

Sterile neutrino oscillations with altered dispersion relations in Cosmology and Astrophysics

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University Vienna

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Seminar on particle physics



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Faculty of Physics

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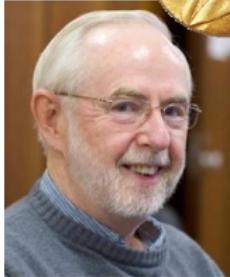
Outline

- **Introduction:** Oscillations with sterile neutrinos with altered dispersion relations (ADR)
- **Astrophysics:** Sterile mixing in astrophysical neutrinos from IceCube
- **Cosmology:** Big Bang Nucleosynthesis as a test of new neutrino physics

Nobel price for discover neutrino oscillations



Takaaki Kajita



Arthur B. McDonald



Flavor- (ν_α) and mass eigenstates (ν_i) differ via an oscillation matrix U :

$$\nu_\alpha \neq \nu_i \quad \rightarrow \quad \nu_\alpha = U_{\alpha i} \nu_i \quad \alpha, \beta \in \{e, \mu, \tau, s\}$$

There are different models for **neutrino mass**:

$$\begin{aligned}\mathcal{L}_M &\stackrel{?}{=} \mathcal{L}_{dirac} + \mathcal{L}_{majorana} \\ &\sim \nu_\alpha M \nu_\beta = \nu_i \underbrace{U^\dagger M U}_{m_{diag}} \nu_j\end{aligned}$$

What is the advantage of right handed/sterile neutrino oscillation?

Advantage of sterile Neutrinos

- **explain mass hierarchy** in right-handed neutrino mass models via the Seesaw mechanism. [$m_{\nu_s} \gtrsim \text{TeV}$] (with additional higgs doublets...)
- **Dark matter candidates** [$\text{keV} \lesssim m_{\nu_s} \lesssim \text{TeV}$]
- **Baryon asymmetry** via Leptogenesis in ν MSM models [$\text{keV} \lesssim m_{\nu_s} \lesssim \text{GeV}$]
- **Detected anomalies** at: LSND, MiniBooNE, gallium detectors: GALLEX, SAGE, reactor experiments... [$m_{\nu_s} \sim \text{eV}$] (u.a here also: IceCube)

tightest constrains from cosmology:

- **Boundaries from BBN** (discussed here)
- **CMB measurement** from PLANCK sets limits on N_ν and also the **Large Scale Structure**.

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Oscillations with sterile neutrinos

In vacuum:

2-flavor-mixing approximation, $\nu_I \leftrightarrow \nu_s$ ($I \in \{e, \mu, \tau\}$)

equation of motion for a particle

$$\frac{d}{dt} |\nu_\beta(t)\rangle = \underbrace{\sum_j U_{\beta j} H_{jj}^M U_{aj}}_{\frac{\Delta m^2}{4E} \begin{pmatrix} -c(2\theta) & s(2\theta) \\ s(2\theta) & c(2\theta) \end{pmatrix}} |\nu_\alpha\rangle$$

mixing matrix

$$U_{\alpha j} = \begin{pmatrix} c\theta & s\theta \\ -s\theta & c\theta \end{pmatrix}$$

$$U^{\text{4fl.}} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

$$\alpha, \beta \in \{I, s\}$$

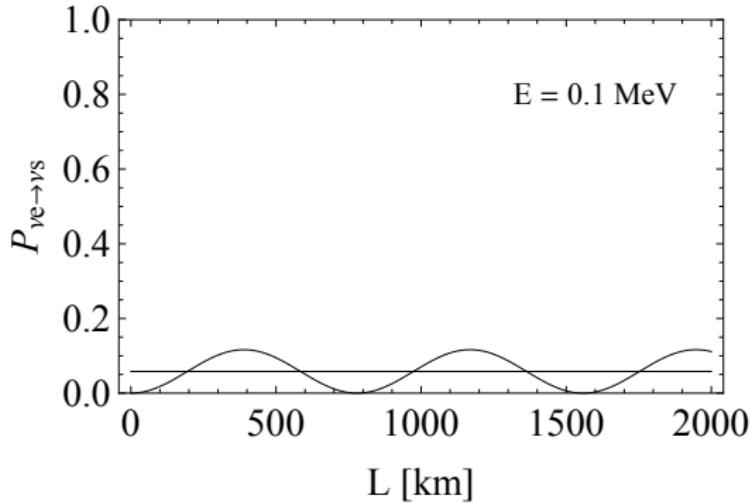
oscillation probability

$$P_{\nu_I \rightarrow \nu_s} = |\langle \nu_s | \nu_I(t) \rangle|^2$$

$$\langle P_{\nu_I \rightarrow \nu_s} \rangle = \sum_j |U_{Ij}|^2 |U_{sj}|^2 = \langle \sin^2(\frac{\Delta m^2}{2E} x) \rangle \sin^2(2\theta) = \frac{1}{2} \sin^2(2\theta)$$

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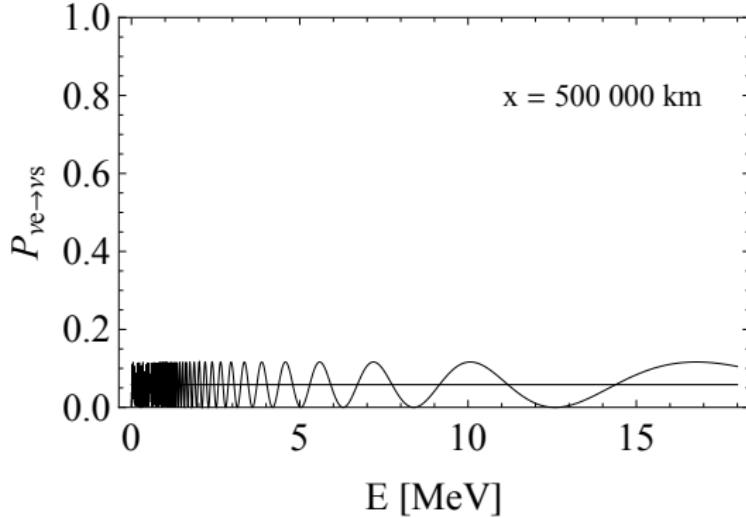
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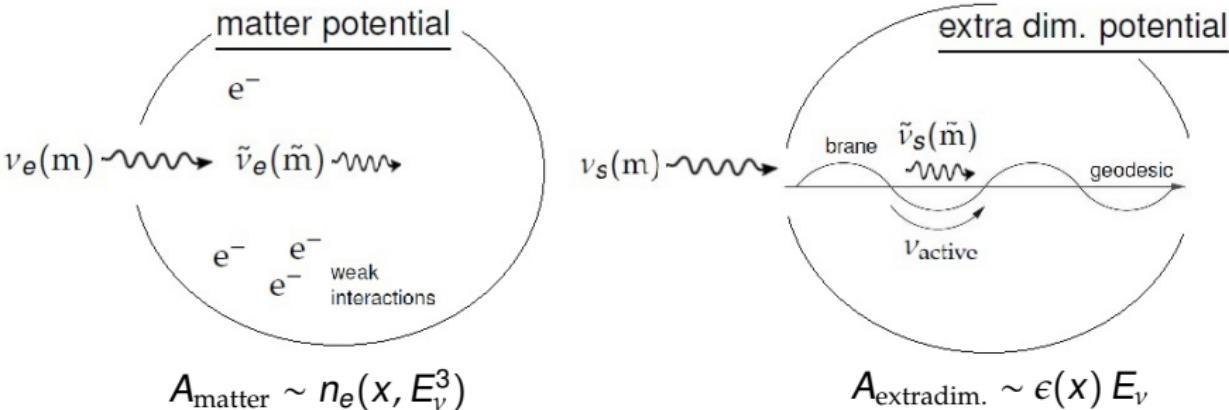


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Neutrino potentials



Large extra dimension - ADD model [N. Arkani-Hamed, S. Dimopoulos, G. Dvali, 1998]

- invented to explain weakness of gravity relative to other forces (hierarchy problem)
- solve neutrino Anomalies with $\epsilon \gtrsim 10^{-16}$, [Paes et al., 2005] (shortcut parameter: $\epsilon \sim \frac{\delta t}{t}$)

In potentials $A(E)$ - with altered dispersion relations (ADR):

equation of motion for a particle

$$\frac{d}{dt} |\tilde{\nu}_\alpha(t)\rangle = \left[\frac{\Delta m^2}{4E} \begin{pmatrix} -c(2\theta) & s(2\theta) \\ s(2\theta) & c(2\theta) \end{pmatrix} + \frac{1}{2E} \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} \right] |\tilde{\nu}_\alpha\rangle$$

in **matter** or in theories with shortcuts in **extra dimensions**:

$$A(E) = \begin{cases} \sqrt{2} G_F n_e(E^3) \cdot A_\alpha E^2 / M_W^2, & \text{matter (in BBN)} \quad A_e \approx 55., \quad A_{\mu/\tau} \approx 15.3 \\ \epsilon E, & \text{extra dimensions} \end{cases}$$

[Paes et al. Phys. Rev. D 72, 095017 (2005)]

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$$\langle \tilde{P}_{\nu_l \rightarrow \nu_s} \rangle = \sum_j |\tilde{U}_{lj}|^2 |\tilde{U}_{sj}|^2 = \frac{1}{2} \sin^2(2\tilde{\theta})$$

$$\sin^2(2\tilde{\theta}) = \frac{\sin^2(2\theta)}{\cos^2(2\theta) \left(\frac{E}{E_{res}} - 1 \right)^2 + \sin^2(2\theta)}, \quad E_{res} = \frac{\Delta m^2}{2A(E_{res})} \cos(2\theta)$$

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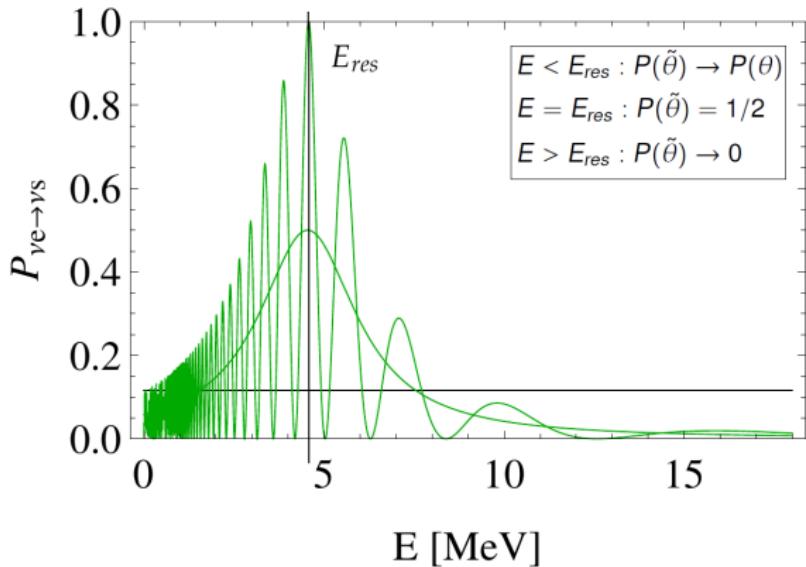
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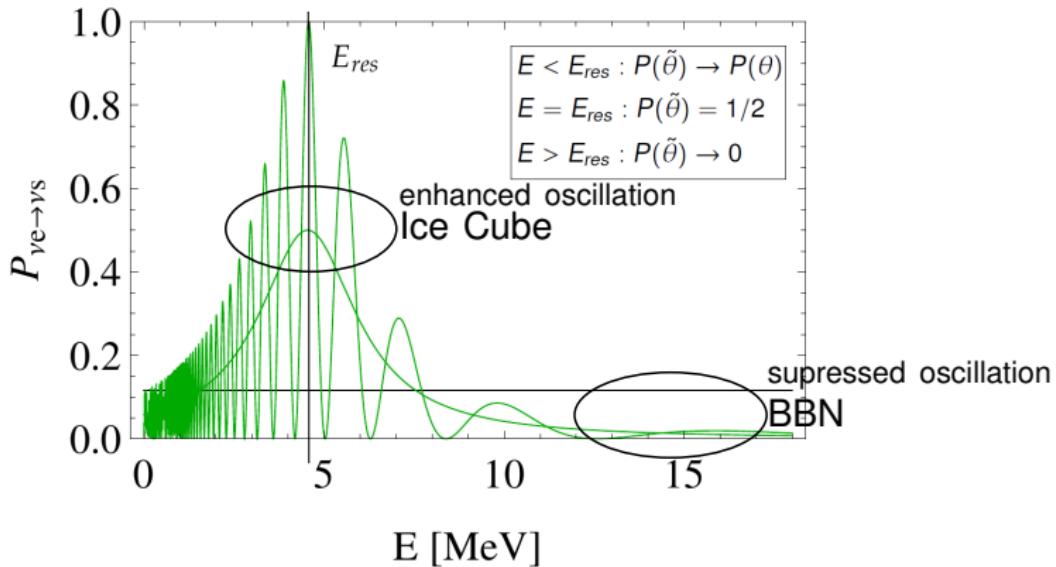
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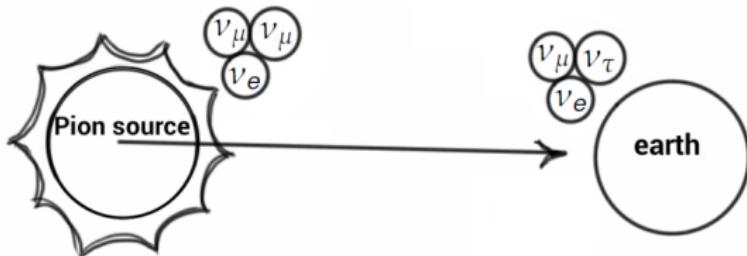
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Astrophysical flavor ratios

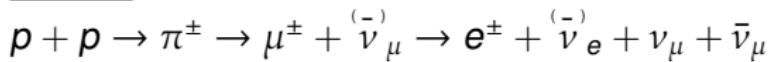
High energetic, extragalactic neutrinos ($E_\nu \gtrsim \text{TeV, PeV}$) give information about:

- extragalactic neutrino sources (Distance $\gtrsim \text{Mpc / Mio ly}$)
- (non)standard mixing scenarios,
e.g. with dark matter, ν_s with ADR

$$(\Phi_{\nu_e}^0 : \Phi_{\nu_\mu}^0 : \Phi_{\nu_\tau}^0) \rightarrow (\Phi_{\nu_e} : \Phi_{\nu_\mu} : \Phi_{\nu_\tau})$$



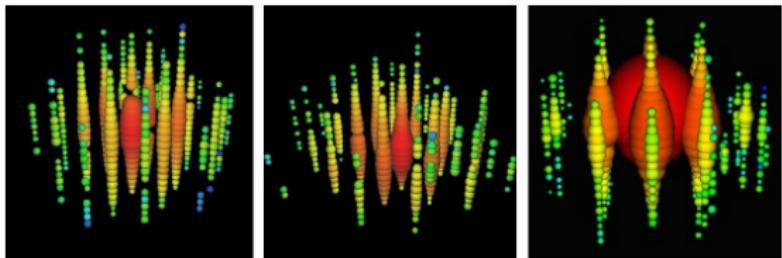
source: ν typical originated by high energetic proton collisions



Astrophysical flavor ratios at IceCube

IceCube (Feb '15) reported the detection of 36 neutrinos:

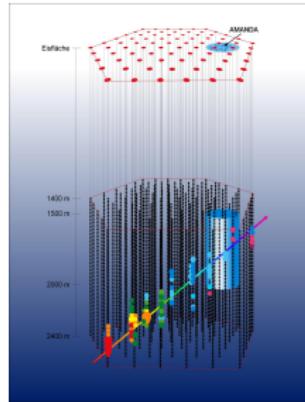
at 30 TeV to 2 PeV with extragalactic origin



[M. G. Aartsen (IceCube
Collaboration),
Phys.Rev.Lett. 114 (2015)
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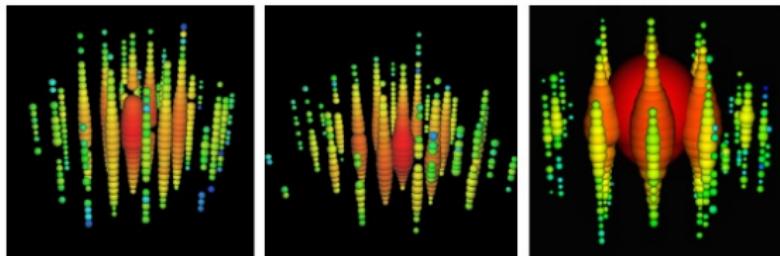


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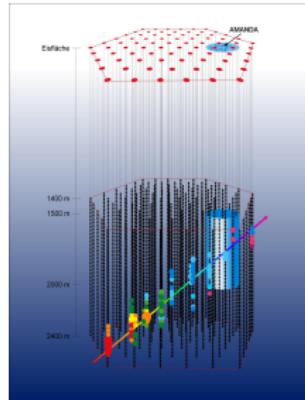
Ernie: 1.0 Pev

Bert: 1.1 Pev

Big Bird: 2.0 Pev



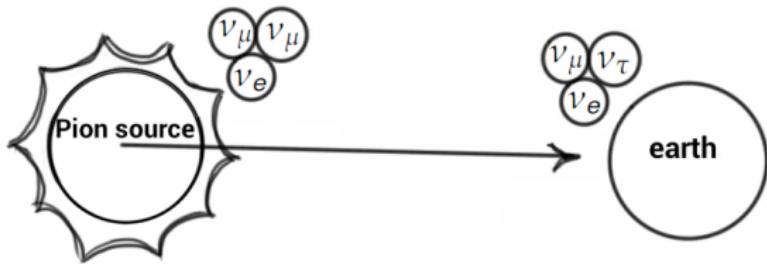
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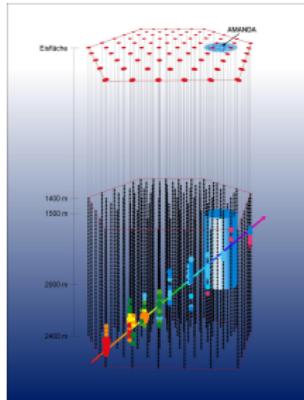
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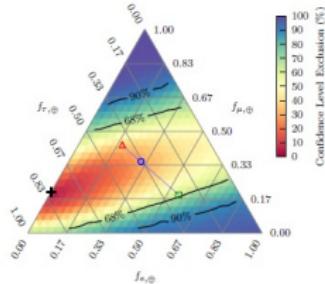
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is consistent with data, **but**

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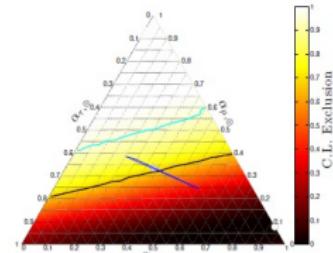


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[IceCube, 2015]
35 TeV - 1.9 PeV, Best Fit: (0:0.2:0.8)

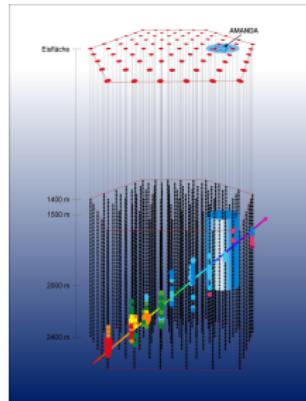


[Palomares-Ruiz, Vincent, Mena, 2015]
28 TeV - 3 PeV, Best Fit: (0.92:0.08:0)

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best fit analysis: (1:0:0) & (0:0:1)
→ **new physics**

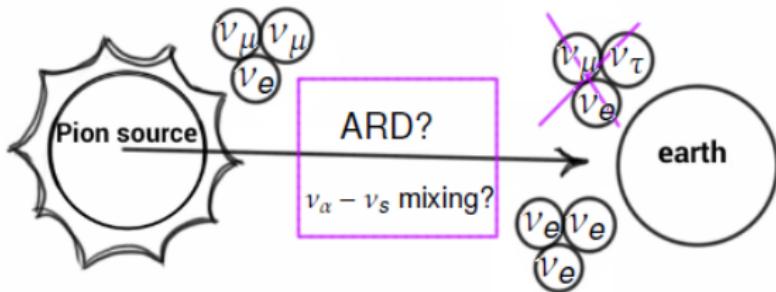
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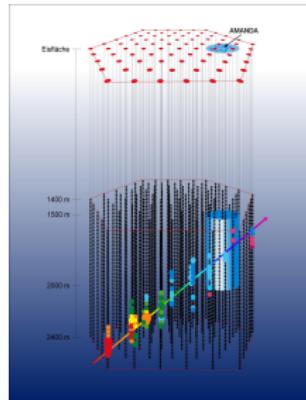


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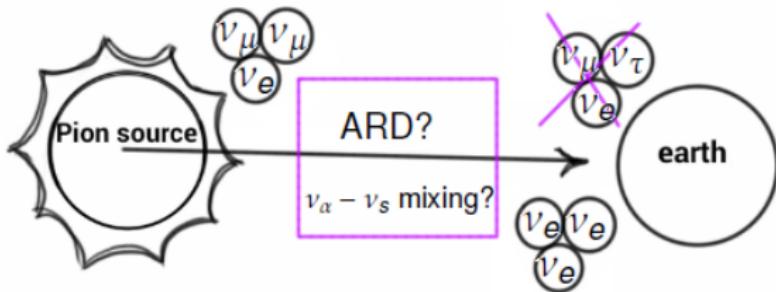
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ν_s in astrophysical flavor ratios at IceCube?

$$(1 : 2 : 0) \rightarrow (1 : 0 : 0)$$

source earth

solution:

include ν_s mixing with ADR (extradim.),
so that $\nu_\mu, \nu_\tau \rightarrow \nu_s$

Neutrino fluxes¹

$$\Phi_\alpha = \sum_\beta P_{\alpha\beta} \Phi_\beta^0 , \quad \alpha, \beta \in \{l, s\}$$

Oscillation probabilities

$$P_{\nu_\beta \rightarrow \nu_\alpha} = P_{\beta\alpha} \approx \sum_j |U_{\beta j}|^2 |U_{\alpha j}|^2$$

¹[Pakvasa, Rodejohann, Weiler, JHEP 0802 (2008) 005]

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$$\tilde{P}_{\beta\alpha} \approx \sum_j |\tilde{U}_{\beta j}(\theta_{\mu s}, \theta_{\tau s})|^2 |\tilde{U}_{\alpha j}(\theta_{\mu s}, \theta_{\tau s})|^2$$

$$\tilde{U} = \frac{1}{\sqrt{6}} \begin{pmatrix} 2 & \sqrt{2}c_\mu & -s_\tau \sqrt{2}s_\mu & c_\tau \sqrt{2}s_\mu \\ -1 & \sqrt{2}c_\mu & -s_\tau \sqrt{2}s_\mu + \sqrt{3}c_\tau & c_\tau \sqrt{2}s_\mu + \sqrt{3}s_\tau \\ -1 & \sqrt{2}c_\mu & -s_\tau \sqrt{2}s_\mu - \sqrt{3}c_\tau & c_\tau \sqrt{2}s_\mu - \sqrt{3}s_\tau \\ -0 & -\sqrt{6}s_\mu & -\sqrt{6}c_\mu s_\tau & \sqrt{6}c_\mu c_\tau \end{pmatrix}$$

$$c_\alpha, c\theta_\alpha \hat{=} \cos \theta_\alpha$$

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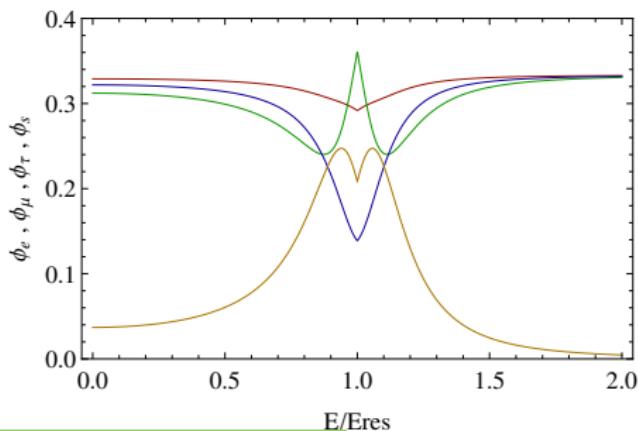
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for $\sin^2 \theta = 0.03$, $\Delta m^2 = 1 \text{ eV}^2$
best fit values from PDG

| | |
|---|-------------|
| — | ϕ_e |
| — | ϕ_μ |
| — | ϕ_τ |
| — | ϕ_s |

[EA, H. Päs, P. Sicking,
arXiv: hep-ph/1410.0408]

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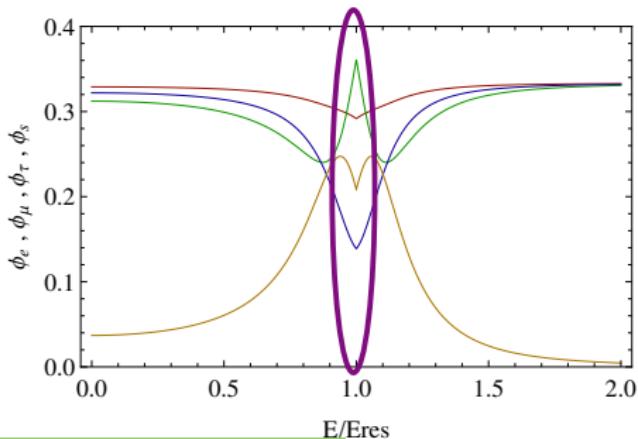
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ν_s in astrophysical flavor ratios at IceCube?

| source | Φ_β^0 | mixing | $\Phi_\beta(\theta^{\alpha s})$ |
|--------------|----------------|----------------------------------|---------------------------------|
| Pion | 1:2:0:0 | none ($\theta^{\alpha s} = 0$) | 1:1:1:0 |
| | | $\nu_e - \nu_s$ | 4:11:11:6 |
| | | $\nu_\mu - \nu_s$ | 5:5:5:3 |
| | | $(\nu_e, \nu_\mu) - \nu_s$ | 32:41:41:30 |
| | | $(\nu_\mu, \nu_\tau) - \nu_s$ | 21:26:10:15 |
| Damped Muon | 0:1:0:0 | none ($\theta^{\alpha s} = 0$) | 4:7:7:0 |
| | | $\nu_e - \nu_s$ | 4:9:9:2 |
| | | $\nu_\mu - \nu_s$ | 1:2:2:1 |
| | | $(\nu_e, \nu_\mu) - \nu_s$ | 16:115:115:42 |
| | | $(\nu_\mu, \nu_\tau) - \nu_s$ | 7:16:4:9 |
| Neutron Beam | 1:0:0:0 | none ($\theta^{\alpha s} = 0$) | 5:2:2:0 |
| | | $\nu_e - \nu_s$ | 2:1:1:2 |
| | | $\nu_\mu - \nu_s$ | 3:1:1:1 |
| | | $(\nu_e, \nu_\mu) - \nu_s$ | 10:1:1:6 |
| | | $(\nu_\mu, \nu_\tau) - \nu_s$ | 35:14:14:9 |

mixing at resonance $E = E_{res}$

ν_s in astrophysical flavor ratios at IceCube?

| source | Φ_β^0 | mixing | $\Phi_\beta(\theta^{as})$ |
|--------------|----------------|---|---|
| Pion | 1:2:0:0 | none ($\theta^{as} = 0$) $\nu_e - \nu_s$ $\nu_\mu - \nu_s$ $(\nu_e, \nu_\mu) - \nu_s$ $(\nu_\mu, \nu_\tau) - \nu_s$ | 1:1:1:0 4:11:11:6 5:5:5:3 32:41:41:30 21:26:10:15 |
| Damped Muon | 0:1:0:0 | none ($\theta^{as} = 0$) $\nu_e - \nu_s$ $\nu_\mu - \nu_s$ $(\nu_e, \nu_\mu) - \nu_s$ $(\nu_\mu, \nu_\tau) - \nu_s$ | 4:7:7:0 4:9:9:2 1:2:2:1 16:115:115:42 7:16:4:9 |
| Neutron Beam | 1:0:0:0 | none ($\theta^{as} = 0$) $\nu_e - \nu_s$ $\nu_\mu - \nu_s$ $(\nu_e, \nu_\mu) - \nu_s$ $(\nu_\mu, \nu_\tau) - \nu_s$ | 5:2:2:0 2:1:1:2 3:1:1:1 10:1:1:6 35:14:14:9 |

additionally examine:

- different sources
- different mixing

mixing at resonance $E = E_{res}$

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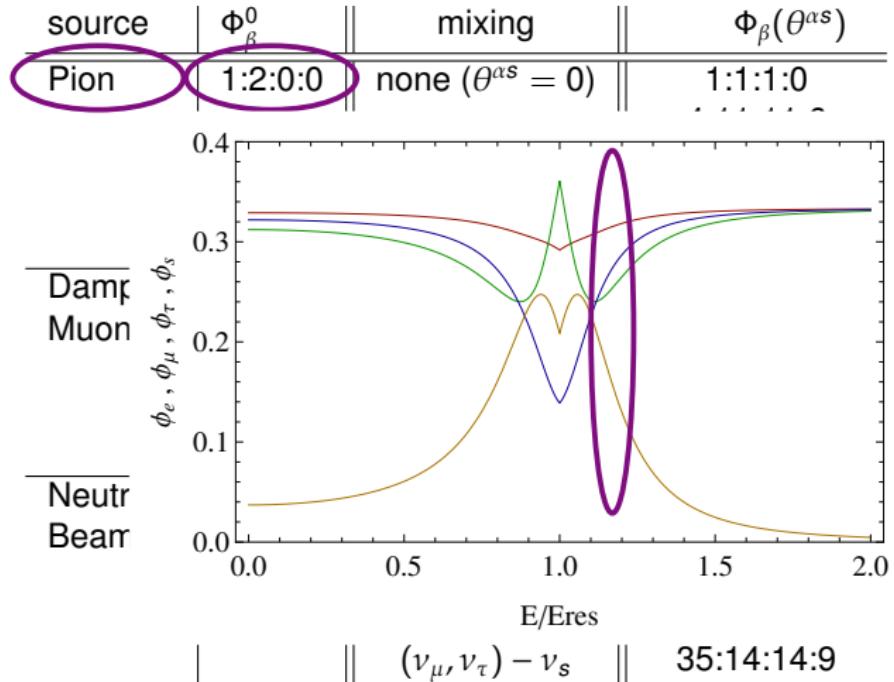
additionally examine:

- different sources
- different mixing

→ even at other energies it doesn't look too good

mixing at resonance $E = E_{res}$

ν_s in astrophysical flavor ratios at IceCube?



additionally
examine:

- different sources
- different mixing

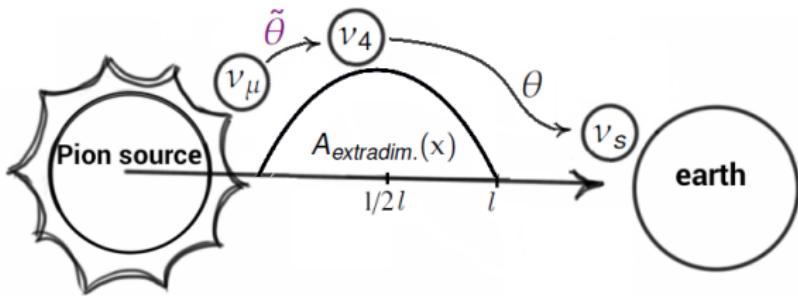
→ even at other energies it doesn't look too good

mixing at resonance $E = E_{\text{res}}$

ν_s in astrophysical flavor ratios at IceCube?

possible solution is an **adiabatic conversion** (MSW-like effect):

- nearly full conversion $\nu_\mu(\nu_\tau) \rightarrow \nu_s$ possible
- extradim. potential is slowly changing: $A_{\text{extradim.}}(x) = \epsilon(x)E$
- runs through resonance, ends in vacuum oscillation with same mass-eigenstate $|\nu_i\rangle$



oscillation probability

$$P_{\nu_e \rightarrow \nu_e} = \underbrace{1 - \frac{1}{2} \sin^2(2\tilde{\theta})}_{\frac{1}{2} - \frac{1}{2} \cos^2(2\tilde{\theta})} \rightarrow \frac{1}{2} - \frac{1}{2} \cos(2\theta) \cos(2\tilde{\theta})$$

[EA, H. Päs, P. Sicking, JCAP 1510 (2015) 10, 005]

ν_s in astrophysical flavor ratios at IceCube?

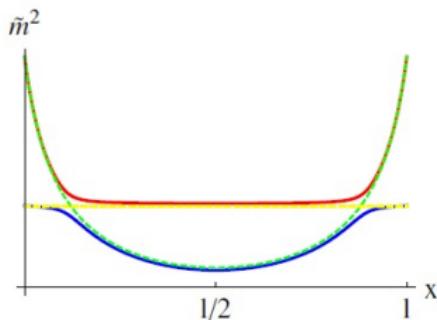
- extradim. potential is slowly changing ($\tau_{\text{system}} \ll \tau_{\text{interaction}}$)

- $A_{\text{ext}}(x) = \epsilon(x)E = \left(1 - \frac{1}{\sqrt{1+k^2 x(l-x)}}\right)E$

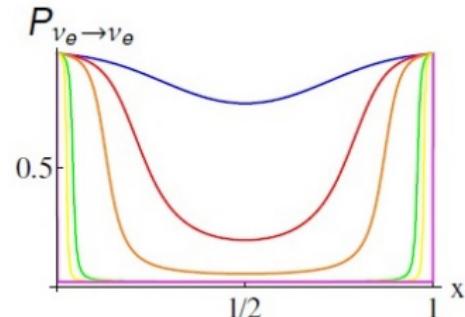
two free parameter: warp factor k , periodic length l

- changing mass eigenstates:

$$\tilde{m}_{1/2}^2 = -\frac{A}{2} \pm \frac{\Delta m^2}{2} \sqrt{\left(\frac{A(x)2E}{\Delta m^2} - \cos(2\theta)\right)^2 + \sin(2\theta)^2}$$



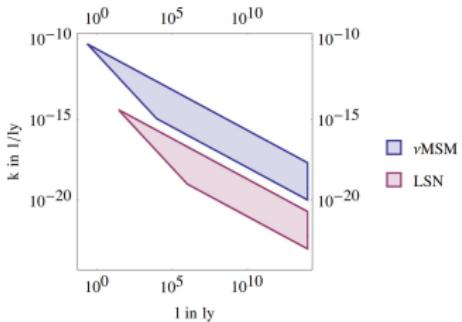
stays in massES, no level crossing



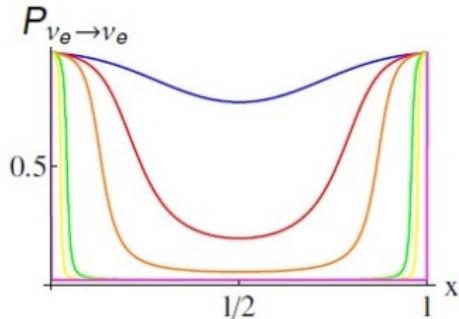
increasing E from blue to purple

ν_s in astrophysical flavor ratios at IceCube?

- extradim. potential is slowly changing ($\tau_{\text{system}} \ll \tau_{\text{interaction}}$)
two free parameter: warp factor k , periodic length l
- average over \bar{P} (travel distance $\gg l$)



limits on l and k



increasing E from blue to purple

nearly full conversion is possible $(1:2:0) \rightarrow (4:1:1)$

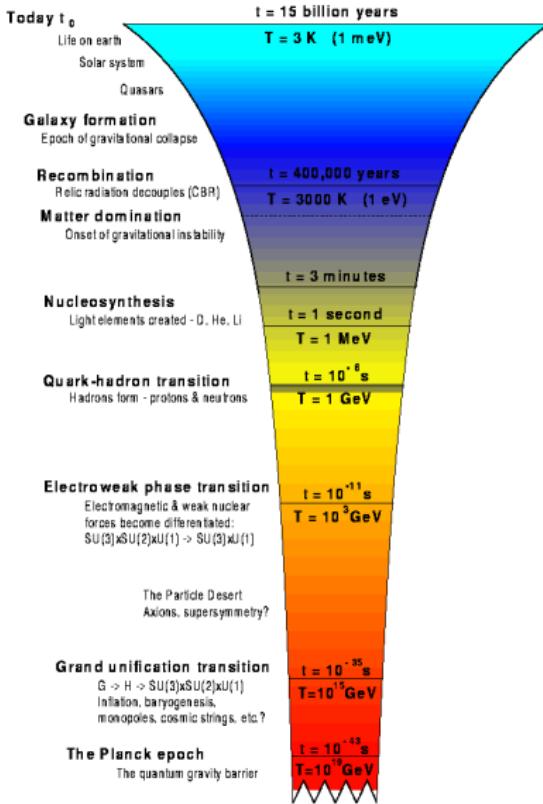
[EA, H. Päs, P. Sicking, JCAP 1510 (2015) 10, 005]

Big Bang Nucleosynthesis

Testing method for new physics, eg. introducing new particles

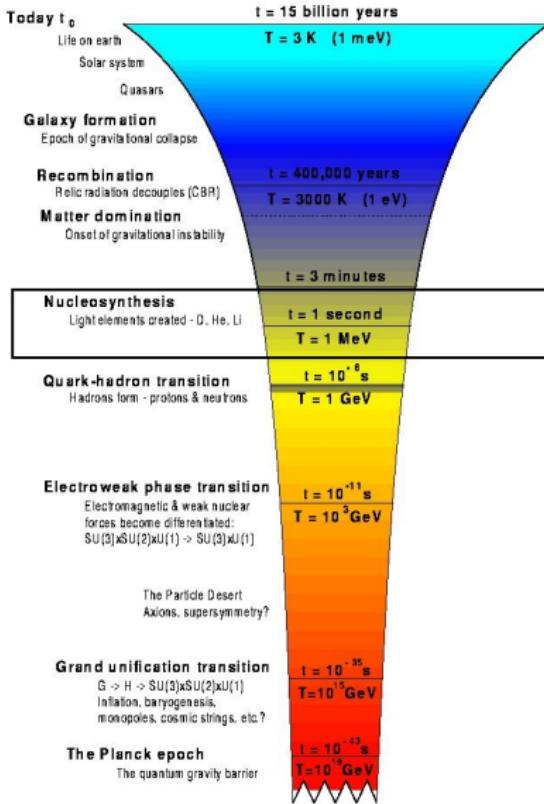
Big Bang Nucleosynthesis

Epochs in the early universe



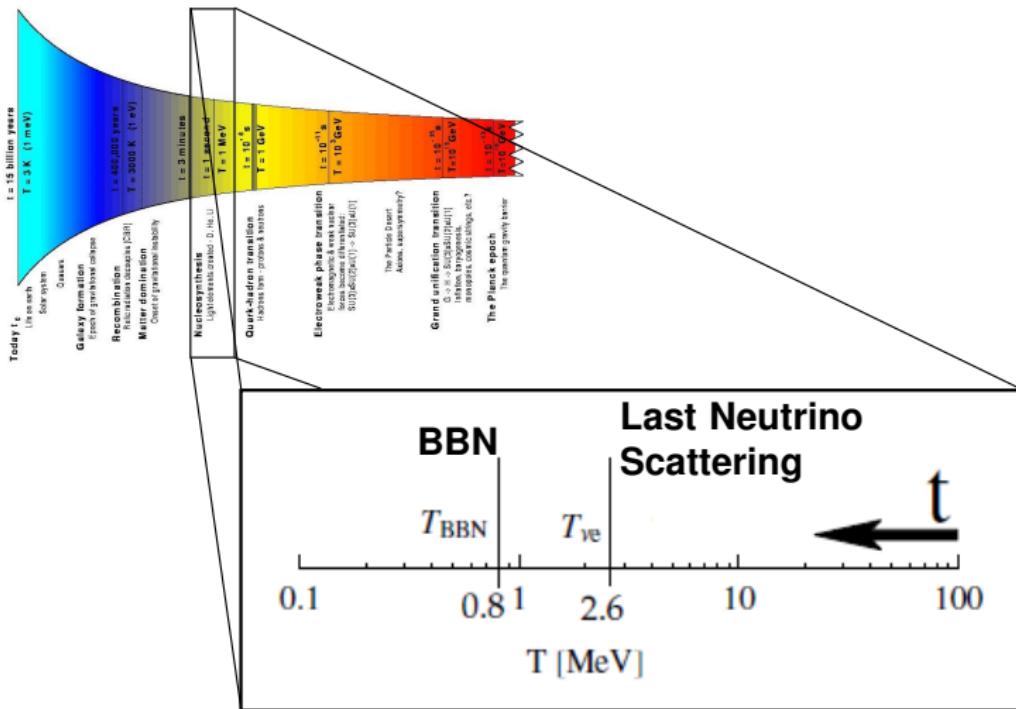
Big Bang Nucleosynthesis

Epochs in the early universe



Big Bang Nucleosynthesis

Time and temperature of the **epochs** in the early universe



Big Bang Nucleosynthesis

Time and temperature of the epochs in the early universe

interactions **freeze out** when: $\Gamma(T_{epoch}) \lesssim H(T_{epoch})$

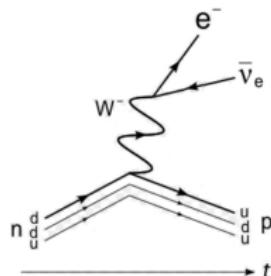
- **BBN (T_{BBN})**

n,p freeze out and
glue to nucleons:

${}^4\text{He}$, De, Li...

weak interaction

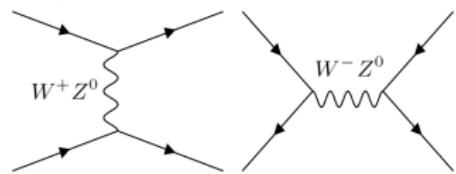
$$\begin{aligned} n &\leftrightarrow p + e^- + \bar{\nu}_e \\ \nu_e + n &\leftrightarrow p + e^- \\ e^+ + n &\leftrightarrow p + \bar{\nu}_e \end{aligned}$$



- **Last Neutrino-Scattering (T_{ν_e})**

lepton-neutrino interaction

$$\begin{aligned} e^- + e^+ &\leftrightarrow \nu_I + \bar{\nu}_I \\ \nu_I + e^- &\leftrightarrow \nu_I + e^- \end{aligned}$$

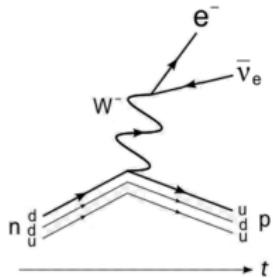
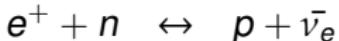
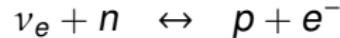


Big Bang Nucleosynthesis

BBN: temperature (T_{BBN}) in the early universe when the weak interactions Γ_{BBN} freeze out

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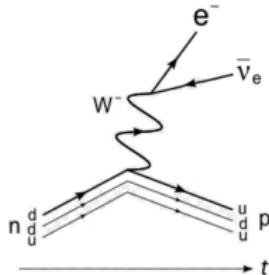
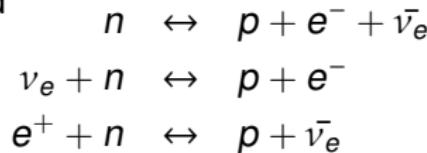


Big Bang Nucleosynthesis

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n,p freeze out and
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→ $T_{BBN}^{theo.}$ reactions freeze out

$$\Gamma_{BBN}(T_{BBN}) \lesssim H(g_{eff}, T_{BBN})$$

→ $T_{BBN}^{exp.}$ precisely measured, since ^4He abundance $Y(^4\text{He})$ set limits

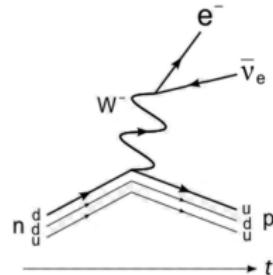
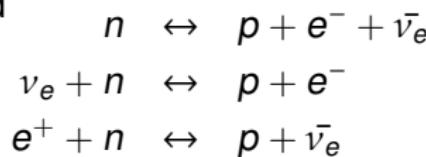
$$n_n/n_p \simeq e^{-\Delta M/T + (\mu_e - \mu_\nu)/T} \propto Y(^4\text{He}) = 0.249 \pm 0.009 \quad (\text{measured})$$

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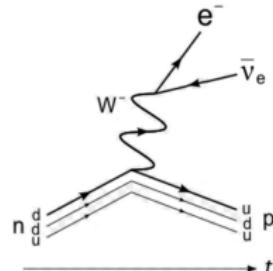
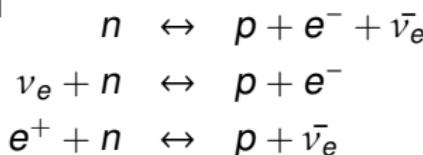
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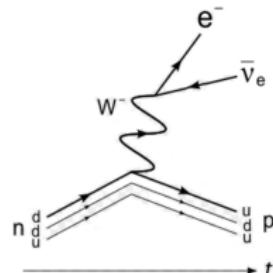
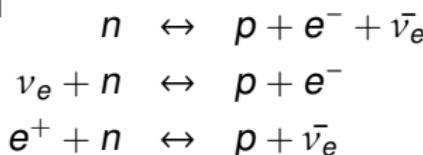
experiment

Big Bang Nucleosynthesis

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$T_{BBN}^{theo.}$ reactions freeze out

$$\Gamma_{BBN}(T_{BBN}) = 2 \langle n_e \cdot \sigma(E_e, p_e) \cdot |\nu_e| \rangle \lesssim H(g_{eff}, T_{BBN})$$

✓ in good agreement!

$T_{BBN}^{exp.}$ precisely measured, since ^4He abundance $Y(^4\text{He})$ set limits

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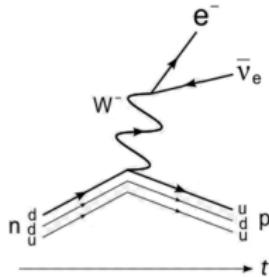
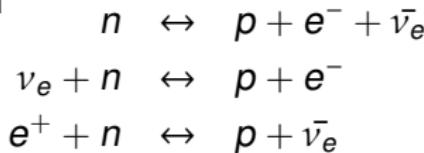
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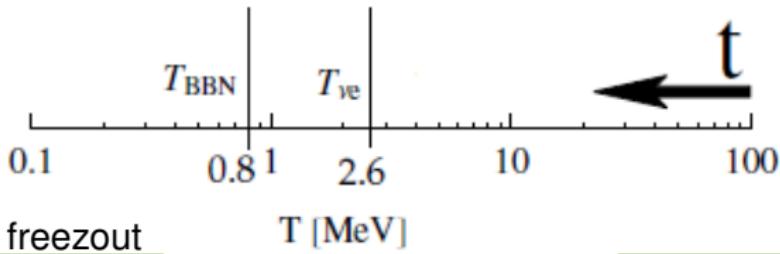
with $\nu_s - \nu_l$ mixing → T_{BBN} changed ↴ **no agreement now!**

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Big Bang Nucleosynthesis

Solution: ν_s -production should be **frozen out** in the time before T_{BBN}



Timeline of freezout

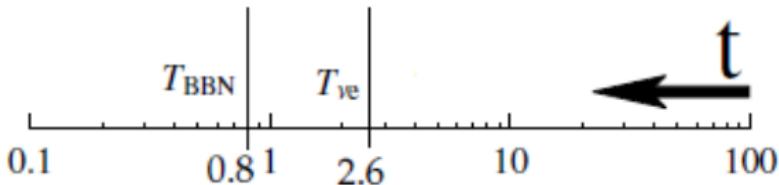
Big Bang Nucleosynthesis

Solution: ν_s -production should be **frozen out** in the time before T_{BBN}

- ν_s (singlets under the SM) are only present through oscillations with active neutrinos. ν_s -production: $\Gamma_{\nu_s} = \langle P_{\nu_\alpha \rightarrow \nu_s} \rangle \Gamma_{\nu_\alpha}$
- The freezing of the active neutrino interactions freeze the $\nu_s - \nu_\alpha$ oscillations at T_{ν_α}

freezing condition of ν_s -production

$$\Gamma_{\nu_s}(T_{\nu_\alpha}) \lesssim H(T_{\nu_\alpha})$$



Timeline of freezout

T [MeV]

Big Bang Nucleosynthesis

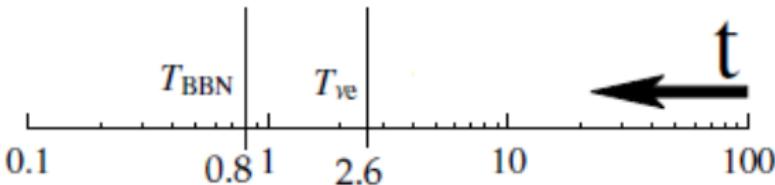
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Timeline of freezout

T [MeV]

Big Bang Nucleosynthesis

freezing condition of ν_s -production

$$\Gamma_{\nu_s} = \underbrace{\langle P_{\nu_\alpha \rightarrow \nu_s} \rangle}_{\text{has to be suppressed}} \quad \Gamma_{\nu_\alpha} \lesssim H$$

$$\langle P_{\nu_l \rightarrow \nu_s}(\sin(2\theta), \Delta m^2) \rangle = \frac{1}{2} \sin^2(2\tilde{\theta}) = \frac{1}{2} \frac{\sin^2(2\theta)}{\cos^2(2\theta) \left(\frac{2E A(E)}{\Delta m^2 \cos(2\theta)} \right)^2 + \sin^2(2\theta)} \rightarrow 0$$

either potential of **ADR** is high enough

or oscillation parameters are suppressed: $\sin(2\theta), \Delta m^2 \rightarrow 0$

Big Bang Nucleosynthesis

freezing condition of ν_s -production

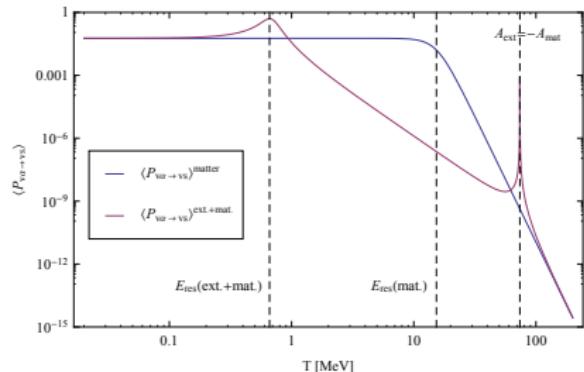
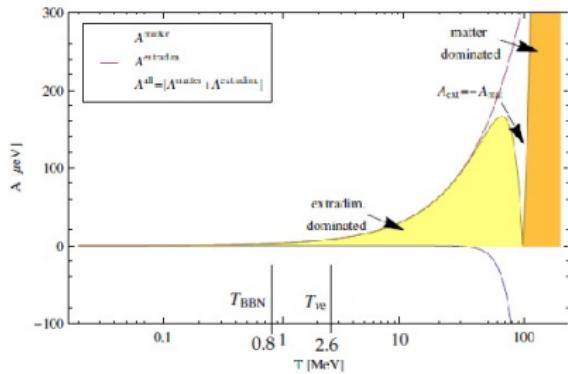
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Big Bang Nucleosynthesis

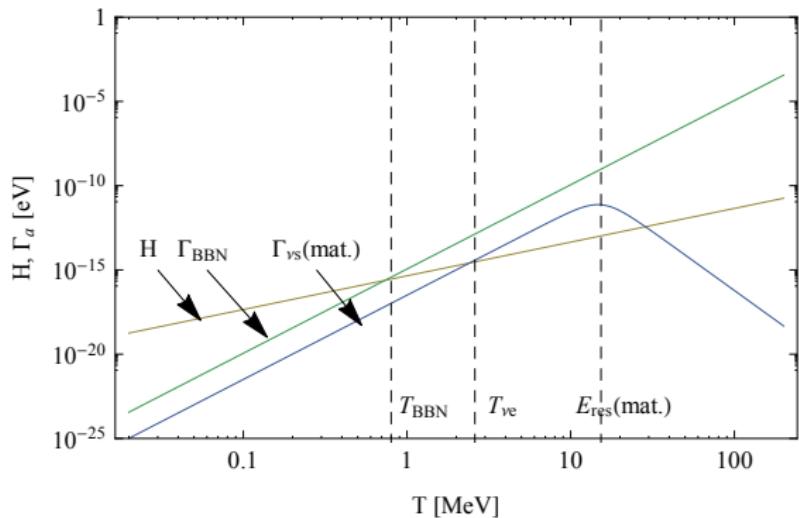


Time evolution of the:

- hot dense **matter potential**: $A_{\text{mat.}} \propto T^5$ oscillation probability $\langle P_{\nu_\alpha \rightarrow \nu_s}(A) \rangle$
- extradimensional potential: $A_{\text{ext.}} \propto T$
- both: $A_{\text{all}} = A_{\text{ext.}} + A_{\text{mat.}} \propto T + T^5$

(used param. $\sin(2\theta) = 0.03$, $\Delta m^2 = 1 \text{ eV}^2$)

Big Bang Nucleosynthesis



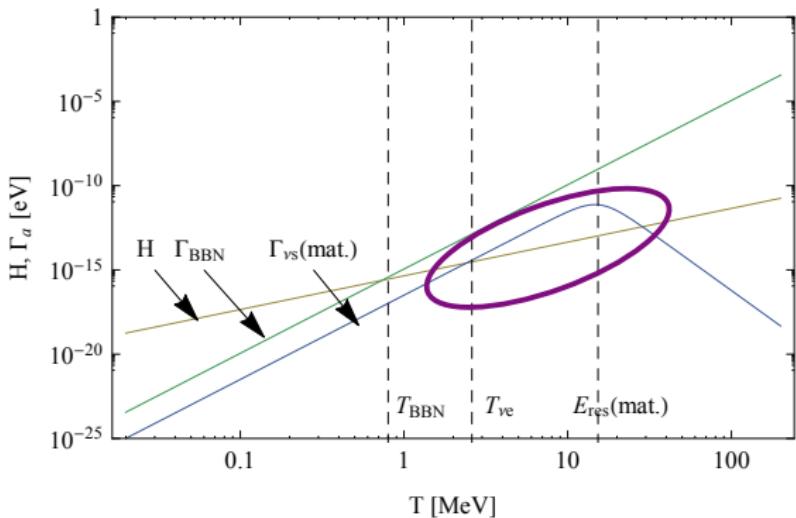
$$\cancel{\tilde{\Gamma}_{\nu_s} \leq H}$$

ν_s -oscillations
are present

To suppress
 ν_s -oscillations:
 $\sin(2\theta), \Delta m^2 \rightarrow 0$

ADR by a hot dense **matter potential** in the early universe: $A_{\text{mat.}} \propto T^5$
 $\tilde{\Gamma}_{\nu_s} = \langle P(A_{\text{mat.}}) \rangle \Gamma_{\nu_\alpha}$
(used param. $\sin(2\theta) = 0.03, \Delta m^2 = 1 \text{ eV}^2$)

Big Bang Nucleosynthesis



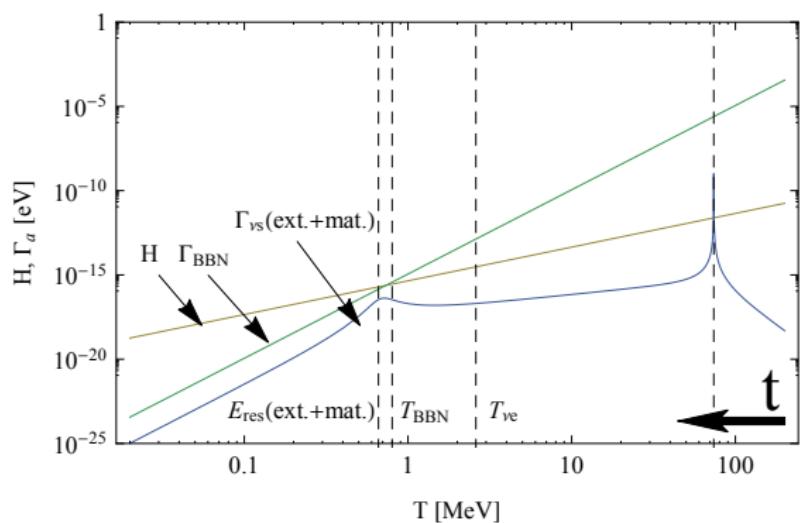
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 $\downarrow \quad \tilde{\Gamma}_{\nu_s} = \langle P(A_{\text{mat.}}) \rangle \Gamma_{\nu_\alpha}$
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Big Bang Nucleosynthesis



$$\tilde{\Gamma}_{\nu_s} < H$$

ADR caused by extra dimensions suppress ν_s -oscillation in the early universe:

$$\sin(2\theta), \Delta m^2 \rightarrow 0$$

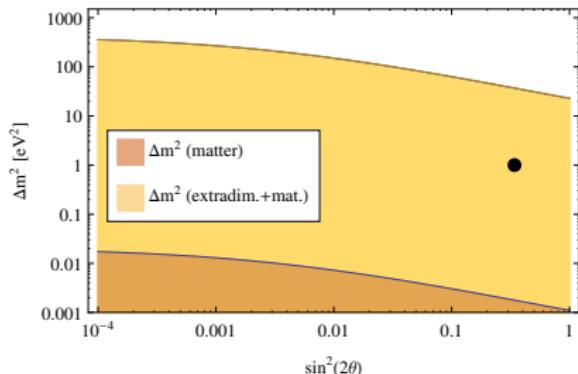
new additional effective potential from **extradim.**: $A_{\text{all}} = A_{\text{ext.}} + A_{\text{mat.}}$

$$\tilde{\Gamma}_{\nu_s} = \langle P(A_{\text{all}}) \rangle \Gamma_{\nu_a}$$

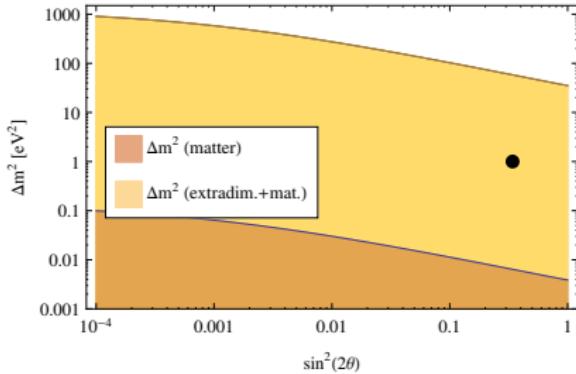
(used param. $\sin(2\theta) = 0.03$, $\Delta m^2 = 1 \text{ eV}^2$)

Big Bang Nucleosynthesis

Reference point is at $\sin^2(\theta_0) = 0.03$, $\Delta m^2 = 1 \text{ eV}^2$, [Kopp et al. JHEP 1305, 050]



$\nu_e - \nu_s$ oscillation



$\nu_{\mu,\tau} - \nu_s$ oscillation

- without extradim. suppressed oscillation parameter
 $\Delta m^2, \sin^2(2\theta) \rightarrow 0$
- larger parameter space allowed with ADR caused by extradim.

[EA, H. Päs, manuscript in preparation]

Summary

Neutrino oscillations with sterile neutrinos and background potentials (ADR) - like extra dimension:

- were constructed to solve neutrino Anomalies (and as an ADD model: weakness of gravity)
- can explain the best fit analysis of high energy IceCube neutrinos via $\nu_s - \nu_I$ mixing with adiabatic conversion ($\Phi_{\nu_\mu}, \Phi_{\nu_\tau} \rightarrow \Phi_{\nu_s}$)
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Thank you!