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# Axions

Motivation, Cosmological Role  
and Astrophysical Limits

4<sup>th</sup> Schrödinger Lecture, Universität Wien, 24 May 2011

# CP Violation in Particle Physics

## Discrete symmetries in particle physics

- C – Charge conjugation, transforms particles to antiparticles  
violated by weak interactions
- P – Parity, changes left-handedness to right-handedness  
violated by weak interactions
- T – Time reversal, changes direction of motion (forward to backward)
- CPT – exactly conserved in quantum field theory
- CP – conserved by all gauge interactions  
violated by three-flavor quark mixing matrix



M. Kobayashi

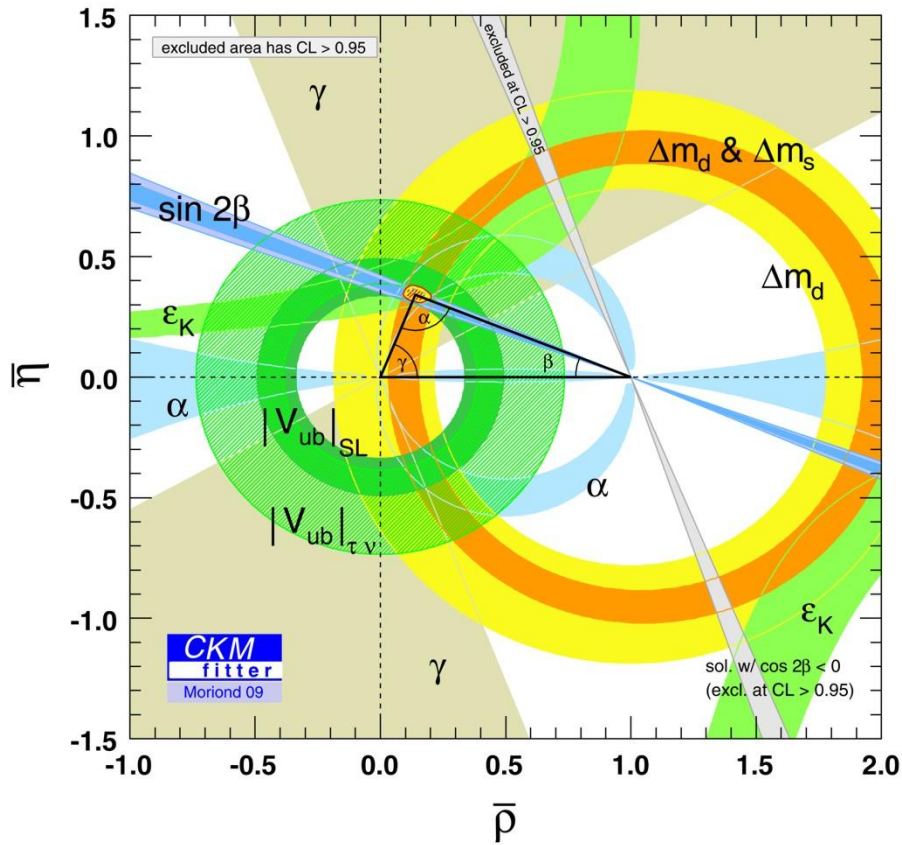


T. Maskawa

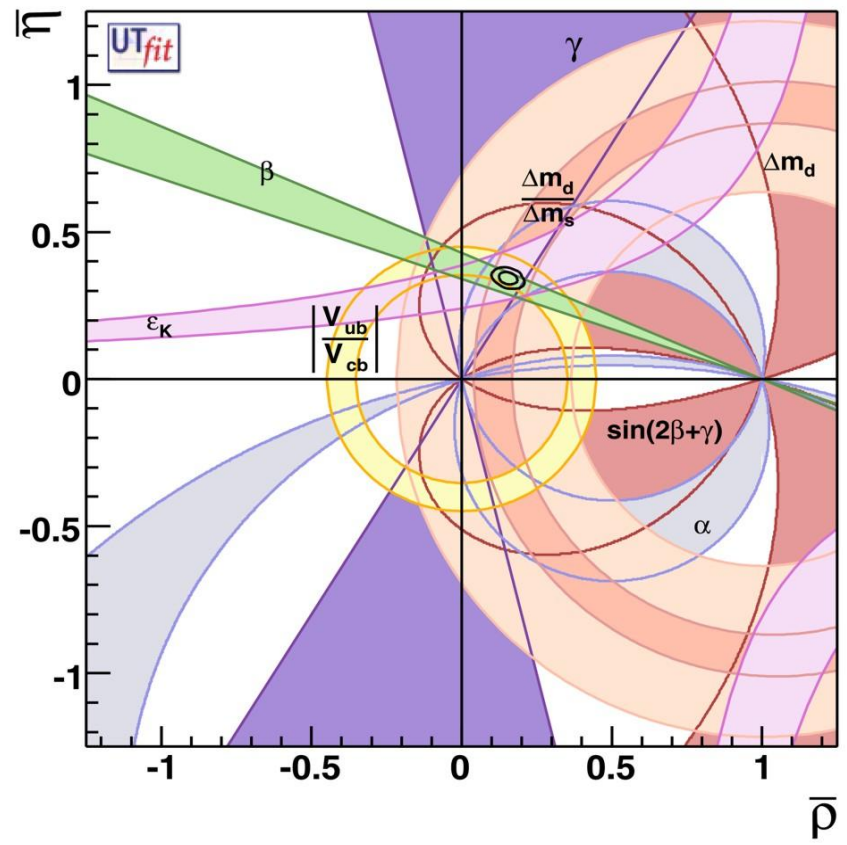
**Physics Nobel Prize 2008**

- ❖ All measured CP-violating effects derive from a single phase in the quark mass matrix (Kobayashi-Maskawa phase), i.e. from complex Yukawa couplings
- ❖ Cosmic matter-antimatter asymmetry requires new ingredients

# Measurements of CKM Unitarity Triangle



CKMfitter Group  
<http://ckmfitter.in2p3.fr>



UTfit Collaboration  
<http://www.utfit.org>

# 2008年諾貝爾物理獎！小林益川理論是什麼？

## Q 夸克是什麼呢？

原子核是由質子和中子所構成，而質子和中子由「更小的粒子」所組成，而這個「更小的粒子」就是我們現在所說的「基本粒子」。如圖一，質子內部是由上夸克（u）和下夸克（d）所組成。以目前的了解，除了上夸克和下夸克之外，還有其他四種夸克存在，總共有六種夸克，而這六種夸克，依據它們所帶的電荷量和「世代」，我們將它們進行分類，如圖二。



## Q 反粒子是什麼呢？

夸克和電子都是基本粒子，他們的反粒子也對應的存在著。粒子和反粒子所帶的電荷相反，質量相同。例如，電子帶負電，而電子的反粒子（正電子）帶正電。基本粒子和反粒子是成對產生，當兩者相遇時又會變成能量而消失。我們已知的六種夸克各自存在反粒子。介子是由一個夸克和一個反夸克所組成。電荷宇稱對稱性理論的發現，就是針對介子變態的研究。K介子和B介子的內部組成，如圖三。



# 等待了許久B工廠實驗結果終於證實了小林益川理論！

## Q 為什麼我們知道小林益川理論是正確的嗎？

所有的理論都須用實驗的結果來證實。隨著加速技術不斷的進步，人類一直到1964年才把六種夸克全部找出來。之後，科學家們在日本和美國各建造了一座B工廠。直到2001年從B工廠獲得數千萬個B介子事件，才得以進行觀測，並且進而發現了B介子的電荷宇稱對稱性破壞。從小林益川理論提出開始，到做出實驗數據證實，耗了三十年的時間。

## Q B工廠是什麼呢？

B工廠就是大量產生B介子的地方。換句話說，就是可以用來測量電荷宇稱對稱性是否破壞的實驗設備。1980年，小林益川理論預言，B介子衰變存在著不小的電荷宇稱對稱性破壞，不過，必須要比較比得多出一百倍以上的B介子事件數，才能驗證這個預言。於是從1994年到1999年，高能加速研究機構（KEK）和美國的史丹福線型加速中心（SLAC）動工興建B工廠。



## Q KEK的B工廠，請更詳細具體的描述。

KEK的B工廠，是一個周長3公里的加速器（KEKB）和一個直徑約8公尺、重量約1400公噸的Belle偵測器。KEKB加速電壓有50GeV的電子束和3.5GeV的正電子束進行正面的高速對撞，撞了之後，產生了B介子與反B介子。我們所說的B介子對，B介子對產生的頻率為每秒18個，是美國史丹福大學的加速器（SLAC, PEP-II）的1.5倍。由此可見，KEKB加速器可以列入世界上最優秀的加速器之一。至於研究B介子的性能，就是Belle偵測器的工作。Belle偵測器的內部，具有可以精確的測量出粒子的位置、時間、能量等功能；精密的感測器至少有二十萬個，約360名的研究人員進行設計與製作，並且分析從感測器測量到的龐大數據資料。這些數據的容量大小超過100萬GB。



## Q 電荷宇稱對稱性破壞是什麼呢？為什麼重要呢？

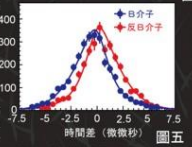
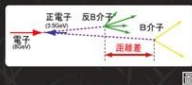
電荷宇稱對稱的意思就是，在粒子的世界裏，粒子與反粒子遵守相同的物理法則。1964年，美國普林斯頓大學的克羅斯（J. Cronin）和費希（V. Fitch）在其K介子的實驗中，發現了電荷宇稱對稱性破壞。震驚了物理界，原因是在1930年代，正電子未被發現之前，人類並不知道反粒子的存在。在我們身邊的宇宙中，所有物質皆由粒子所組成，不難由反粒子所構成的物質，那麼，大爆炸之後的宇宙，數目相同的粒子和反粒子被同時生成，為什麼反粒子不見了？這個問題需要解開，最重要的關鍵在於粒子與反粒子一定是遵守相同的物理法則，也就是電荷宇稱對稱性破壞了。

## Q 小林益川理論是什麼呢？

1973年，小林和益川兩位博士提出3個世代以及6種夸克的理論。這是他們針對K介子的電荷宇稱對稱性破壞實驗結果，所想出的夸克跨世代變遷的想法。那個時代所提出的夸克種類只有3種（u, d, s），再加入3種未知夸克的想法很矛盾。然而，1974年發現粲夸克（c），1977年發現底夸克（b），1995年發現頂夸克（t），證明了6種類的夸克的確存在。因此兩位博士對於電荷宇稱對稱性破壞的解釋，受到重視，檢驗含有底夸克的B介子的衰變特性，變成這個世界必做的實驗。

## Q 為什麼夸克一定是六種呢？

如果只有3種或是5種夸克，同一種夸克的電荷轉移太不精確，與實驗結果不符。若是只有2個世代4種夸克，變數的數目不多，無法解釋電荷宇稱對稱性破壞，因此，使用變數做為相位的變數，就解決了變數不足的問題了。所以，小林與益川博士才提出至少6種夸克的理論。



## Q 小林益川理論可以說明世界上一切的現象嗎？

很抱歉，不可以。基本粒子物理學表面未知的東西還很多，我們還需要很努力的再往兩端研究。現在日本不僅在傳統的理論或是現在的實驗，都可以到達世界第一的水準。例如B工廠和超級神岡探測器的實驗（Super-Kamiokande），小林益川理論所突破的，是解釋了137億年前宇宙大爆炸之初，由於非常巨大的電荷宇稱對稱性破壞，造成了現在只有物質存在的狀況。超級KEKB加速撞擊/超級Belle實驗計畫正在提案中，請大家一起加入我們的行列吧。

## Q 在一個大的研究團隊裏，個人有機會發揮所長嗎？

大的加速器等所有的實驗裝置一樣，都是靠人類的智慧去完成的。就算每一個人都只是擔任操作運作的小部分，可是得以和大家一起思考「宇宙到底是遵守怎麼樣的法則」也不是很有趣嗎？在大團隊裏，其實有很多的機會讓個人展現其能力和創造力。如果每個人都都不努力，實驗也會不成功，不是嗎？



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## 參加B工廠實驗的研究教育機關

卜田カ研究所 チェンナイ數理科學研 ヨシタム大 シンチナ大 ギーセン大 キョウサン大 ハワイ大 高工業大 北京 高能研 モスクワ 高工業大 モスクワ 理論實驗物理研 カールスルーエ大 神奈川大 コリア大 クラウ原子核研 京都大 キュンボック大 ローザンヌ大 マックスプランク研究所 ヨセフスフェン研究所 メルボルン大	名古屋大 奈良女子大 台北 中央大 台灣 聯合大 台灣 清華大 台灣 輔仁大 日本 京都大 新潟大 ナボリカ 科學技術校 大阪大 大阪市立大	パンジャブ大 北京大 ビツババグ大 プリンストン大 理化學研究所 佐賀大 中國科學技術大 ソウル大 暹羅大 サンキョウカン大 シドニー大 首都大學京 タタ研究所 東京大 東北大 東北學院大 東京大 東京工業大 東京農工大 トリノ 植物物理研 富山南館高等專門學校 ウェン大 ウィーン高工業大 パーヴニア工科大 延世大
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本報稿是為了紀念小林益川兩位博士獲得諾貝爾獎以及B工廠實驗在學術上的功績，而由Belle小組製作。B工廠實驗是國際性的，但是為了說明為什麼B工廠實驗的報告，是小林與益川兩位博士長期合作的結果。

# B工廠的實驗數據支持小林益川的理論

Poster Designed by T. Iijima, Y. Iwasaki, & Katsuhiko K. Miyajima  
中文版本由國立中央大學物理系高能實驗組製作

# The CP Problem of Strong Interactions

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{\psi}_q (iD - \underbrace{m_q}_{\text{Real quark mass}} e^{i\theta_q} \underbrace{e^{i\theta_q}}_{\text{Phase from Yukawa coupling}}) \psi_q - \frac{1}{4} G_{\mu\nu a} G_a^{\mu\nu} - \underbrace{\Theta}_{\text{Angle variable}} \frac{\alpha_s}{8\pi} \underbrace{G_{\mu\nu a} \tilde{G}_a^{\mu\nu}}_{\text{CP-odd quantity} \sim \mathbf{E} \cdot \mathbf{B}}$$

Remove phase of mass term by chiral transformation of quark fields

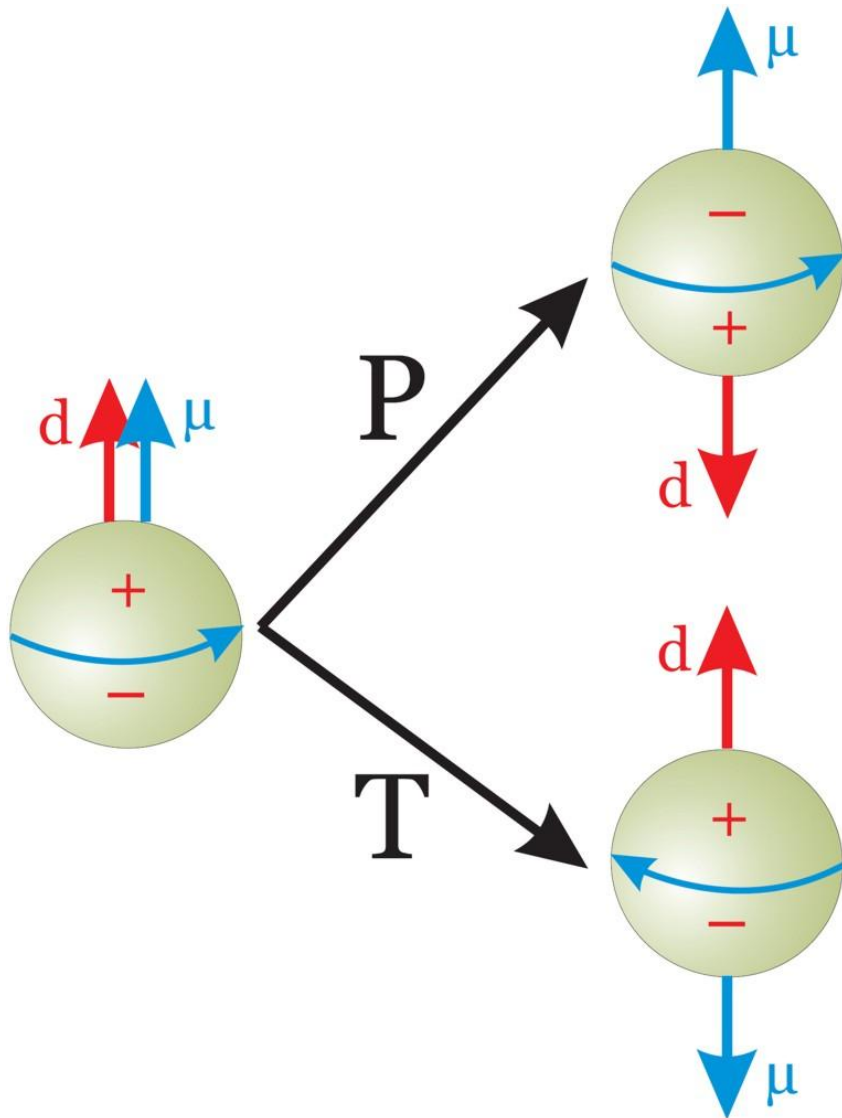
$$\psi_q \rightarrow e^{-i\gamma_5 \theta_q / 2} \psi_q$$

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{\psi}_q (iD - m_q) \psi_q - \frac{1}{4} GG - \underbrace{(\Theta - \arg \det M_q)}_{-\pi \leq \bar{\Theta} \leq +\pi} \frac{\alpha_s}{8\pi} G \tilde{G}$$

- ❖  $\bar{\Theta}$  can be traded between quark phases and  $G\tilde{G}$  term
- ❖ No physical impact if at least one  $m_q = 0$

**Experimental limits:  $|\bar{\Theta}| < 10^{-11}$  Why so small?**

# Neutron Electric Dipole Moment



Violates time reversal (T) and space reflection (P) symmetries

Natural scale

$$\frac{e}{2m_N} = 1.06 \times 10^{-14} e \text{ cm}$$

Experimental limit

$$|d| = 0.63 \times 10^{-25} e \text{ cm}$$

Limit on coefficient

$$\overline{\Theta} \frac{m_q}{m_N} \lesssim 10^{-11}$$

# Dynamical Solution

Peccei & Quinn 1977, Wilczek 1978, Weinberg 1978

- Re-interpret  $\bar{\Theta}$  as a dynamical variable (scalar field)

$$\mathcal{L}_{\text{CP}} = -\frac{\alpha_s}{8\pi} \bar{\Theta} \text{Tr}(G\tilde{G}) \rightarrow -\frac{\alpha_s}{8\pi} \frac{a(x)}{f_a} \text{Tr}(G\tilde{G})$$

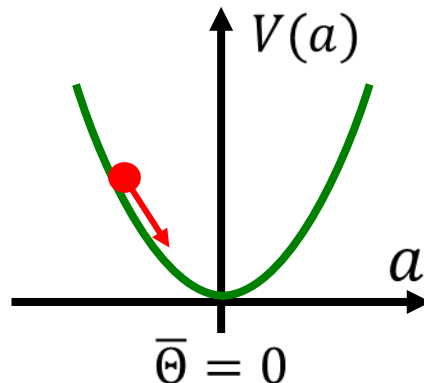
$a(x)$  is pseudoscalar axion field,  $f_a$  axion decay constant (Peccei-Quinn scale)

- Axions generically couple to two gluons and mix with,  $\pi^0$ ,  $\eta$ ,  $\eta'$  mesons, inducing a mass (potential) for  $a(x)$

$$m_a f_a = \frac{\sqrt{m_u m_d}}{m_u + m_d} m_\pi f_\pi$$

$$\left( \begin{array}{c} \text{Axion mass} \\ \text{\& couplings} \end{array} \right) \sim \left( \begin{array}{c} \text{Pion mass} \\ \text{\& couplings} \end{array} \right) \times \frac{f_\pi}{f_a}$$

- Potential (mass term) induced by  $\mathcal{L}_{\text{CP}}$  drives  $a(x)$  to CP-conserving minimum



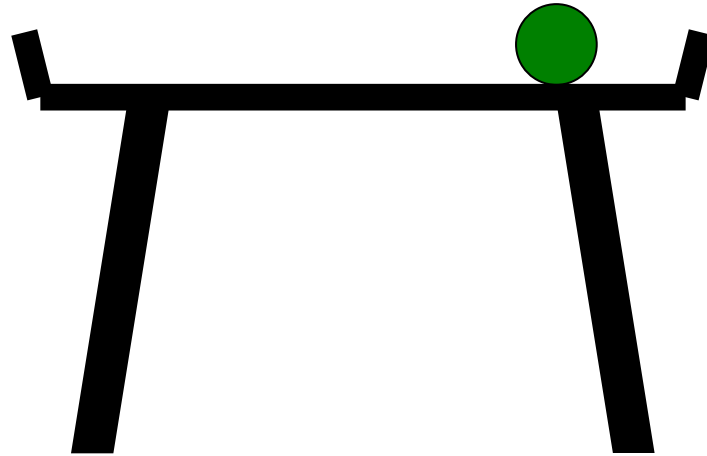
CP-symmetry  
dynamically  
restored

# The Pool Table Analogy (Pierre Sikivie 1996)

**Gravity**



**Pool table**



**Symmetric  
relative  
to gravity**

# The Pool Table Analogy (Pierre Sikivie 1996)

**Gravity**



**Pool table**



**Axis**



**Floor  
inclined**

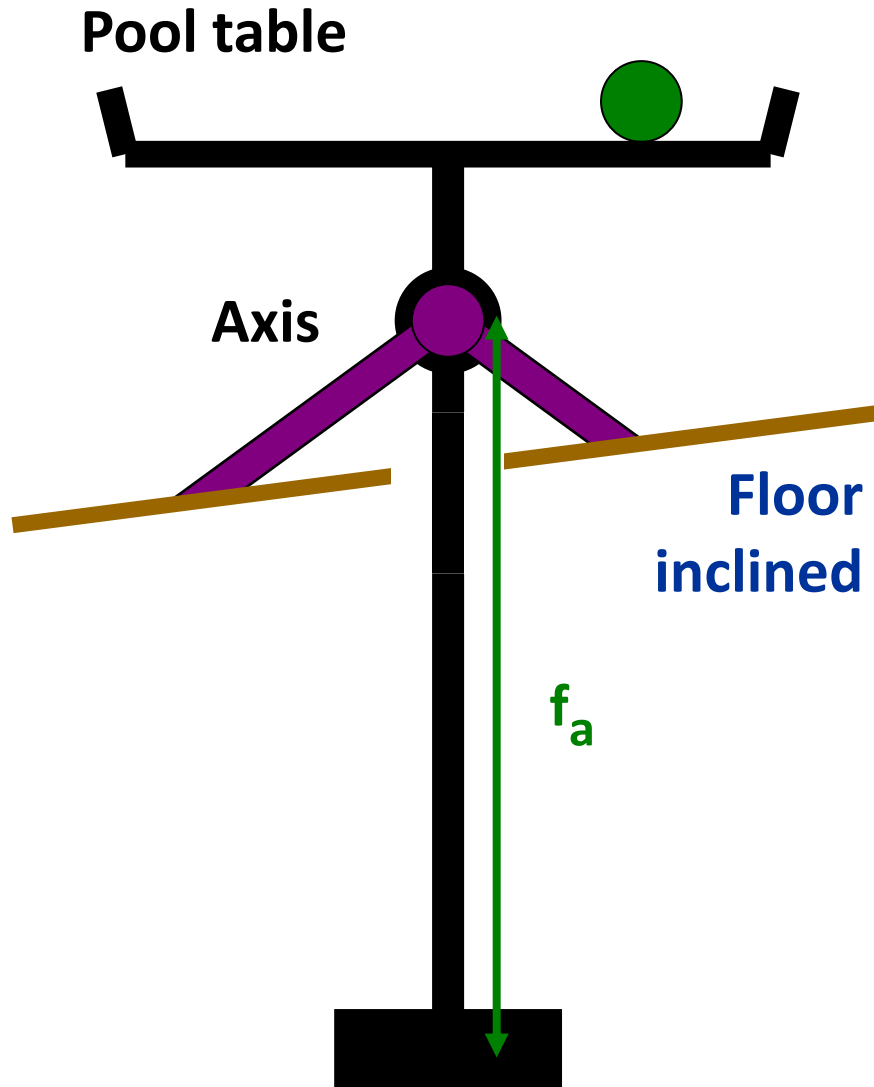
$f_a$

**Symmetric  
relative  
to gravity**

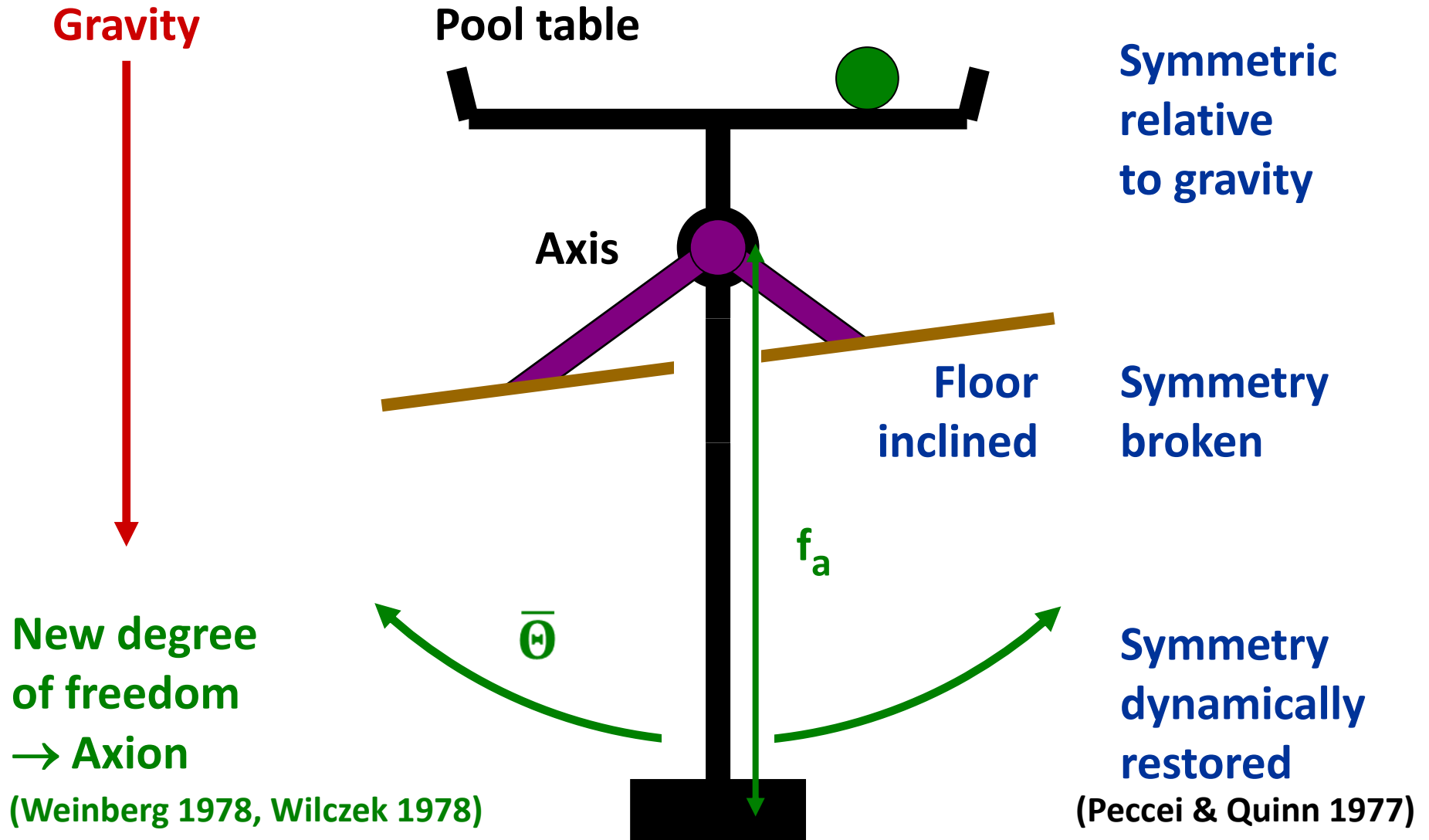
**Symmetry  
broken**

**Symmetry  
dynamically  
restored**

(Peccei & Quinn 1977)



# The Pool Table Analogy (Pierre Sikivie 1996)



# 33 Years of Axions

VOLUME 40, NUMBER 4

PHYSICAL REVIEW LETTERS

23 JANUARY 1978

## A New Light Boson?

Steven Weinberg

*Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02138*

(Received 6 December 1977)

It is pointed out that a global  $U(1)$  symmetry, that has been introduced in order to preserve the parity and time-reversal invariance of strong interactions despite the effects of instantons, would lead to a neutral pseudoscalar boson, the "axion," with mass roughly of order 100 keV to 1 MeV. Experimental implications are discussed.

VOLUME 40, NUMBER 5

PHYSICAL REVIEW LETTERS

30 JANUARY 1978

## Problem of Strong $P$ and $T$ Invariance in the Presence of Instantons

F. Wilczek<sup>(a)</sup>

*Columbia University, New York, New York 10027, and The Institute for Advanced Studies, Princeton, New Jersey 08540<sup>(b)</sup>*

(Received 29 November 1977)

The requirement that  $P$  and  $T$  be approximately conserved in the color gauge theory of strong interactions without arbitrary adjustment of parameters is analyzed. Several possibilities are identified, including one which would give a remarkable new kind of very light, long-lived pseudoscalar boson.

One of the main advantages of the color gauge theory of strong interactions is that so many of the observed symmetries of strong interactions seem to follow automatically as a consequence of the gauge principle and renormalizability— $P$ ,  $T$ ,  $C$ , flavor conservation, the  $3 \oplus 3^*$  structure of chi-

a certain class of theories<sup>4,5,7</sup> the parameter  $\theta$  is physically meaningless,<sup>4,5</sup> or dynamically determined.<sup>7</sup> In this case, if the strong interaction conserves  $P$  and  $T$ , we shall say the conservation is *automatic*.

I regard a theory of type (i) as very unattrac-

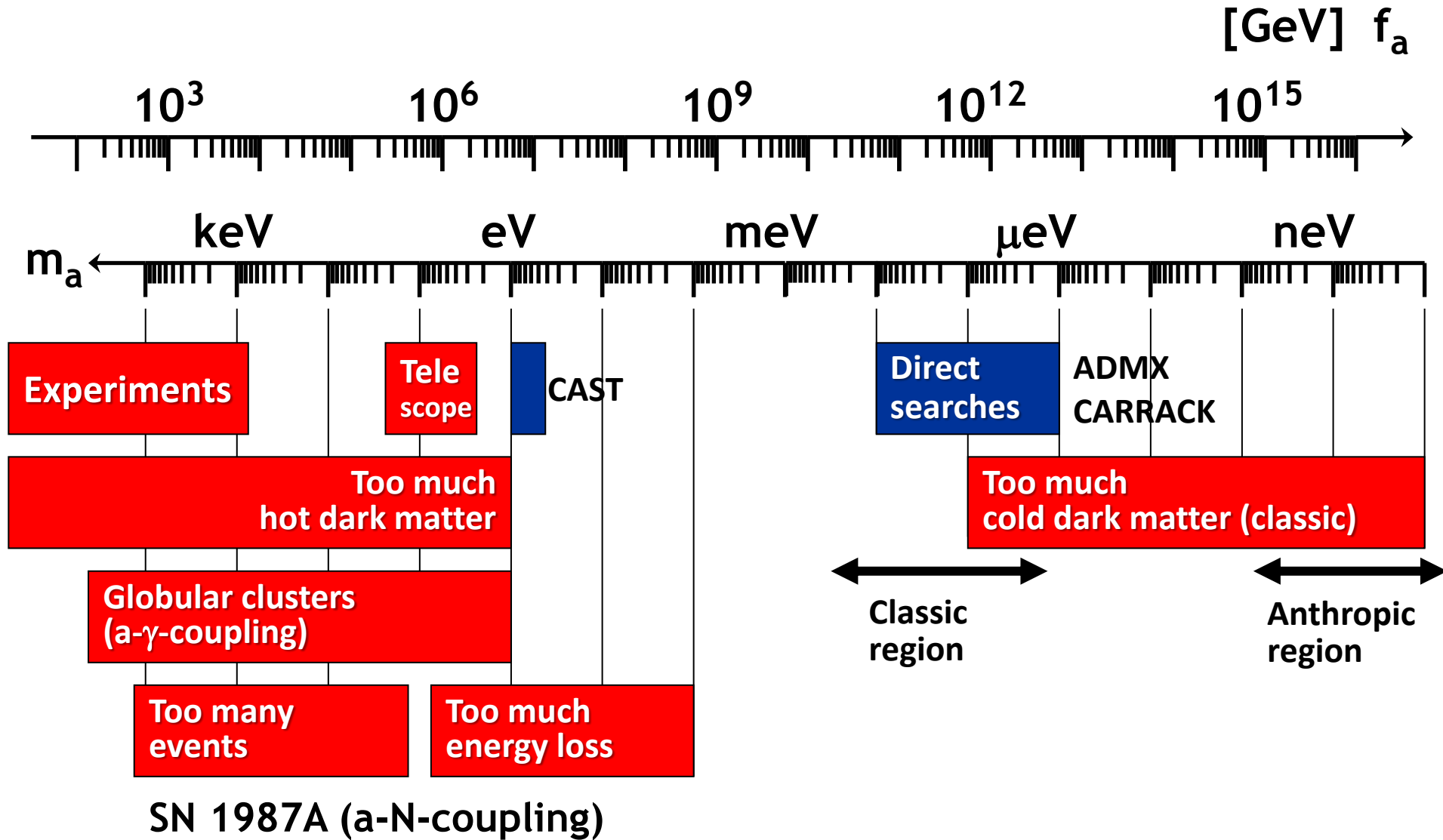
# The Cleansing Axion



Frank Wilczek

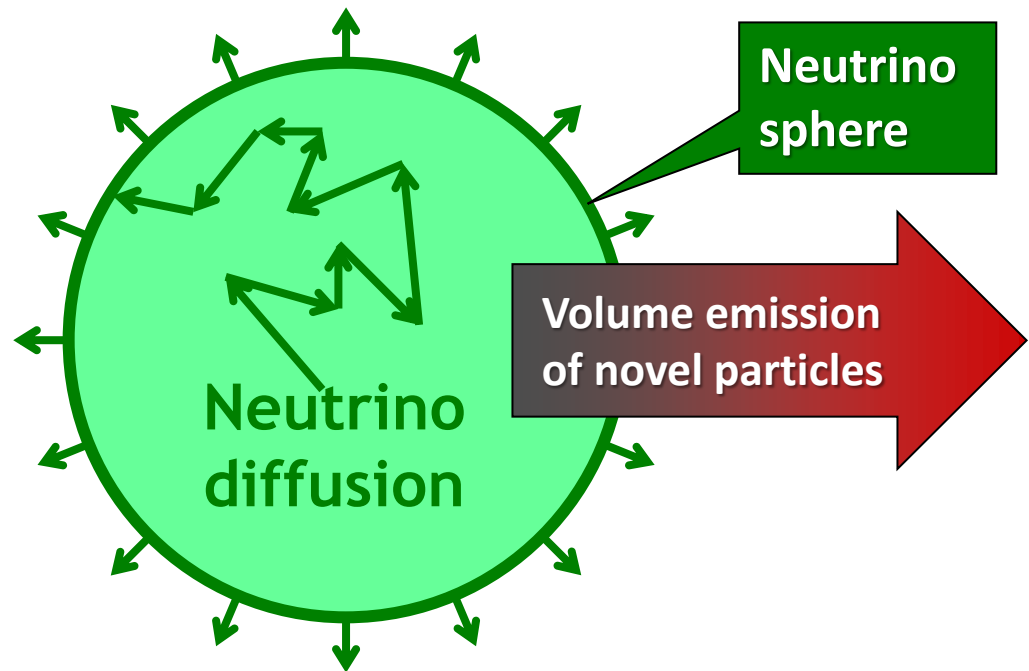
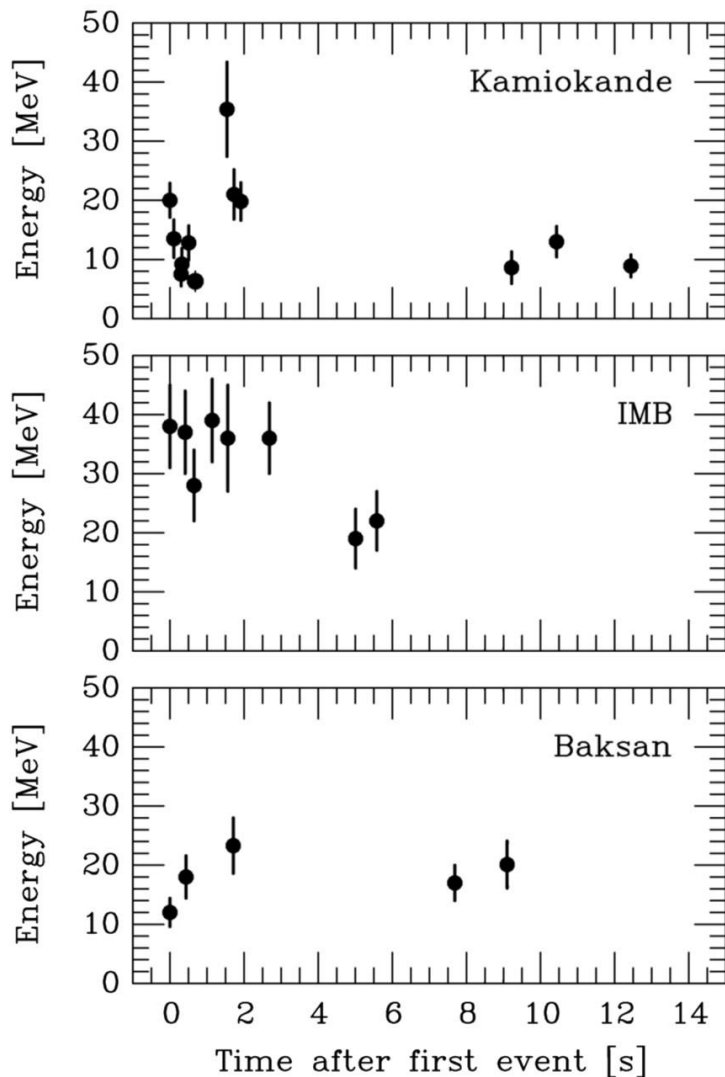
**“I named them after a laundry detergent, since they clean up a problem with an axial current.”  
(Nobel lecture 2004)**

# Axion Bounds



# Supernova 1987A Energy-Loss Argument

## SN 1987A neutrino signal

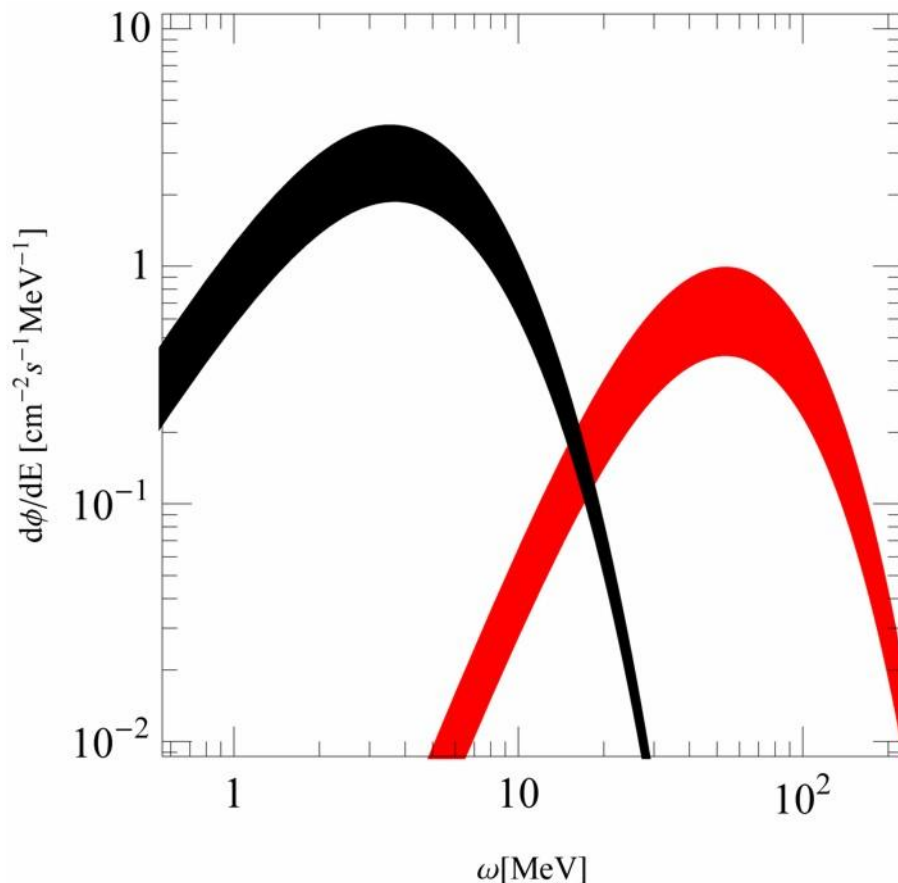


Emission of very weakly interacting particles would “steal” energy from the neutrino burst and shorten it.  
(Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

**Late-time signal most sensitive observable**

# Diffuse Supernova Axion Background (DSAB)

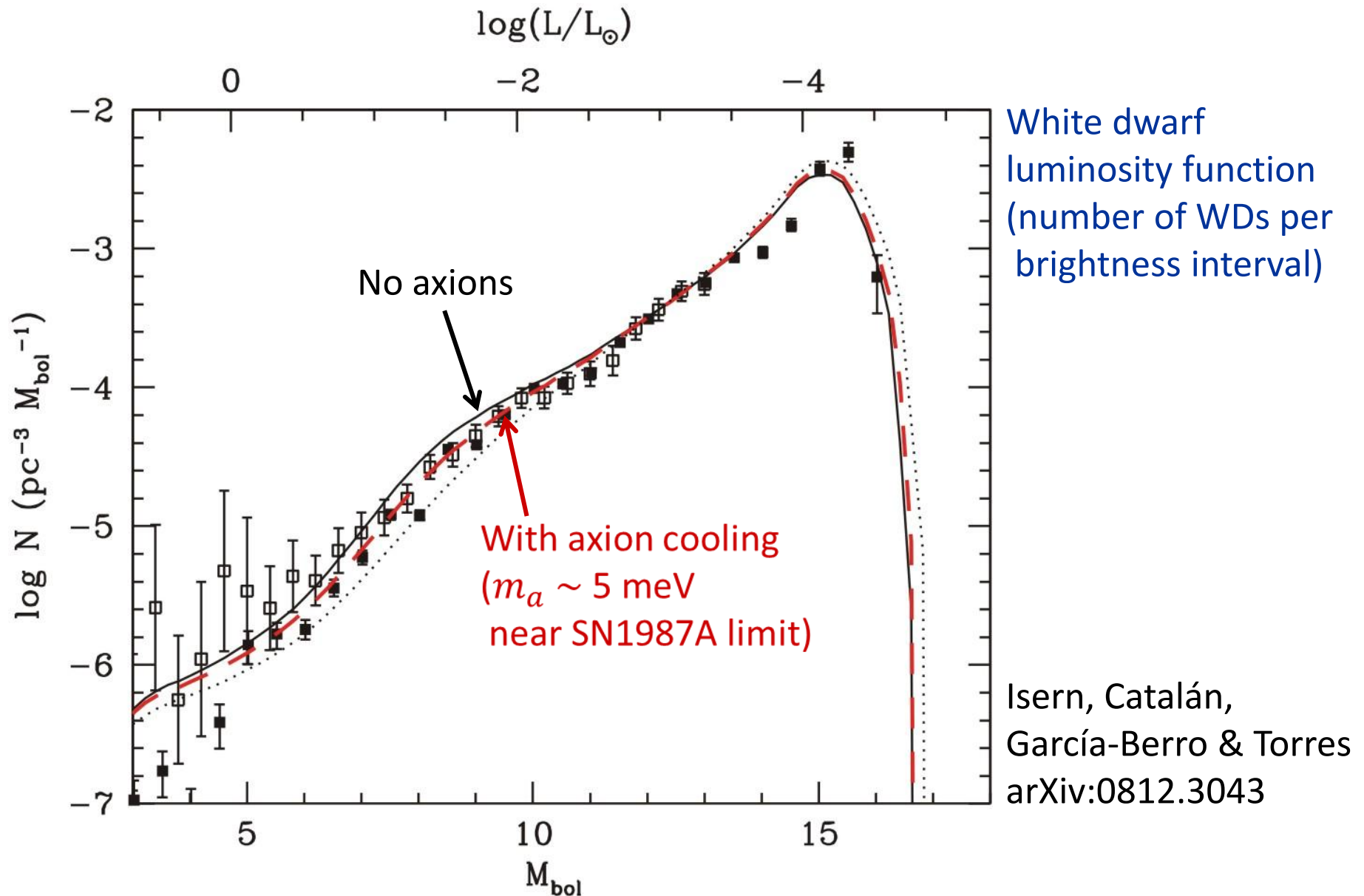
- Neutrinos from all core-collapse SNe comparable to photons from all stars
- Diffuse Supernova Neutrino Background (DSNB) similar energy density as extra-galactic background light (EBL), approx 10% of CMB energy density
- DSNB probably next astro neutrinos to be measured



- Axions with  $m_a \sim 10$  meV near SN 1987A energy-loss limit
- Provide DSAB with comparable energy density as DSNB and EBL
- No obvious detection channel

Raffelt, Redondo & Viaux  
work in progress (2011)

# Do White Dwarfs Need Axion Cooling?

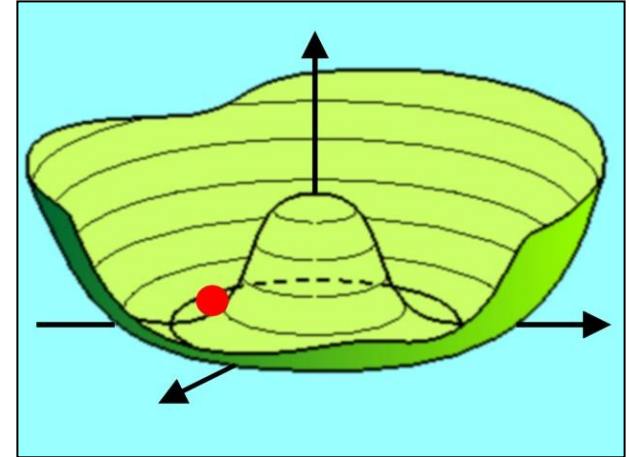


# Axion as a Nambu-Goldstone Boson

$$\mathcal{L}_{\text{CP}} = \frac{\alpha_s}{8\pi} \bar{\Theta} G_a \tilde{G}_a \rightarrow \frac{\alpha_s}{8\pi} \underbrace{\left( \bar{\Theta} - \frac{a(x)}{f_a} \right)}_{\text{Periodic variable (angle)}} G_a \tilde{G}_a$$

Periodic variable (angle)

$$\Phi = \frac{f_a + \rho(x)}{\sqrt{2}} e^{\frac{ia(x)}{f_a}}$$



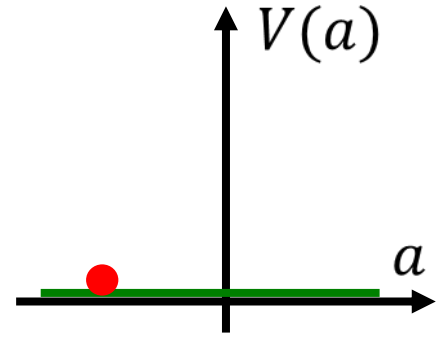
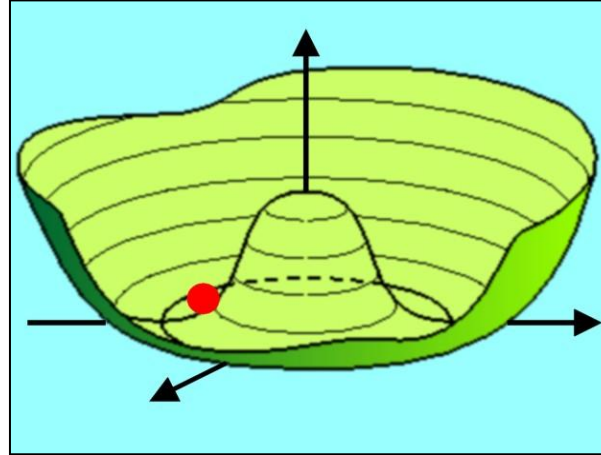
- New U(1) symmetry, spontaneously broken at a large scale  $f_a$
- Axion is “phase” of new Higgs field: angular variable  $a(x)/f_a$
- By construction couples to  $G\tilde{G}$  term with strength  $\alpha_s/8\pi$ , e.g. triangle loop with new heavy quark (KSVZ model)
- Mixes with  $\pi^0$ - $\eta$ - $\eta'$  mesons
- Axion mass  
(vanishes if  $m_u$  or  $m_d = 0$ )

$$m_a = \frac{\sqrt{m_u m_d}}{m_u + m_d} \frac{m_\pi}{f_\pi f_a}$$

# Creation of Cosmological Axions

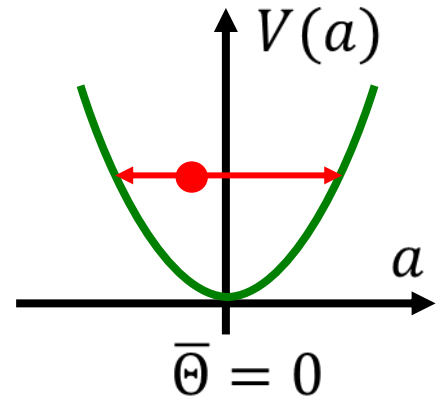
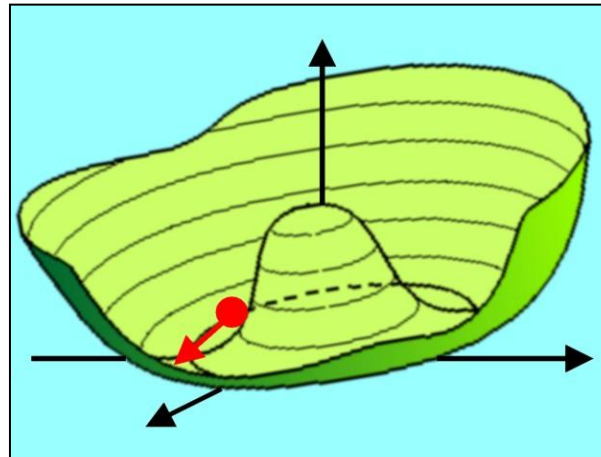
**$T \sim f_a$  (very early universe)**

- $U_{PQ}(1)$  spontaneously broken
- Higgs field settles in “Mexican hat”
- Axion field sits fixed at  $a_i = \Theta_i f_a$



**$T \sim 1 \text{ GeV}$  ( $H \sim 10^{-9} \text{ eV}$ )**

- Axion mass turns on quickly by thermal instanton gas
- Field starts oscillating when  $m_a \gtrsim 3H$
- Classical field oscillations (axions at rest)



**Axions are born as nonrelativistic, classical field oscillations**  
**Very small mass, yet cold dark matter**

# Axion Cosmology in PLB 120 (1983)

## THE NOT-SO-HARMLESS AXION

Michael DINE

*The Institute for Advanced Study, Princeton, NJ 08540, USA*

and

Willy FISCHLER

*Department of Physics*

Received 17 September 1982

Received manuscript

Cosmological aspects discussed by Sikivie is not to give an upper bound

## A COSMOLOGICAL BOUND ON THE INVISIBLE AXION

L.F. ABBOTT <sup>1</sup>

*Physics Department, Brandeis University, Waltham, MA 02254, USA*

and

P. SIKIVIE <sup>2</sup>

*Particle Theory*

Received 14 September 1982

The product of  $f_a$  and  $m_a$  are found to be

## COSMOLOGY OF THE INVISIBLE AXION

John PRESKILL <sup>1</sup>, Mark B. WISE <sup>2</sup>

*Lyman Laboratory of Physics, Harvard University, Cambridge, MA 02138, USA*

and

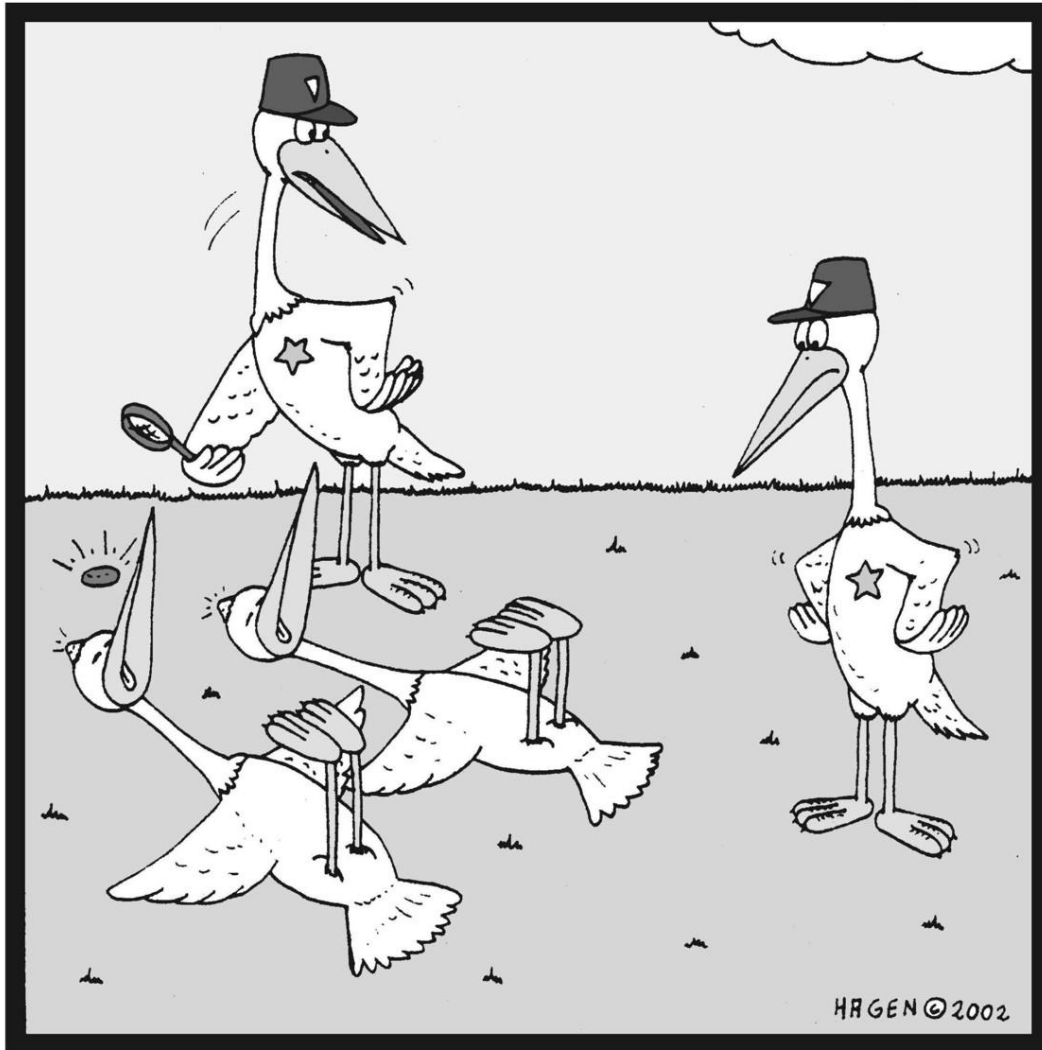
Frank WILCZEK

*Institute for Theoretical Physics, University of California, Santa Barbara, CA 93106, USA*

Received 10 September 1982

We identify a new cosmological problem for models which solve the strong  $CP$  puzzle with an invisible axion, unrelated to the domain wall problem. Because the axion is very weakly coupled, the energy density stored in the oscillations of the classical axion field does not dissipate rapidly; it exceeds the critical density needed to close the universe unless  $f_a \leq 10^{12}$  GeV, where  $f_a$  is the axion decay constant. If this bound is saturated, axions may comprise the dark matter of the universe.

# Killing Two Birds With One Stone



Unbelievable! It looks like they've both been killed by the same stone...

Peccei-Quinn mechanism

- Solves strong CP problem
- May provide dark matter in the form of axions

# Cosmic Axion Density

Modern values for QCD parameters and temperature-dependent axion mass imply (Bae, Huh & Kim, arXiv:0806.0497)

$$\Omega_a h^2 = 0.195 \Theta_i^2 \left( \frac{f_a}{10^{12} \text{GeV}} \right)^{1.184} = 0.105 \Theta_i^2 \left( \frac{10 \mu\text{eV}}{m_a} \right)^{1.184}$$

If axions provide the cold dark matter:  $\Omega_a h^2 = 0.11$

$$\Theta_i = 0.75 \left( \frac{10^{12} \text{GeV}}{f_a} \right)^{0.592} = 1.0 \left( \frac{m_a}{10 \mu\text{eV}} \right)^{0.592}$$

- $\Theta_i \sim 1$  implies  $f_a \sim 10^{12} \text{ GeV}$  and  $m_a \sim 10 \mu\text{eV}$  (“classic window”)
- $f_a \sim 10^{16} \text{ GeV}$  (GUT scale) or larger (string inspired) requires  $\Theta_i \lesssim 0.003$  (“anthropic window”)

# Cold Axion Populations

## Case 1:

Inflation after PQ symmetry breaking

Homogeneous mode oscillates after

$$T \lesssim \Lambda_{\text{QCD}}$$

Dependence on initial misalignment angle

$$\Omega_a \propto \Theta_i^2$$

Dark matter density a cosmic random number (“environmental parameter”)

- Isocurvature fluctuations from large quantum fluctuations of massless axion field created during inflation
- Strong CMB bounds on isocurvature fluctuations
- Scale of inflation required to be small

## Case 2:

Reheating restores PQ symmetry

- Cosmic strings of broken  $U_{\text{PQ}}(1)$  form by Kibble mechanism
- Radiate long-wavelength axions
- $\Omega_a$  independent of initial conditions
- $N = 1$  or else domain wall problem

Inhomogeneities of axion field large, self-couplings lead to formation of mini-clusters

Typical properties

- Mass  $\sim 10^{-12} M_{\text{sun}}$
- Radius  $\sim 10^{10} \text{ cm}$
- Mass fraction up to several 10%

# Inflation, Axions, and Anthropic Selection

If PQ symmetry is not restored after inflation

- Axion density determined by initial random number  $-\pi < \Theta_i < +\pi$
- Different in different patches of the universe
- Our visible universe, after inflation, from a single patch
- Axion/photon ratio a cosmic random number, chosen by spontaneous symmetry breaking process

Allows for small  $\Theta_i \lesssim 0.003$  and thus for  $f_a$  at the GUT or string scale

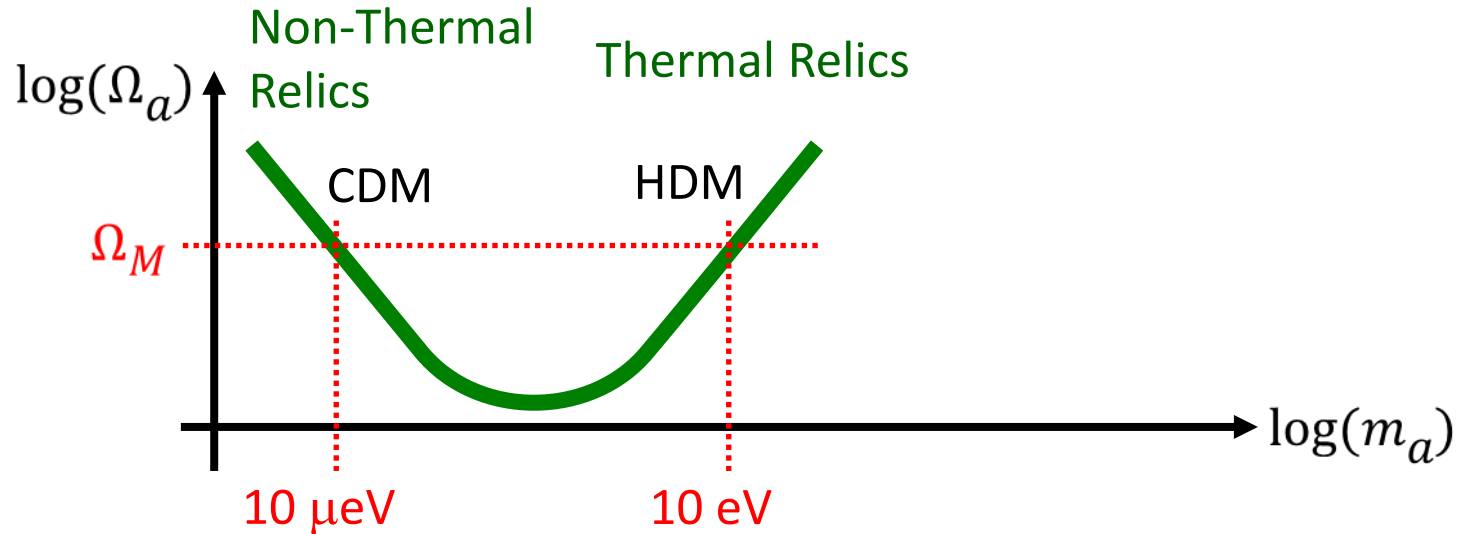
- Is this “unlikely” or “unnatural” or “fine tuned”?
- Should one design experiments for very small-mass axion dark matter?

Difficult to form baryonic structures if baryon/dark matter density is too low, posterior probability for small  $\Theta_i$  not necessarily small

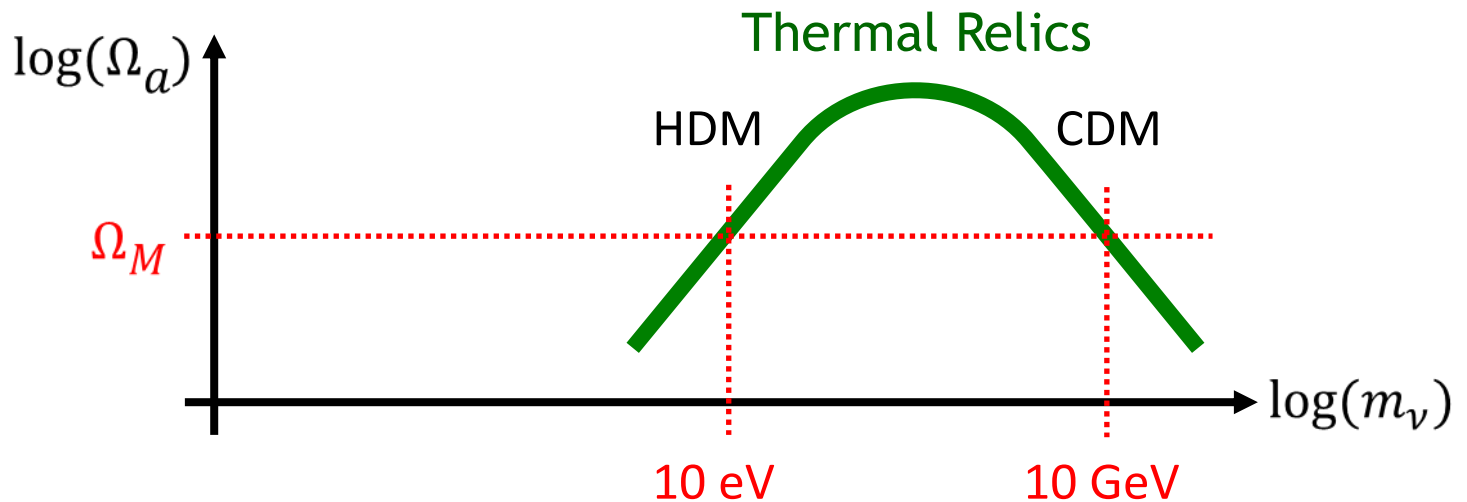
- Linde, “Inflation and axion cosmology,” PLB 201:437, 1988
- Tegmark, Aguirre, Rees & Wilczek, “Dimensionless constants, cosmology and other dark matters,” PRD 73:023505, 2006 [astro-ph/0511774]

# Lee-Weinberg Curve for Neutrinos and Axions

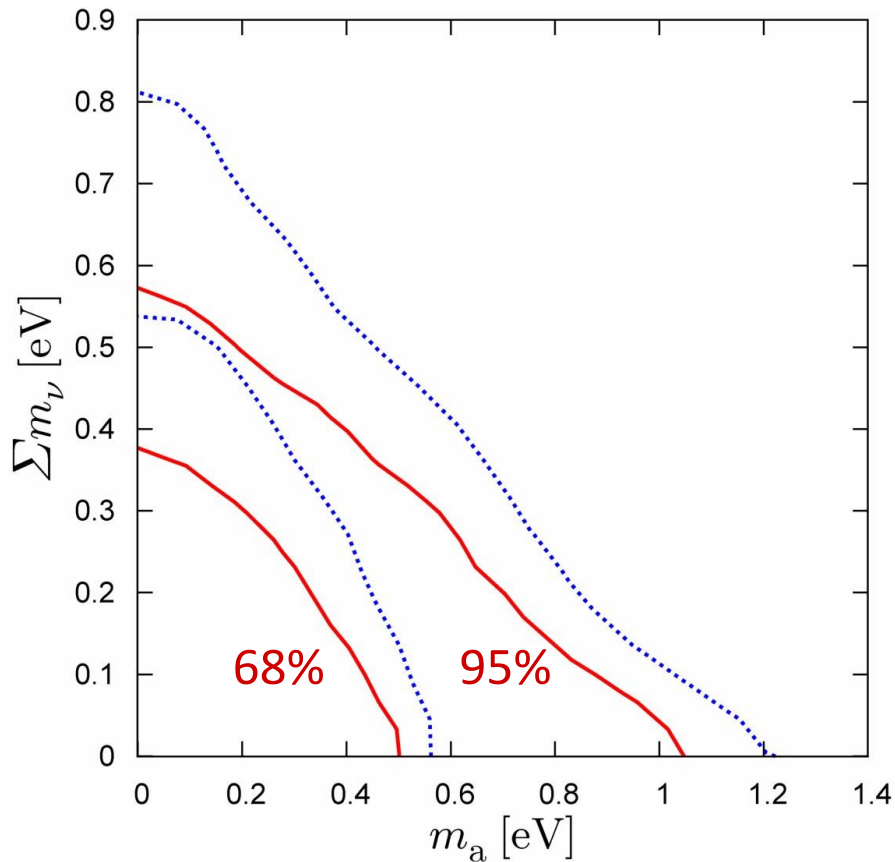
Axions



Neutrinos  
& WIMPs



# Neutrino and Axion Hot Dark Matter Limits



**Figure 1.** 2D marginal 68% and 95% contours in the  $\sum m_\nu - m_a$  plane. The blue lines correspond to our results using CMB+HPS, and the red lines using CMB+HPS+HST.

Credible regions for neutrino plus axion hot dark matter (WMAP-7, SDSS, HST)  
Hannestad, Mirizzi, Raffelt & Wong [arXiv:1004.0695]

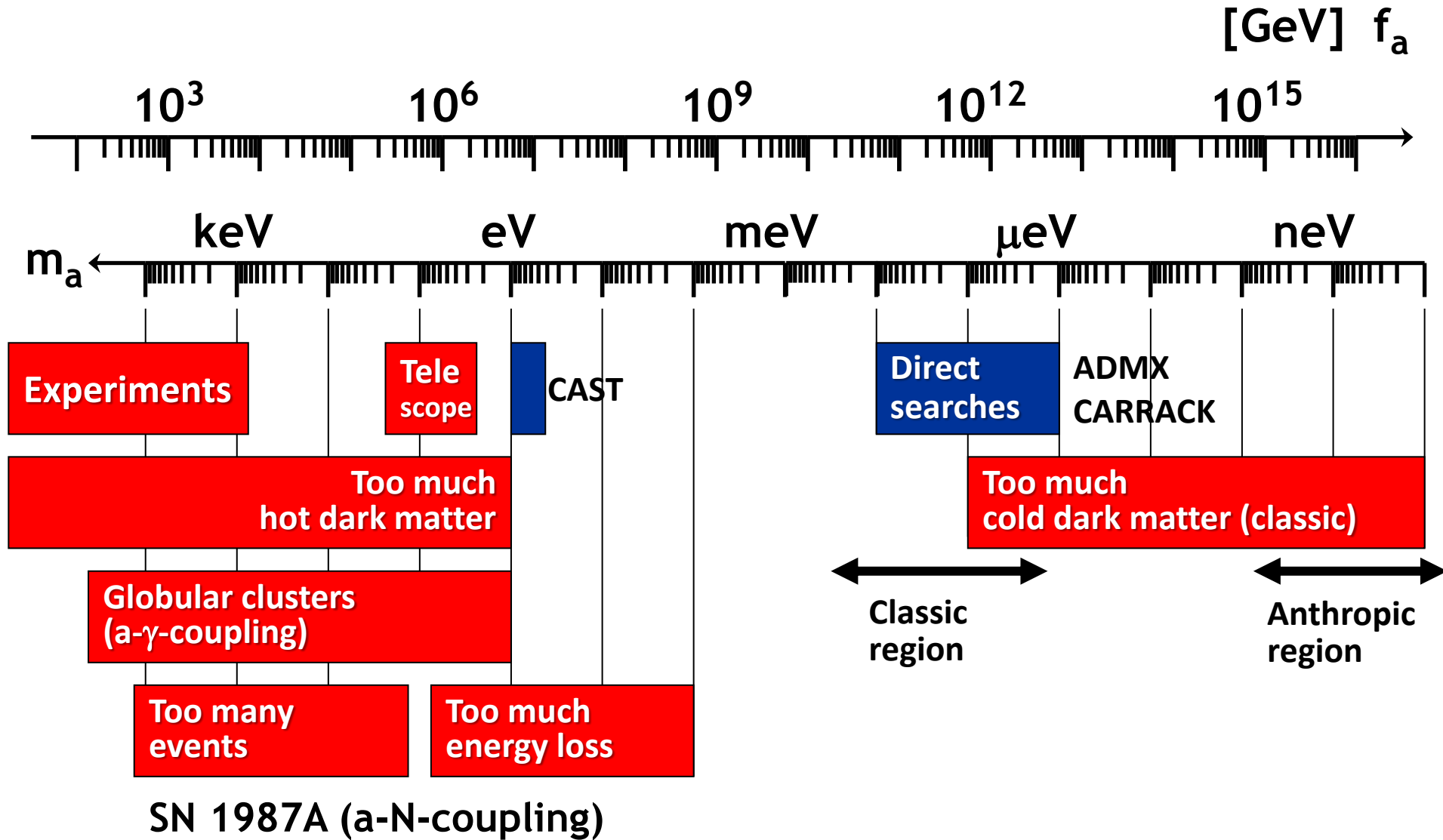
Marginalizing over neutrino hot dark matter component

$$m_a < 0.7 \text{ eV (95\% CL)}$$

Assuming no axions

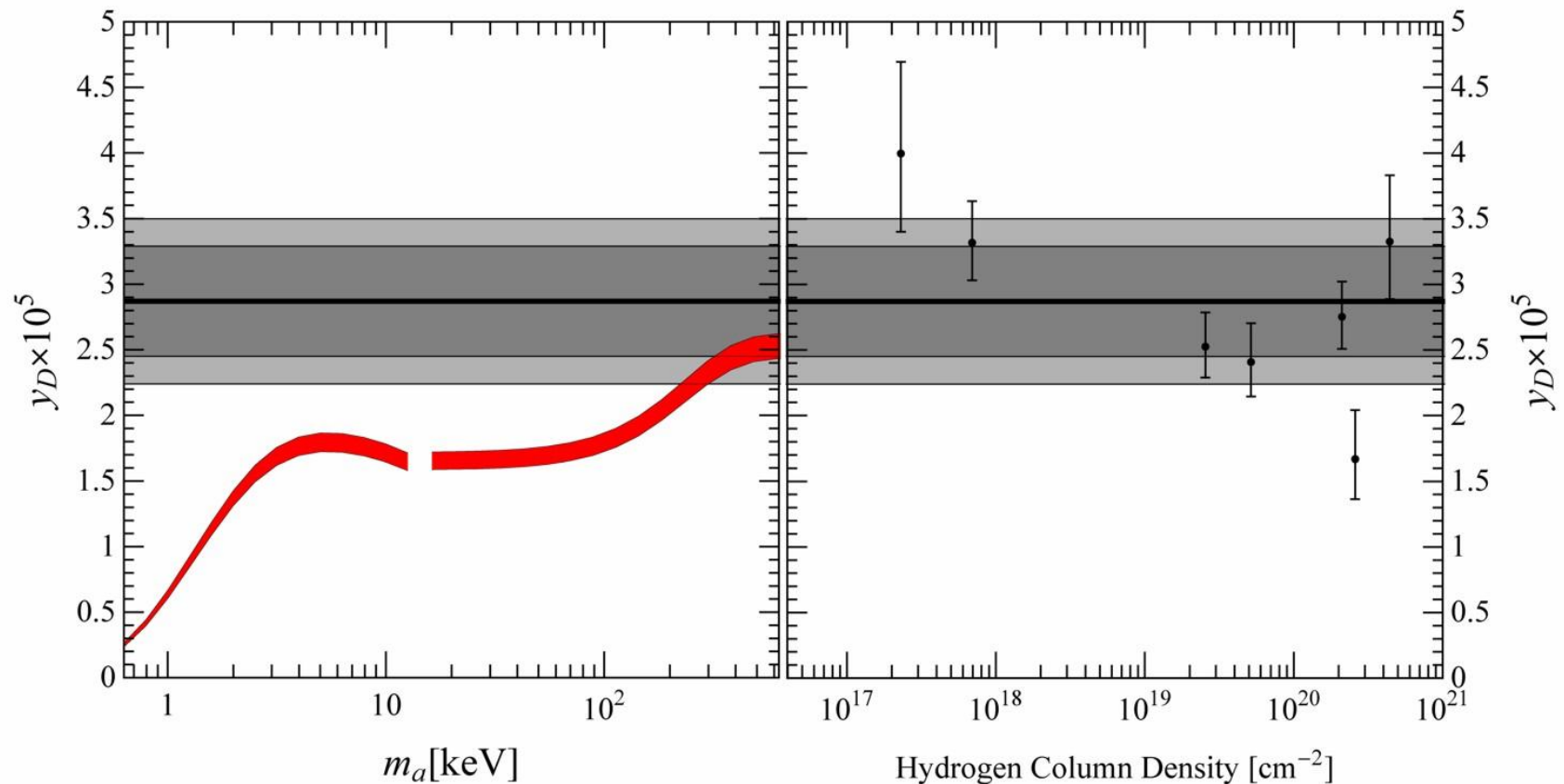
$$\sum m_\nu < 0.4 \text{ eV (95\% CL)}$$

# Axion Bounds



# New BBN limits on sub-MeV mass axions

- Axions essentially in thermal equilibrium throughout BBN
- $e^+e^-$  annihilation partly heats axions  $\rightarrow$  missing photons
- Reduced photon/baryon fraction during BBN
- Reduced deuterium abundance, using WMAP baryon fraction

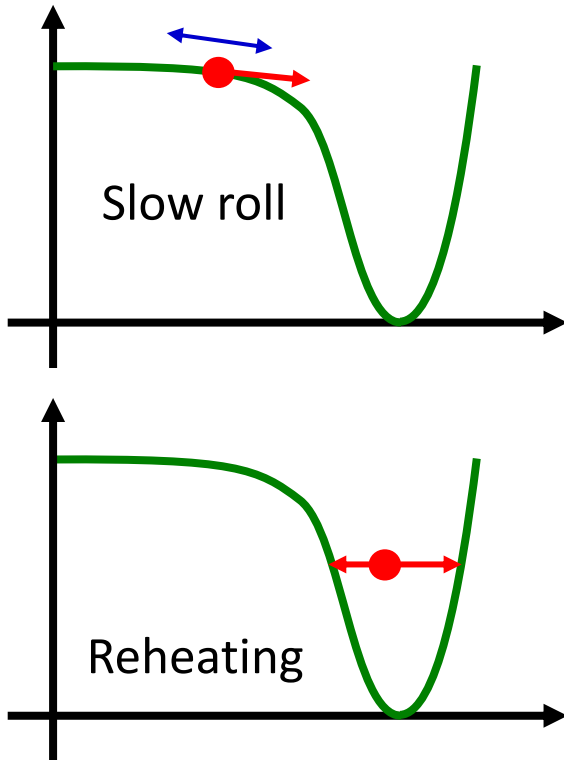


Cadamuro, Hannestad, Raffelt & Redondo, arXiv:1011.3694 (JCAP)

# Creation of Adiabatic vs. Isocurvature Perturbations

## Inflaton field

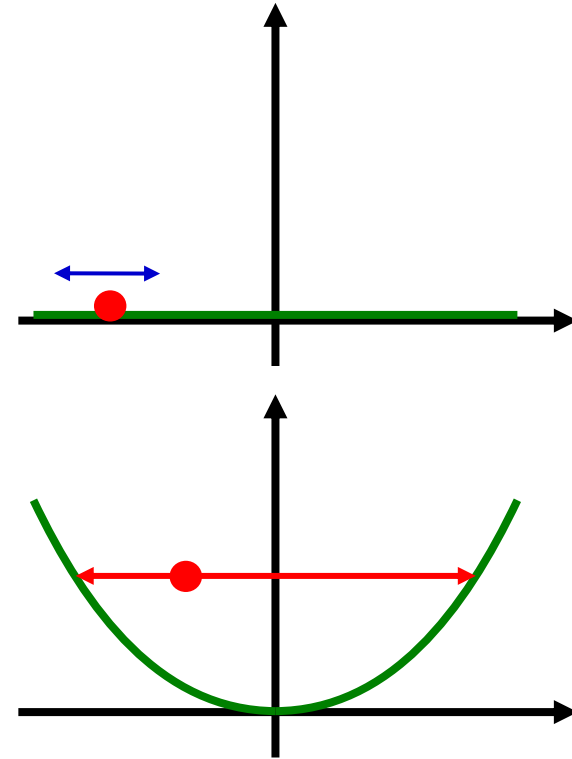
De Sitter expansion imprints  
scale invariant fluctuations



Inflaton decay  $\rightarrow$  matter & radiation  
Both fluctuate the same:  
Adiabatic fluctuations

## Axion field

De Sitter expansion imprints  
scale invariant fluctuations

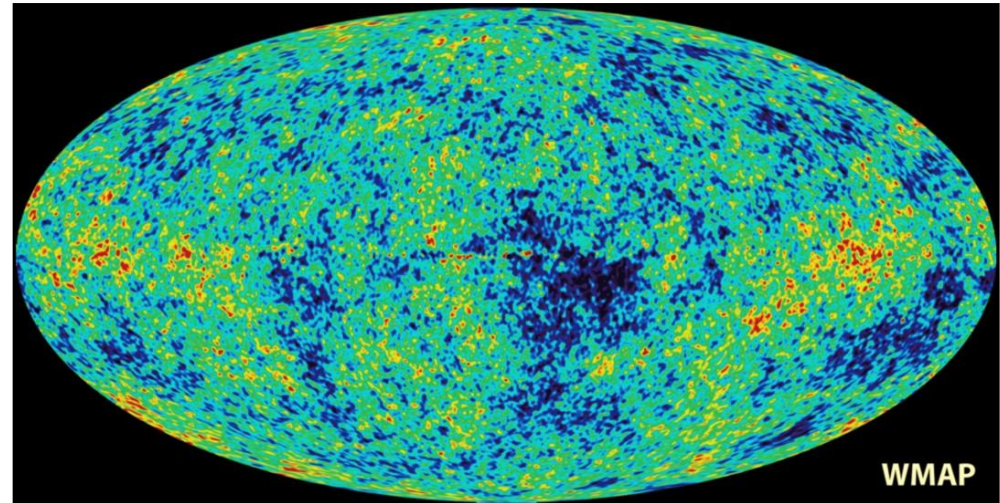


Inflaton decay  $\rightarrow$  radiation  
Axion field oscillates late  $\rightarrow$  matter  
Matter fluctuates relative to radiation:  
Entropy fluctuations

# Power Spectrum of CMB Temperature Fluctuations

Sky map of CMBR temperature fluctuations

$$\Delta(\theta, \varphi) = \frac{T(\theta, \varphi) - \langle T \rangle}{\langle T \rangle}$$

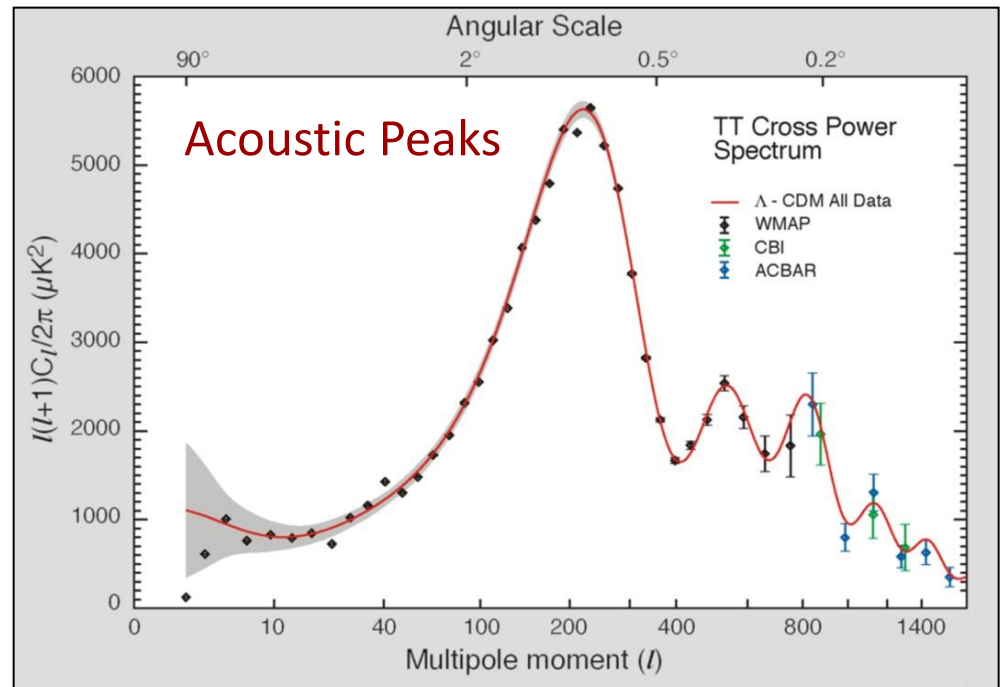


Multipole expansion

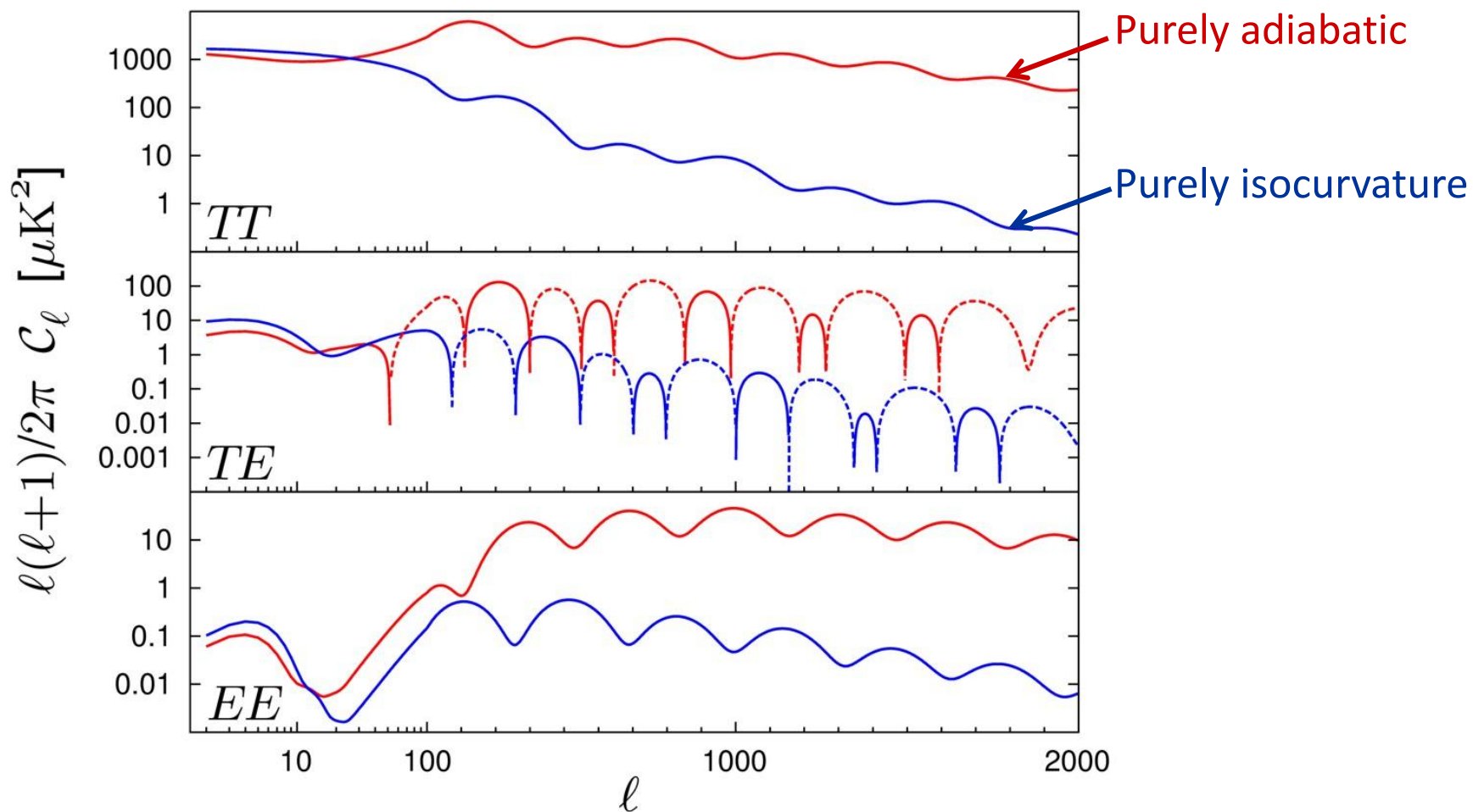
$$\Delta(\theta, \varphi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell m}(\theta, \varphi)$$

Angular power spectrum

$$C_{\ell} = \langle a_{\ell m}^* a_{\ell m} \rangle = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} a_{\ell m}^* a_{\ell m}$$

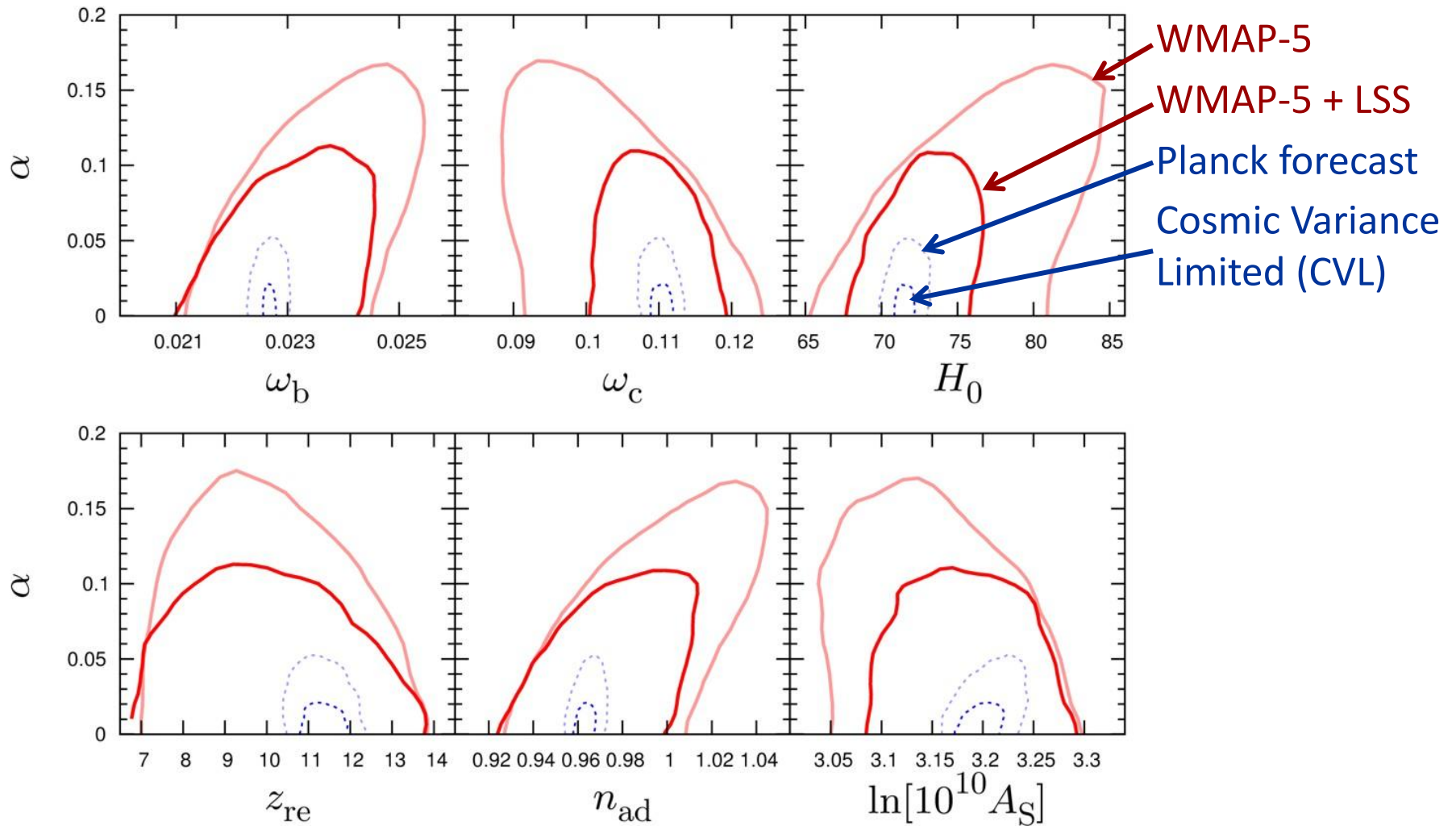


# CMB Angular Power Spectrum



Hamann, Hannestad, Raffelt & Wong, arXiv:0904.0647

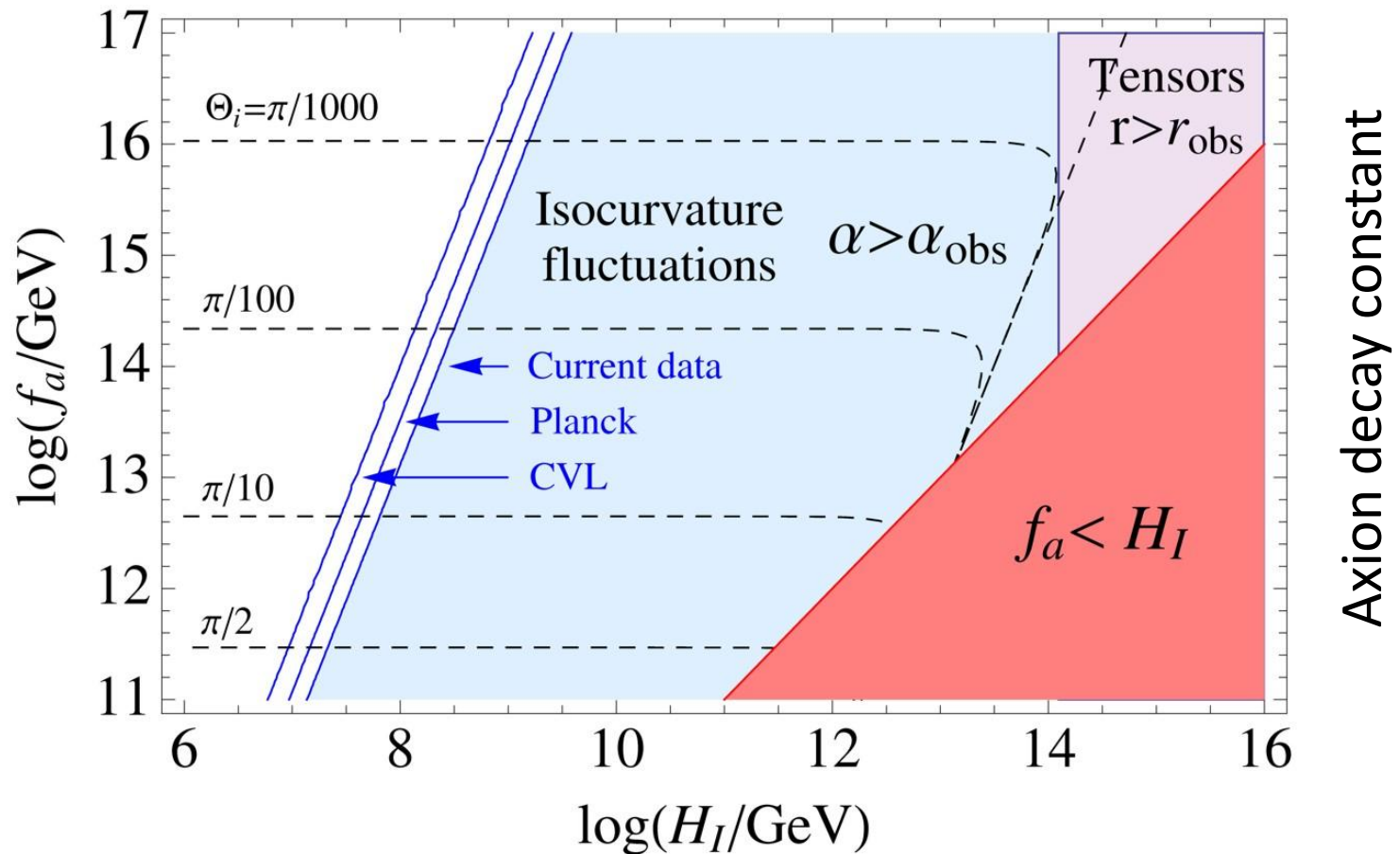
# Parameter Degeneracies



Hamann, Hannestad, Raffelt & Wong, arXiv:0904.0647

# Isocurvature Forecast

Hubble scale during inflation



Hamann, Hannestad, Raffelt & Wong, arXiv:0904.0647

## Experimental Tests of the “Invisible” Axion

P. Sikivie

*Physics Department, University of Florida, Gainesville, Florida 32611*

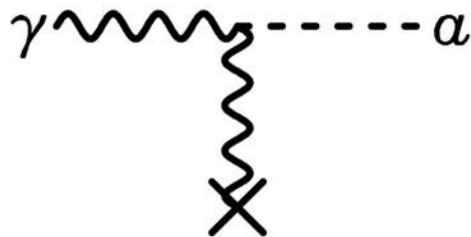
(Received 13 July 1983)

Experiments are proposed which address the question of the existence of the “invisible” axion for the whole allowed range of the axion decay constant. These experiments exploit the coupling of the axion to the electromagnetic field, axion emission by the sun, and/or the cosmological abundance and presumed clustering of axions in the halo of our galaxy.

### Primakoff effect:

Axion-photon transition in external static E or B field

(Originally discussed for  $\pi^0$  by Henri Primakoff 1951)

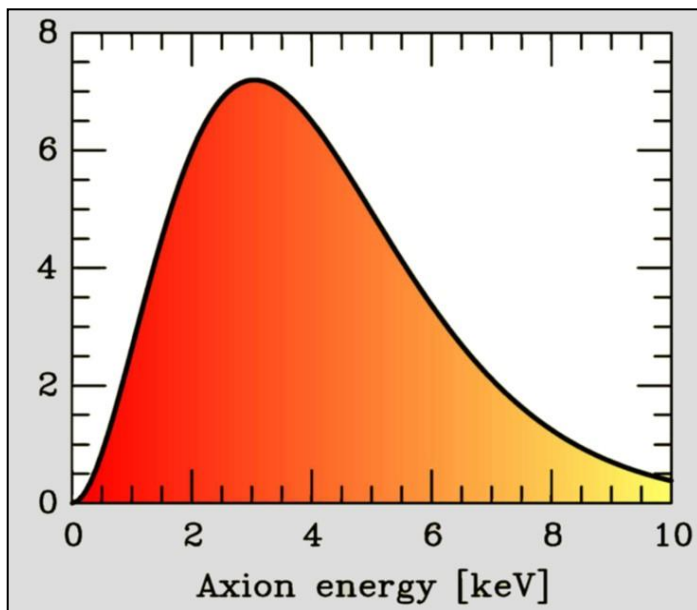
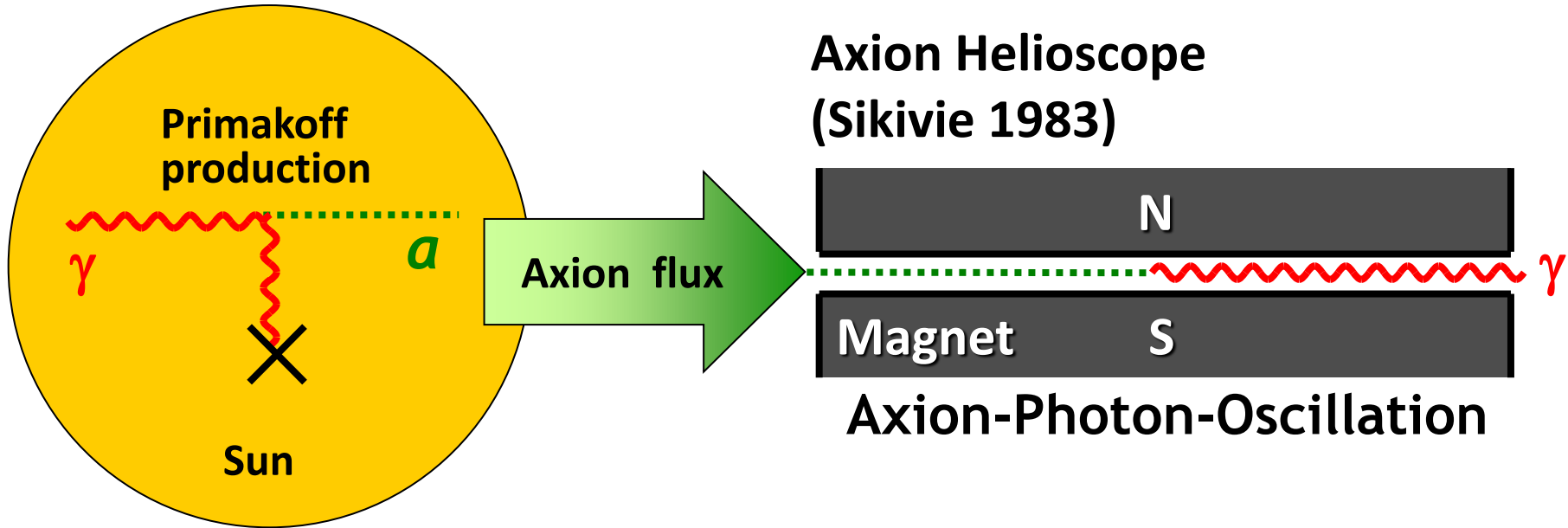


### Pierre Sikivie:

Macroscopic B-field can provide a large coherent transition rate over a big volume (low-mass axions)

- Axion helioscope:  
Look at the Sun through a dipole magnet
- Axion haloscope:  
Look for dark-matter axions with  
A microwave resonant cavity

# Search for Solar Axions



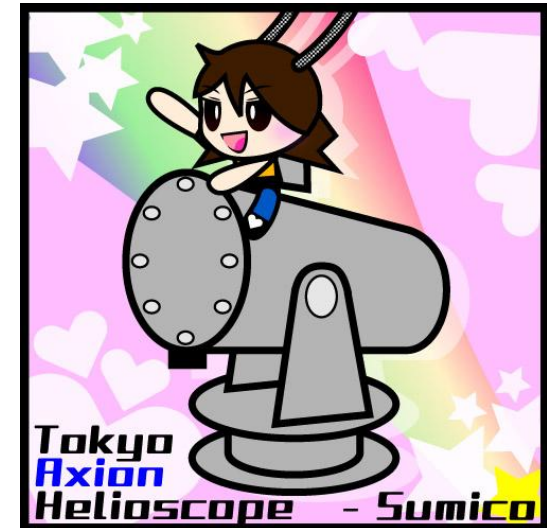
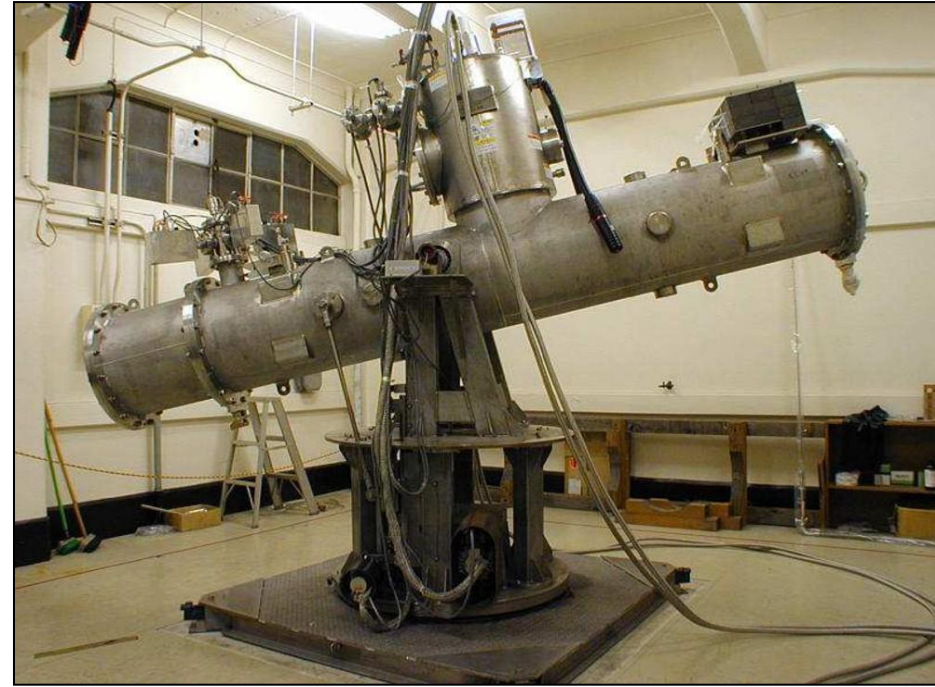
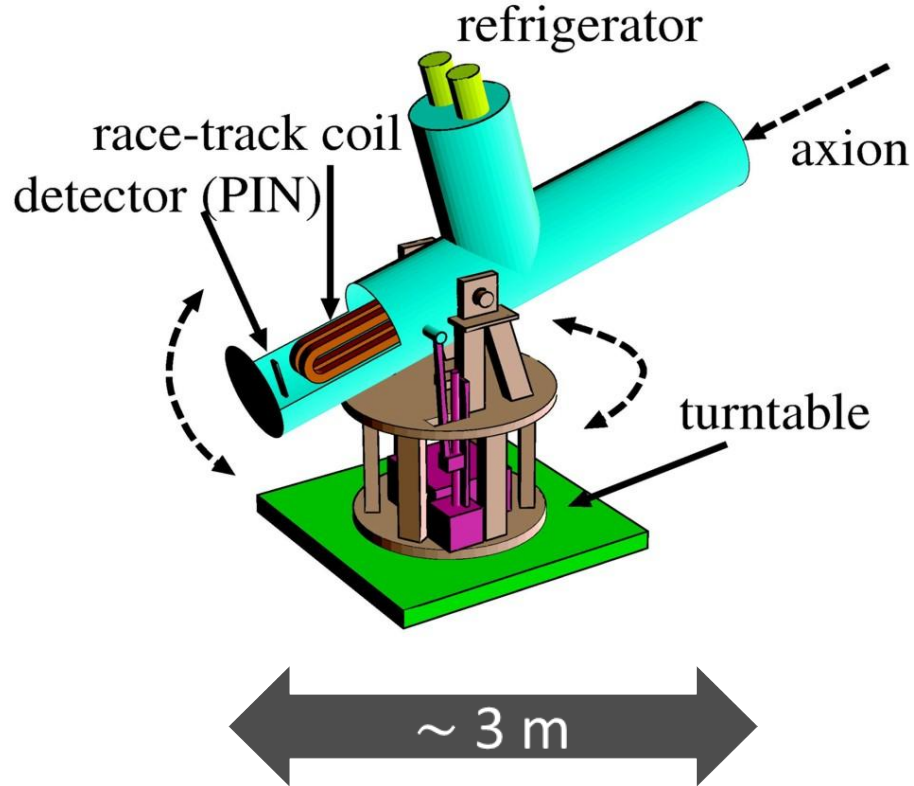
- Tokyo Axion Helioscope ("Sumico") (Results since 1998, up again 2008)
- CERN Axion Solar Telescope (CAST) (Data since 2003)

Alternative technique:

Bragg conversion in crystal

Experimental limits on solar axion flux from dark-matter experiments (SOLAX, COSME, DAMA, CDMS ...)

# Tokyo Axion Helioscope (“Sumico”)



Moriyama, Minowa, Namba, Inoue, Takasu & Yamamoto  
PLB 434 (1998) 147

Inoue, Akimoto, Ohta, Mizumoto, Yamamoto & Minowa  
PLB 668 (2008) 93

# CAST at CERN



# Sun Spot on CCD with X-Rays

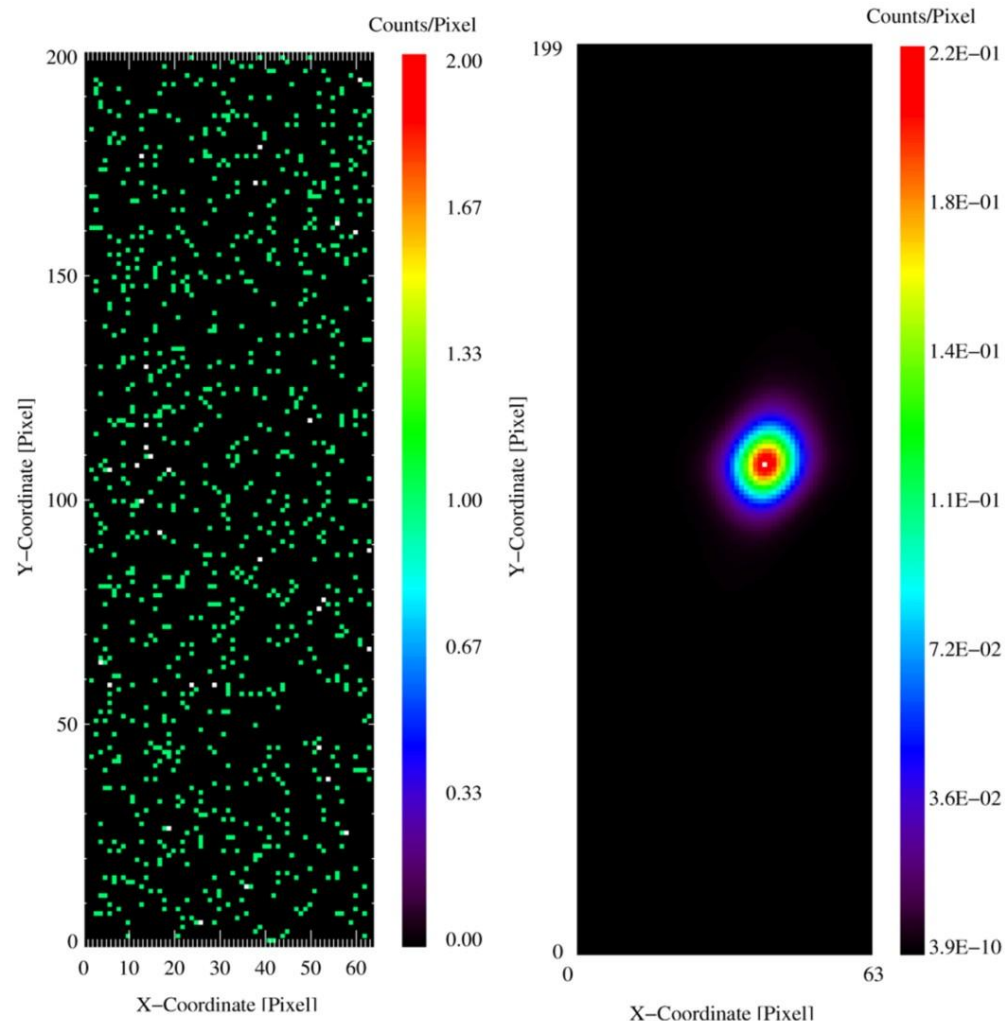


Figure 6: Left: Spatial distribution of events observed under axion sensitive conditions by the CAST X-ray telescope during the 2004 data taking period. The intensity is given in counts per pixel and is integrated over the full observation period of  $t_{\text{obs}} = 707$  ksec. Right: Expected “axion” image of the sun as it would be observed by the pn-CCD detector. To determine the axion spot on the pn-CCD, the PSF of the mirror system and the total effective area of the X-ray telescope was taken into account. The count rate integrated over the region of the spot is normalized to unity.

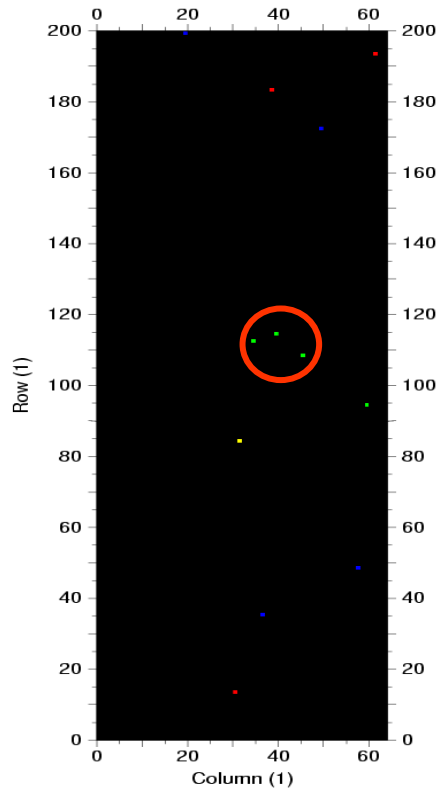
90 min tracking result

ROI



Event Counts (1)

nspl1.1 renc2 7.14 st_val*****0					
0	0.2	0.4	0.6	0.8	1
nspl1.1 renc2 2.7 st_val*****0					
0	0.2	0.4	0.6	0.8	1
nspl1.1 renc2 0.5.2 st_val*****0					
0	0.2	0.4	0.6	0.8	1



Source	-
CCD temperature (degC)	-130.0
Observation comment(s)	none
Start time	2006-05-30T02:55:48.845
End time	2006-05-30T04:26:01.776
Livetime (s)	5412.9
Cycle time (ms)	71.8
Frames (total/cal/softcal)	75420 0 0
Single Chip info	9.7 64 200 150 150 0 0 0
Wafer Info	111 Epi  300 16
Filter	--
Window	1 64 1 200
Observer	kuster

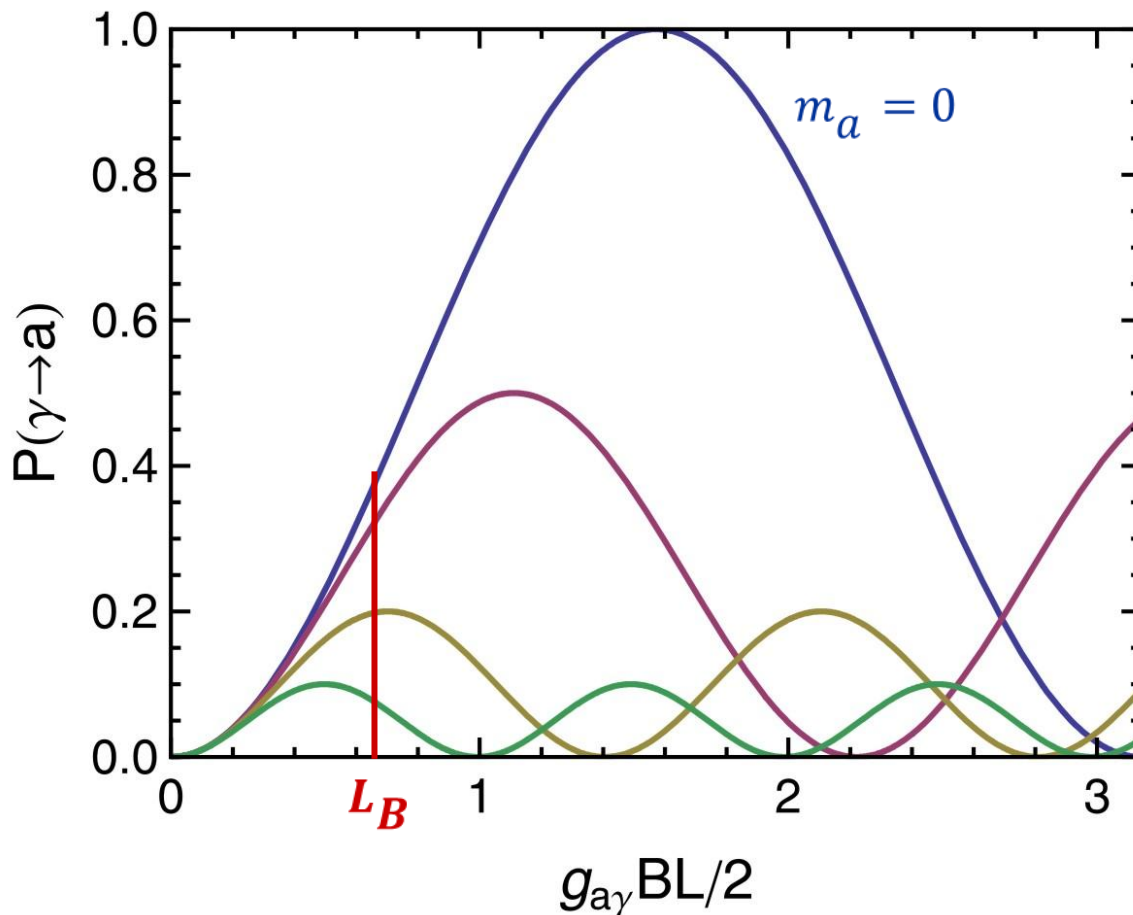
0.000	1.000	0.000	4.0	4
0.000	9.000	0.001	13.0	5
0.000	118.000	0.009	121.0	4
min	max	mean	sum	hits

„suspicious pressure“

# Axion-Photon-Conversion

Stationary Klein-Gordon equation  
for photons and axions  
in external transverse B-field

$$-i\partial_z \begin{pmatrix} A \\ a \end{pmatrix} = \left[ \omega + \frac{1}{2} \begin{pmatrix} 0 & g_{a\gamma} B \\ g_{a\gamma} B & m_a^2/\omega \end{pmatrix} \right] \begin{pmatrix} A \\ a \end{pmatrix}$$



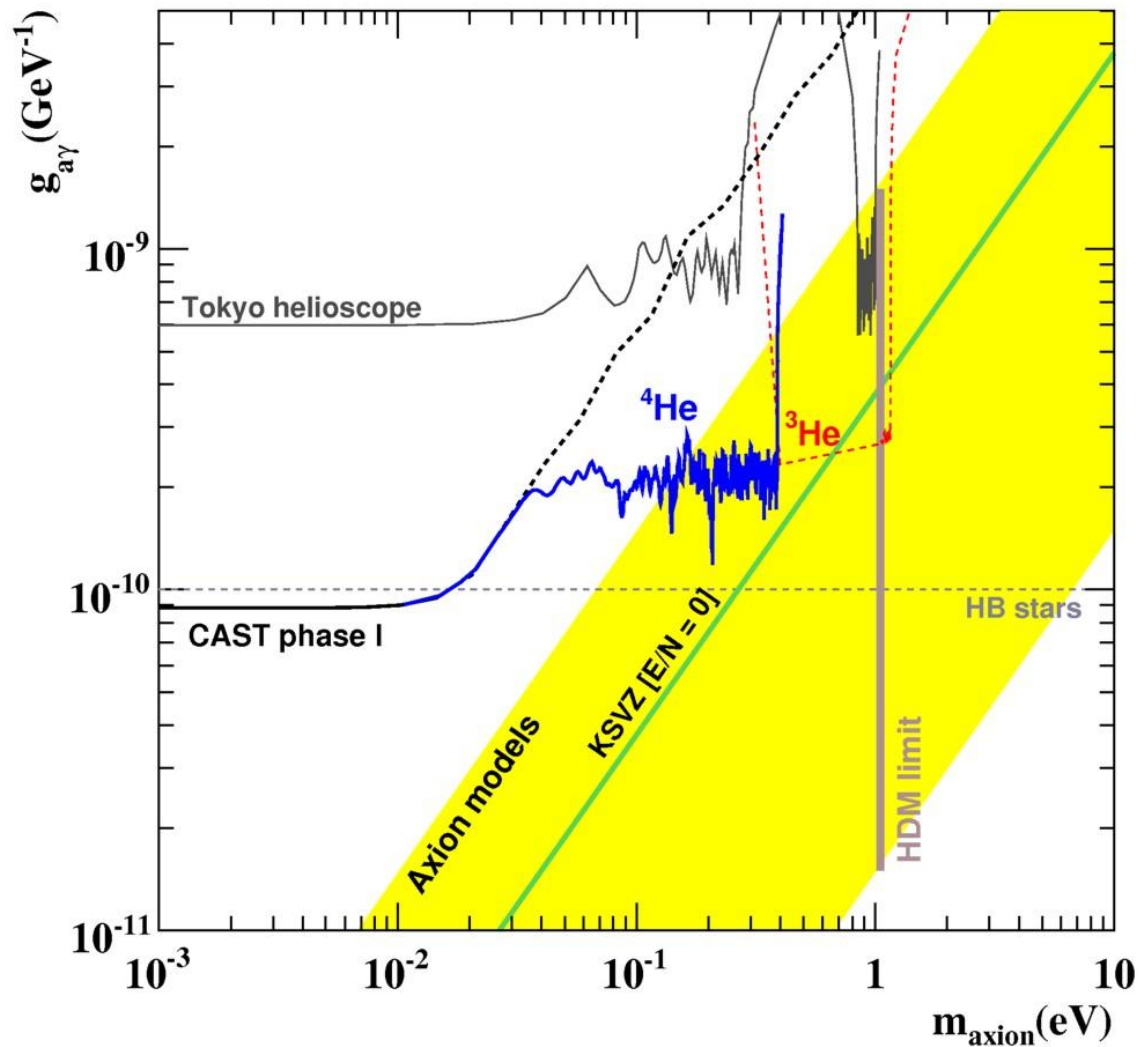
Conversion probability

$$P(a \rightarrow \gamma) = \left( \frac{g_{a\gamma}BL}{2} \frac{\sin(x)}{x} \right)^2$$

$$x = \sqrt{\left( \frac{m_a^2}{4\omega} \right)^2 + \left( \frac{g_{a\gamma}BL}{2} \right)^2}$$

Saturation of  $P(a \rightarrow \gamma)$   
at  $L_B$  for sufficiently large  
mass ( $\sim 0.02$  eV for CAST)

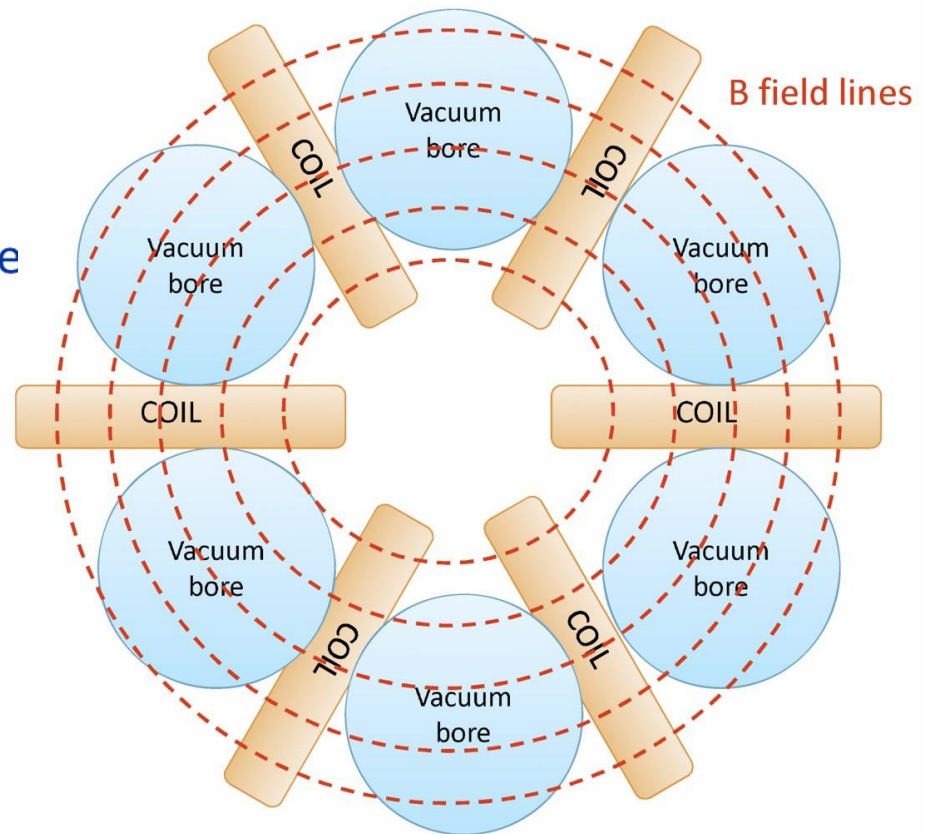
# Helioscope Limits



CAST-I results: PRL 94:121301 (2005) and JCAP 0704 (2007) 010  
 CAST-II results (He-4 filling): JCAP 0902 (2009) 008

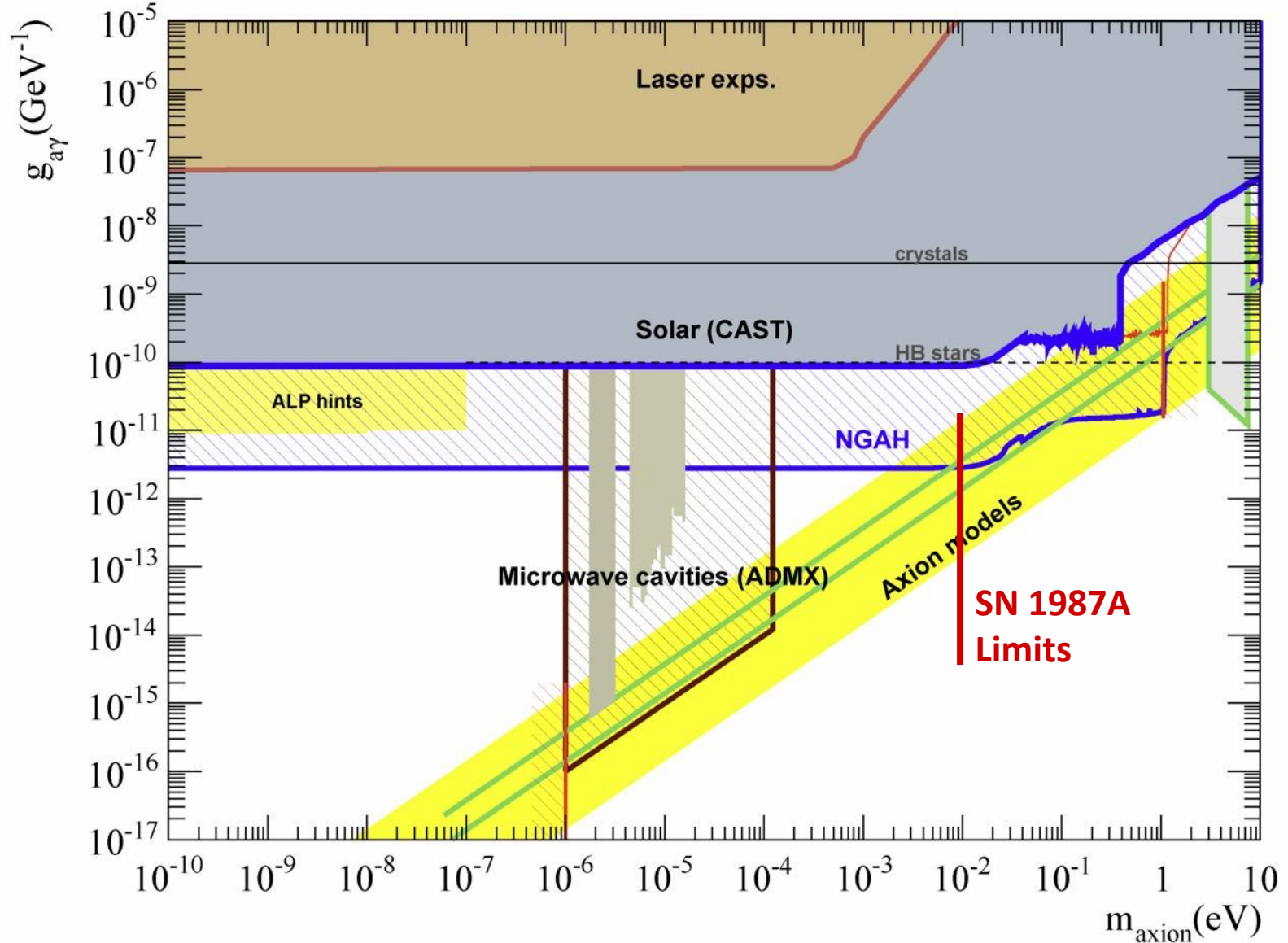
# Next Generation Axion Helioscope

- CAST has one of the best existing magnets that one could “recycle” for axion physics (LHC test magnet)
- Only way forward is building a new magnet especially conceived for this purpose
- Work ongoing, but best option up to now is a toroidal configuration:
  - Much bigger aperture than CAST:  
 $\sim 1 \text{ m}^2$  per bore
  - Lighter than a dipole (no iron yoke)
  - Bores at room temperature



I. Irastorza et al., “Towards a new generation axion helioscope”, arXiv:1103.5334

# Helioscope Prospects



# Axion-Like Particles (ALPs)

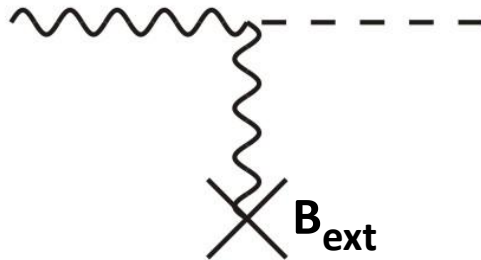
Particles with two-photon vertex:

- Gravitons
- Neutral pions ( $\pi^0$ )
- Axions and similar hypothetical particles

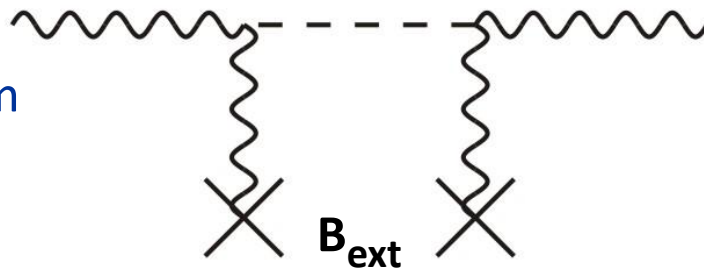
Two-photon  
decay

$$\Gamma_{a\gamma} = \frac{g_{a\gamma}^2 m_a^3}{64\pi}$$

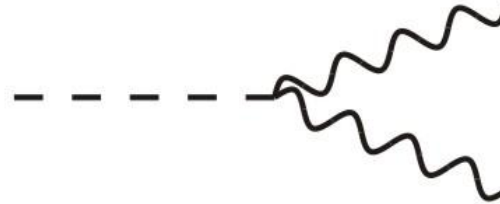
Primakoff  
effect



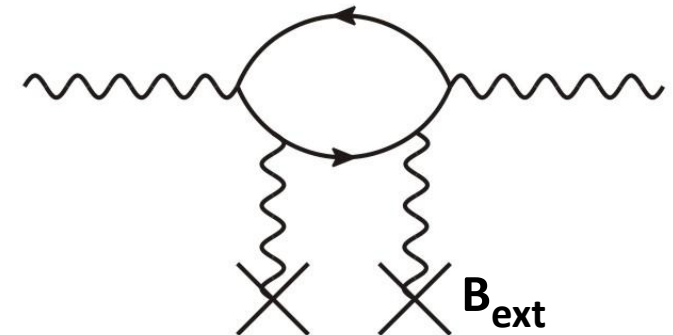
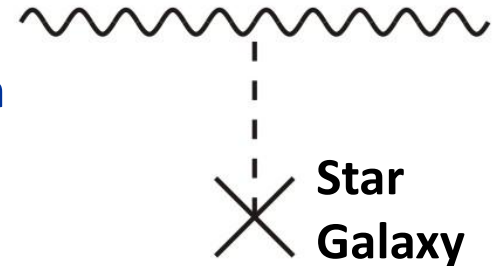
Magnetically  
induced vacuum  
birefringence



Pseudoscalars:  $\mathcal{L}_{a\gamma} = g_{a\gamma} \mathbf{E} \cdot \mathbf{B} a$



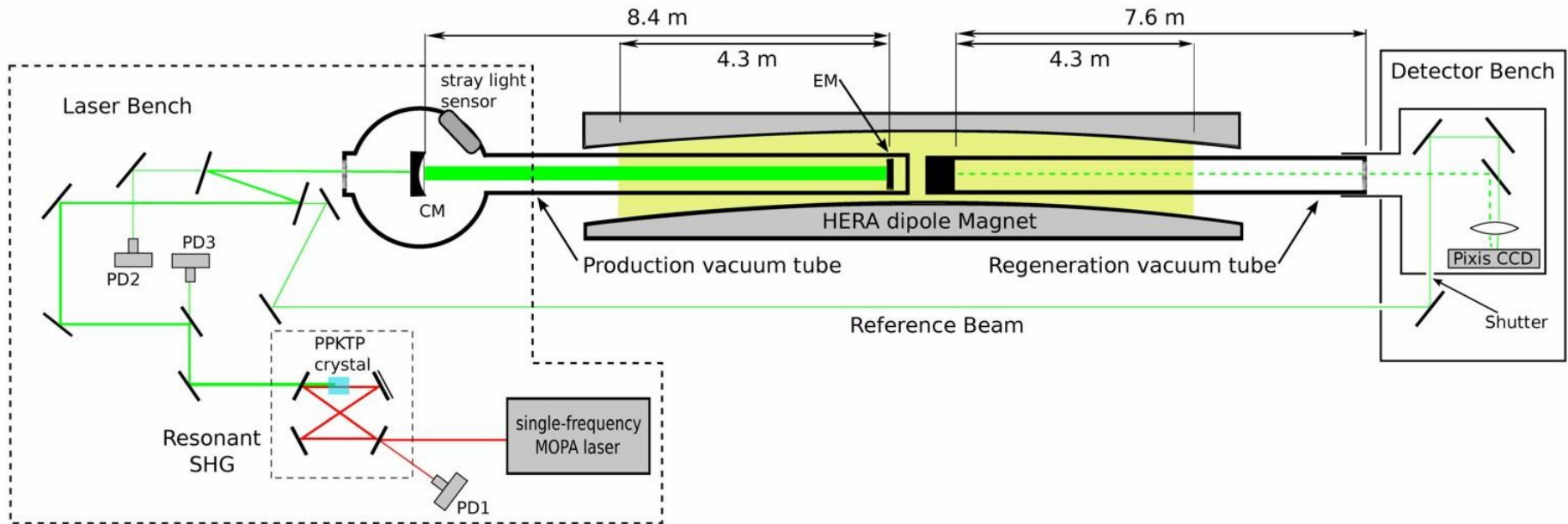
Gravitational  
light deflection



Vacuum Cotton-Mouton effect

# Photon Regeneration Experiment at DESY (ALPS)

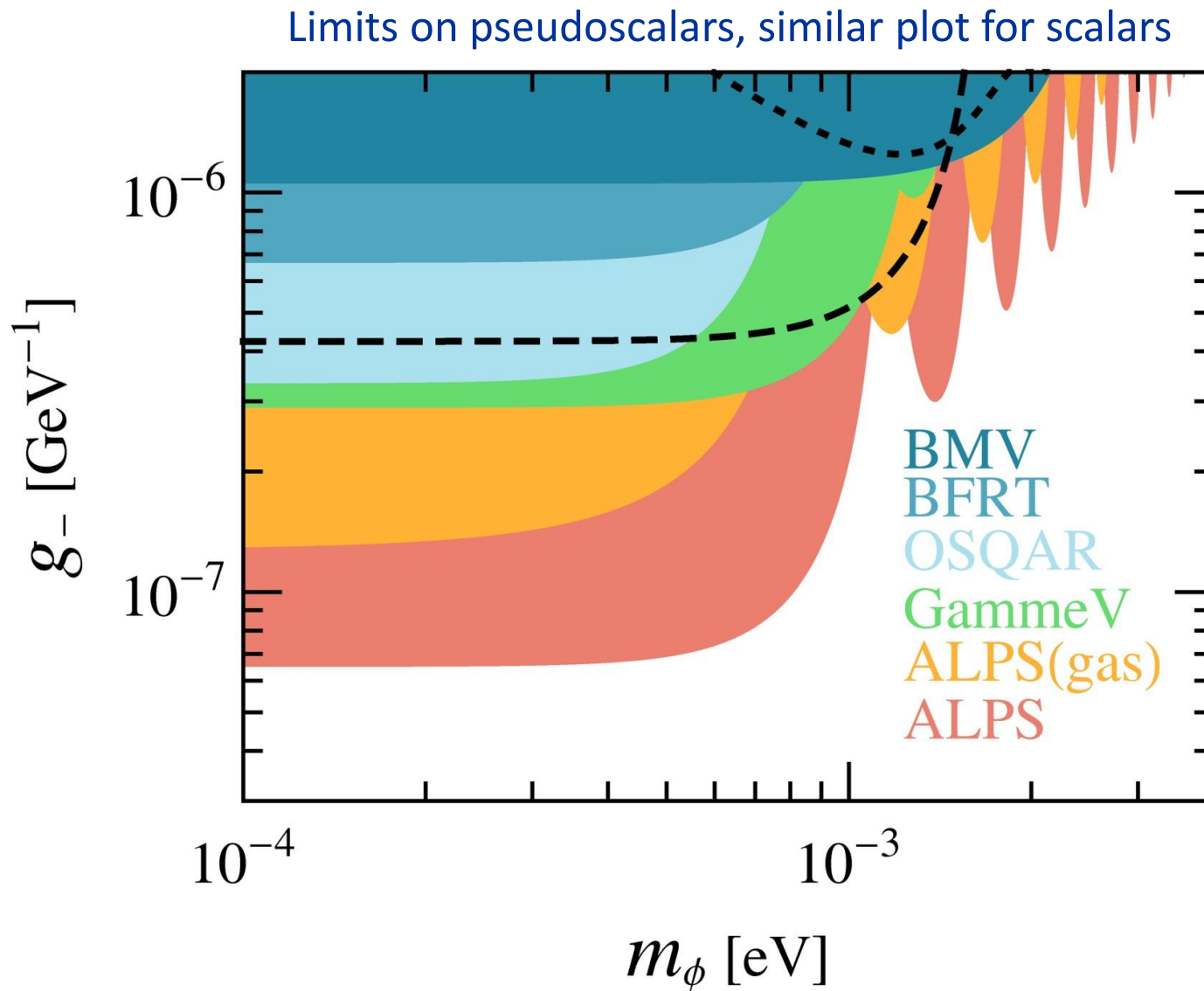
Ehret et al. (ALPS Collaboration), arXiv:1004.1313



Recent “shining-light-through-a-wall” or vacuum birefringence experiments:

- ALPS (DESY, using HERA dipole magnet)
- BMV (Laboratoire National des Champs Magnétiques Intens, Toulouse)
- BFRT (Brookhaven, 1993)
- GammeV (Fermilab)
- LIPPS (Jefferson Lab)
- OSQAR (CERN, using LHC dipole magnets)
- PVLAS (INFN Trieste)

# Limits on Axion-Like Particles from Laser Experiments



Ehret et al. (ALPS Collaboration), arXiv:1004.1313

# Search for Galactic Axions (Cold Dark Matter)

Dark matter axions  
Velocities in galaxy  
Energies therefore

$$m_a = 1\text{--}100 \mu\text{eV}$$

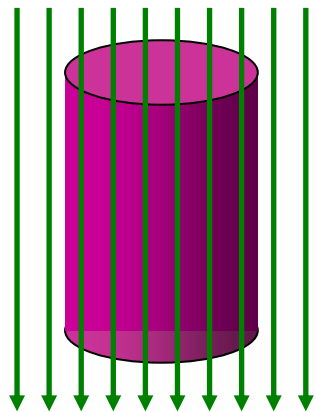
$$v_a \approx 10^{-3} c$$

$$E_a \approx (1 \pm 10^{-6}) m_a$$



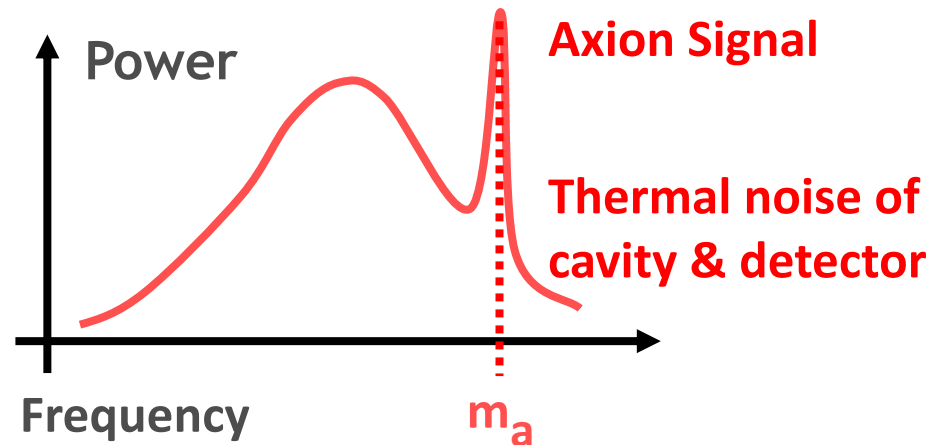
**Microwave Energies**  
(1 GHz  $\approx$  4  $\mu\text{eV}$ )

## Axion Haloscope (Sikivie 1983)

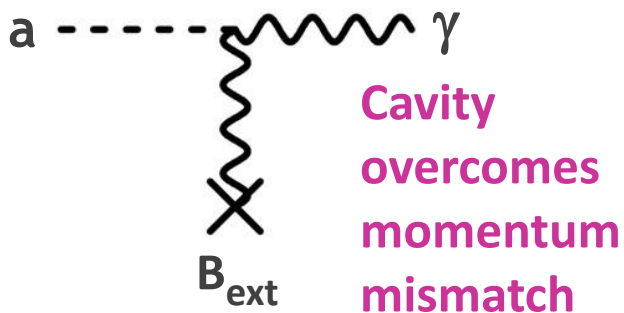


$B_{\text{ext}} \approx 8 \text{ Tesla}$

Microwave  
Resonator  
 $Q \approx 10^5$



## Primakoff Conversion



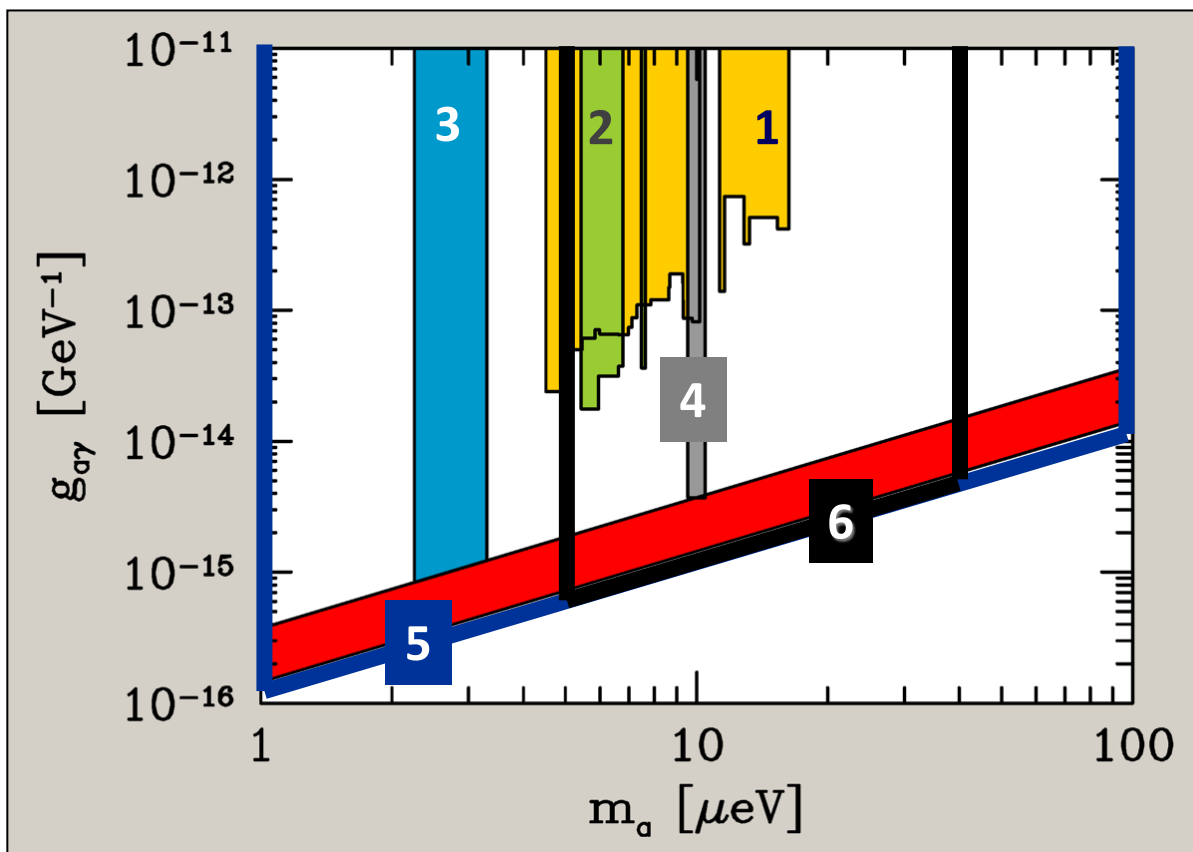
## Power of galactic axion signal

$$4 \times 10^{-21} \text{ W} \frac{V}{0.22 \text{ m}^3} \left( \frac{B}{8.5 \text{ T}} \right)^2 \frac{Q}{10^5}$$

$$\times \left( \frac{m_a}{2\pi \text{ GHz}} \right) \left( \frac{\rho_a}{5 \times 10^{-25} \text{ g/cm}^3} \right)$$

# Axion Dark Matter Searches

Limits/sensitivities, assuming axions are the galactic dark matter



1. Rochester-Brookhaven-Fermilab,  
PRD 40 (1989) 3153

2. University of Florida  
PRD 42 (1990) 1297

3. US Axion Search  
ApJL 571 (2002) L27

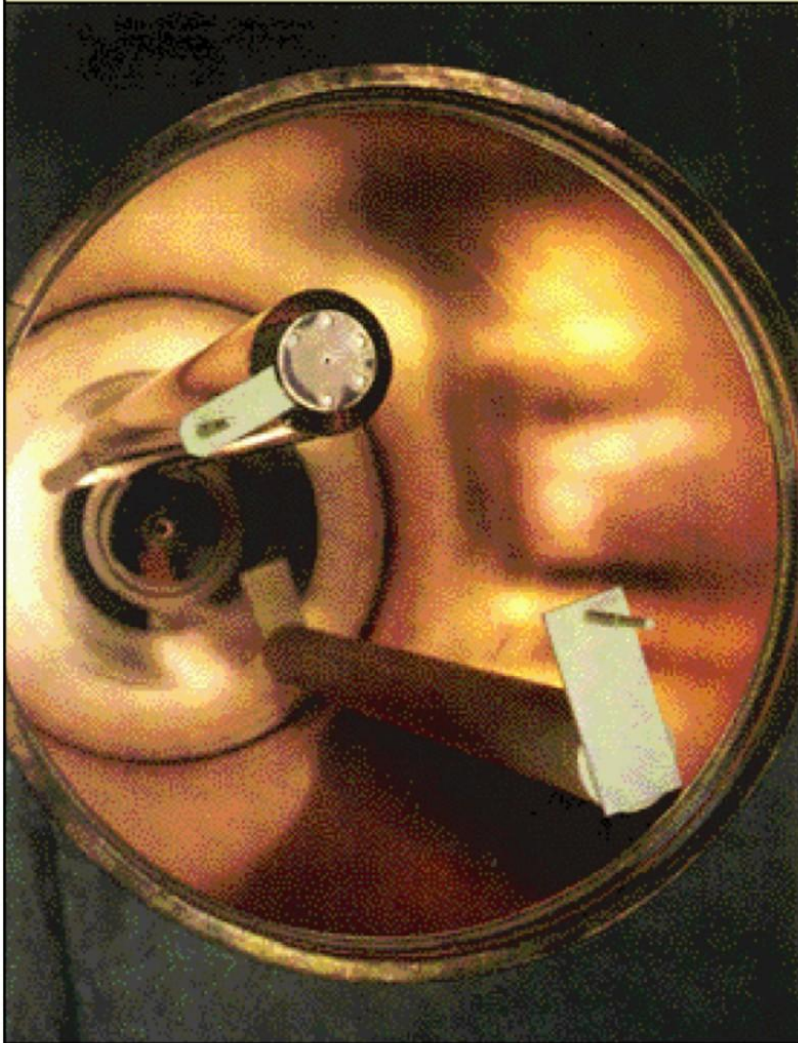
4. CARRACK I (Kyoto)  
hep-ph/0101200

5. ADMX (US) foreseen  
RMP 75 (2003) 777

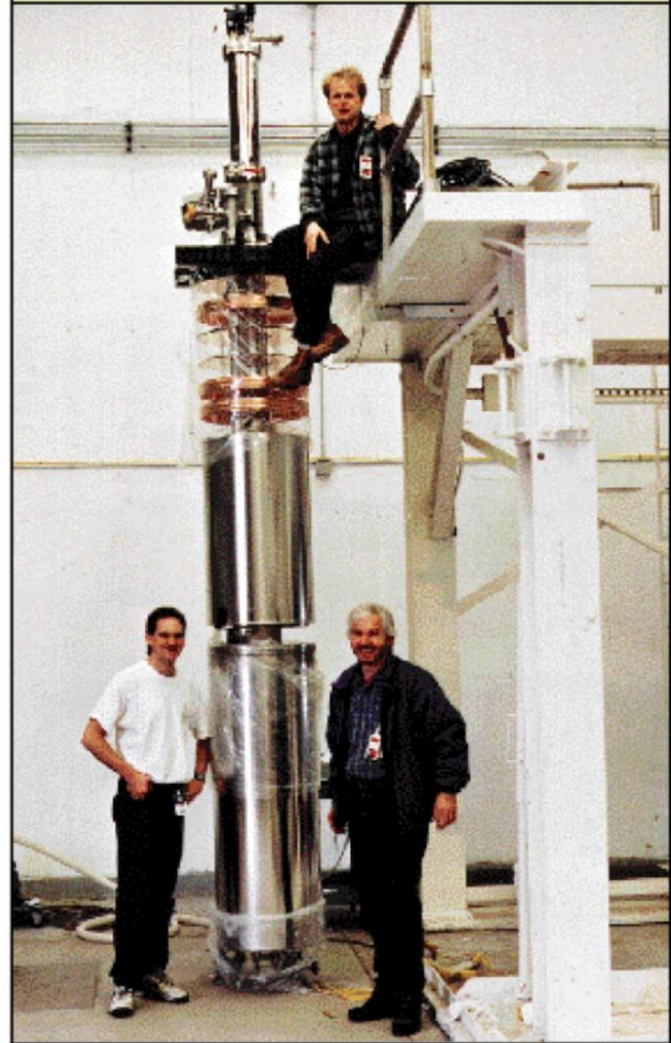
6. New CARRACK (Kyoto)  
K.Imai (Panic 2008)

# ADMX Hardware

**High-Q Cavity ( $\sim 200,000$ )**



**Experimental Insert**

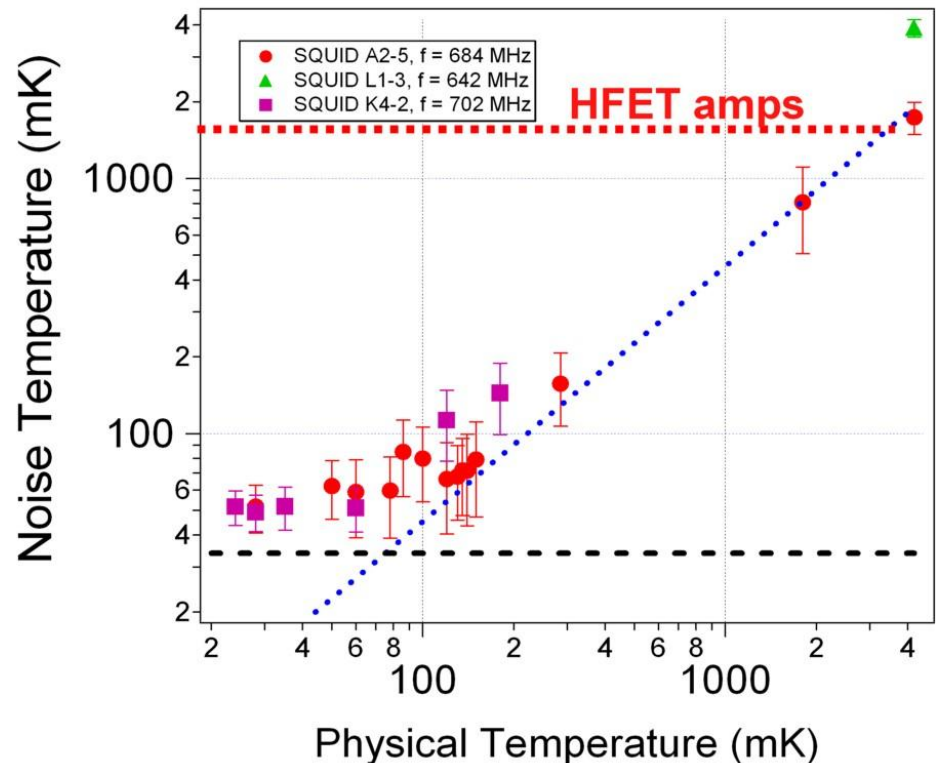
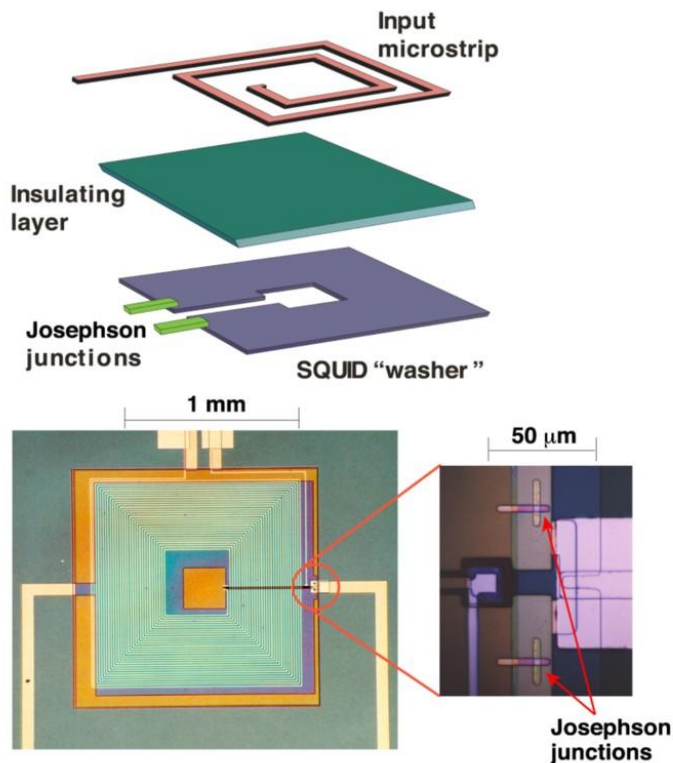


Gianpaolo Carosi, Talk at Fermilab (May 2007)

# SQUID Microwave Amplifiers in ADMX

Presently the noise temperature of our HFET amps is  $\sim 1.5\text{K}$   
*But the quantum limit at 1 GHz is  $\sim 50\text{ mK}$*

\*Prof. John Clark and Dr. Darin Kinion (UC Berkeley)



***Our latest SQUIDs are now within 15% of the Standard Quantum Limit***

# ADMX phase I: First-year science data (2009)

PRL **104**, 041301 (2010)

PHYSICAL REVIEW LETTERS

week ending  
29 JANUARY 2010

## SQUID-Based Microwave Cavity Search for Dark-Matter Axions

S. J. Asztalos,<sup>\*</sup> G. Carosi, C. Hagmann, D. Kinion, and K. van Bibber  
*Lawrence Livermore National Laboratory, Livermore, California 94550, USA*

M. Hotz, L. J. Rosenberg, and G. Rybka  
*University of Washington, Seattle, Washington 98195, USA*

J. Hoskins, J. Hwang,<sup>†</sup> P. Sikivie, and D. B. Tanner  
*University of Florida, Gainesville, Florida 32611, USA*

R. Bradley  
*National Radio Astronomy Observatory, Charlottesville, Virginia 22903,*

J. Clarke  
*University of California and Lawrence Berkeley National Laboratory, Berkeley, Calif*  
(Received 27 October 2009; published 28 January 2010)

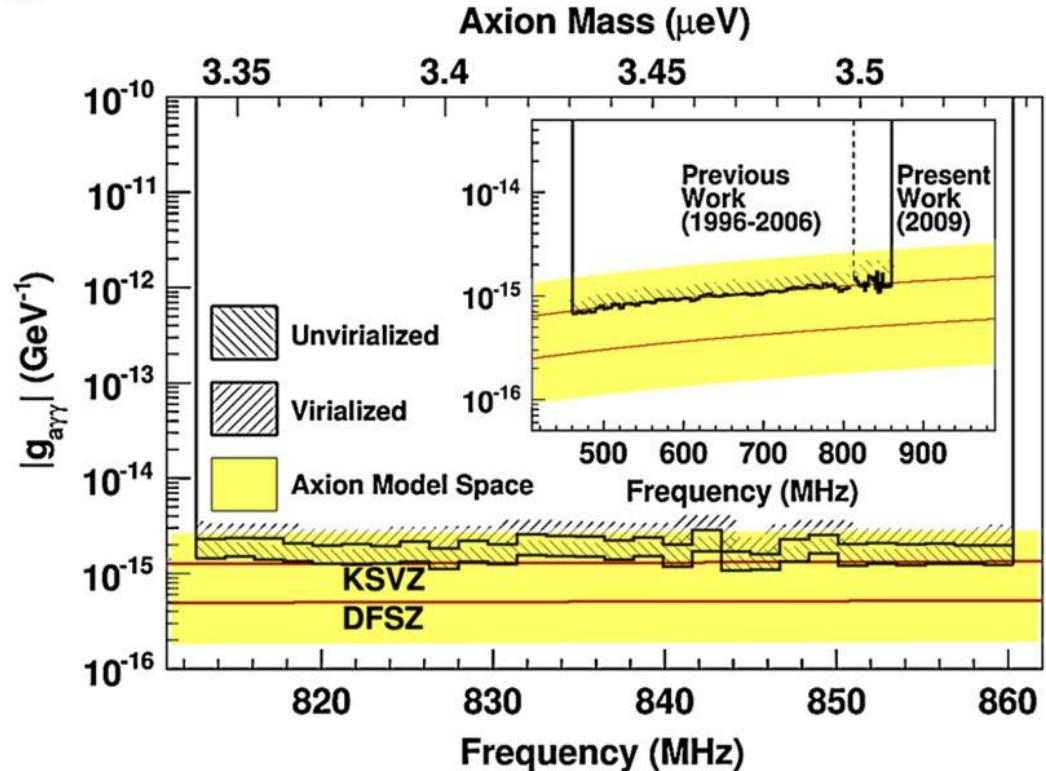
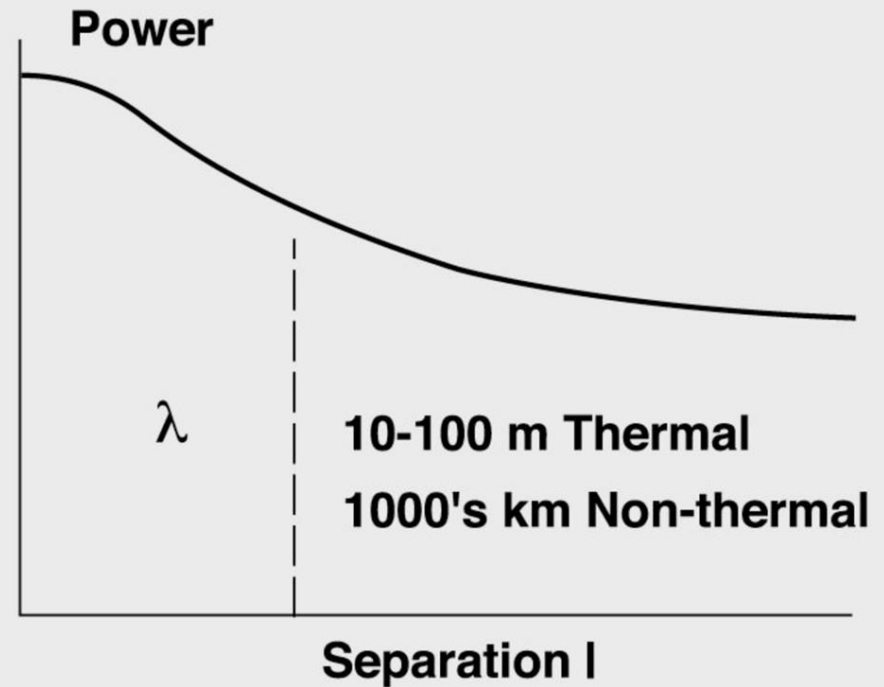
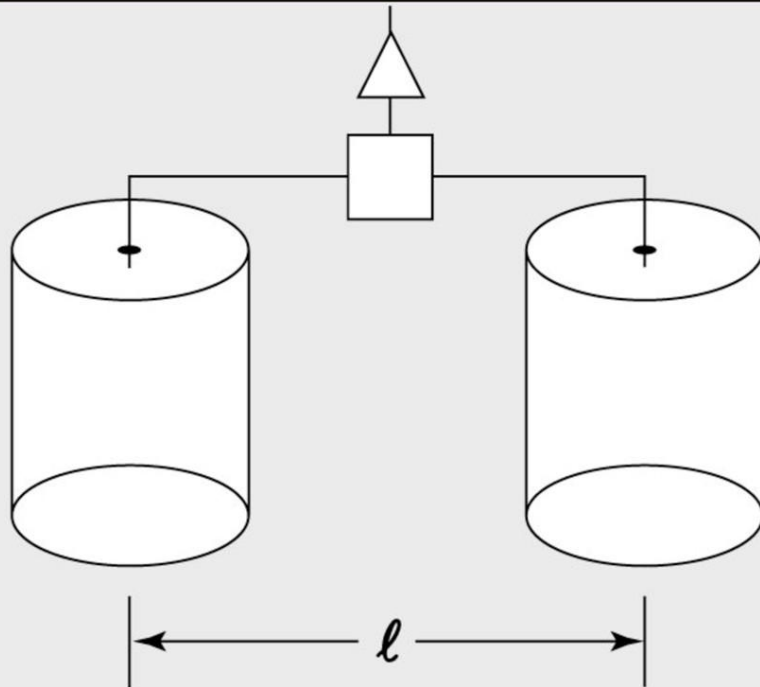


FIG. 5 (color online). Axion-photon coupling excluded at the 90% confidence level assuming a local dark-matter density of  $0.45 \text{ GeV}/\text{cm}^3$  for two dark-matter distribution models. The shaded region corresponds to the range of the axion-photon coupling models discussed in [28].

## And if the axion be found?

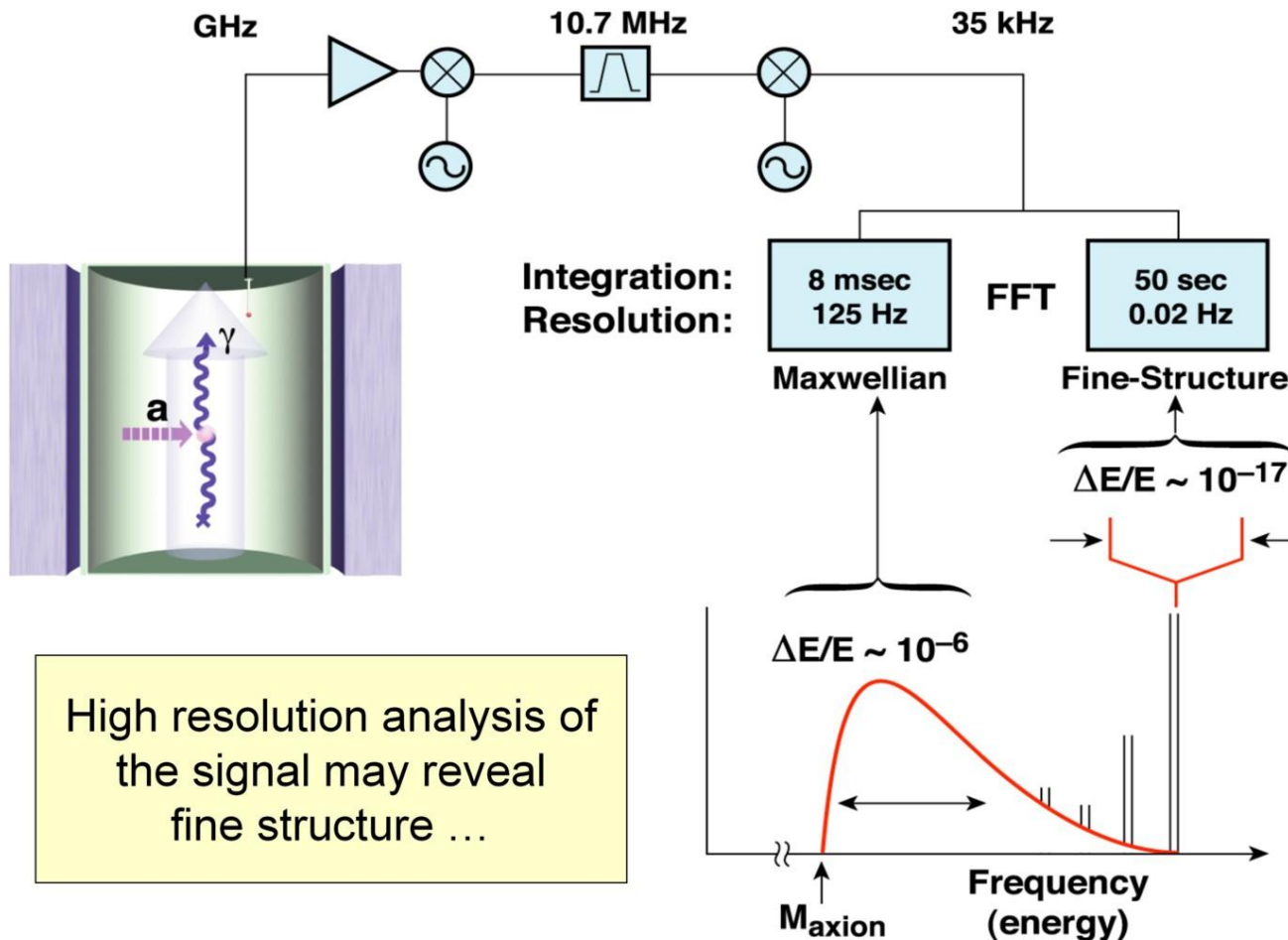
### The Study of Unique Quantum System



And should the axion possess fine-structure, it would constitute a “movie” of the formation of our Milky Way galaxy

# Fine Structure in the Axion Spectrum

- Axion distribution on a 3-dim sheet in 6-dim phase space
- Is “folded up” by galaxy formation
- Velocity distribution shows narrow peaks that can be resolved
- More detectable information than local dark matter density



# Searching for Axions in the Anthropic Window

Assume axions are galactic dark matter:  $\rho_a \sim 300 \text{ MeV/cm}^3$

$$\rho_a = m_a^2 \Phi_a^2 = m_a^2 (\Theta f_a)^2 \sim \Theta^2 (m_\pi f_\pi)^2 \sim \Theta^2 \Lambda_{\text{QCD}}^4$$

Independently of  $f_a$  expect

$$\Theta(t) \sim 3 \times 10^{-19} \cos(m_a t)$$

Expect time-varying neutron EDM, MHz frequency for  $f_a \sim 10^{16} \text{ GeV}$

$$d_n \sim \frac{e}{2m_n} \frac{m_q}{m_N} \Theta \sim 3 \times 10^{-34} \text{ e-cm} \cos(m_a t)$$

Experimental limit on static EDM

$$d_n < 0.63 \times 10^{-25} \text{ e-cm}$$

Use much larger electric fields within atoms, small energy shifts within polarized molecules: Molecular interferometry techniques may work, a factor  $\sim 100$  off at present. Best in kHz-MHz regime (anthropic window).

Graham & Rajendran, arXiv:1101.2691

# Summary

- Peccei-Quinn dynamical CP symmetry restoration is better motivated than ever and provides an excellent CDM candidate
- Realistic full-scale search in “classic window” ( $m_a \sim 1\text{--}100 \mu\text{eV}$ ) is finally beginning (ADMX and New CARRACK)
- Isocurvature fluctuations could still show up (Planck, future CVL probe)
- CAST solar axion search almost complete and has crossed into hot dark matter region. No axions found, new limits.
- Hint for additional cooling in white dwarfs by axions? Leads to significant diffuse supernova axion background (DSAB). Parameters testable with Next Generation Helioscope?