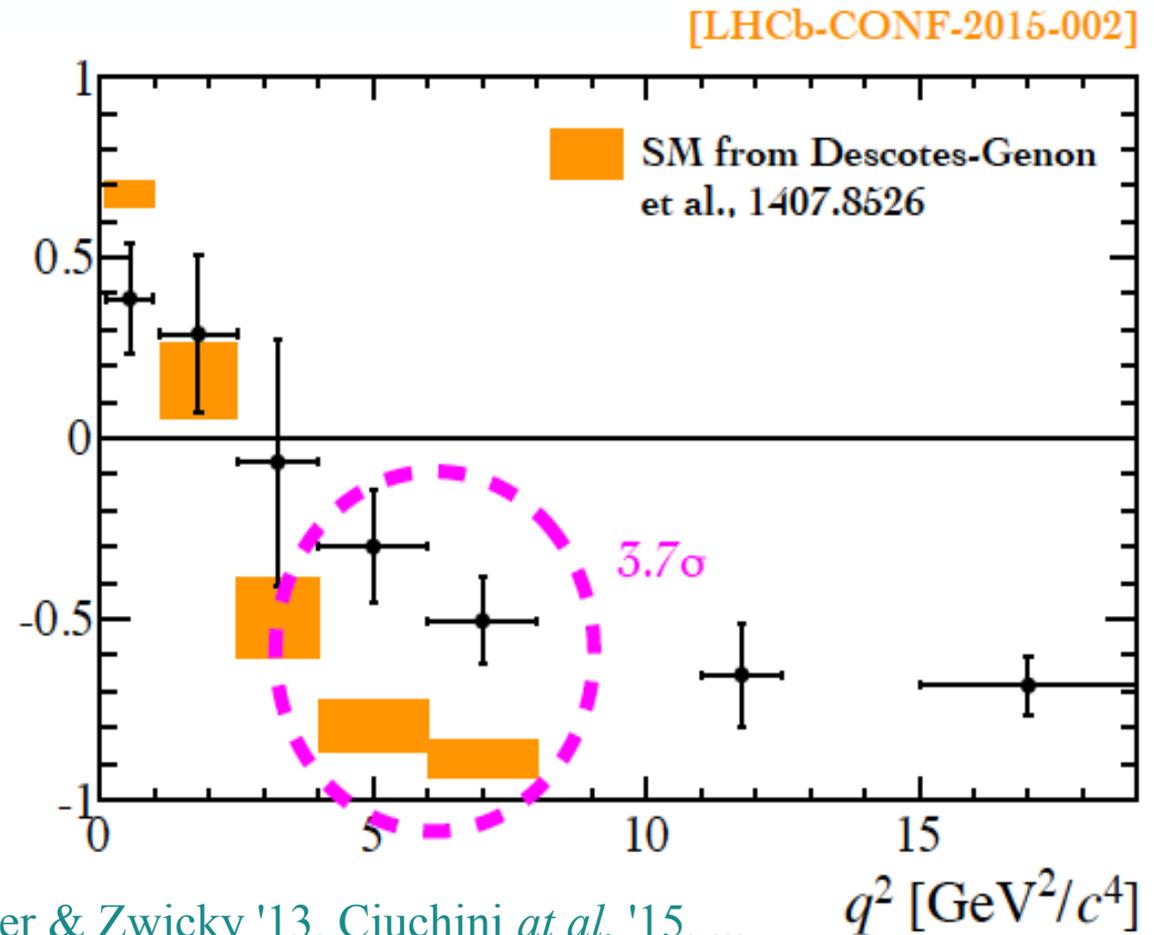
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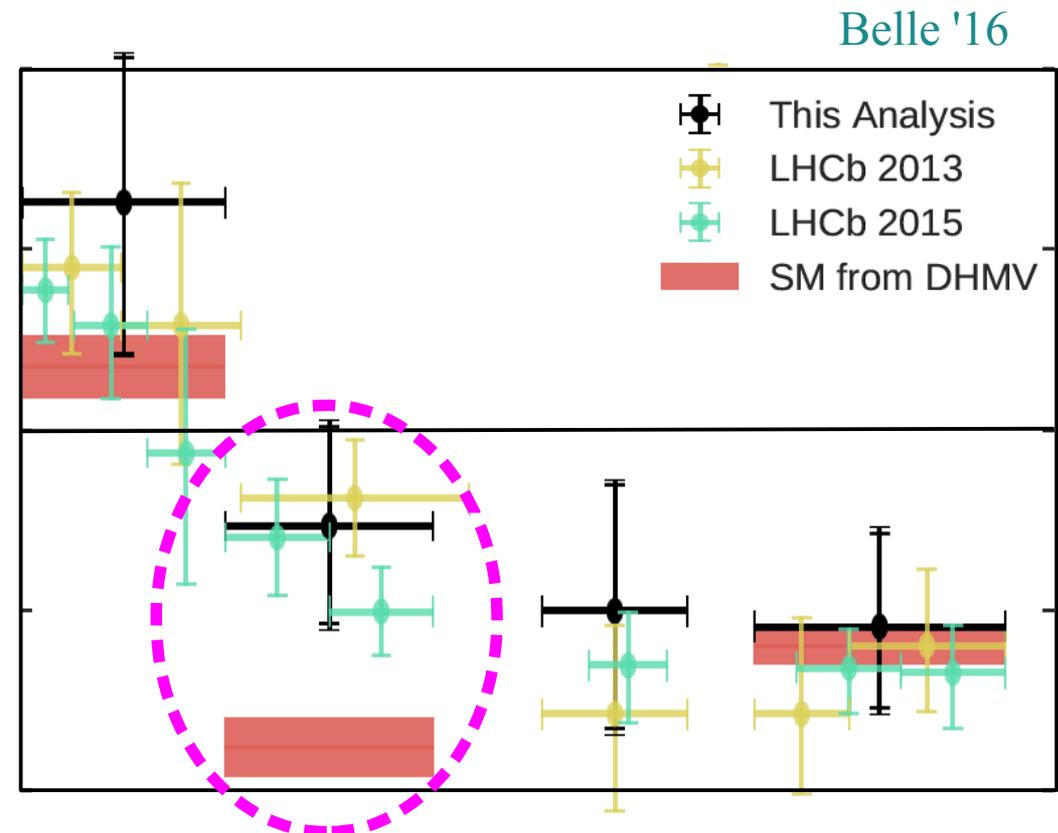
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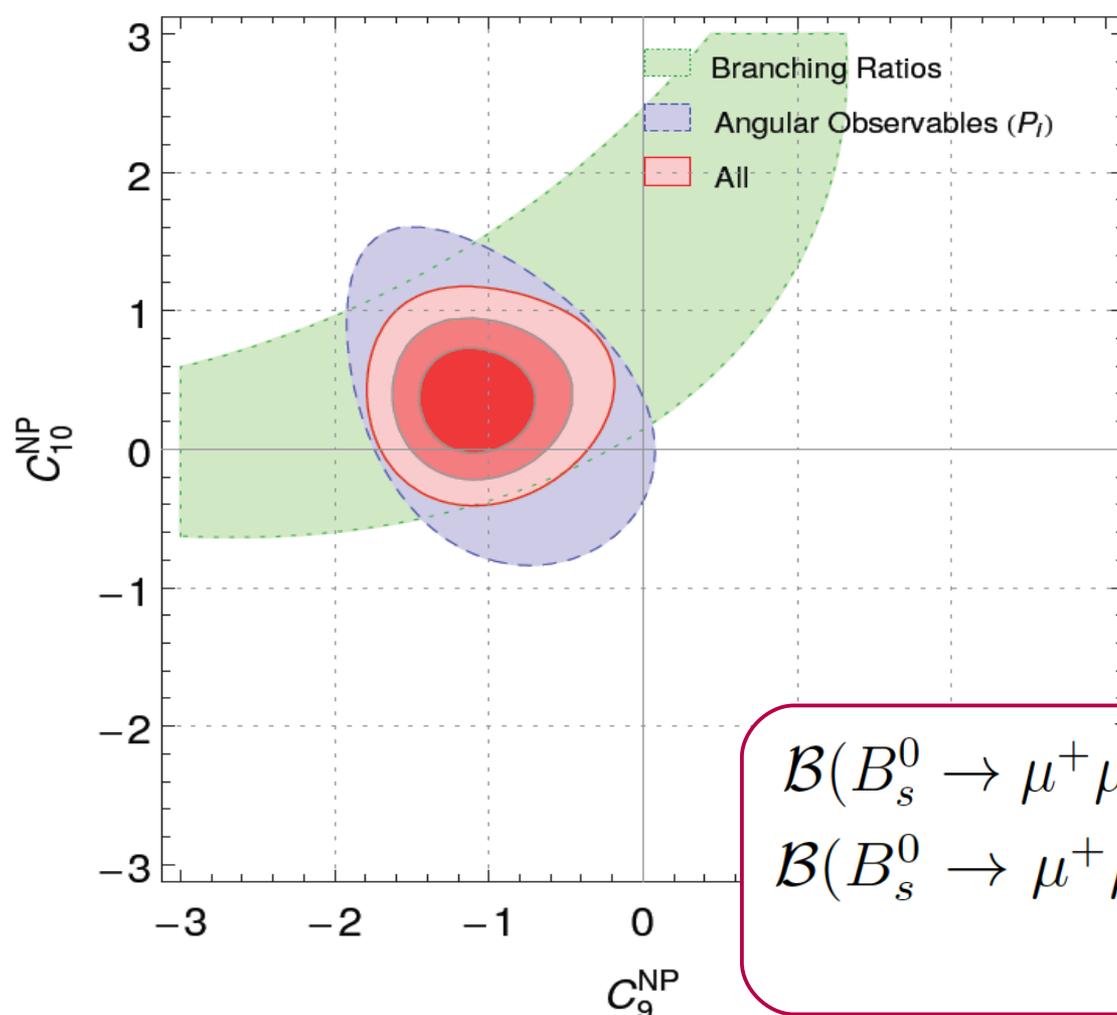
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II. Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

Pro NP:

- Reduced tension in all the observables with a unique fit of non-standard short-distance Wilson coefficients



Descotes-Genon, Matias, Virto '13, '15
 Altmannshofer & Straub '13, '15
 Beaujean, Bobeth, van Dyk '13
 Horgan *et al.* '13

$$\mathcal{O}_9 = \frac{e^2}{16\pi^2} (\bar{s}\gamma_\mu P_L b) (\bar{\ell}\gamma^\mu \ell)$$

$$\mathcal{O}_{10} = \frac{e^2}{16\pi^2} (\bar{s}\gamma_\mu P_L b) (\bar{\ell}\gamma^\mu \gamma_5 \ell)$$

Consistency with smallness of
 $\text{BR}(B_s \rightarrow \mu\mu)$ for $C_9 = -C_{10}$

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)_{\text{SM}} = (3.66 \pm 0.23) \times 10^{-9}$$

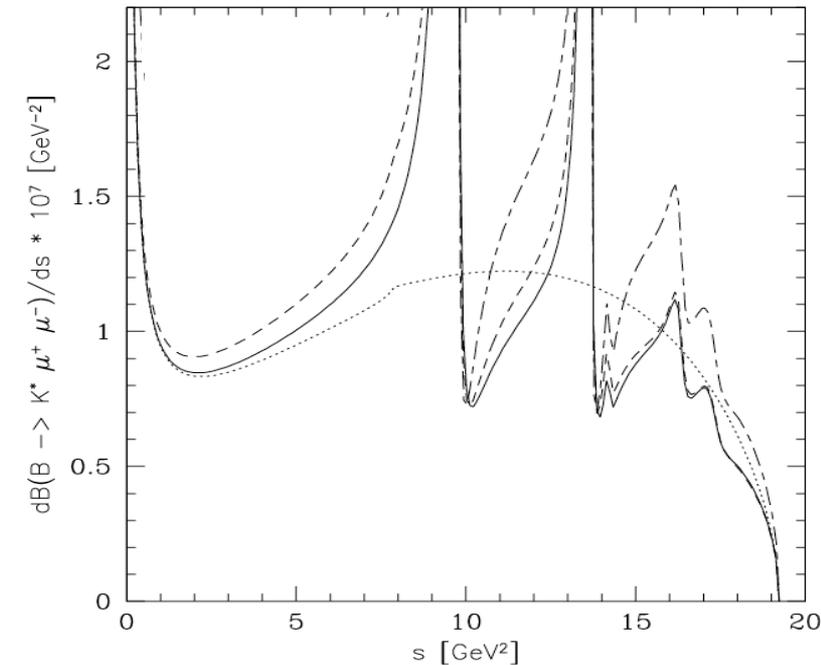
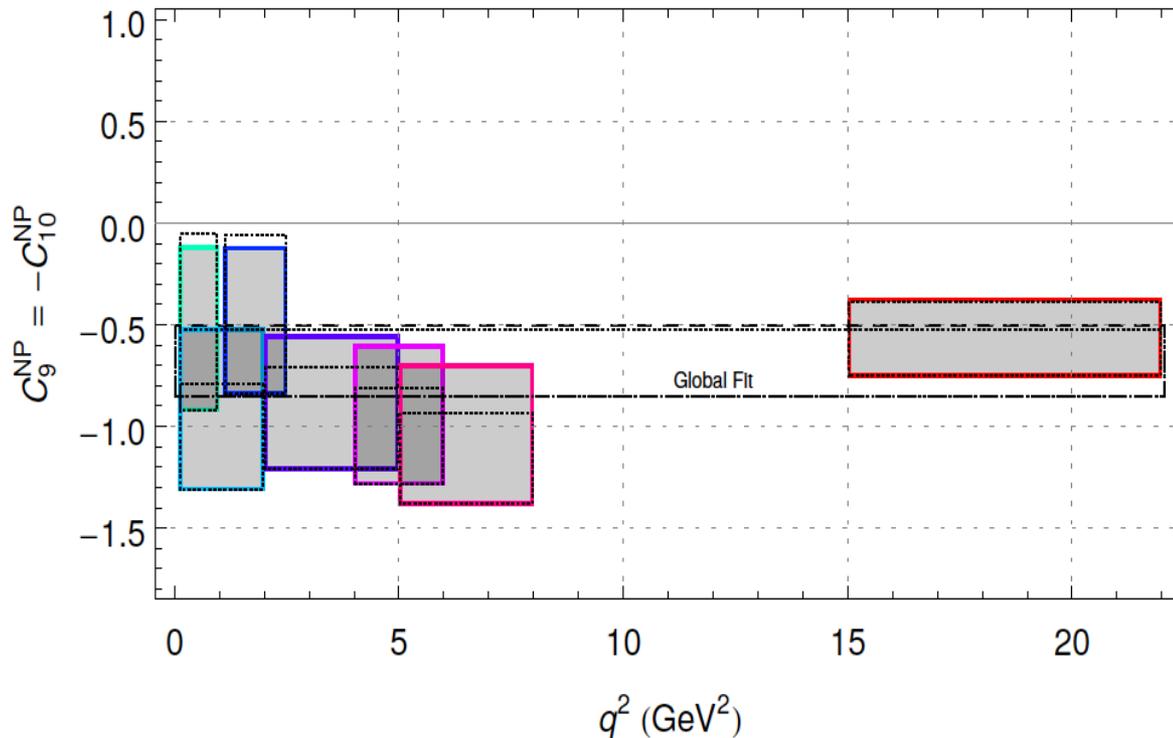
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (2.8^{+0.7}_{-0.6}) \times 10^{-9}$$

LHCb + CMS

II. Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

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More precise data on the $q^2=m_{\mu\mu}$ distribution can help to distinguish NP vs. SM

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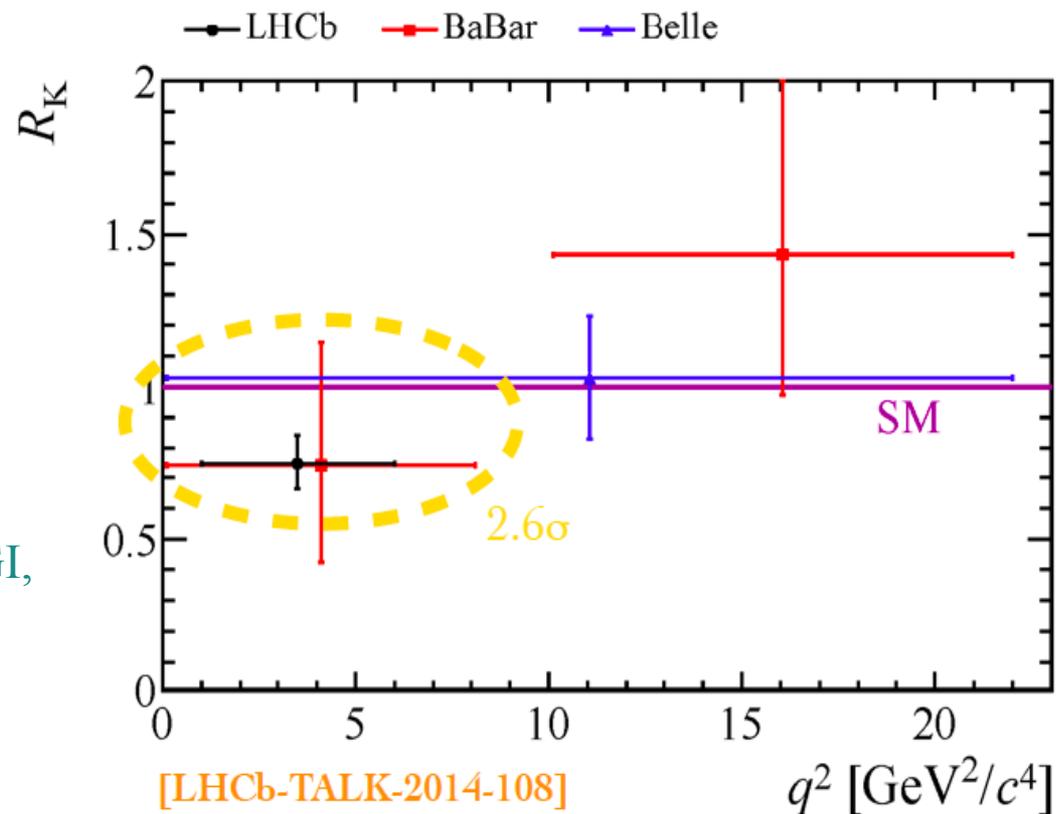
But the most interesting effects in $b \rightarrow sll$ transitions are **deviations from μ/e universality** in appropriate “clean” ratios:

$$1) \quad R_K = \frac{\int d\Gamma(B^+ \rightarrow K^+ \mu\mu)}{\int d\Gamma(B^+ \rightarrow K^+ ee)} \quad [1-6] \text{ GeV}^2$$

- Negligible th. error \rightarrow clean test of **LFU** (in neutral currents)

$$R_K^{(\text{SM})} = 1.00 \pm 0.01 \quad \text{Bordone, GI, Pattori '16}$$

$$R_K^{(\text{exp})} = 0.75 \pm 0.09 \quad \text{LHCb, '14}$$



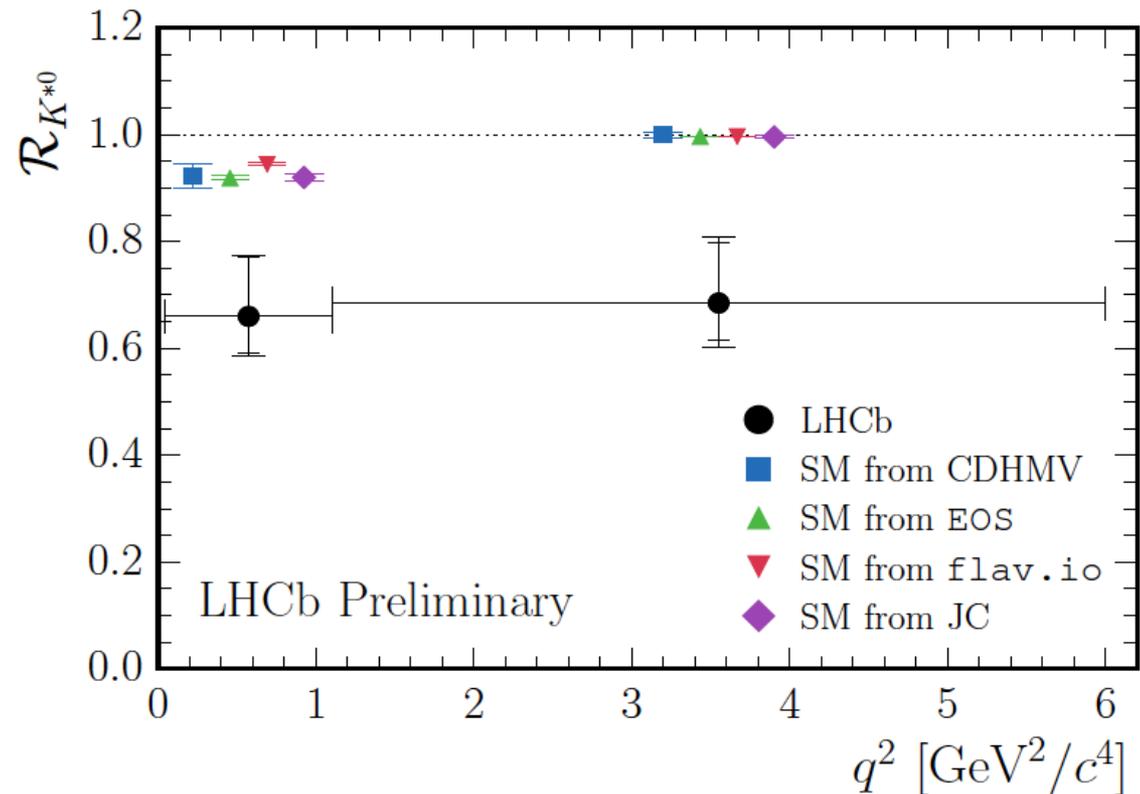
- This anomaly is perfectly described assuming NP only in $b \rightarrow s\mu\mu$ [and not in $b \rightarrow see$] consistently with P_5' & the other $b \rightarrow s\mu\mu$ anomalies

II. Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

But the most interesting effects in $b \rightarrow sll$ transitions are **deviations from μ/e universality** in appropriate “clean” ratios:

$$2) \quad R_{K^*} = \frac{\int d\Gamma(B^0 \rightarrow K^* \mu\mu)}{\int d\Gamma(B^0 \rightarrow K^* ee)}$$

“Breaking News” (18 April 2017, CERN):
Very similar effect observed also in R_{K^*}

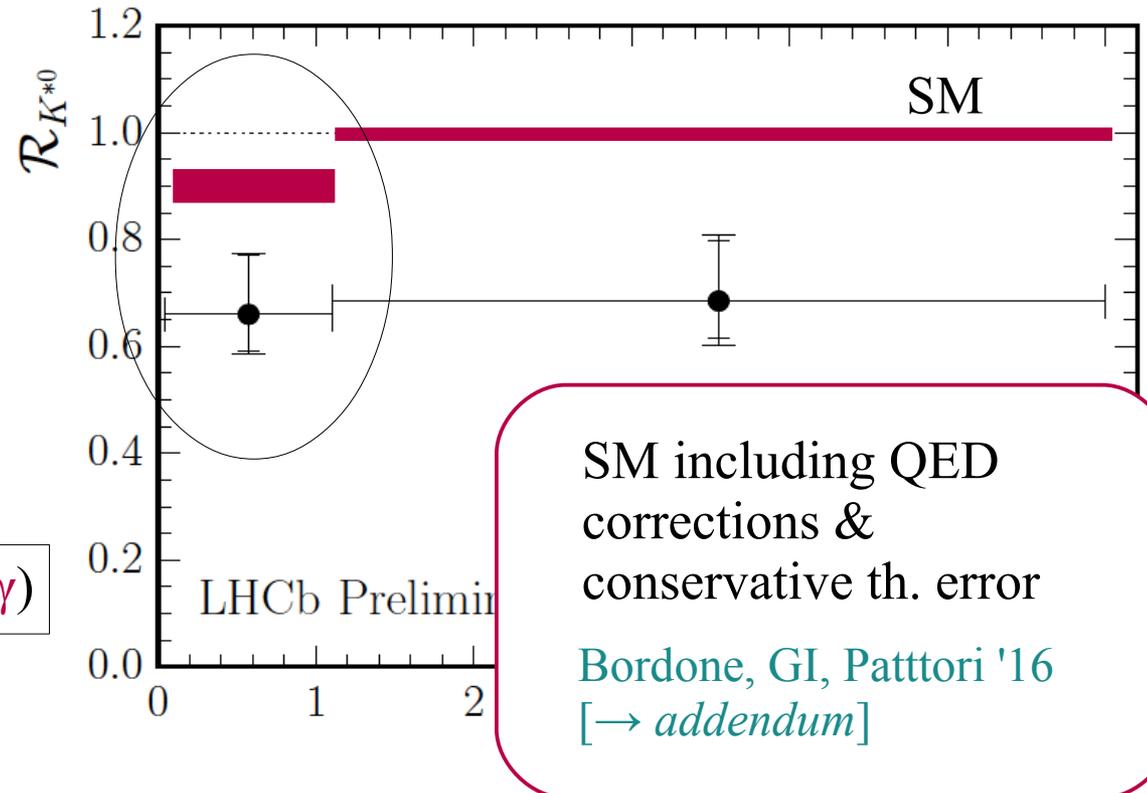
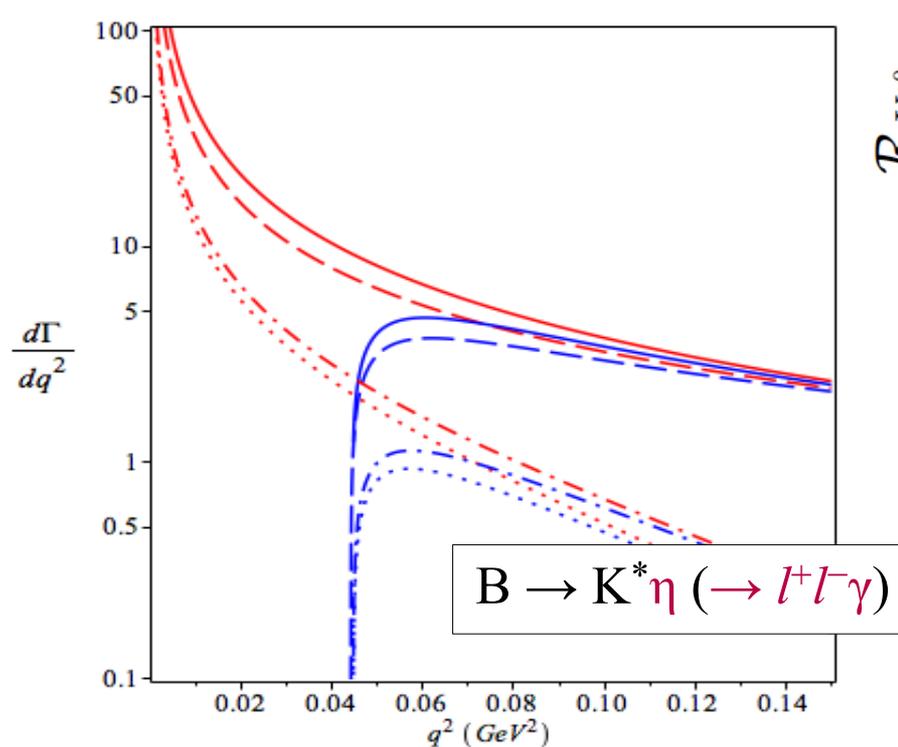


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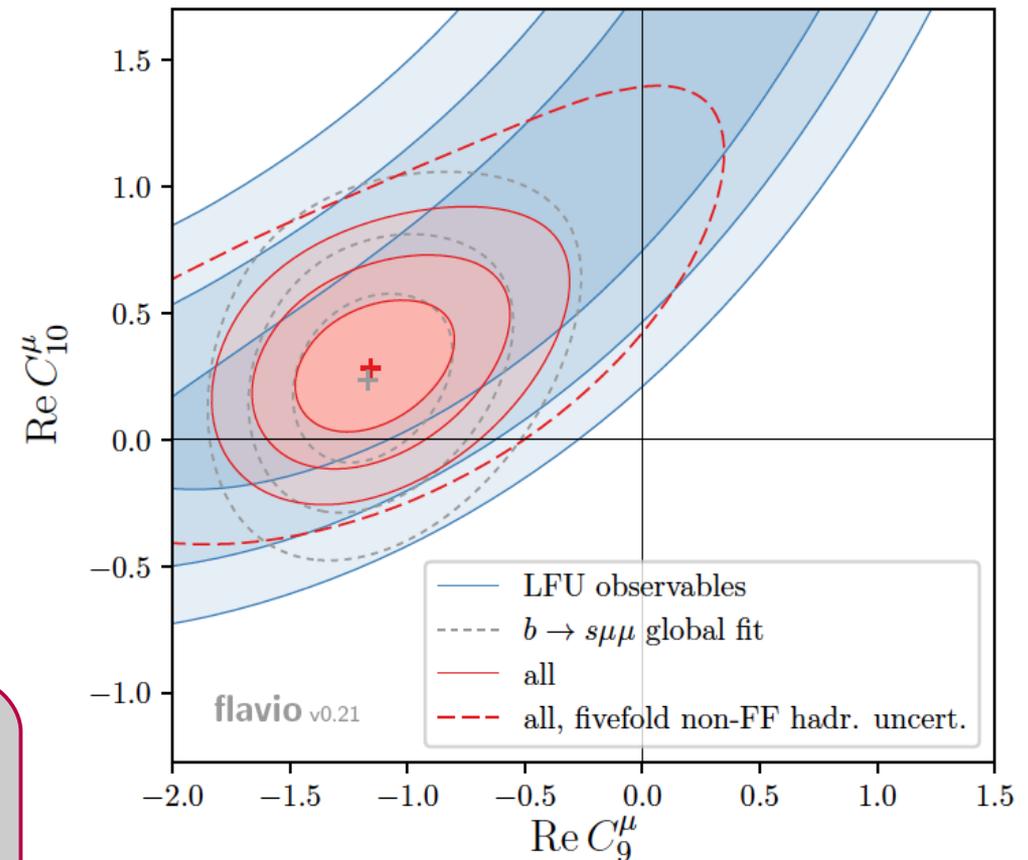
But the most interesting effects in $b \rightarrow sll$ transitions are **deviations from μ/e universality** in the “clean” ratios R_K & R_{K^*} (combined effect exceeding 3σ):

Various “*instant papers*” have updated the fit to $b \rightarrow sll$ Wilson coeff.

Main messages:

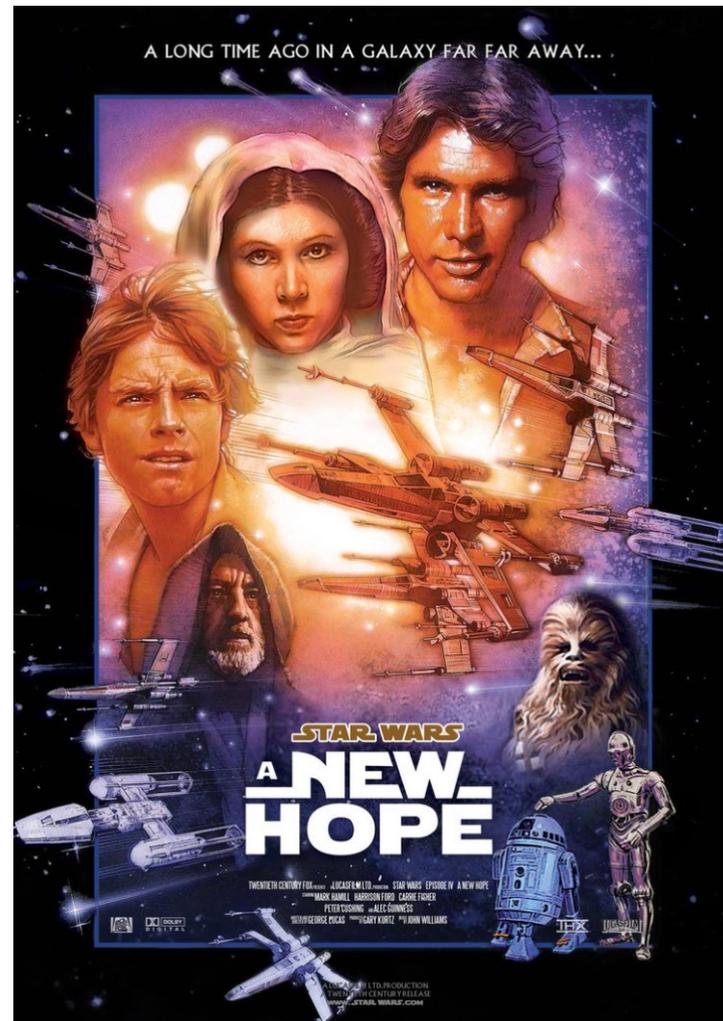
- Low- q^2 bin a bit too low (*the central value cannot be explained by NP – but there the theory error is larger...*)
- All the rest perfectly consistent with what we already knew:

- All anomalies are well described assuming NP only in $b \rightarrow s\mu\mu$
- Stronger indication in favor of V-A interaction



Altmannshofer, Stangl, Straub '17

Speculations on the breaking of **L**epton **F**lavor **U**niversality



► Speculations on the breaking of LFU

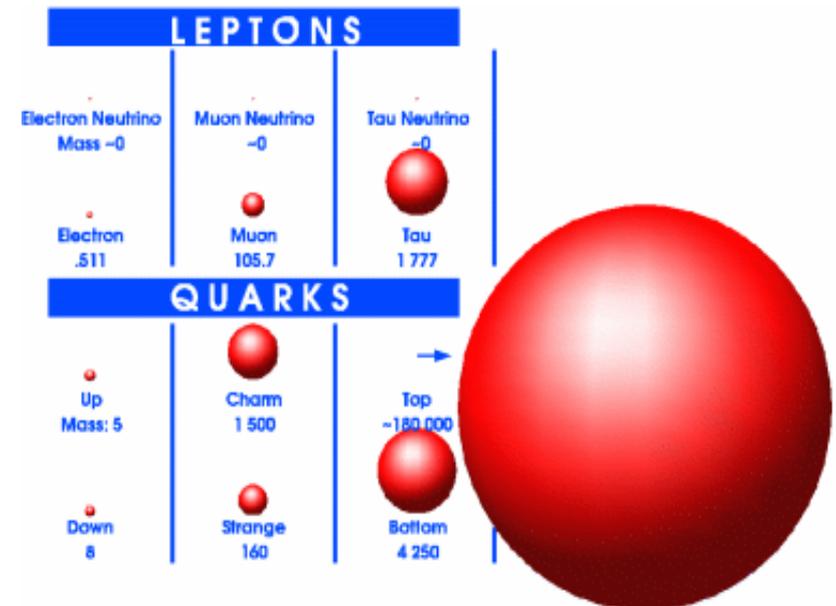
These recent results have stimulated a lot of theoretical activity

- Hints of LFU violations in $b \rightarrow c$ charged currents: τ vs. light leptons (μ , e)
- Hints of LFU violations in $b \rightarrow s$ neutral currents: μ vs. e

IF taken together... this is probably the largest “coherent” set of NP effects in present data...

A few general messages:

- LFU is not a fundamental symmetry of the SM Lagrangian (*global symmetry of the gauge sector only, broken by Yukawas*)
- LFU tests at the Z peak are not too stringent (\rightarrow gauge sector)
- Most stringent tests of LFU involve only 1st-2nd gen. quarks & leptons



► Speculations on the breaking of LFU

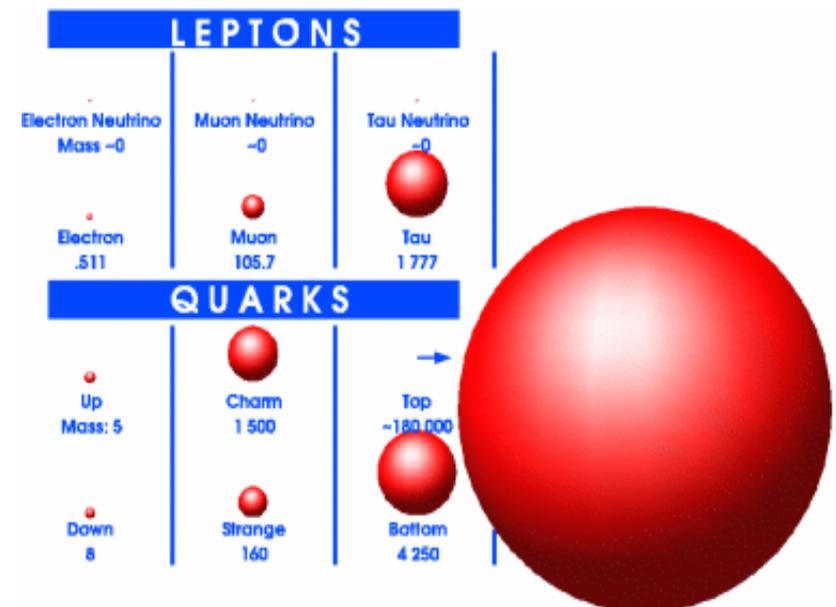
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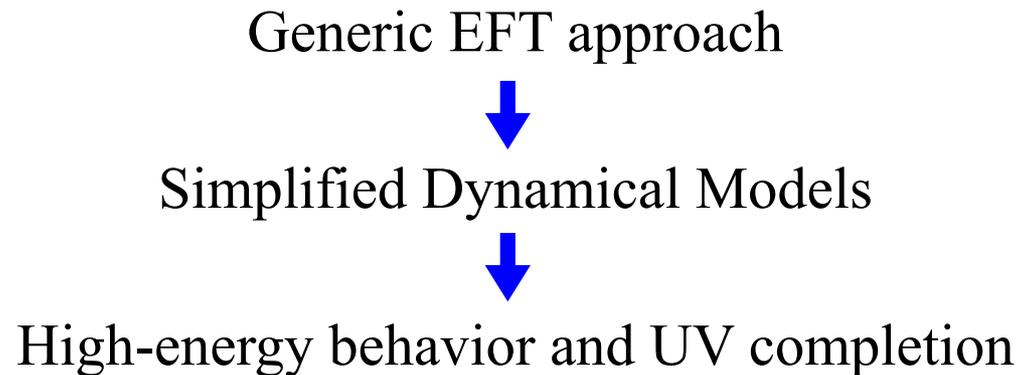


Natural to conceive NP models where LFU is violated more in processes involving 3rd gen. quarks & leptons (\leftrightarrow hierarchy in Yukawa coupl.)

► *Speculations on the breaking of LFU*

These recent results have stimulated a lot of theoretical activity
(*not particularly instructive to discuss all NP proposals...*)

What I will discuss next is a bottom-up approach made of three main steps:



The main guide will be the attempt to describe both LFU effects within the same framework and, while “going up” in energies (and assumptions), check the consistency with

- other low-energy data
- high-pT physics

► EFT-type considerations

- Anomalies are seen only in semi-leptonic (quark×lepton) operators
- RR and scalar currents disfavored → LL current-current operators
- Necessity of at least one $SU(2)_L$ -triplet effective operator
(as in the Fermi theory):

$$\frac{g_q g_\ell}{\Lambda^2} \lambda_{ij}^q \lambda_{kl}^\ell (\bar{Q}_L^i T^a \gamma_\mu Q_L^j) (\bar{L}_L^k T^a \gamma^\mu L_L^l)$$

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- Large coupling (competing with SM tree-level) in bc ($=33_{\text{CKM}}$) → $l_3 v_3$
- Small non-vanishing coupling (competing with SM FCNC) in bs → $l_2 l_2$

Glashow, Guadagnoli, Lane '14
 Bhattacharya *et al.* '14
 Alonso, Grinstein, Camalich '15
 Greljo, GI, Marzocca '15
 Bordone *et al.* '17

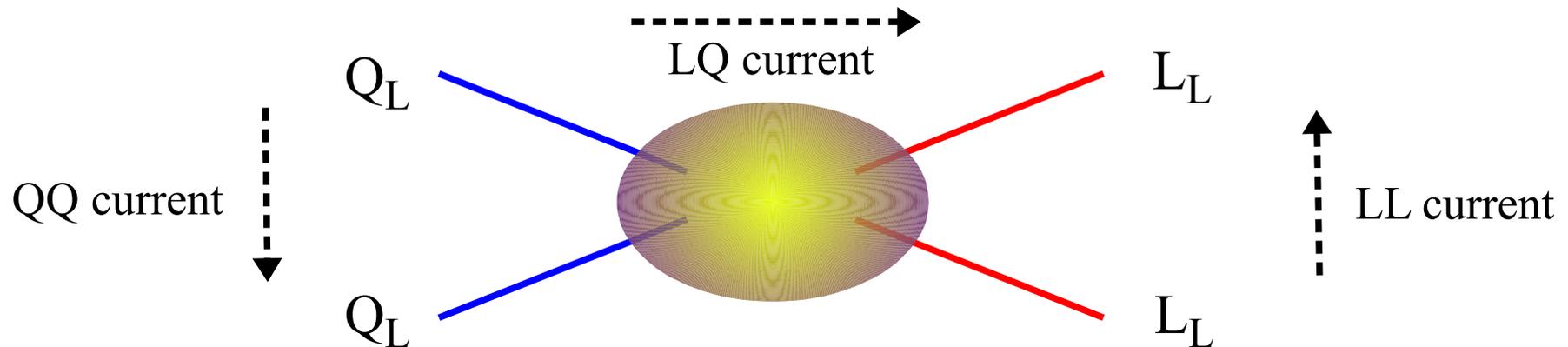


$$\lambda_{ij}^{q,\ell} = \delta_{i3} \delta_{3j} + \text{small corrections for 2}^{\text{nd}} \text{ (& 1}^{\text{st}}) \text{ generations}$$

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$$\frac{g_q g_\ell}{\Lambda^2} \lambda_{ij}^q \lambda_{kl}^\ell (\bar{Q}_L^i T^a \gamma_\mu Q_L^j) (\bar{L}_L^k T^a \gamma^\mu L_L^l)$$



- Two natural classes of mediators, giving rise to different correlations among **quark**×**lepton**, (evidence) and **quark**×**quark** + **lepton**×**lepton** (bounds)

- EFT-type considerations [general consequences in charged currents]

$$\frac{\mathcal{A}(b \rightarrow c \ell^i \bar{\nu}^i)_{\text{SM+NP}}}{\mathcal{A}(b \rightarrow c \ell^i \bar{\nu}^i)_{\text{SM}}} = 1 + R_0 \lambda_{ii}^\ell \quad R_0 \equiv \frac{g_\ell g_q}{g^2} \frac{m_W^2}{\Lambda^2}$$

- I. From $R(D^*)$ & $R(D)$ data $[\Gamma(b \rightarrow c \tau \nu)/\Gamma(b \rightarrow c \mu \nu)] \rightarrow R_0 = 0.14 \pm 0.04$

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II. In principle, it should be possible to get a strong bound on the sub-leading leptonic coupling ($\lambda_{\mu\mu}$) from $\Gamma(b \rightarrow c \mu \nu)/\Gamma(b \rightarrow c e \nu)$, but surprisingly it is not so stringent ($|\lambda_{\mu\mu}| \lesssim 0.1$) \rightarrow no dedicated studies @ B-factories !

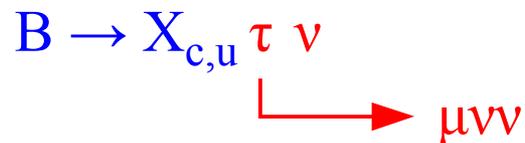
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III. This breaking of LFU in c.c. decrease the tension (*although only in part...*) between exclusive & inclusive determinations of $|V_{ub}|$ & $|V_{cb}|$:



Irreducible bkg. for the inclusive meas. subtracted
(at present) assuming SM-like $\Gamma(B \rightarrow X_{c,u} \tau \nu)$



if $\Gamma(B \rightarrow X_{c,u} \tau \nu)$ is enhanced over the SM $\rightarrow |V_{c(u)b}|_{\text{incl.}}$ are overestimated

► A simplified dynamical model (I)

Greljo, GI, Marzocca '15

Main assumptions:

- We assume the effective triplet operator is the result of integrating-out a **heavy triplet of vector bosons (W', Z')** coupled to a single current:

$$J_\mu^a = g_q \lambda_{ij}^q \left(\bar{Q}_L^i \gamma_\mu T^a Q_L^j \right) + g_\ell \lambda_{ij}^\ell \left(\bar{L}_L^i \gamma_\mu T^a L_L^j \right) \longrightarrow \frac{1}{2m_V^2} J_\mu^a J_\mu^a$$

- **Non-Universal flavor structure** of the currents → **mainly 3rd generations**
 - Coupling to 3rd generations not suppressed
 - Coupling to light generations controlled by small $U(2)_q \times U(2)_\ell$ breaking terms related to sub-leading terms in the Yukawa couplings ([link to models explaining CKM hierarchy](#))

► A simplified dynamical model (I)

A brief detour: $U(2)^n$ flavor symmetries

Barbieri, G.I.,
Jones-Perez,
Lodone, Straub, '11

- 3rd generations fermions are singlets
 - 1st and 2nd generation fermions are doublets
- Efficient protection of FCNCs (\sim MFV like)
- The exact symmetry limit is good starting point for the SM spectrum ($m_u=m_d=m_s=m_c=0, V_{CKM}=1$) → small breaking terms needed

$$Y_u = y_t \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \rightarrow \begin{bmatrix} \Delta & V \\ 0 & 1 \end{bmatrix}$$

Possible “natural solution”
of models with
“dynamical Yukawas”

Alonso, Gavela,
G.I., Maiani '13

unbroken symmetry $|V| \sim 0.04$ $|\Delta| \sim 0.006$

Coming back to the **heavy-triplet model**, the flavor symmetry implies:

$$\lambda_{bd} \ll \lambda_{bs} \ll \lambda_{bb} = 1 \qquad \lambda_{ss} \sim \lambda_{bs}^2 \sim |V_{ts}|^2$$

► A simplified dynamical model (I)

Five free parameters:

$$\epsilon_{\ell,q} \equiv \frac{g_{\ell,q} m_W}{g m_V} + \lambda_{bs}^q, \lambda_{\mu\mu}^\ell, \lambda_{\tau\mu}^\ell$$

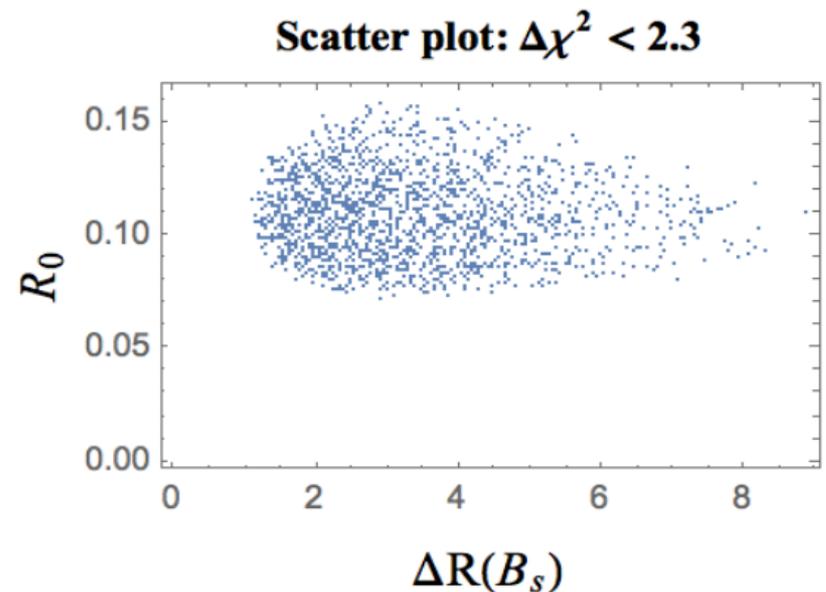
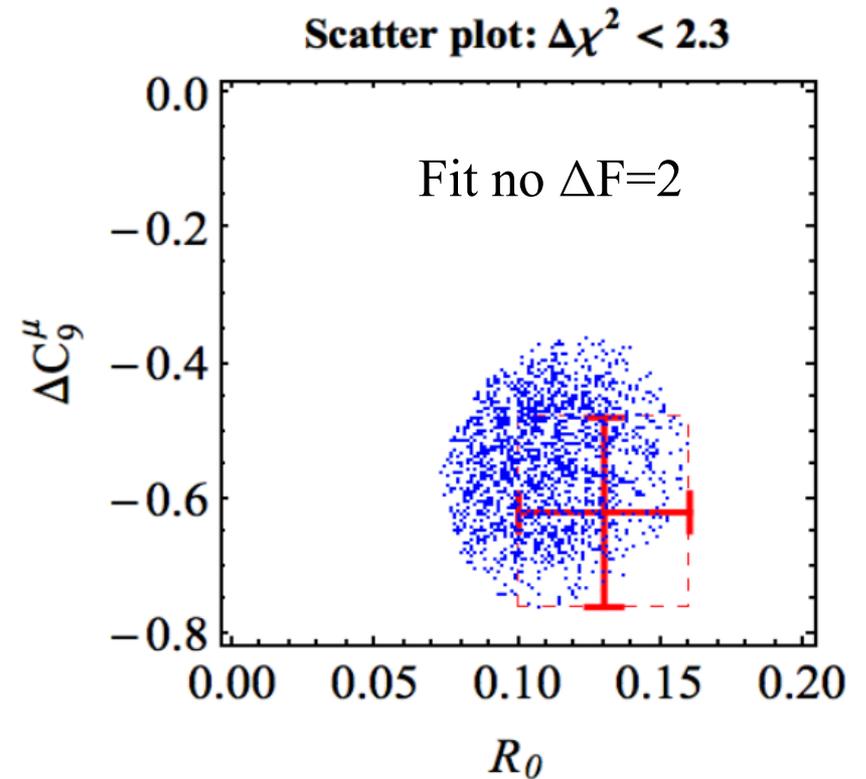
Several low-energy constraints



Overall good fit of low-energy data

Some residual tension

[$\Delta F=2$ vs. LFU tests in tau decays]
 which can be ameliorated including
 extra contributions
 (e.g. $SU(2)_L$ singlets Z' or color-octet)



► A simplified dynamical model (I)

$$\mathcal{L}_{\text{eff}} = -\frac{1}{2m_V^2} J_\mu^a J_\mu^a \quad \text{works well...}$$

..and give rise to a rich low-energy phenomenology:

• $b \rightarrow c(u) l\nu$

$$\begin{aligned} \text{BR}(B \rightarrow D^* \tau \nu) / \text{BR}_{\text{SM}} &= \text{BR}(B \rightarrow D \tau \nu) / \text{BR}_{\text{SM}} = \text{BR}(\Lambda_b \rightarrow \Lambda_c \tau \nu) / \text{BR}_{\text{SM}} \\ &= \dots = \text{BR}(B_u \rightarrow \tau \nu) / \text{BR}_{\text{SM}} \end{aligned} \quad R^{\mu/e}(X) \sim 10\% R^{\tau/\mu}(X)$$

- ★ universal 20-30% enhancement of C.C. semi-leptonic decays into **tau leptons**
- ★ 1-2 % (universal) breaking of universality between **muons** & **electrons** (in leading CC modes)

► A simplified dynamical model (I)

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• $b \rightarrow s \mu \mu$

$$\Delta C_9^\mu = -\Delta C_{10}^\mu$$

• $b \rightarrow s \tau \tau$

$|\text{NP}| \sim |\text{SM}| \rightarrow$ large enhanc. (up to $10 \times \text{SM}!$) or strong suppr.

• $b \rightarrow s \nu \nu$

$\sim \pm 50\%$ deviation from SM in the rate

► **N.B:** the deviations should be seen universally in all the hadronic modes: $B \rightarrow K^* \tau \tau$, $B \rightarrow K \tau \tau$, $\Lambda_b \rightarrow \Lambda \tau \tau$, ...

► A simplified dynamical model (I)

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..and give rise to a rich low-energy phenomenology:

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• $b \rightarrow s \tau\tau$ $|\text{NP}| \sim |\text{SM}| \rightarrow$ large enhanc. (up to $10 \times \text{SM}!$) or strong suppr.

• $b \rightarrow s \nu\nu$ $\sim \pm 50\%$ deviation from SM in the rate

• **Meson mixing** $\sim 10\%$ deviations from SM both in ΔM_{B_s} & ΔM_{B_d}

• τ decays $\tau \rightarrow 3\mu$ not far from present exp. Bound ($\text{BR} \sim 10^{-9}$)

► *A simplified dynamical model (II)*

Main assumptions:

Barbieri, GI, Pattori, Senia '15

- We assume the effective triplet operator is the result of integrating-out **Lepto-Quark (LQ)** fields
- **Non-Universal flavor structure** of the current, based again on approximate $U(2)_q \times U(2)_l$ flavor symmetry
- Both Vector and Scalar LQ tried → Vector LQ produce a **very good fit to data** (*essentially as good as in model I*)

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Peculiar prediction of the LQ set-up: sizable modification of $\Gamma(K \rightarrow \pi\nu\nu)$

$$\Gamma(K \rightarrow \pi\nu\nu) = \Gamma(K \rightarrow \pi\nu_e\bar{\nu}_e) + \Gamma(K \rightarrow \pi\nu_\mu\bar{\nu}_\mu) + \Gamma(K \rightarrow \pi\nu_\tau\bar{\nu}_\tau)$$

SM like

few %
deviation
as in $b \rightarrow s\mu\mu$

possible **O(1) deviation**
from SM
expected also in $b \rightarrow s\tau\tau$

▶ *UV completions and high-energy bounds*

In both cases (heavy vector triplets & vector LQ) we should address two basic questions:

- *Are these models compatible with high-energy (direct) searches?*
- *Can we find meaningful UV completions?*

► UV completions and high-energy bounds

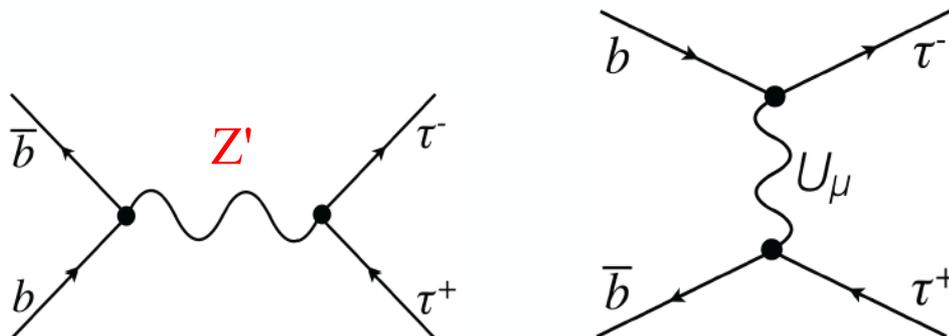
In both cases (heavy vector triplets & vector LQ) we should address two basic questions:

- *Are these models compatible with high-energy (direct) searches? Yes, but...*

In both cases no real problem provided we are in a regime of strong-coupling [large couplings \rightarrow heavy masses & large widths].

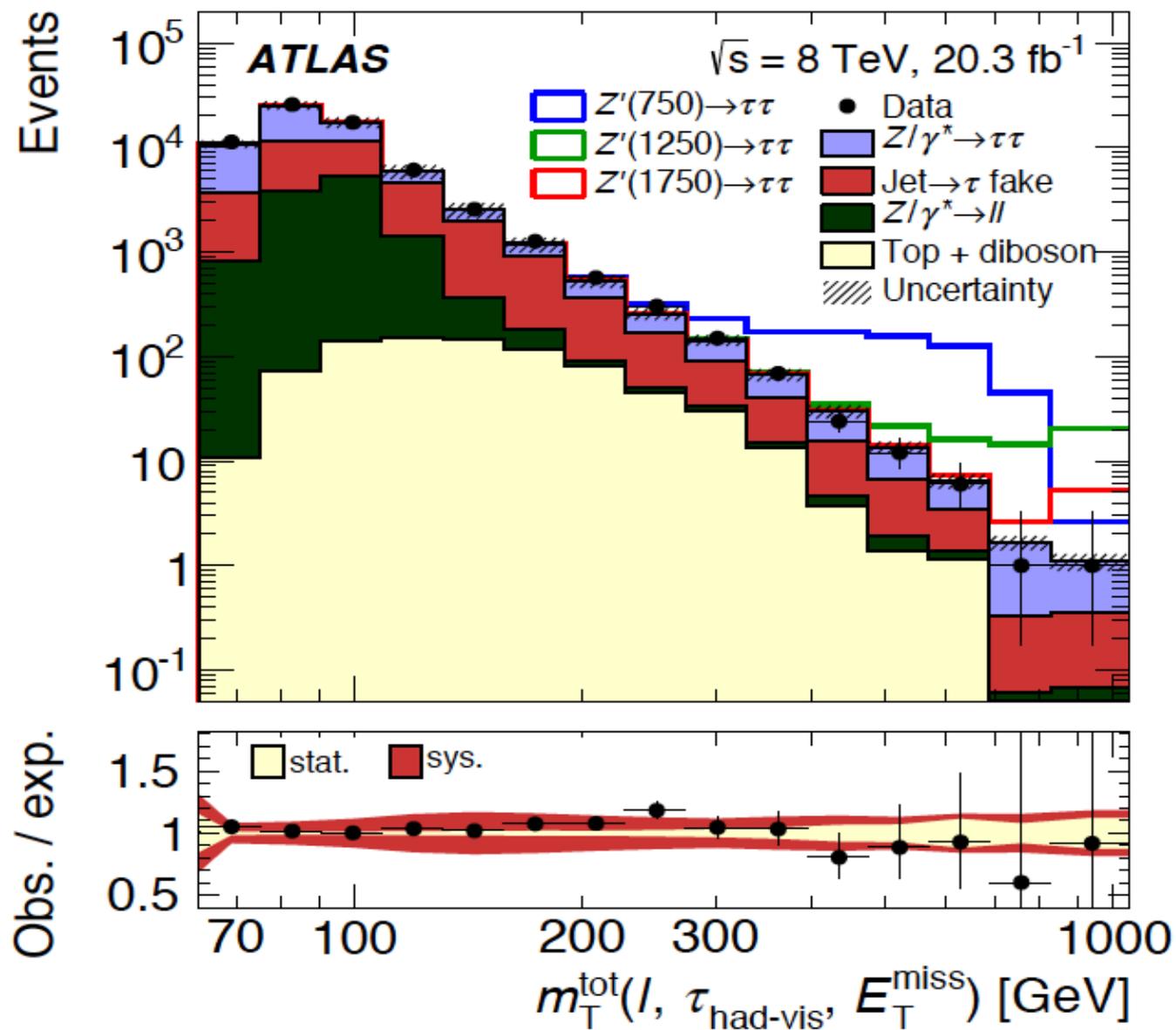
E.g.: the heavy vectors could have a mass $\sim 1\text{-}2$ TeV
(*not easily detectable due to small coupling to light quarks & large width*)

In both cases there is a model-independent expectation of sizable (broad) excess in $pp \rightarrow \tau\tau$ & $pp \rightarrow bb, tt$ that should be accessible in Run-II



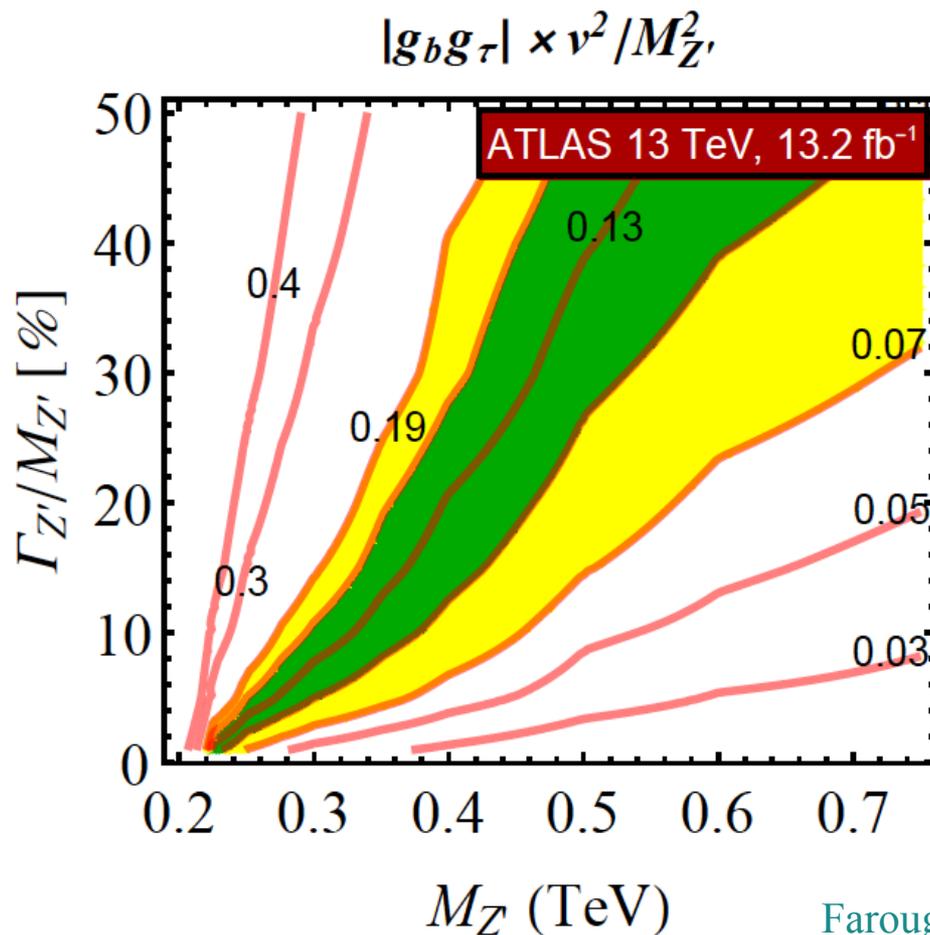
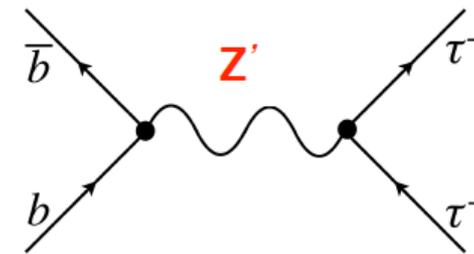
- *Already some tension with ATLAS & CMS.*
- *Deviations from SM around the corner...*

► UV completions and high-energy bounds

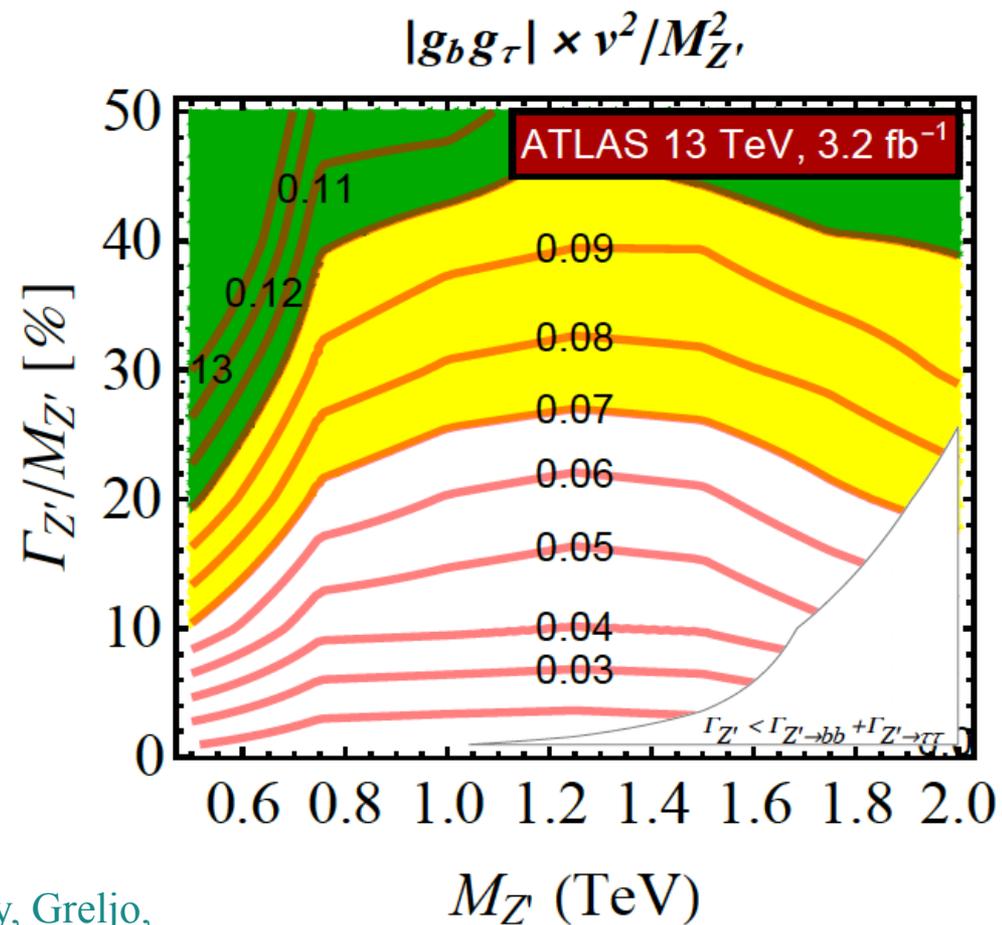


► UV completions and high-energy bounds

Recast of recent ATLAS searches of $Z' \rightarrow \tau\tau$

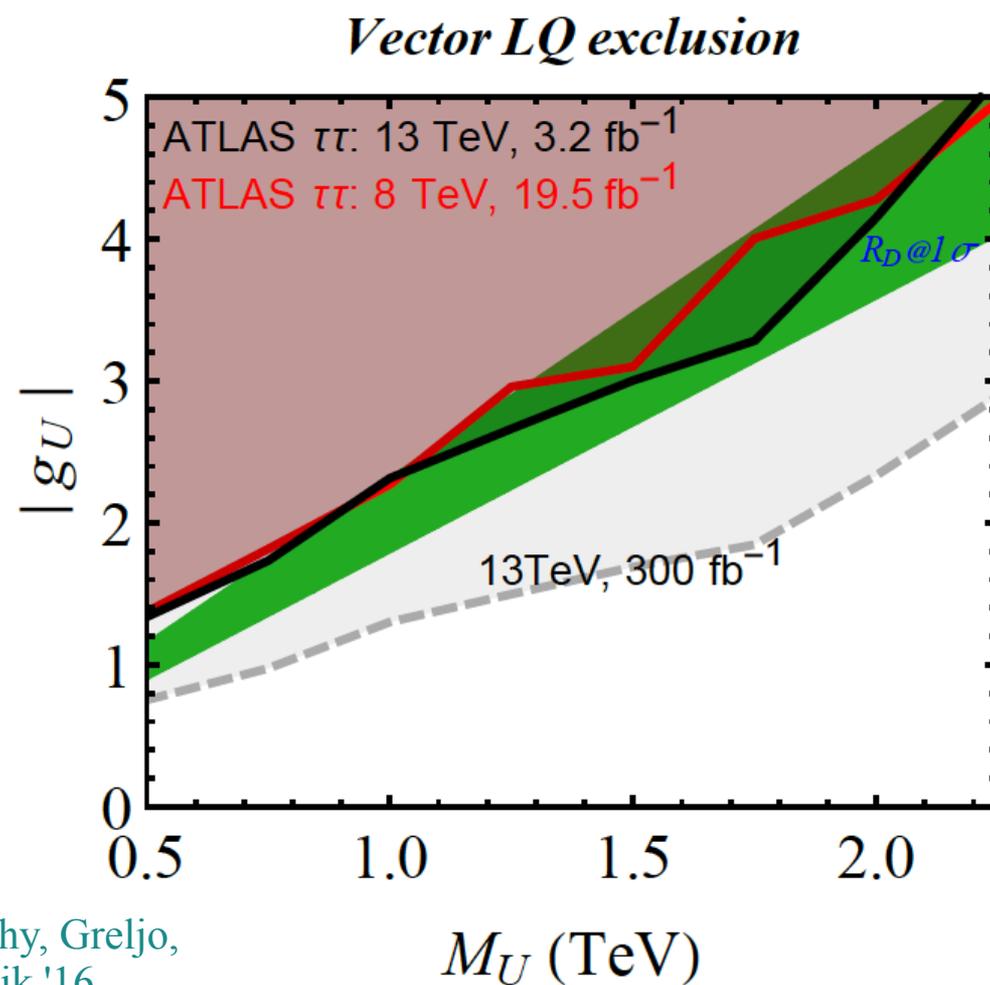
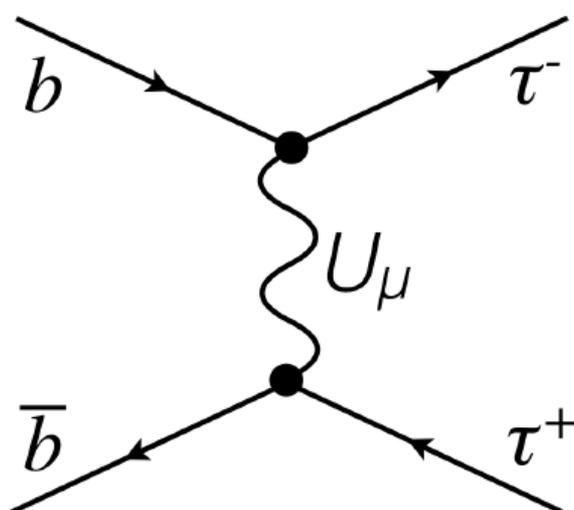


Faroughy, Greljo, Kamenik '16



► UV completions and high-energy bounds

Recast of recent ATLAS searches of $Z' \rightarrow \tau\tau$
interpreted in the vector LQ model



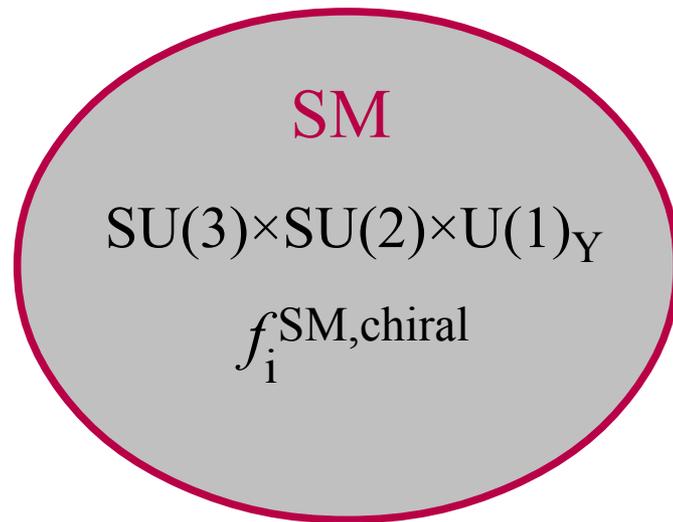
► *UV completions and high-energy bounds*

In both cases (heavy vector triplets & vector LQ) we should address two basic questions:

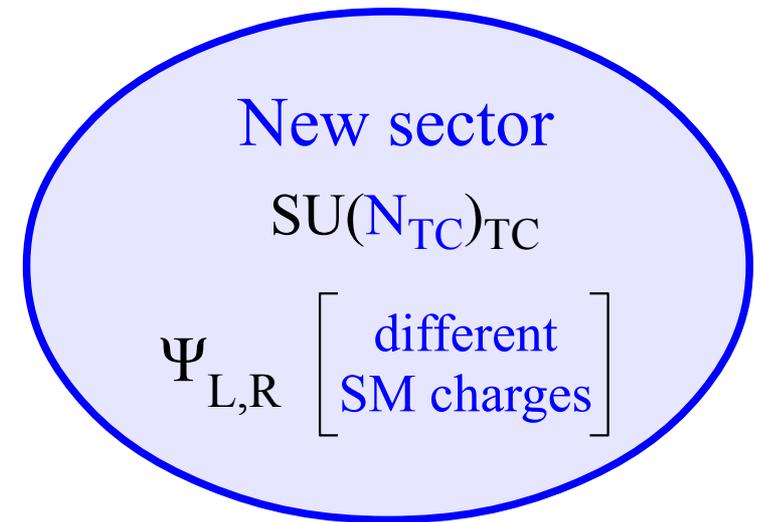
- *Are these models compatible with high-energy (direct) searches?* Yes, but...
- *Can we find meaningful UV completions?* Maybe...

An attractive possibility is to consider these heavy (spin-1) mediators as composite state of some new strong dynamics [[Buttazo, Greljo, GI, Marzocca, '16](#)]

► UV completions and high-energy bounds



The basic construction is based on the idea of “*Vector-like confinement*”



$$\text{SU}(N_F)_L \times \text{SU}(N_F)_R \times \text{U}(1)_V$$

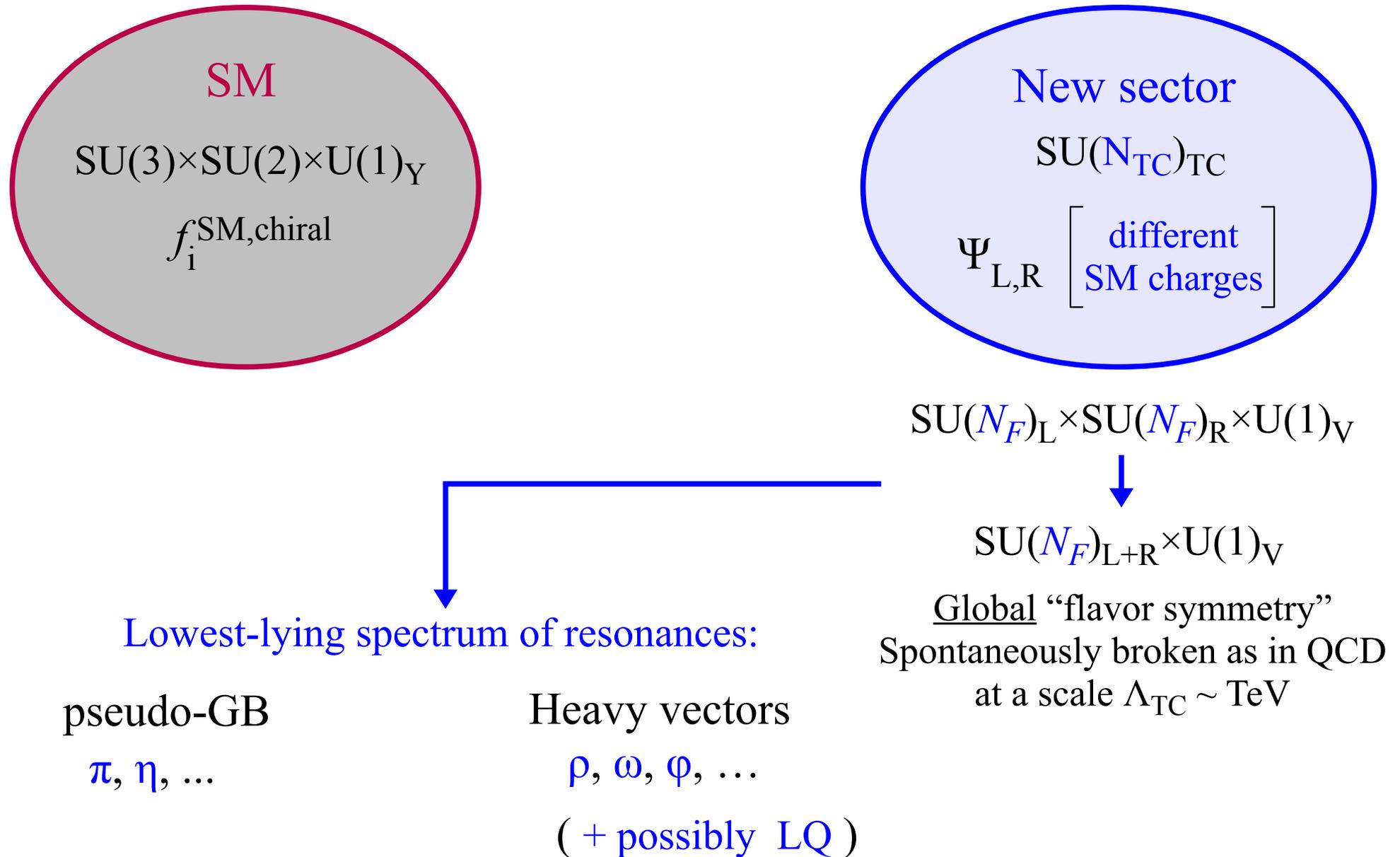


$$\text{SU}(N_F)_{L+R} \times \text{U}(1)_V$$

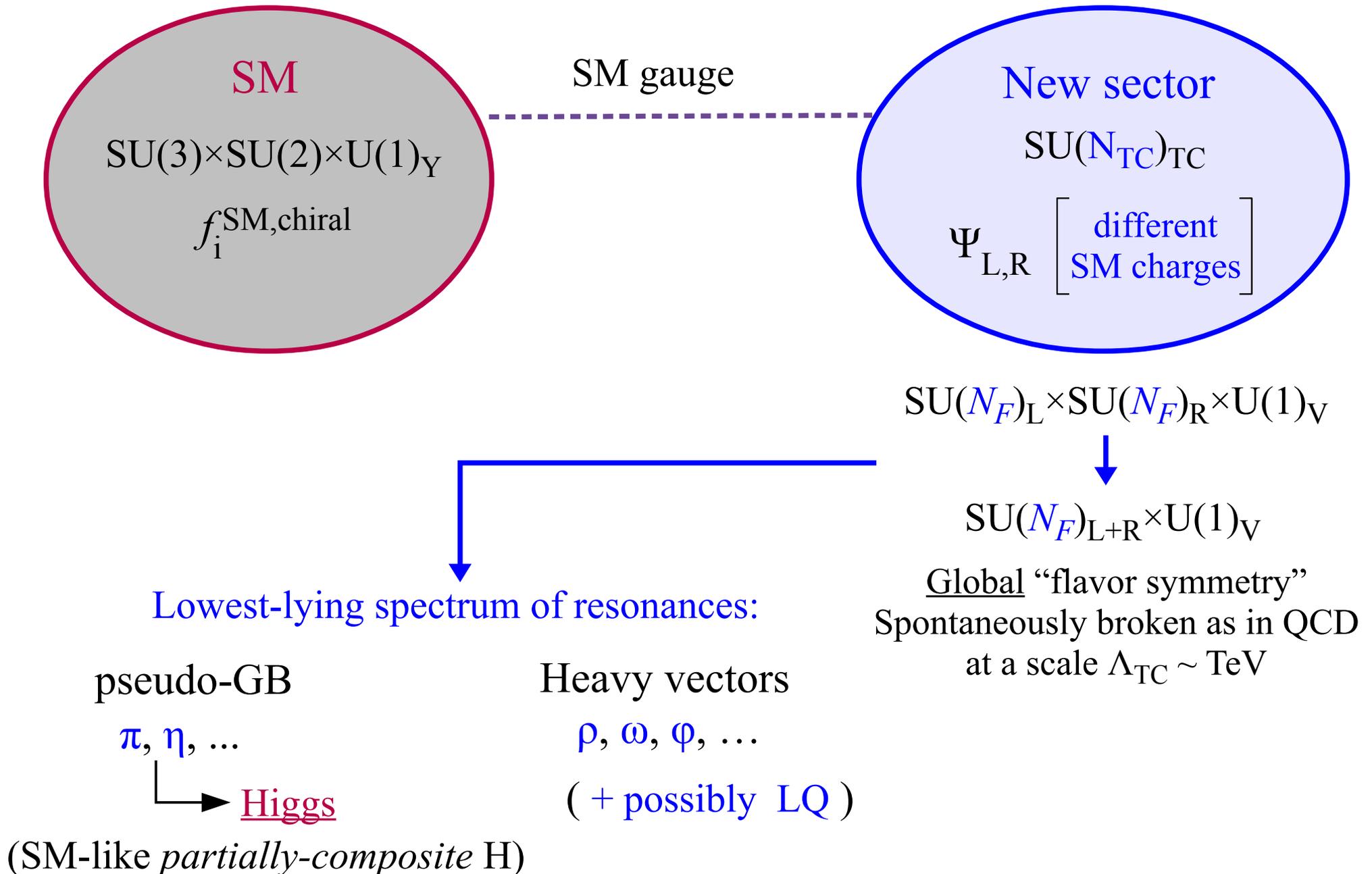
Global “flavor symmetry”
Spontaneously broken as in QCD
at a scale $\Lambda_{\text{TC}} \sim \text{TeV}$

- Very similar to the old idea of technicolor
- Key difference is that the SSB of the new sector preserves the SM gauge symmetry, that is broken in a 2nd step by an appropriate Higgs field

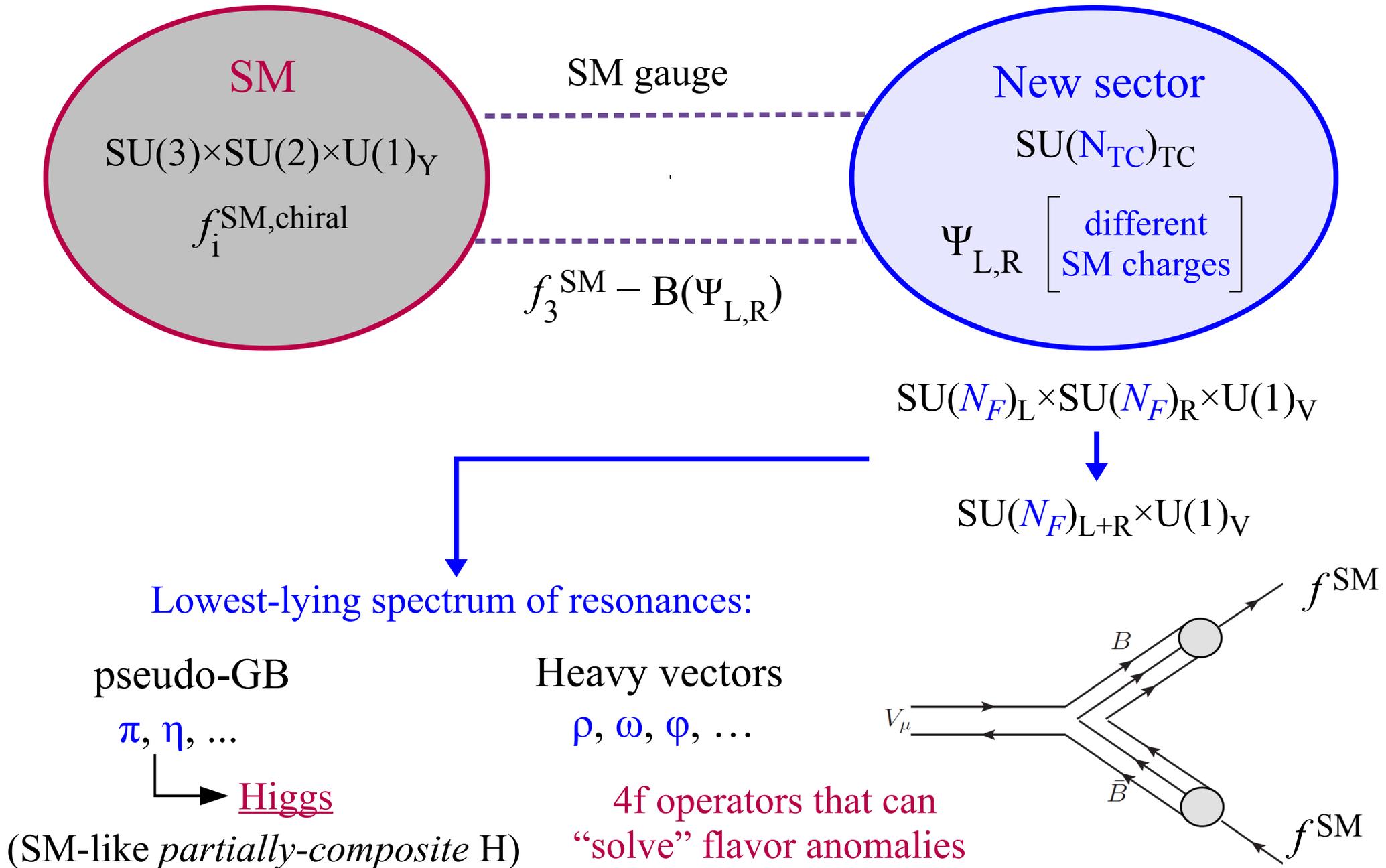
► UV completions and high-energy bounds



► UV completions and high-energy bounds



► UV completions and high-energy bounds



Conclusions

- Very interesting hints of LF non Universality in recent semi-leptonic B-physics data
- The overall picture is still far from being clear, but the patten of anomalies is apparently coherent → more data can help to clarify the situation
- Main messages in view of future data:
 - (re)analyze B physics data without assuming LFU
 - conceive more low-energy tests of LFU (especially in B decays)
 - the search for LFV in charged leptons is extremely well motivated
 - the bounds on NP coupled mainly to 3rd generation are still relatively weak
 - the interplay of low- and high-energy searches is essential