

Wien – March 22nd, 2012

# Neutrino mass models and sizable $\theta_{13}$

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# Outline

- ▶ experimental milestones
- ▶ tri-bimaximal lepton mixing (until recently)
- ▶ family symmetries like  $A_4$  and  $S_4$
- ▶ direct vs. indirect family symmetry models
  
- ▶ strategies to implement sizable reactor angle  $\theta_{13}$  (post T2K)
  - tri-bimaximal mixing plus corrections
  - non-standard vacuum configurations
  - new family symmetries

# A brief history of neutrino mixing

- ▶ atmospheric neutrinos
  - $\nu_\mu / \bar{\nu}_\mu$  disappear – Super-Kamiokande (1998)
- ▶ accelerator neutrinos
  - $\nu_\mu$  disappear – K2K (2002), MINOS (2006)
  - $\nu_\mu$  converted to  $\nu_\tau$  – OPERA (2010)
  - $\nu_\mu$  converted to  $\nu_e$  – T2K (2011), MINOS (2011)
- ▶ solar neutrinos
  - $\nu_e$  disappear – Chlorine (1998), Gallium (1999 - 2009), Super-Kamiokande (2002), Borexino (2008)
  - $\nu_e$  converted to  $(\nu_\mu + \nu_\tau)$  – SNO (2002)
- ▶ reactor neutrinos
  - $\bar{\nu}_e$  disappear – Double Chooz (2011), Daya Bay (2012)
  - $\bar{\nu}_e$  disappear – KamLAND (2002)

# 2011/2012 indications of a non-zero $\theta_{13}$

T2K [arXiv:1106.2822]

- $\theta_{13} \neq 0$  disfavoured at  $\sim 2.5\sigma$
- $5^\circ \lesssim \theta_{13} \lesssim 18^\circ$  at 90% C.L.

MINOS [arXiv:1108.0015]

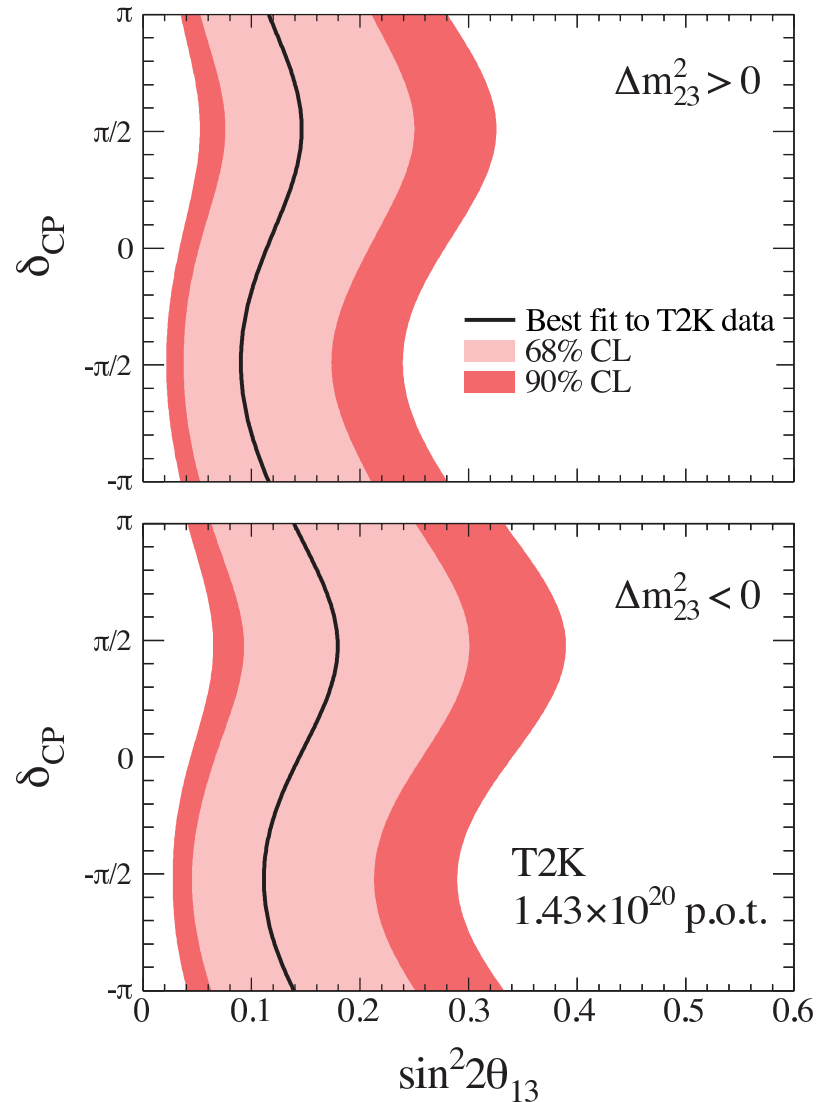
- $\theta_{13} \neq 0$  disfavoured at  $\sim 1.6\sigma$
- $\theta_{13} \lesssim 13^\circ$  at 90% C.L.

Double Chooz [arXiv:1112.6353]

- $\theta_{13} \neq 0$  disfavoured at  $\sim 2\sigma$
- $4^\circ \lesssim \theta_{13} \lesssim 12^\circ$  at 90% C.L.

Daya Bay [arXiv:1203.1669]

- $\theta_{13} \neq 0$  disfavoured at  $\sim 5.2\sigma$
- $7^\circ \lesssim \theta_{13} \lesssim 10^\circ$  at 90% C.L.



# Other unresolved puzzles



- sterile neutrinos?

	yes	no
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	LSND (2001) MiniBooNE (2010)	KARMEN (2002)
$\nu_\mu \rightarrow \nu_e$		MiniBooNE (2007)

- superluminal neutrinos?

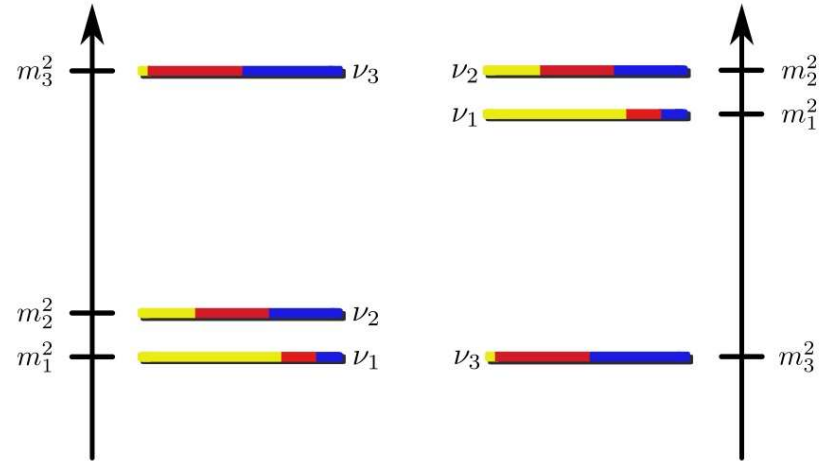
yes	no
OPERA (2011)	SN1987a

- future experiments will have to settle these questions
- not discussed in this talk

# Three neutrino flavour mixing

(in diagonal charged lepton basis)

$$\begin{array}{c} \text{flavour} \\ \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \end{array} = \begin{array}{c} \text{PMNS mixing} \\ \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \end{array} \begin{array}{c} \text{mass} \\ \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \end{array}$$



$$U_{\text{PMNS}} = \begin{array}{c} \text{atmospheric} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \end{array} \begin{array}{c} \text{reactor + Dirac} \\ \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \end{array} \begin{array}{c} \text{solar} \\ \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{array} \begin{array}{c} \text{Majorana} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{\frac{\alpha_2}{2}} & 0 \\ 0 & 0 & e^{\frac{\alpha_3}{2}} \end{pmatrix} \end{array}$$

# Tri-bimaximal lepton mixing vs. global neutrino fits

$$U_{\text{PMNS}} \approx U_{\text{TB}} \equiv \begin{pmatrix} -\frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$



$$\Rightarrow \left\{ \begin{array}{cccc} \text{PMNS-angles} & \text{tri-bimax.} & 1\sigma \text{ exp.} & 1\sigma \text{ exp.} \\ \hline \sin^2 \theta_{12} : & \frac{1}{3} & 0.297 - 0.329 & 0.296 - 0.329 \\ \sin^2 \theta_{23} : & \frac{1}{2} & 0.45 - 0.58 & 0.39 - 0.50 \\ \sin^2 \theta_{13} : & 0 & 0.008 - 0.020 & 0.018 - 0.032 \end{array} \right.$$

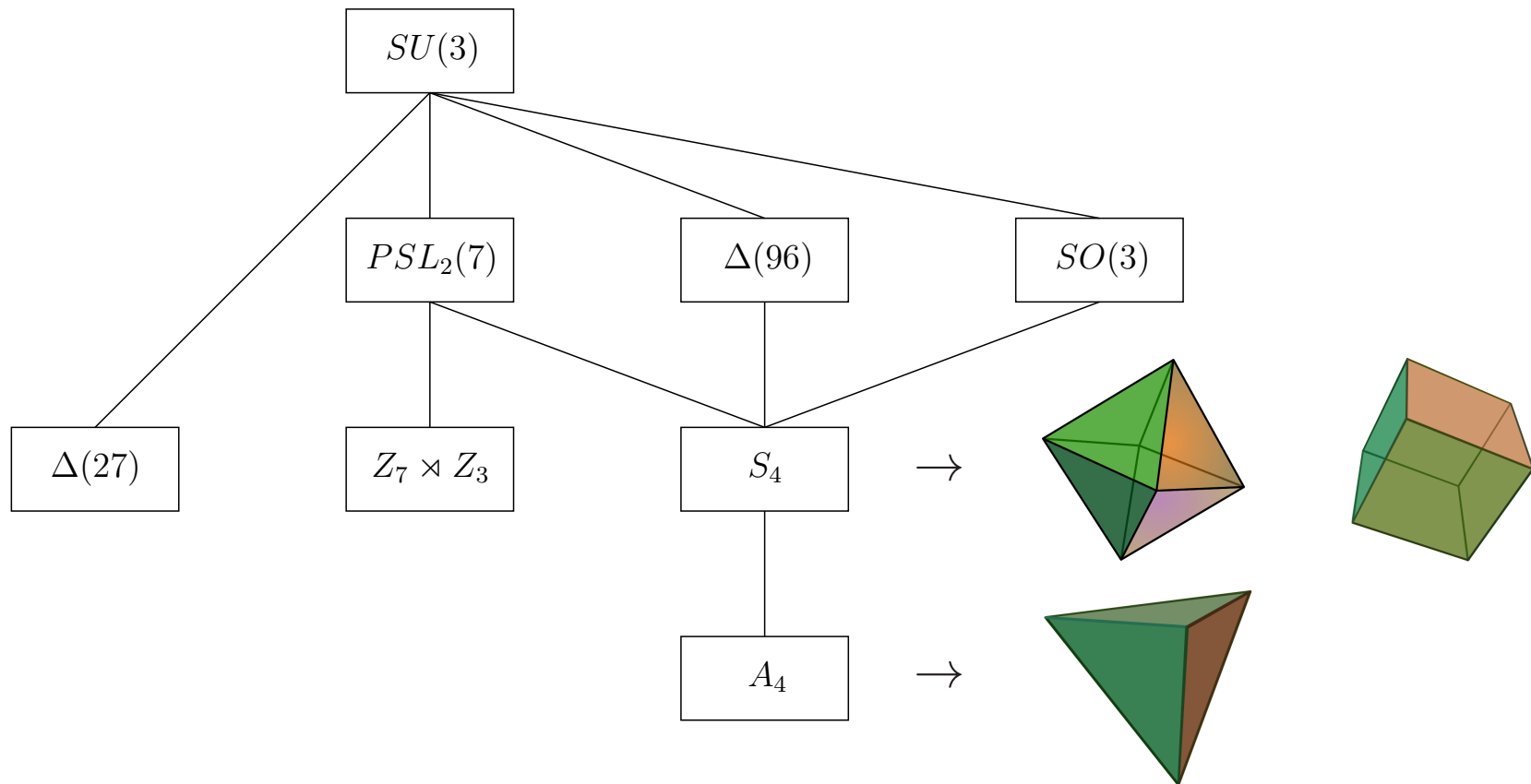
Schwetz et al.  
(2011)

Fogli et al.  
(2011)

- TB mixing fits rather well → motivation for family symmetry, e.g.  $A_4, S_4$
- how to accommodate sizable  $\theta_{13} \sim 5^\circ - 10^\circ$ ?

# Non-Abelian family symmetries

- unify three families in multiplets of family symmetry
- group should have two- or three-dimensional representations



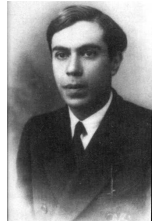


# The Klein symmetry of neutrino mixing

mass basis  $M_\ell^{\text{diag}} = M_\ell$   $M_\nu^{\text{diag}} = U_{\text{PMNS}}^\dagger M_\nu U_{\text{PMNS}}^*$

$M_\ell^{\text{diag}} = \tilde{h}^T M_\ell^{\text{diag}} \tilde{h}^*$   $M_\nu^{\text{diag}} = \tilde{k}^T M_\nu^{\text{diag}} \tilde{k}$

$\tilde{h} = \text{diag}(1, e^{\frac{4\pi i}{3}}, e^{\frac{2\pi i}{3}})$   $\tilde{k} = \text{diag}(\pm 1, \pm 1, \pm 1)$



flavour basis  $M_\ell = h^T M_\ell h^*$   $M_\nu = k^T M_\nu k$

$h = \tilde{h}$   $k = U_{\text{PMNS}}^* \tilde{k} U_{\text{PMNS}}^T$

→ Klein symmetry  $\mathcal{K} = \{1, k_1, k_2, k_3\}$  of  $M_\nu$  depends on  $U_{\text{PMNS}}$

for TB mixing:

$$k_1 = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad k_2 = - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad k_3 = k_1 k_2$$



# Direct vs. indirect models

## ► direct models

- Klein symmetry  $\mathcal{K} \subset$  family symmetry  $\mathcal{G}$
- generate Yukawa couplings dynamically through flavon fields  $\phi$

$$\text{neutrino sector } \mathcal{K} \text{ symmetric: } \mathcal{L}_\nu(\phi_{\mathcal{K}}) \quad k_i \langle \phi_{\mathcal{K}} \rangle = \langle \phi_{\mathcal{K}} \rangle$$

$$\text{charged lepton sector diagonal: } \mathcal{L}_\ell(\phi_{\mathcal{H}}) \quad h \langle \phi_{\mathcal{H}} \rangle = \langle \phi_{\mathcal{H}} \rangle$$

- for TB mixing  $(k_1, k_2, h)$  generate  $S_4$

## ► indirect models

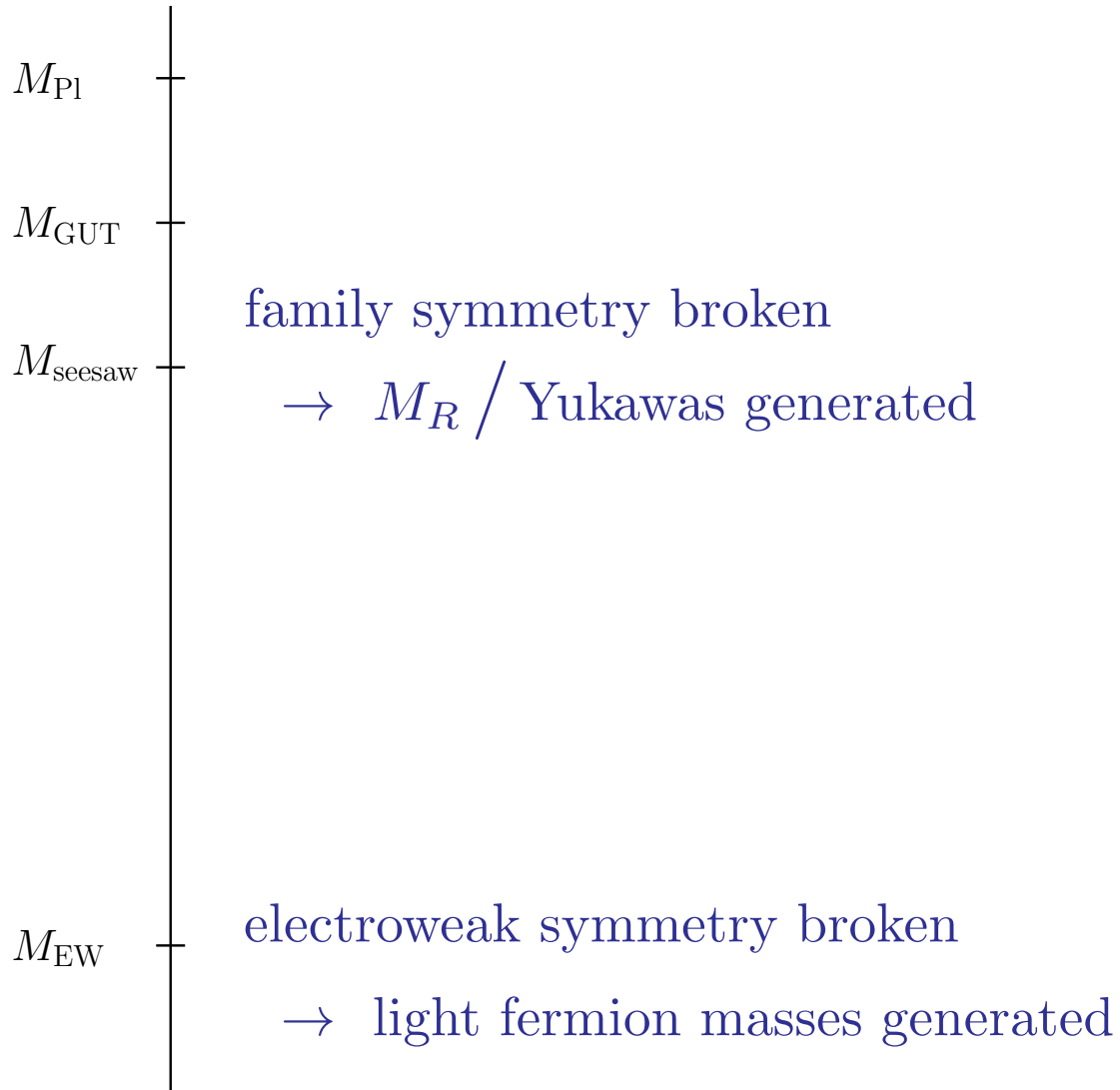
- Klein symmetry  $\mathcal{K}$  not necessarily  $\subset$  family symmetry  $\mathcal{G}$
- $\mathcal{G}$  responsible for generating particular flavon VEV configurations

- for TB mixing

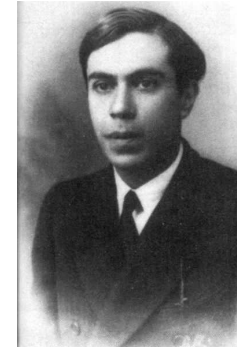
$$\langle \phi_1 \rangle \propto \begin{pmatrix} -2 \\ 1 \\ 1 \end{pmatrix} \quad \langle \phi_2 \rangle \propto \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \quad \langle \phi_3 \rangle \propto \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}$$

$$\Rightarrow \mathcal{L}_\nu \sim \nu (\phi_1 \phi_1^T + \phi_2 \phi_2^T + \phi_3 \phi_3^T) \nu H H$$

# Typical model setup



ingredients:



Majorana  $\nu_L$



seesaw

# Implementing sizable $\theta_{13}$

## direct models

## indirect models

- (I) TB plus corrections
  - (a) charged lepton sector
  - (b) RG running
  - (c) higher order operators
  - (d) extra TB breaking flavon field

- (I) TB plus corrections
  - (a) charged lepton sector
  - (b) RG running

- (II) non-standard flavon VEV configurations

- (III) other family symmetries with non-standard  $\mathcal{K}$

(I a) Charged lepton corrections

# Lepton mixing with TB neutrino mixing

- charged lepton mass matrix may not be exactly diagonal (GUTs)
- $U_{\text{PMNS}} = V_{\ell_L} V_{\nu_L}^\dagger$
- $V_{\ell_L}^\dagger = \underbrace{U_{23}^{\ell_L} U_{13}^{\ell_L} U_{12}^{\ell_L}}_{\text{small mixing}}$  from  $M_\ell$  and  $V_{\nu_L}^\dagger = \underbrace{U_{23}^{\nu_L} U_{13}^{\nu_L} U_{12}^{\nu_L}}_{U_{TB}}$  from  $M_\nu$

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & \hat{s}_{23} \\ 0 & -\hat{s}_{23}^* & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & \hat{s}_{13} \\ 0 & 1 & 0 \\ -\hat{s}_{13}^* & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & \hat{s}_{12} & 0 \\ -\hat{s}_{12}^* & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$s_{12} e^{i\delta_{12}} \approx \frac{1}{\sqrt{3}} \left( e^{i\delta_{12}^\nu} - \theta_{12}^\ell e^{i\delta_{12}^\ell} + \theta_{13}^\ell e^{i(\delta_{13}^\ell - \delta_{23}^\nu)} \right)$$

$$s_{23} e^{i\delta_{23}} \approx \frac{1}{\sqrt{2}} \left( e^{i\delta_{23}^\nu} - \theta_{23}^\ell e^{i\delta_{23}^\ell} \right)$$

$$s_{13} e^{i\delta_{13}} \approx \frac{1}{\sqrt{2}} \left( -\theta_{12}^\ell e^{i(\delta_{12}^\ell + \delta_{23}^\nu)} - \theta_{13}^\ell e^{i\delta_{13}^\ell} \right)$$

$$c_{ij} = \cos \theta_{ij}$$

$$\hat{s}_{ij} = \sin \theta_{ij} e^{-i\delta_{ij}}$$

# Charged lepton mixing in $SU(5)$

up sector  $\mathbf{10} \mathbf{10} \mathbf{5}_H$

$$M_u \sim \begin{pmatrix} \lambda^8 & * & * \\ * & \lambda^4 & * \\ * & * & 1 \end{pmatrix} v_u$$

- symmetric by definition
- mainly diagonal (e.g.  $M_{12}^u \lesssim \lambda^6$ )
- Cabibbo mixing from down sector

down sector  $\bar{\mathbf{5}} \mathbf{10} \bar{\mathbf{5}}_H$  &  $\bar{\mathbf{5}} \mathbf{10} \mathbf{45}_H$

$$M_d \sim \begin{pmatrix} 0 & \lambda^3 & * \\ \lambda^3 & \lambda^2 & * \\ * & * & 1 \end{pmatrix} v_d$$

$$M_\ell \sim \begin{pmatrix} 0 & \lambda^3 & * \\ \lambda^3 & \mathbf{3} \lambda^2 & * \\ * & * & 1 \end{pmatrix} v_d$$

- Georgi-Jarlskog relations  $m_b \sim m_\tau$ ,  $m_s \sim \frac{1}{3} m_\mu$ ,  $m_d \sim \mathbf{3} m_e$
- Gatto-Sartori-Tonin relation  $M_{12}^d = M_{21}^d \rightarrow \theta_{12}^d \sim \sqrt{\frac{m_d}{m_s}} \sim \lambda$
- charged lepton mixing  $\theta_{12}^\ell \sim \frac{1}{3} \lambda \sim 4^\circ$

Does it give  $\theta_{13} \sim 5^\circ - 10^\circ$ ?

$$s_{12} e^{i\delta_{12}} \approx \frac{1}{\sqrt{3}} \left( e^{i\delta_{12}^\nu} - \theta_{12}^\ell e^{i\delta_{12}^\ell} + \theta_{13}^\ell e^{i(\delta_{13}^\ell - \delta_{23}^\nu)} \right)$$

$$s_{23} e^{i\delta_{23}} \approx \frac{1}{\sqrt{2}} \left( e^{i\delta_{23}^\nu} - \theta_{23}^\ell e^{i\delta_{23}^\ell} \right)$$

$$s_{13} e^{i\delta_{13}} \approx \frac{1}{\sqrt{2}} \left( -\theta_{12}^\ell e^{i(\delta_{12}^\ell + \delta_{23}^\nu)} - \theta_{13}^\ell e^{i\delta_{13}^\ell} \right)$$

- $\theta_{12}^\ell$  alone  $\rightarrow$   $\theta_{13} \sim \frac{\lambda/3}{\sqrt{2}} \sim 3^\circ$  too small
- give up Georgi-Jarlskog [Antusch, Maurer \(2011\)](#); [Marzocca et al. \(2011\)](#)
- make use of  $\theta_{13}^\ell$  (requires non-symmetric  $M_d$ )
- sizable  $\theta_{12}^\ell$  and/or  $\theta_{13}^\ell$  might lead to a disfavoured solar angle  $\theta_{12}$  !!!
- special phases are essential to avoid this



## (I b) RG corrections

(too small – at least with a normal neutrino mass hierarchy)

(I c) Higher order corrections

(too small – without extending the model ... )

(I d) Adding a new TB breaking flavon

(direct models)

# A direct $S_4$ model

matter	$L$	$\tau^c$	$\mu^c$	$e^c$	$N^c$	$H_u$	$H_d$
$S_4$	<b>3</b>	<b>1'</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>1</b>	<b>1</b>
$Z_3^\nu$	1	2	2	2	2	0	0
$Z_3^\ell$	0	2	1	0	0	0	0

King, Luhn (2011)

$$\langle \varphi_\ell \rangle = \begin{pmatrix} 0 \\ v_\ell \\ 0 \end{pmatrix} \quad \langle \eta_\mu \rangle = \begin{pmatrix} 0 \\ w_\mu \end{pmatrix}$$

$$\langle \eta_e \rangle = \begin{pmatrix} w_e \\ 0 \end{pmatrix}$$

flavons	$\varphi_\ell$	$\eta_\mu$	$\eta_e$	$\varphi_\nu$	$\eta_\nu$	$\xi_\nu$	$\zeta_\nu$
$S_4$	<b>3'</b>	<b>2</b>	<b>2</b>	<b>3'</b>	<b>2</b>	<b>1</b>	<b>1'</b>
$Z_3^\nu$	0	0	0	2	2	2	0
$Z_3^\ell$	1	1	2	0	0	0	0

$$\langle \varphi_\nu \rangle = v_\nu \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \quad \langle \eta_\nu \rangle = w_\nu \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad \langle \xi_\nu \rangle = u_\nu \quad \langle \zeta_\nu \rangle = z_\nu$$

# Charged lepton sector

$$W_\ell \sim \left[ \frac{1}{M} (L\varphi_\ell)_{1'} \tau^c + \frac{1}{M^2} (L\varphi_\ell)_2 \eta_\mu \mu^c + \frac{1}{M^2} (L\varphi_\ell)_2 \eta_e e^c \right] H_d$$

- $Z_3^\ell$  controls pairing of flavons with right-handed charged fermions
- different  $S_4$  contractions of  $(L\varphi_\ell)$  pick out different  $L_i$  components

$$(L\varphi_\ell)_{1'} = L_1\varphi_{\ell 1} + L_2\varphi_{\ell 3} + L_3\varphi_{\ell 2} \rightarrow L_3$$

$$(L\varphi_\ell)_2 = \begin{pmatrix} L_1\varphi_{\ell 3} + L_2\varphi_{\ell 2} + L_3\varphi_{\ell 1} \\ L_1\varphi_{\ell 2} + L_2\varphi_{\ell 1} + L_3\varphi_{\ell 3} \end{pmatrix} \rightarrow \begin{pmatrix} L_2 \\ L_1 \end{pmatrix}$$

- mass matrix diagonal by construction
- $m_\tau$  heavier than  $m_\mu$  and  $m_e$
- hierarchy between  $m_\mu$  and  $m_e$  due to hierarchy of VEVs  $w_\mu$  and  $w_e$
- just a toy model of charged lepton sector (with GUTs off-diagonals)

# Neutrino sector

$$W_\nu \sim LN^c H_u + (\varphi_\nu + \eta_\nu + \xi_\nu) N^c N^c + \frac{1}{M} \zeta_\nu \eta_\nu N^c N^c$$

- trivial Dirac neutrino Yukawa ✓
- neutrino mixing governed by heavy right-handed neutrinos
- $S_4$  multiplication rule ( $N^c \sim \mathbf{3}$ )

$$\mathbf{3} \otimes \mathbf{3} = (\mathbf{3}' + \mathbf{2} + \mathbf{1})_s + \mathbf{3}_a$$

- three TB conserving flavons  $\varphi_\nu$   $\eta_\nu$   $\xi_\nu$
- $\zeta_\nu$  flavon is neutral except for  $S_4$  ( $\zeta_\nu \sim \mathbf{1}'$ )

$$\mathbf{1}' \otimes (\mathbf{3} \otimes \mathbf{3}) = (\mathbf{3} + \mathbf{2} + \mathbf{1}')_s + \mathbf{3}'_a$$

- only one extra term involving  $\zeta_\nu$
- this **breaks TB** structure (at higher order) ...

# Breaking of the TB Klein symmetry $\mathcal{K}$

Dirac term  $LN^c H_u$  respects  $\mathcal{K} \subset S_4$

Majorana terms  $(\varphi_\nu + \eta_\nu + \xi_\nu + \frac{1}{M}\zeta_\nu\eta_\nu) N^c N^c$  respect  $k_1$  but **break  $k_2$**

$S_4$ irrep	$k_1$	$k_2$	alignment
$\mathbf{3}'$	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$\langle \varphi_\nu \rangle \propto \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$
$\mathbf{2}$	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$	$\langle \eta_\nu \rangle \propto \begin{pmatrix} 1 \\ 1 \end{pmatrix}$
$\mathbf{1}$	1	1	$\langle \xi_\nu \rangle \propto 1$
$\mathbf{1}'$	1	<b>-1</b>	$\langle \zeta_\nu \rangle \propto 1$

# Resulting mixing

$$M_R = \frac{M_1+M_3}{6} \begin{pmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{pmatrix} + \frac{2M_2+M_3-M_1}{6} \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix} + \frac{M_1+M_2-M_3}{3} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$+ \Delta \begin{pmatrix} 0 & 1 & -1 \\ 1 & -1 & 0 \\ -1 & 0 & 1 \end{pmatrix} \quad \leftarrow \text{small TB breaking term}$$

$$\Rightarrow U_{\text{PMNS}} \approx \begin{pmatrix} -\frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{2}{\sqrt{6}}\alpha^* \\ \frac{1}{\sqrt{6}} - \frac{1}{\sqrt{2}}\alpha & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} + \frac{1}{\sqrt{6}}\alpha^* \\ \frac{1}{\sqrt{6}} + \frac{1}{\sqrt{2}}\alpha & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} + \frac{1}{\sqrt{6}}\alpha^* \end{pmatrix}$$

$$\text{Re } \alpha \approx -\sqrt{3} \cdot \left[ \text{Re} \left( \frac{\Delta}{M_1 - M_3} \right) + \text{Im} \left( \frac{\Delta}{M_1 - M_3} \right) \frac{\text{Im} \left( \frac{M_1+M_3}{M_1-M_3} \right)}{\text{Re} \left( \frac{M_1+M_3}{M_1-M_3} \right)} \right]$$

$$\text{Im } \alpha \approx \sqrt{3} \cdot \frac{\text{Im} \left( \frac{\Delta}{M_1 - M_3} \right)}{\text{Re} \left( \frac{M_1+M_3}{M_1-M_3} \right)}$$



# Trimaximal neutrino mixing

- second column of  $U_{\text{PMNS}} \propto (1, 1, 1)^T$
- one could have guessed this special structure
  - (i)  $(1, 1, 1)^T$  is an eigenvector of  $M_R$
  - (ii)  $k_1$  generator of TB Klein symmetry  $\mathcal{K}$  unbroken
- such a TB breaking affects  $\theta_{13}$  and  $\theta_{23}$  – but not  $\theta_{12}$
- get correlations between deviation parameters  $r, a, s$

$$\sin \theta_{13} = \frac{1}{\sqrt{2}} r \quad \sin \theta_{23} = \frac{1}{\sqrt{2}} (1 + a) \quad \sin \theta_{12} = \frac{1}{\sqrt{3}} (1 + s)$$

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$$r \cos \delta \approx -\frac{2}{\sqrt{3}} \operatorname{Re} \alpha \quad a \approx \frac{1}{\sqrt{3}} \operatorname{Re} \alpha \quad \delta \approx \pi + \arg \alpha$$

→ testable sum rules

$a \approx -\frac{1}{2} r \cos \delta$	$s \approx 0$
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# Alignment mechanism

- SUSY unbroken at scale of family symmetry breaking
- $F$ -terms of driving fields need to vanish

$$\begin{aligned}
 W_{\text{flavon}} = & \varphi_\nu^0 (g_1 \varphi_\nu \varphi_\nu + g_2 \varphi_\nu \xi_\nu + g_3 \varphi_\nu \eta_\nu) \\
 & + \tilde{\varphi}_\nu^0 (g_4 \varphi_\nu \eta_\nu) \\
 & + \xi^0 (g_5 \varphi_\nu \varphi_\nu + g_6 \xi_\nu \xi_\nu + g_7 \eta_\nu \eta_\nu) \\
 & + D_\nu^0 (g_8 \zeta_\nu \zeta_\nu + g_9 M_0^2) \\
 & + \varphi_\ell^0 (h_1 \varphi_\ell \varphi_\ell + h_2 \varphi_\ell \eta_\mu) \\
 & + \xi_\ell^0 (h_3 \varphi_\ell \varphi_\ell + h_4 \eta_\mu \eta_\mu) \\
 & + \eta_\ell^0 (\tilde{M}_0 \eta_e + h_5 \zeta_\nu \eta_e + h_6 \eta_\mu \eta_\mu + h_7 \varphi_\ell \varphi_\ell) \\
 & + D_\ell^0 (h_8 \eta_\mu \eta_e + h_9 M_0^2)
 \end{aligned}$$

- yields previously assumed flavon alignments
- get hierarchy  $m_e \ll m_\mu$  from  $\tilde{M}_0 \gg M_0$

## (II) Non-standard flavon alignments

(indirect models)

# TB from constrained sequential dominance

- diagonal charged leptons
- diagonal right-handed neutrinos  $M_R = \text{diag}(M_A, M_B, M_C)$
- Dirac neutrino Yukawa matrix  $Y_\nu = (A, B, C)$

- type I seesaw

$$M_\nu = \left( \frac{AA^T}{M_A} + \frac{BB^T}{M_B} + \frac{CC^T}{M_C} \right) v_u^2$$

- sequential dominance assumes hierarchy  $\frac{AA^T}{M_A} \gg \frac{BB^T}{M_B} \gg \frac{CC^T}{M_C}$
- implies normal neutrino mass hierarchy  $m_3^\nu \gg m_2^\nu \gg m_1^\nu \approx 0$
- consider only dominant ( $A$ ) and subdominant ( $B$ ) contributions
- constrained sequential dominance assumes specific  $A$  and  $B$
- family symmetry models  $\rightarrow$  identify  $A$  and  $B$  with flavon VEVs

# Standard and non-standard alignments

TB structure:

dominant flavon

subdominant flavon

$$\langle \phi_A \rangle \propto \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}$$

$$\langle \phi_B \rangle \propto \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$$M_\nu = a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix} + b \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

non-TB structure:

dominant flavon

subdominant flavon

$$\langle \phi_A \rangle \propto \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}$$

$$\langle \phi_B \rangle \propto \begin{pmatrix} 1 \\ 2 \\ 0 \end{pmatrix}$$

$$M_\nu = a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix} + b \begin{pmatrix} 1 & 2 & 0 \\ 2 & 4 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

what mixing does this induce?

# Resulting mixing

- hierarchy  $a \gg b \rightarrow$  define expansion parameter  $\frac{b}{a} = \epsilon e^{i\delta}$

$$\boxed{\frac{m_2^\nu}{m_3^\nu} \approx \frac{3}{2} \epsilon} \quad \theta_{13} = \frac{1}{\sqrt{2}} \epsilon \quad \theta_{23} = \frac{\pi}{4} + \epsilon \cos \delta \quad \theta_{12} = \arcsin \frac{1}{\sqrt{3}}$$

- correlation between neutrino mass ratio and mixing angles
- normal mass hierarchy with  $m_1^\nu = 0 \rightarrow$  know  $\epsilon \approx \frac{2}{15}$

get a prediction for reactor angle  $\theta_{13} \sim 5^\circ - 6^\circ$

- sum rules in terms of deviation parameters  $r, a, s$

$$\boxed{a \approx r \cos \delta \quad s \approx 0} \quad \leftarrow \text{different from previous ones}$$

- no change in solar angle  $\theta_{12}$  (from TB value)
- first column of  $U_{TB} \propto (-2, 1, 1)^T$  is an eigenvector of  $M_\nu$

# Ingredients of an indirect $A_4$ model

matter	$L$	$\tau^c$	$\mu^c$	$e^c$	$N_3^c$	$N_2^c$	$H_u$	$H_d$
$A_4$	<b>3</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>

Antusch, King,  
Luhn, Spinrath (2011)

$$\begin{aligned}
 W_{\text{Yuk}} \sim & \frac{1}{M} \left[ (L\phi_3^\ell)\tau^c + (L\phi_2^\ell)\mu^c + (L\phi_1^\ell)e^c \right] H_d \\
 & + \frac{1}{M} \left[ (L\phi_3^\nu)N_3^c + (L\phi_{120}^\nu)N_2^c \right] H_u
 \end{aligned}$$

$$\begin{aligned}
 \langle \phi_3^\ell \rangle & \propto \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} & \langle \phi_2^\ell \rangle & \propto \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} & \langle \phi_1^\ell \rangle & \propto \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \\
 \langle \phi_3^\nu \rangle & \propto \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} & \langle \phi_2^\nu \rangle & \propto \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} & \langle \phi_1^\nu \rangle & \propto \begin{pmatrix} -2 \\ 1 \\ 1 \end{pmatrix} & \langle \phi_{120}^\nu \rangle & \propto \begin{pmatrix} 1 \\ 2 \\ 0 \end{pmatrix}
 \end{aligned}$$

$Z_N$  symmetries to control pairing of flavons with  $\tau^c, \mu^c, e^c, N_3^c, N_2^c$

# Obtaining the non-standard alignment $\phi_{120}^\nu$

- construct standard alignments  $\phi_i^\ell$  and  $\phi_i^\nu$  ( $i = 1, 2, 3$ )
- add  $A_4$  singlet driving fields  $D_k$  which produce orthogonality relations

$$D_1(\phi_{120}^\nu \cdot \phi_3^\ell) \rightarrow \langle \phi_{120}^\nu \rangle \propto \begin{pmatrix} x \\ y \\ 0 \end{pmatrix}$$

$$D_2(\phi_{120}^\nu \cdot \phi_1^\nu) \rightarrow \langle \phi_{120}^\nu \rangle \propto \begin{pmatrix} 1 \\ 2 \\ 0 \end{pmatrix}$$

- 
- similarly one could get alignment  $(1, 0, 2)^T$
  - driving fields charged under  $Z_N$  shaping symmetries



(III) Finite groups with new Klein symmetries

(direct models)

# Family symmetry $\Delta(96) \supset S_4$

charged leptons  $h = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{\frac{4\pi i}{3}} & 0 \\ 0 & 0 & e^{\frac{2\pi i}{3}} \end{pmatrix}$

neutrinos  $k_1 = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix} \quad k_2 = -\frac{1}{3} \begin{pmatrix} 1 & 1 + \sqrt{3} & 1 - \sqrt{3} \\ 1 + \sqrt{3} & 1 - \sqrt{3} & 1 \\ 1 - \sqrt{3} & 1 & 1 + \sqrt{3} \end{pmatrix}$

→ generators  $h$  and  $k_1$  are common with  $S_4$

→ trimaximal mixing due to  $k_1$  symmetry

PMNS-angles	Adelhart Toorop et al. (2011)	$1\sigma$ exp.	$1\sigma$ exp.
$\sin^2 \theta_{12} :$	0.349	0.297 – 0.329	0.296 – 0.329
$\sin^2 \theta_{23} :$	0.349 0.651	0.45 – 0.58	0.39 – 0.50
$\sin^2 \theta_{13} :$	<b>0.045</b>	0.008 – 0.020	0.018 – 0.032

# Family symmetry $\Delta(384) \supset \Delta(96) \supset S_4$

PMNS-angles	Adelhart Toorop et al. (2011)	$1\sigma$ exp.	$1\sigma$ exp.
$\sin^2 \theta_{12} :$	0.337	0.297 – 0.329	0.296 – 0.329
$\sin^2 \theta_{23} :$	0.424 0.576	0.45 – 0.58	0.39 – 0.50
$\sin^2 \theta_{13} :$	<b>0.011</b>	0.008 – 0.020	0.018 – 0.032

→ again trimaximal mixing

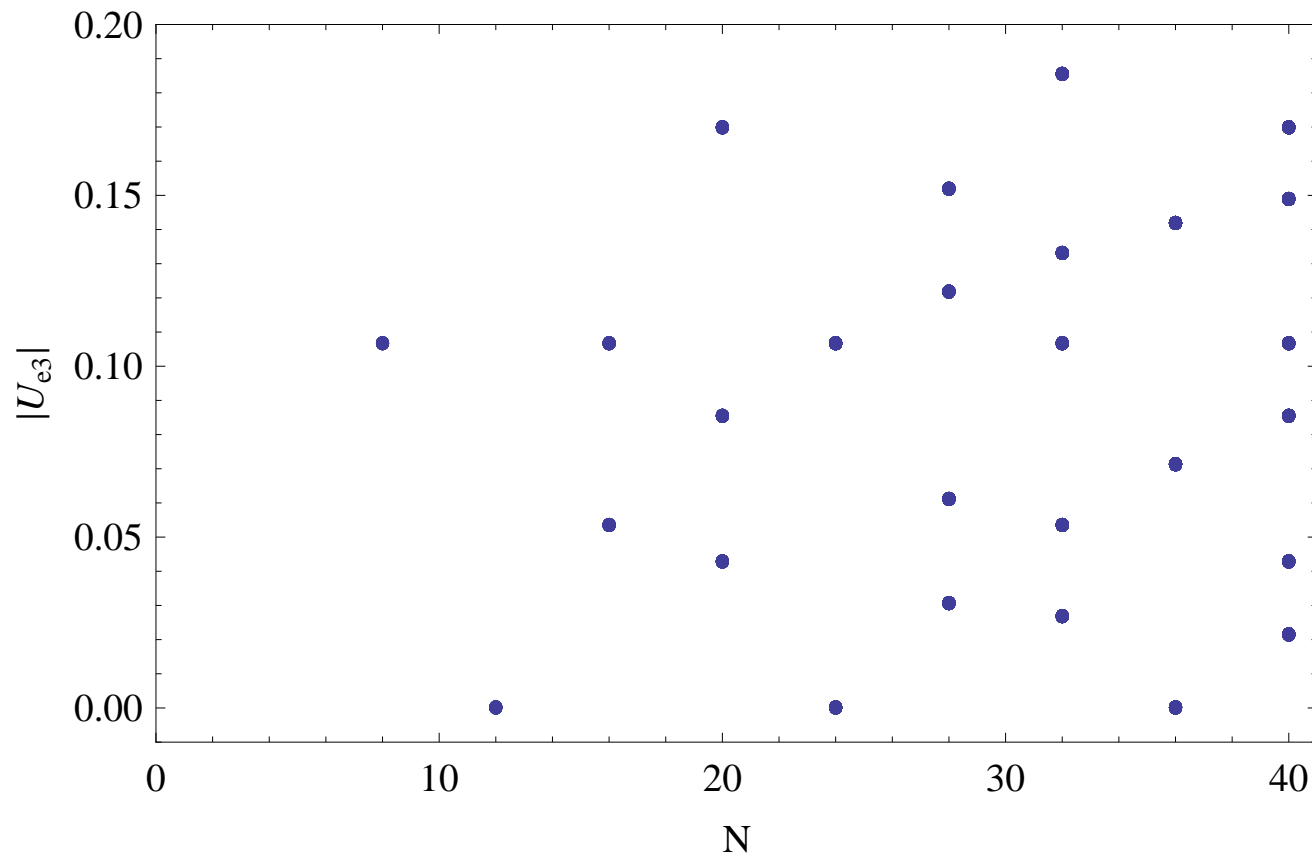
→ fits experimental values relatively well

# Family symmetry $(Z_N \times Z_N \times Z_N) \rtimes Z_3$

$N$  not multiple of 3  $\rightarrow \Delta(3N^2) \times Z_N$

$N$  multiple of 3  $\rightarrow \Sigma(3N^3)$

Ishimori, Kobayashi (2012)



# Conclusion

- ▶ experimental evidence for sizable  $\theta_{13}$
- ▶ review role of family symmetries
- ▶ possible ways to implement non-zero  $\theta_{13}$ 
  - corrections to TB mixing – not without new ingredients ...
  - non-standard flavon alignments –  $(1, 2, 0)^T$  ...
  - new family symmetries –  $\Delta(384)$  ...
- ▶ TB may go, yet family symmetries remain

Thank you