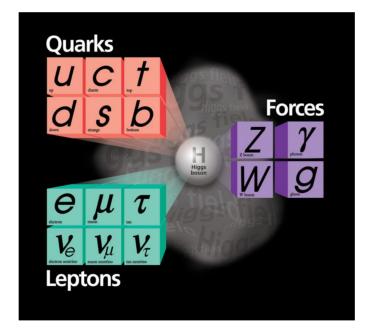
### Gino Isidori [ University of Zürich ]

- Introduction
- The two flavor puzzles
- Flavor non-universal interactions
- Hints on non-universality in B-physics data
- Fture prospects
- Conclusions





Introduction



Energy

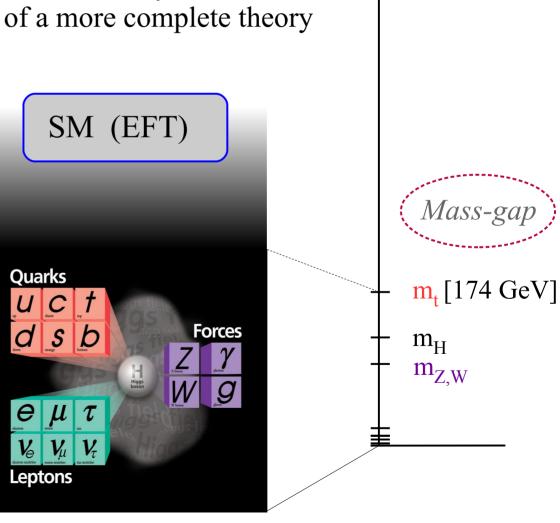
### Introduction

We recently celebrated the 10<sup>th</sup> anniversary of the <u>Higgs-boson</u> <u>discovery</u> (*or the completion of the SM spectrum*).

However, as for any QFT, we believe the SM is only an <u>Effective</u> <u>Field Theory</u>, i.e. the low energy limit of a more complete theory with more degrees of freedom

$$\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}} + \dots$$

We identified only the *long-range* properties of this EFT



### Introduction

Beside general QFT arguments, there are several "problems" calling for a non-trivial UV completion:

Electroweak hierarchy problem

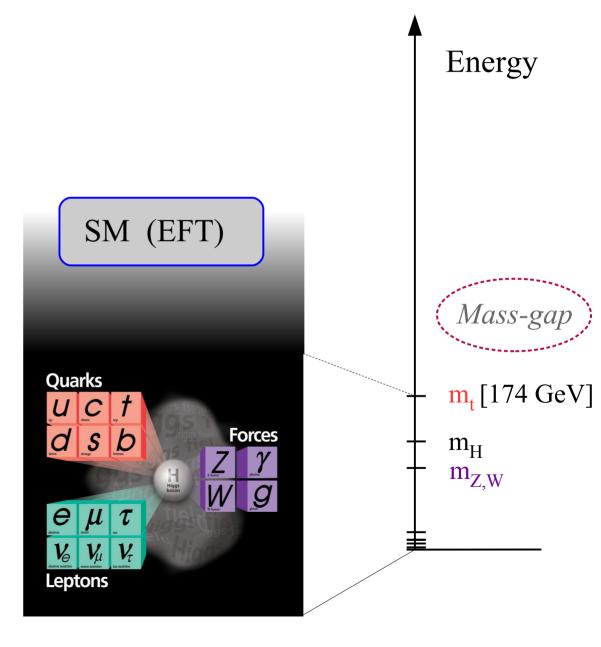
Flavor puzzle U(1) charges Neutrino masses Strong CP problem

Dark-matter

Dark-energy

Inflation

Quantum gravity



### Introduction

Beside general QFT arguments, there are several "problems" calling for a non-trivial UV completion:

Electroweak hierarchy problem

Flavor puzzle

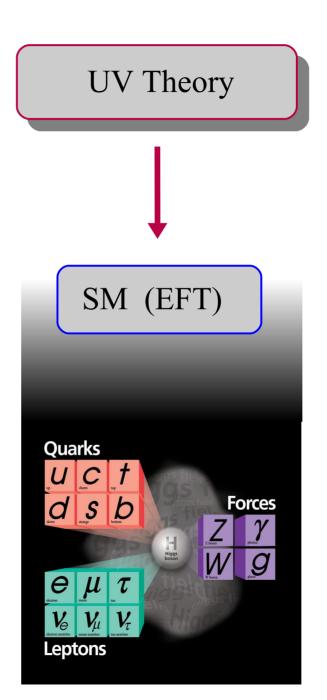
U(1) charges

Neutrino masses

Strong CP problem

non-trivial properties of the SM Lagrangian if interpreted as EFT

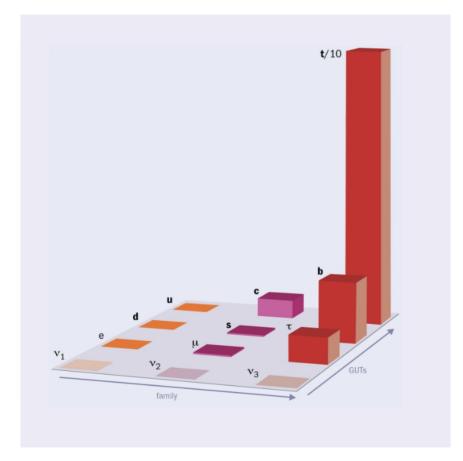
# Useful hints for its UV completion





Messages from the UV we need to decode..

# The two flavor puzzles



One summer I sat down and said:

"This is the summer when I'm not going to do anything but solve [the flavor] problem."

This was 40 years ago and I haven't solved it. No one has [...]. That's been a frustration now for 40 years...

[S.Weinberg, 2013]

### *The two flavor puzzles*

Even forgetting current anomalies, there are two (long-standing) open issues in flavor physics:

I. The observed pattern of SM Yukawa couplings does not look accidental

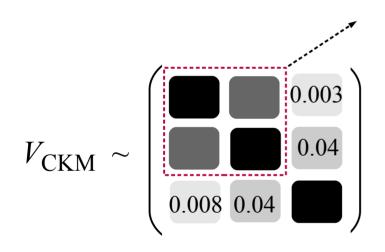
[SM flavor puzzle]

 $\rightarrow$  Is there a deeper explanation for this peculiar structures?

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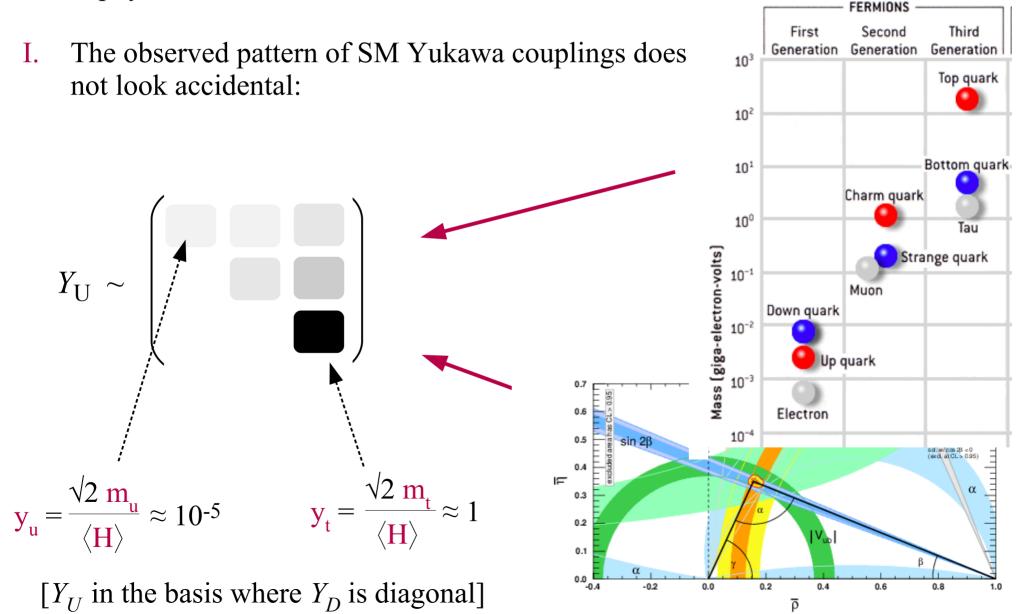


unitarity violation of the  $2 \times 2$  (light) block below  $10^{-3}$  !

N.B.: Despite the very good knowledge we have nowadays about the CKM matrix, we are not able to detect the presence of the  $3^{rd}$  family by looking only at the 2×2 block (as one naively would have expected...)

### *The two flavor puzzles*

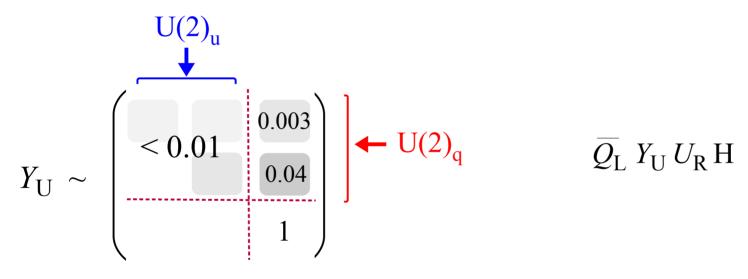
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### *The two flavor puzzles*

Even forgetting current anomalies, there are two (long-standing) open issues in flavor physics:

I. The observed pattern of SM Yukawa couplings does not look accidental:



What we observe in the Yukawa couplings is an <u>approximate U(2)</u><sup>n</sup> symmetry acting on the <u>light families</u>

#### *Vienna – 23 Jan. 2024*

# *The two flavor puzzles*

Even forgetting current anomalies, there are two (long-standing) open issues in flavor physics:

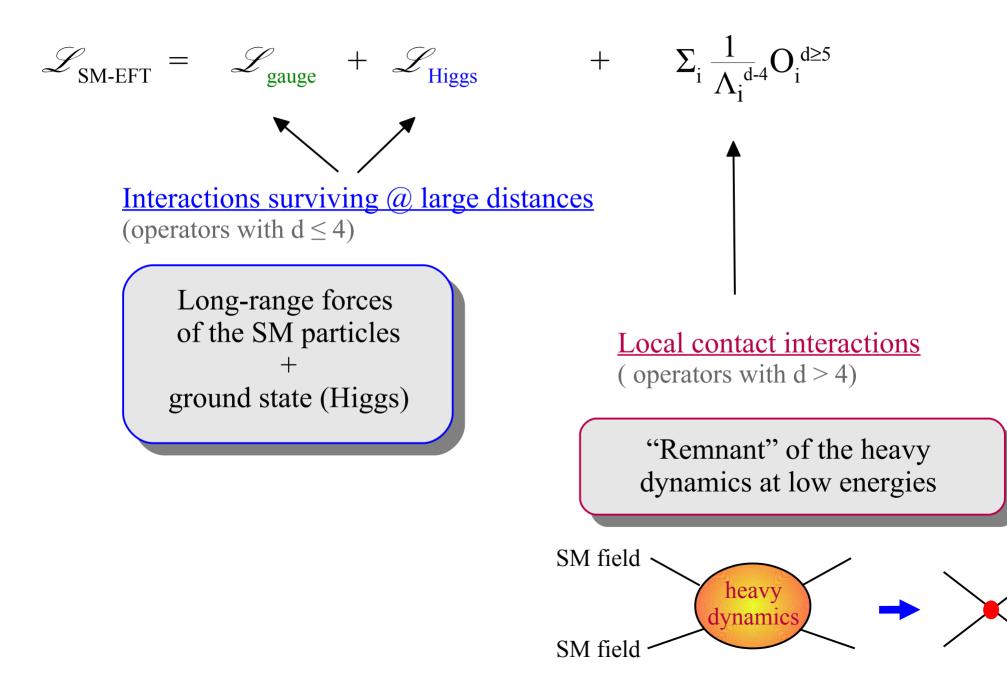
- I. The observed pattern of SM Yukawa couplings does not look accidental
   → Is there a deeper explanation for this peculiar structures?
- II. If the SM is only an effective theory, valid below an ultraviolet cut-off, why we do not see any deviation from the SM predictions in the (suppressed) flavor changing processes? What constraints these observations imply on physics beyond the SM?

 $\rightarrow$  Which is the flavor structure of physics beyond the SM?

[SM flavor puzzle]

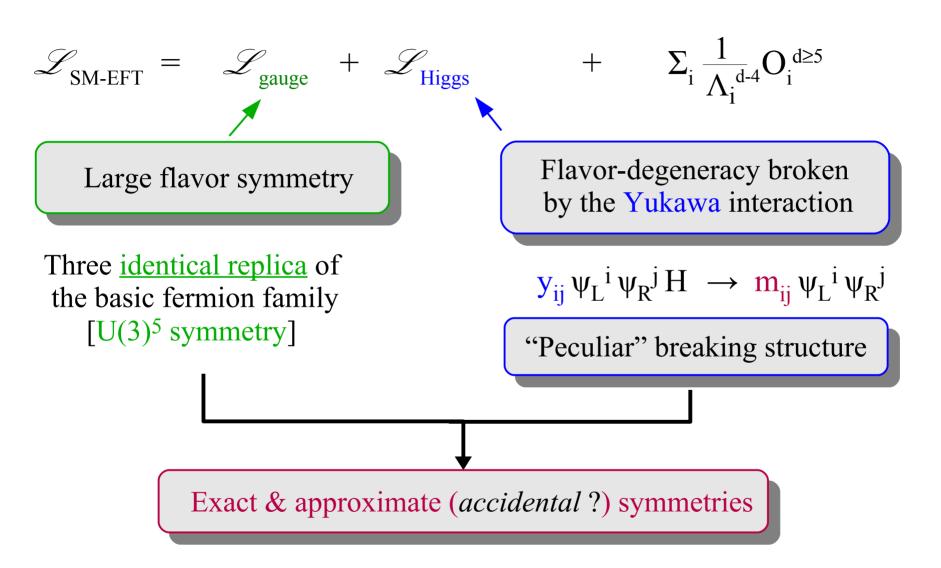
[*NP flavor puzzle*]

### *The two flavor puzzles*



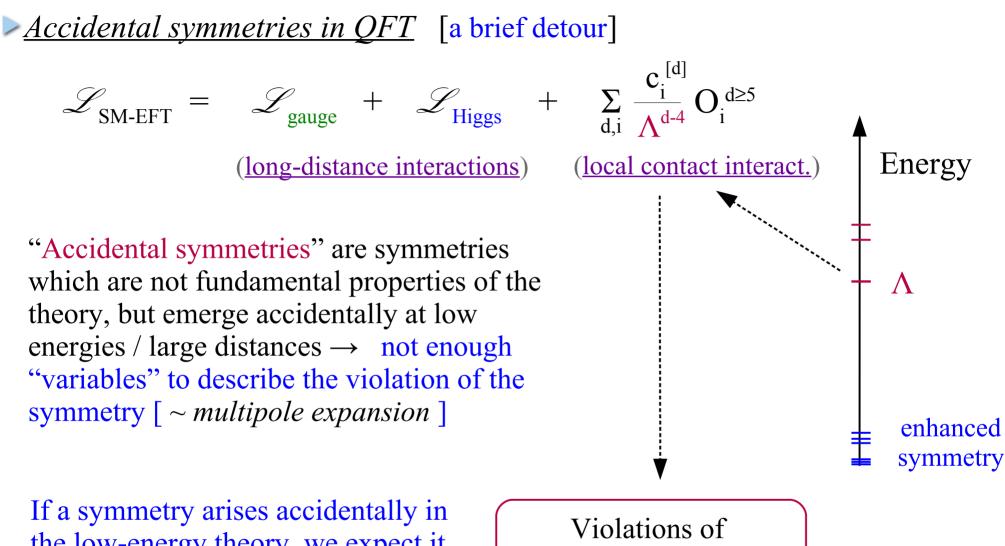


Eg:



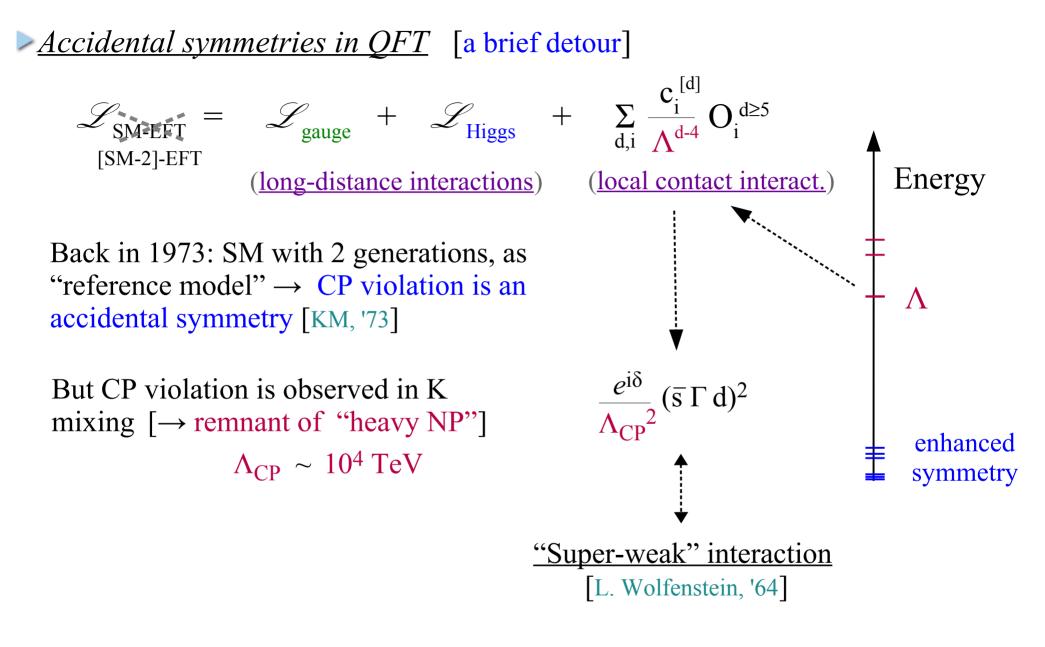
•  $U(1)_{L_e} \times U(1)_{L_{\mu}} \times U(1)_{L_{\mu}} = (individual) \text{ Lepton Flavor } [exact symmetry]$ 

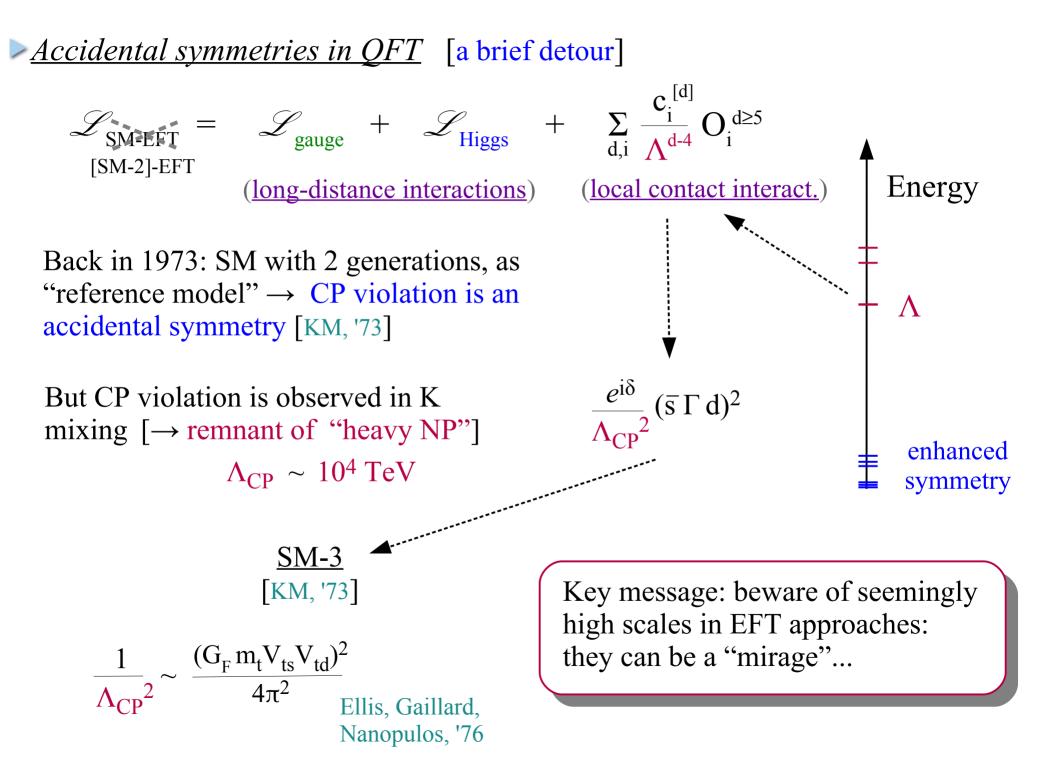
•  $m_u \approx m_d \approx 0 \rightarrow \text{Isospin symmetry } [approximate symmetry]$ 



the low-energy theory, we expect it to be violated by higher dim. ops Violations of accidental symmetries

How to explain CP violation in the SM, and the history of the KM mechanism, are a wonderful illustration of this effect





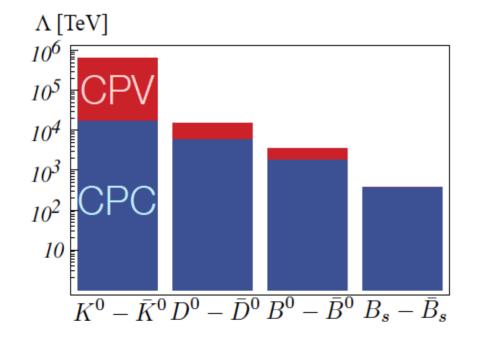
### *The two flavor puzzles*

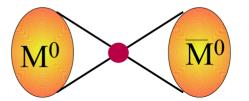


In principle, in the SM-EFT we could expect many violations of the accidental symmetries from the heavy dynamics ( $\rightarrow$  *new flavor violating effects*). However, no clear deviations observed so far

<u>Stringent bounds</u> on the scale of possible new <u>flavor non-universal interactions:</u>







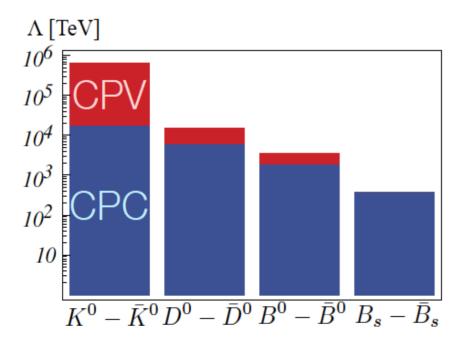
### *The two flavor puzzles*

 $\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}} + \sum_{d,i} \frac{c_i^{\lfloor a \rfloor}}{\Lambda^{d-4}} O_i^{d \ge 5}$ 

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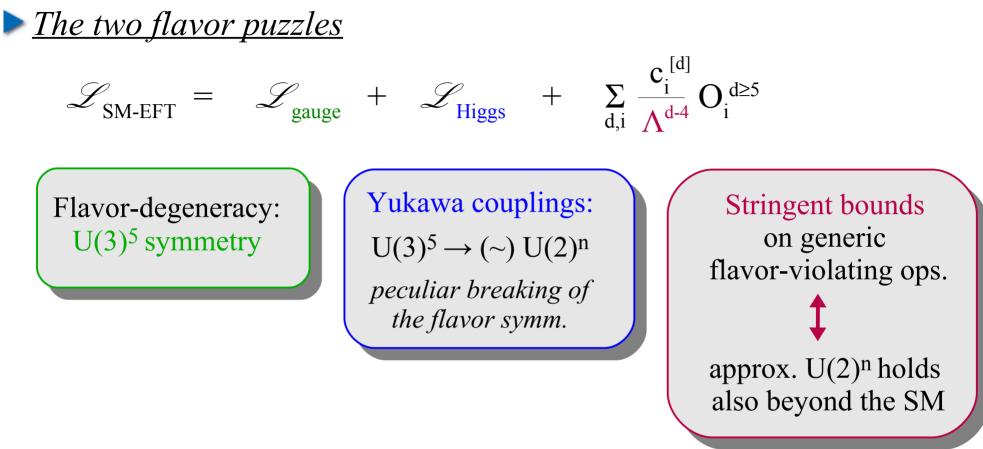
The NP flavor puzzle



N.B. (1): These high scales can be a "mirage" [*remember CP in SM-2...*].

Only unambiguous message: no large breaking of the approximate  $U(2)^n$  flavor symmetry at near-by energy scales.

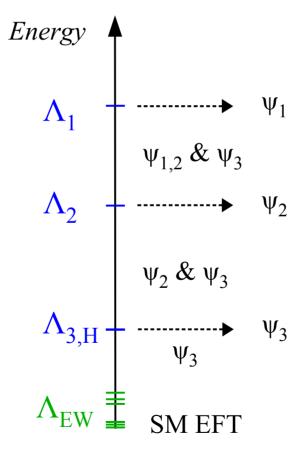
N.B. (2): U(2)<sup>n</sup> is <u>not</u> an accidental symmetry of the SM [ $\rightarrow$  indication of specific UV dynamics?]



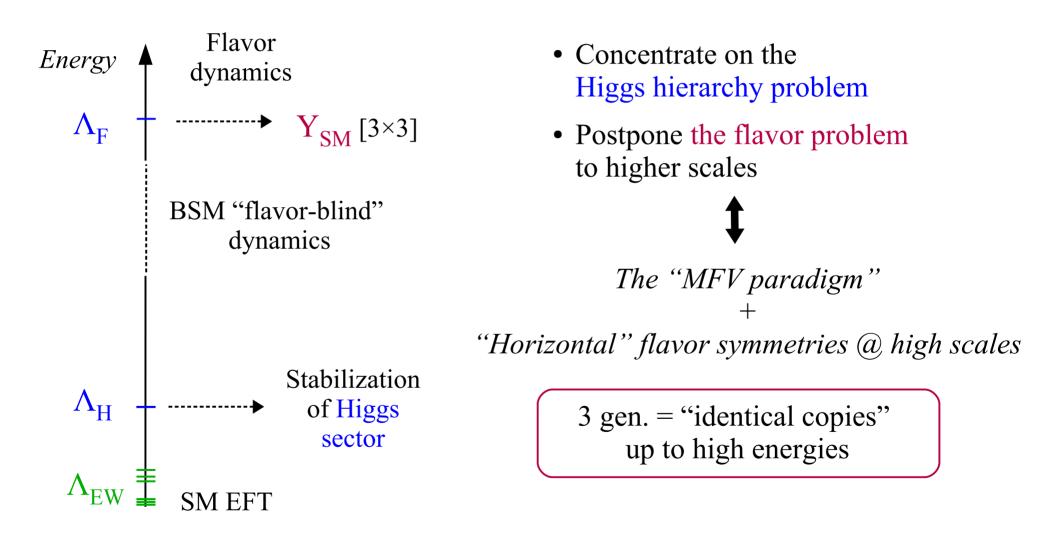
#### The big questions in flavor physics:

- Can we find an explanation for the Yukawa hierarchies?
- Can the approximate flavor symmetries be <u>accidental symmetries?</u> If so, at which scale(s) are they broken?

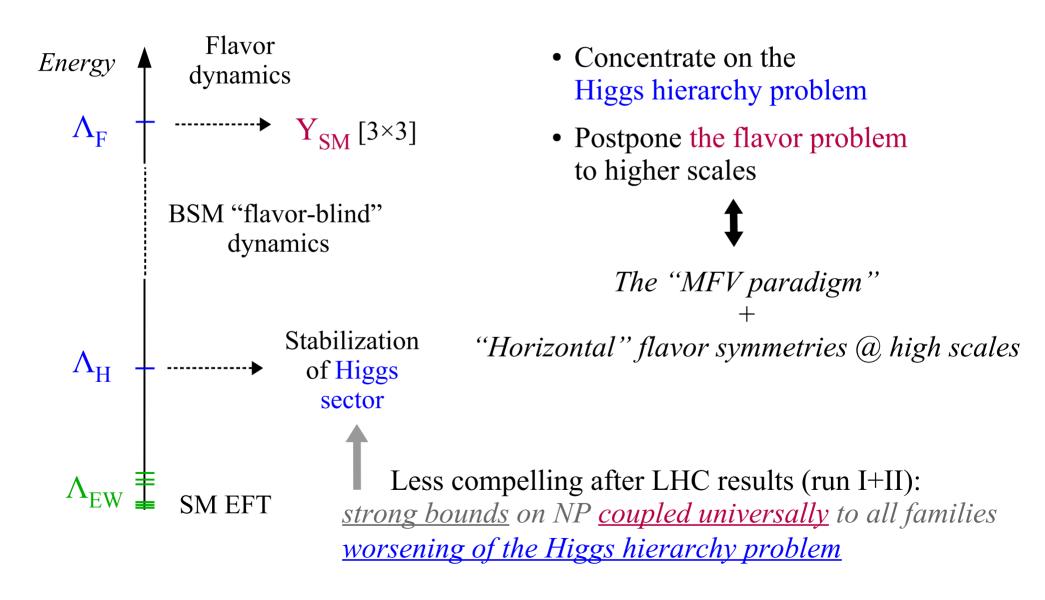
#### → Some (general) hypotheses needed to address these questions

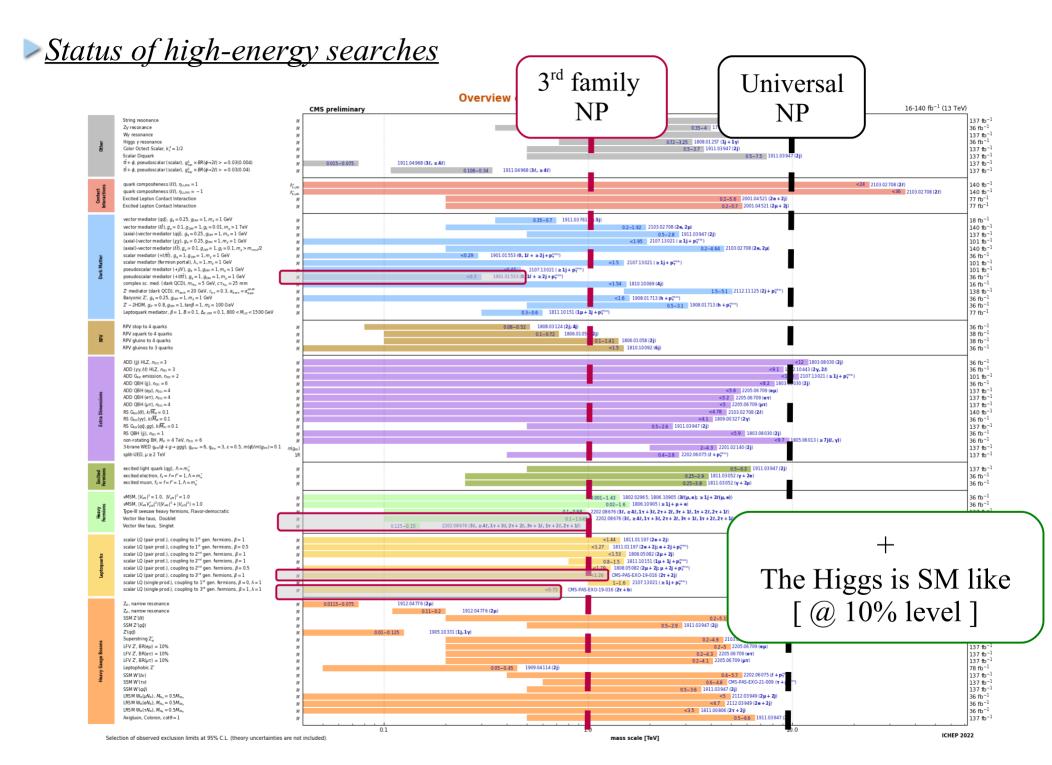


For a long time, the vast majority of model-building attempts to extend the SM was based on the *implicit* hypotheses of *flavor-universal* New Physics

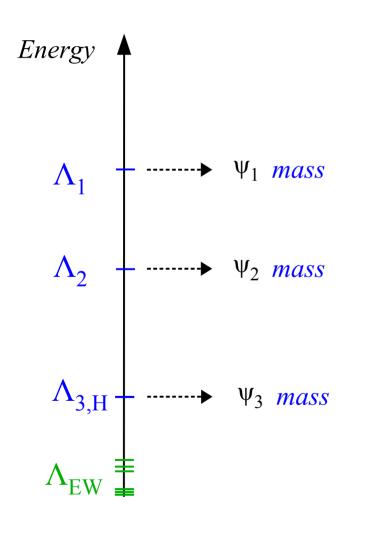


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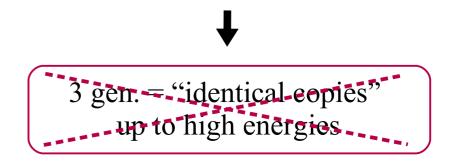
A more efficient paradigm to address <u>both</u> flavor puzzles (I+II), & *possibly* the Higgs hierarchy, is a *multi-scale* UV with *flavor non-universal* interactions



#### Basic idea:

Dvali & Shifman '00 Panico & Pomarol '16 E Bordone *et al.* '17 Allwicher, GI, Thomsen '20 Barbieri '21 Davighi & G.I. '23

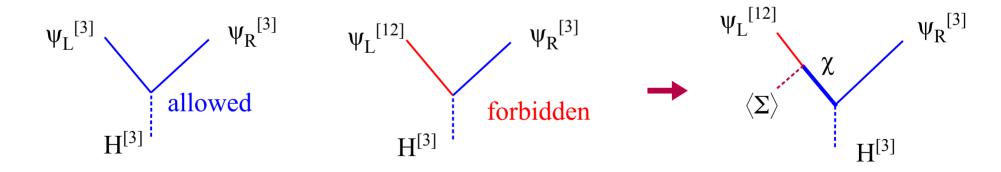
- 1<sup>st</sup> & 2<sup>nd</sup> generations have small masses (+ small coupling to NP) because these are generated by new dynamics at heavier scales
- *"<u>flavor deconstruction</u>"* of the SM gauge symmetry → flavor hierarchies emerge as accidental symmetries



A more efficient paradigm to address <u>both</u> flavor puzzles (I+II), & *possibly* the Higgs hierarchy, is a *multi-scale* UV with *flavor non-universal* interactions

\* *"<u>flavor deconstruction</u>"* of the SM gauge symmetries:

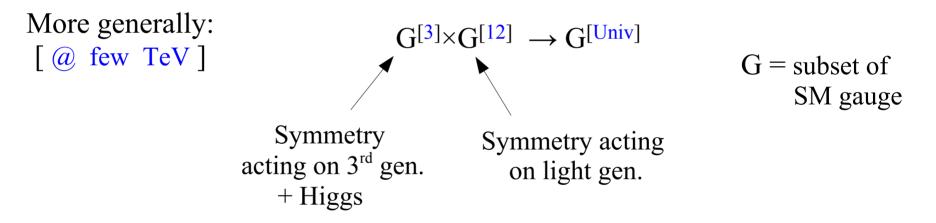
E.g.: 
$$SU(3)_c \times SU(2)_L \times U(1)_Y^{[3]} \times U(1)_Y^{[12]} \xrightarrow{\langle \Sigma \rangle} SU(3)_c \times SU(2)_L \times U(1)_Y$$



$$V_{cb} \sim \frac{\langle \Sigma \rangle}{M_{\chi}}$$

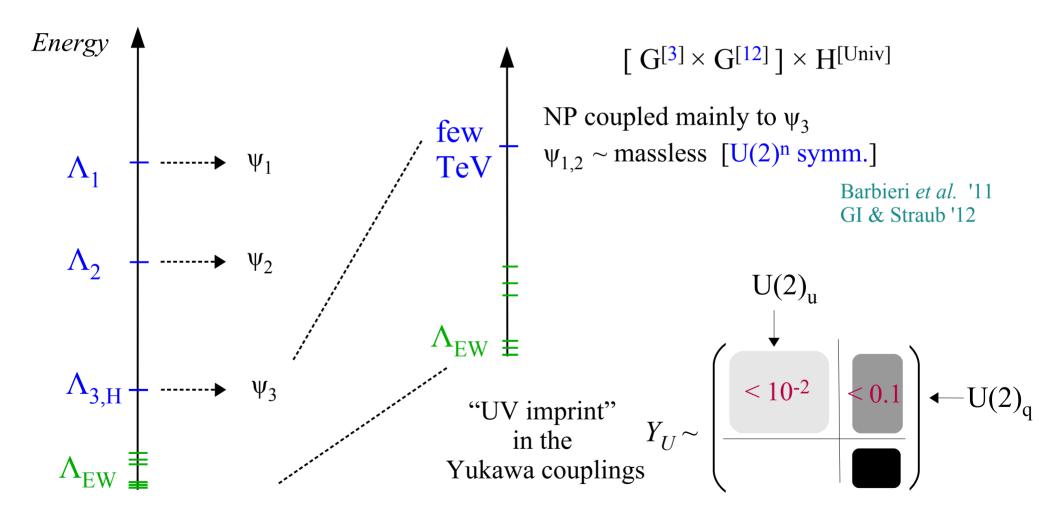
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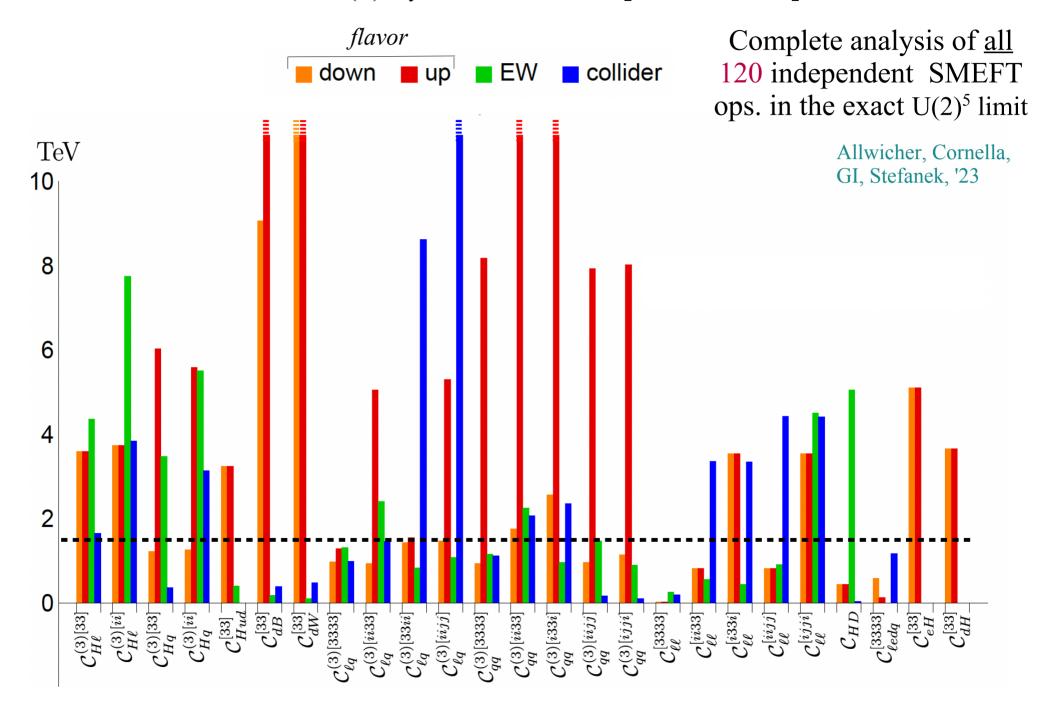
- ✓ Charging the Higgs under  $G_{SM}^{[3]}$  → only the Yukawa of the third generation are allowed → "solution" of the SM flavor problem
- $G_{SM}^{[12]}$  symmetry  $\rightarrow$  accidental U(2)<sup>n</sup> flavor symmetry  $\rightarrow$  protection of flavor-changing processes as effective as in MFV
- ✓ The symmetry-breaking pattern  $G^{[3]} \times G^{[12]} \rightarrow G^{[Univ]}$  is very general (*no tuning in the potential*) → flavor universality naturally emerges at low energies

A more efficient paradigm to address <u>both</u> flavor puzzles (I+II), & *possibly* the Higgs hierarchy, is a *multi-scale* UV with *flavor non-universal* interactions

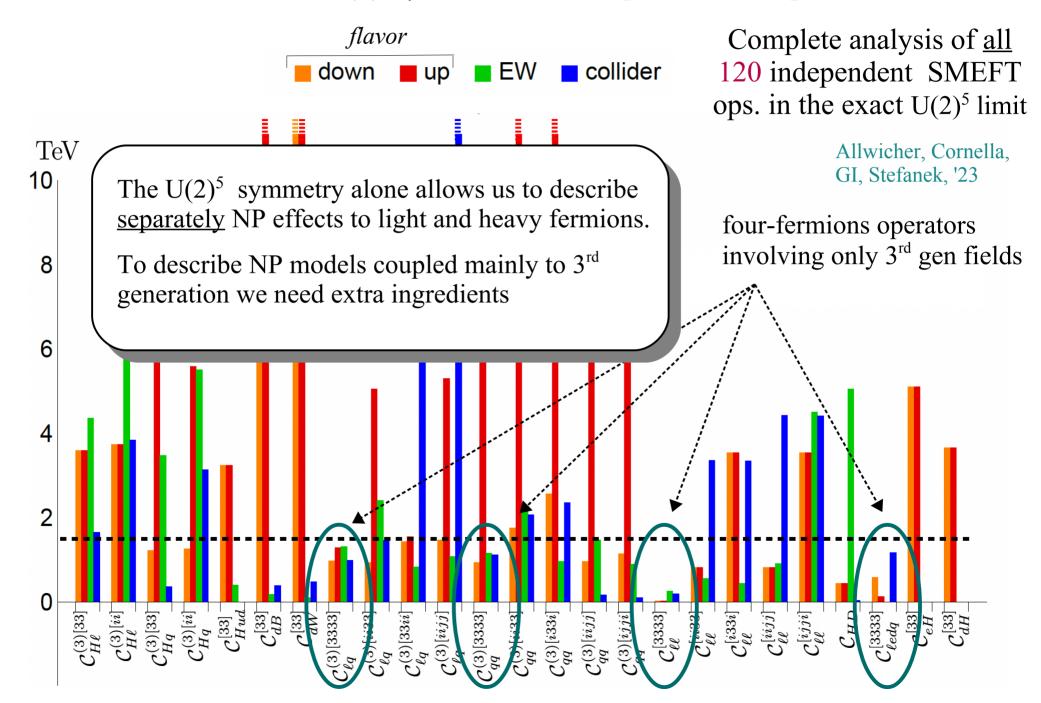


Effective organizing principle for the flavor structure of the SMEFT

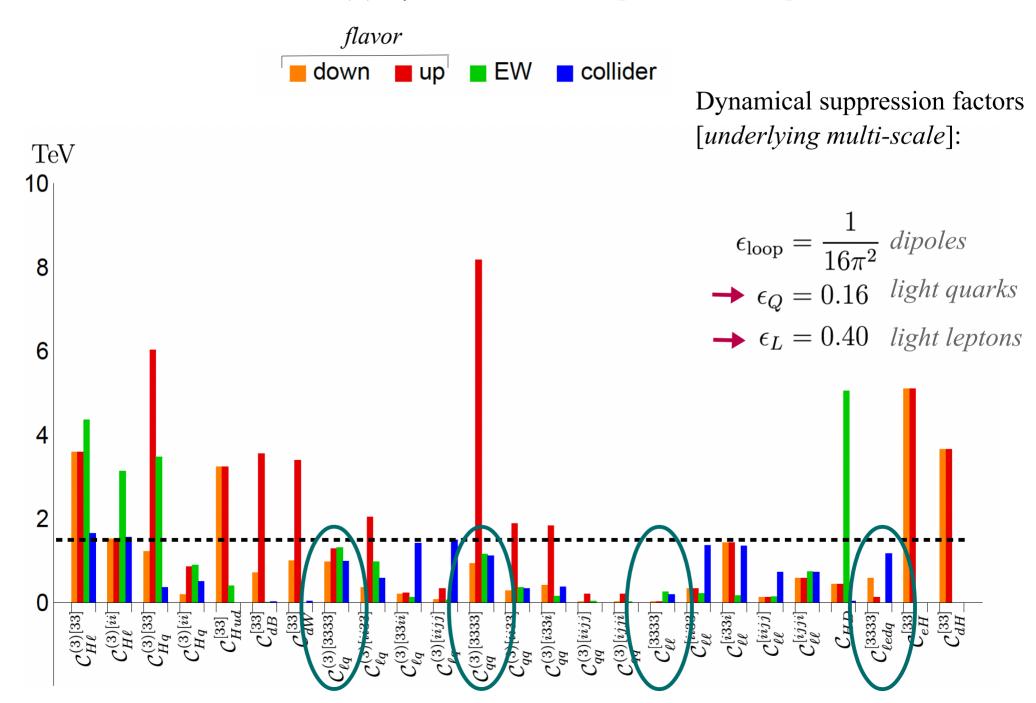
*Vienna – 23 Jan. 2024* 



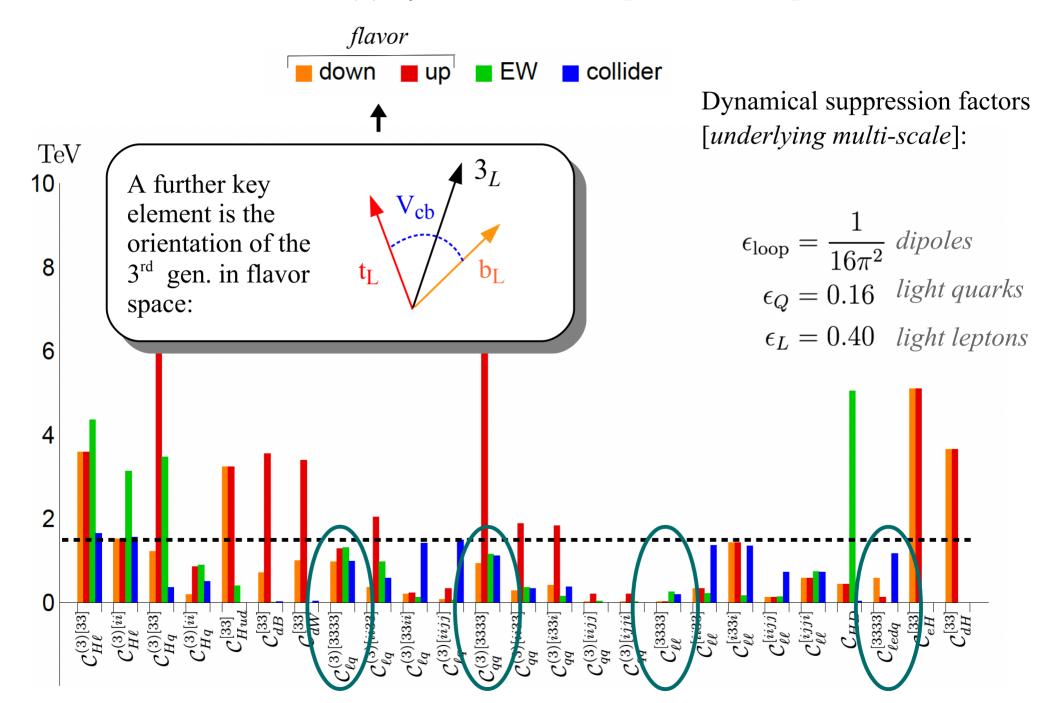
*Vienna – 23 Jan. 2024* 



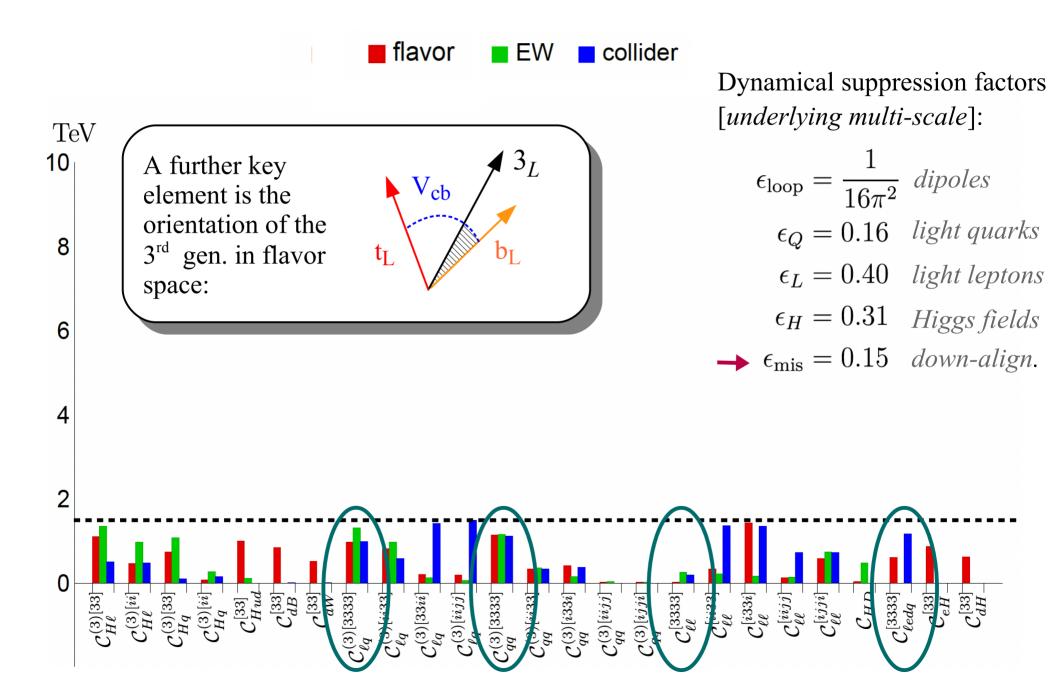
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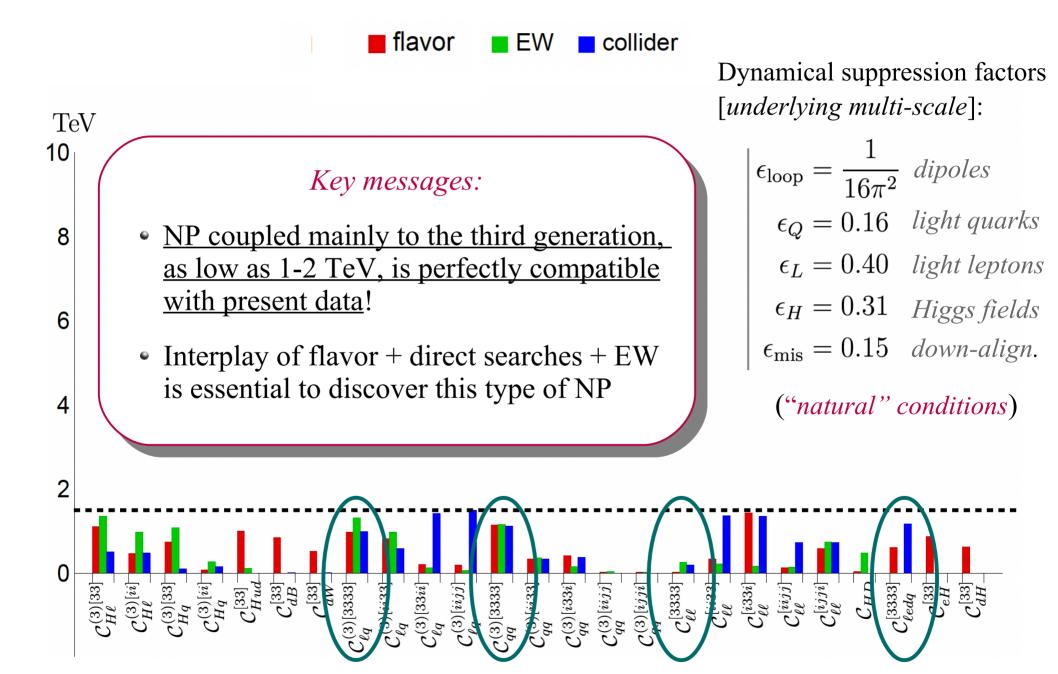
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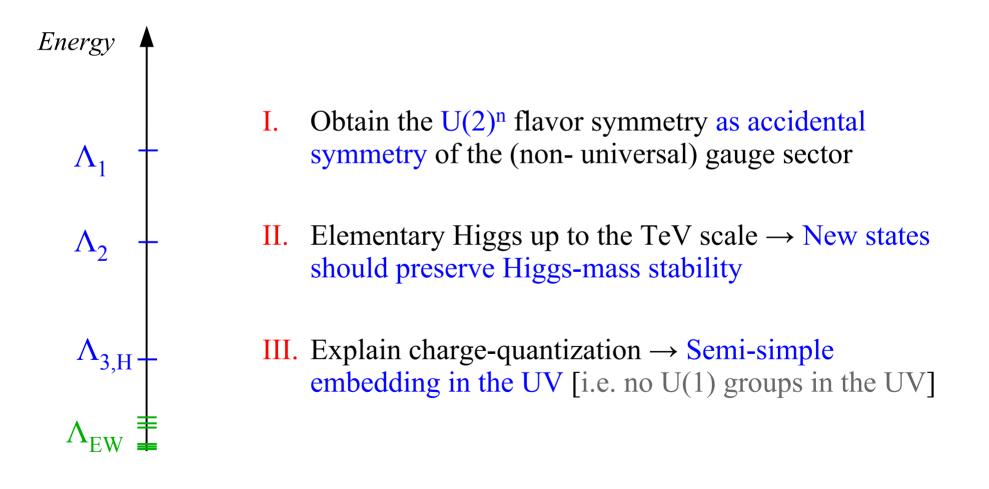


*Vienna – 23 Jan. 2024* 

### Flavor non-universal interactions

To understand which are the most motivated options, from a dynamical point of view, we recently analysed all the extensions of the SM gauge group compatible with the following three general assumptions:

```
Davighi & G.I. '23
```

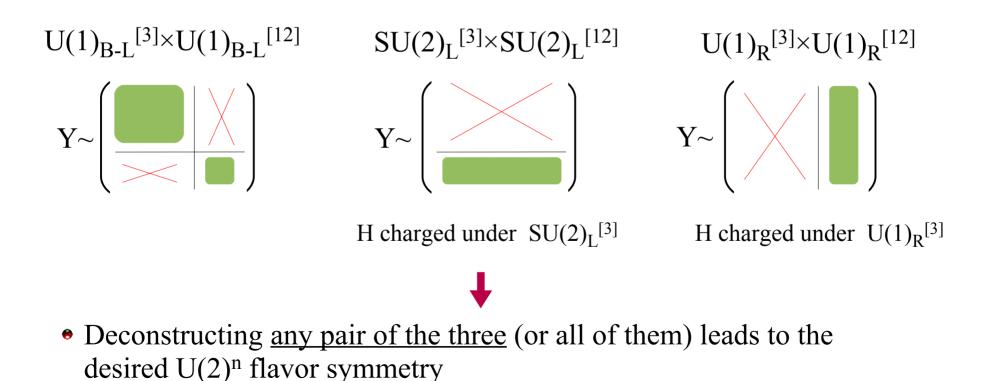


#### *Flavor hierarchies from gauge non-universality* [a brief detour]

I.  $U(2)^n$  flavor symmetry as accidental symmetry of the gauge sector.

• Classify the allowed Yukawa structures under a flavor-deconstruction of three basic factors characterizing the SM fermions and the EW gauge group:  $SU(2)_L \times U(1)_R \times U(1)_{B-L}$ 

 $\overline{\psi}_L \mathrel{Y} \psi_R \mathrel{H}$ 



#### *Flavor hierarchies from gauge non-universality* [a brief detour]

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- Classify the allowed Yukawa structures under a flavor-deconstruction of three basic factors characterizing the SM fermions and the EW gauge group:  $SU(2)_L \times U(1)_R \times U(1)_{B-L}$
- Deconstructing <u>any pair of the three</u> (or all of them) leads to the desired U(2)<sup>n</sup> flavor symmetry:
  - ✓ Part of the EW group necessarily need to be deconstructed
- Minimal choice represented by SM hypercharge [  $Y=T_R^3+(B-L)/2$  ]. However,  $U(1)^{[3]}_Y \times U(1)^{[2]}_Y \times U(1)^{[1]}_Y$  has two drawbacks:
  - \* No immediate semi-simple embedding
  - Conflict bewteen large mixing and large hiearchies in the 1-2 sector
     → additional tuning is needed

Navarro & King '23 Davighi & Stefanek '23 Isidori & Barbieri '23

#### *Flavor hierarchies from gauge non-universality* [a brief detour]

II.+III. Explain charge-quantization  $\rightarrow$  Semi-simple embedding in the UV

Semi-simple embeddings of the SM have been classified and there are very few possibilities, all featuring one of the possible 3 basic options:

- SU(4)×SU(2)×SU(2) [Pati & Salam '74]
- SU(5) [Georgi & Glashow, '74]
- SO(10) [Georgi '75, Fritzsch & Minkowski '75]

Allanach, Gripaios, Tooby-Smith '23

But we also require NP coupled to 3<sup>rd</sup> generation to occur at the TeV scale to preserve Higgs-mass stability

Only the Pati-Salam option survives the strong bounds from proton stability:

$$SU(3)_{c} \times U(1)_{B-L} \hookrightarrow SU(4) \sim \begin{bmatrix} SU(3)_{c} & 0 \\ \hline 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & LQ \\ \hline LQ & 0 \end{bmatrix} \begin{bmatrix} 1/3 & 0 \\ \hline 0 & -1 \end{bmatrix}$$

#### Flavor hierarchies from gauge non-universality [a brief detour]

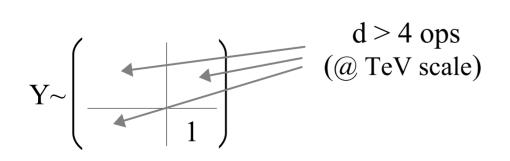
#### I. + II. + III. : four basic options:

TeV-scale gauge group: $G_U \times G_3 \times H_{12}$			
	$G_U$	$G_3$	T7 ·
1	$\mathrm{SU}(2)_L$	${ m SU}(4)^{[3]} \times { m SU}(2)^{[3]}_R$	Various o
2	$\mathrm{SU}(2)_R$	$SU(4)^{[3]} \times SU(2)^{[3]}_L$	group a bra
3	SU(4)	$\mathrm{SU}(2)_L^{[3]} \times \mathrm{SU}(2)_R^{[3]}$	(sn
4	Ø	$SU(4)^{[3]} \times SU(2)_L^{[3]} \times SU(2)_R^{[3]}$	suppre

Various options possible for the gauge group acting on the light families, broken at higher energies (small inpact on δm<sub>h</sub> given suppressed couplings to the Higgs)

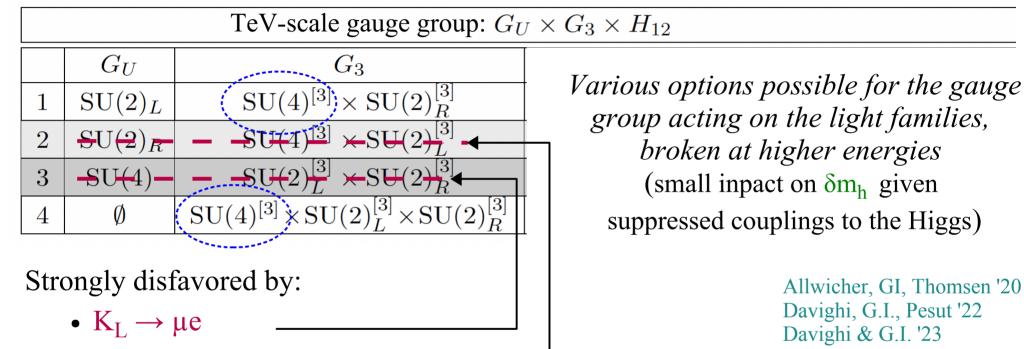
Higgs & 3<sup>rd</sup> gen. fields charged only under these groups



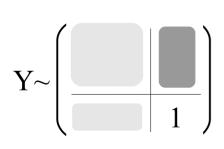


#### Flavor hierarchies from gauge non-universality [a brief detour]

I. + II. + III. + general pheno bounds: two viable TeV-scale options:



• RH mixing



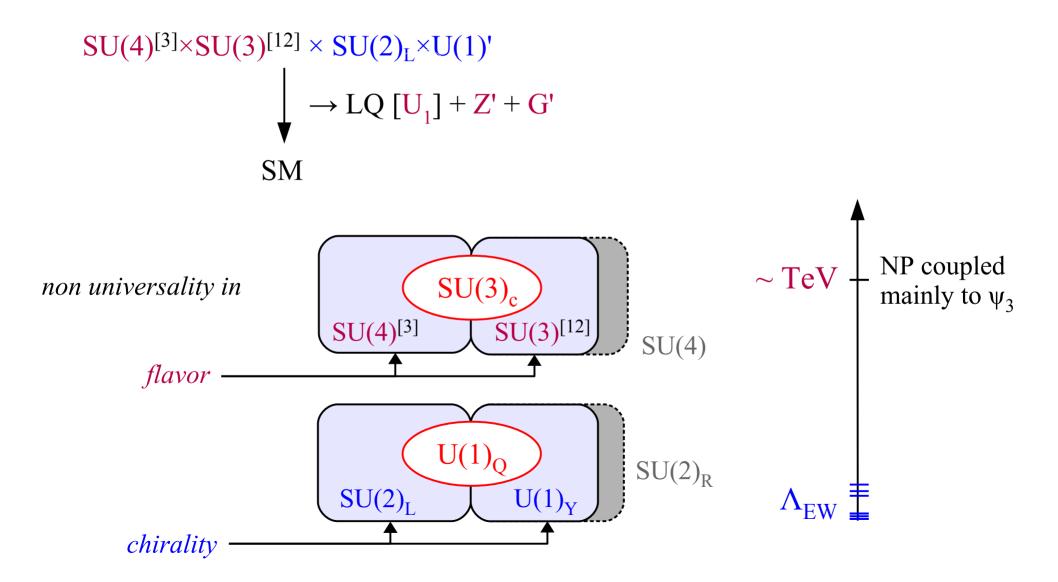
#### General feature:

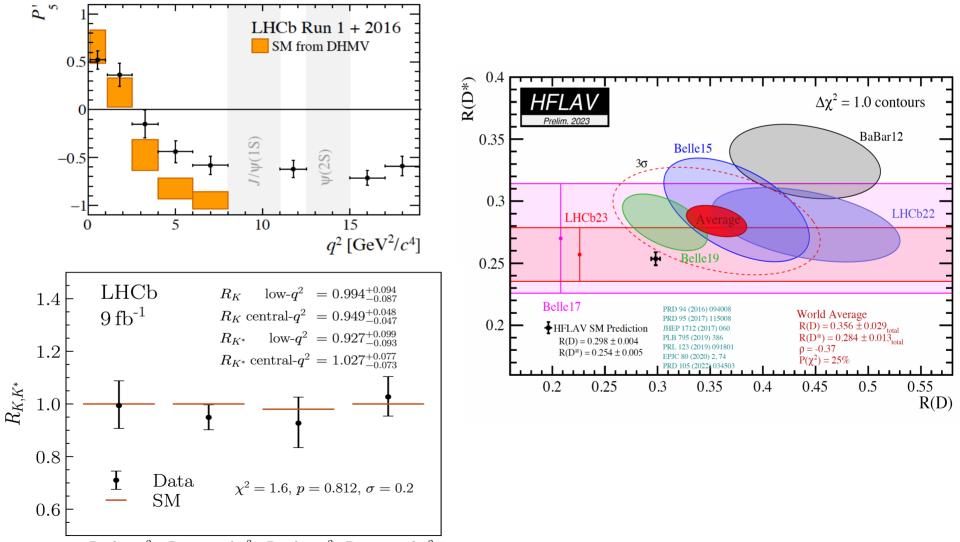
SU(4) group acting on the 3<sup>rd</sup> family, with TeV-scale breaking to avoid fine-tuning on the Higgs mass:

 $\delta m_h^2/m_h^2 < 1 \rightarrow \Lambda_U = M_U/g_U \lesssim 5 \text{ TeV}$ 

#### *Flavor hierarchies from gauge non-universality* [a brief detour]

This connects with the class of consistent TeV-scale models proposed a few years ago to address the B-physics anomalies...





 $R_K$  low- $q^2$   $R_K$  central- $q^2$   $R_{K^*}$  low- $q^2$   $R_{K^*}$  central- $q^2$ 

### *Hints of non-universality in B-physics data*

Since 2013, experimental data in various semi-leptonic B decays started to exhibit tensions with the SM predictions. Several channels are involved, but they are all related to the following two classes of partonic transitions:

 $b \rightarrow c lv$  (Charged Currents)  $b \rightarrow s l^+l^-$  (Neutral Currents)

Most of the anomalies are connected to a possible breaking of Lepton Flavor Universality = <u>accidental symmetry</u> of the SM Lagrangian in the limit where we neglect the lepton Yukawa couplings

Even if the significance went down recently (*not completely*...), worth to discuss as example of consistent TeV-scale (new) physics that could be revealed by precision flavor experiments

#### Hints of non-universality in B-physics data

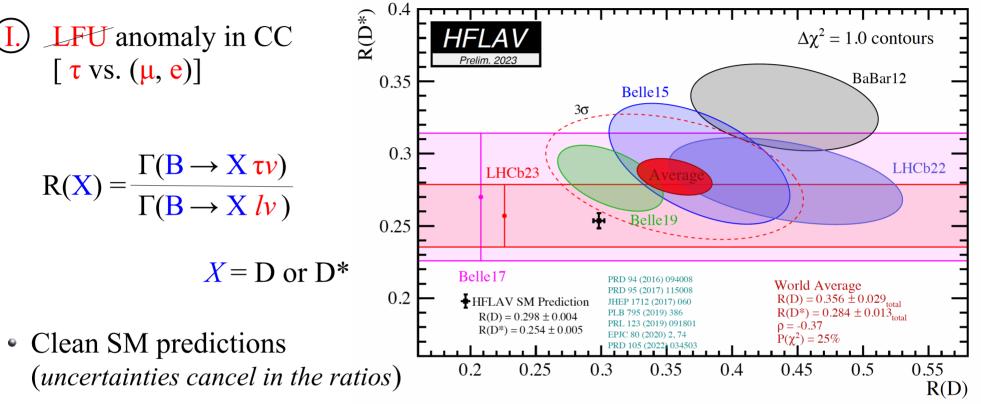
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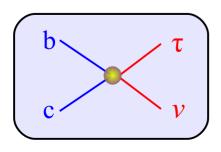
 $b \rightarrow s l^+l^-$ 

The "anomalies" can be grouped into 3 categories:

I. LFU anomaly in CC [
$$\tau$$
 vs. ( $\mu$ , e)] $b \rightarrow c lv$ II.  $\Delta C_9$  (*lepton-universal*) anomaly in  
NC modes $b \rightarrow s l^+$ III. LFU anomaly in NC [ $\mu$  vs. e]  
& BR( $B_s \rightarrow \mu\mu$ ) $b \rightarrow s l^+$ 



- $3.0\sigma$  excess over SM
- <u>Compete with SM (a) tree-level</u>  $\rightarrow$  *low scale of NP*

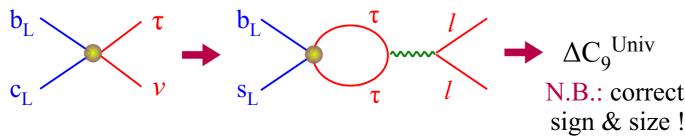


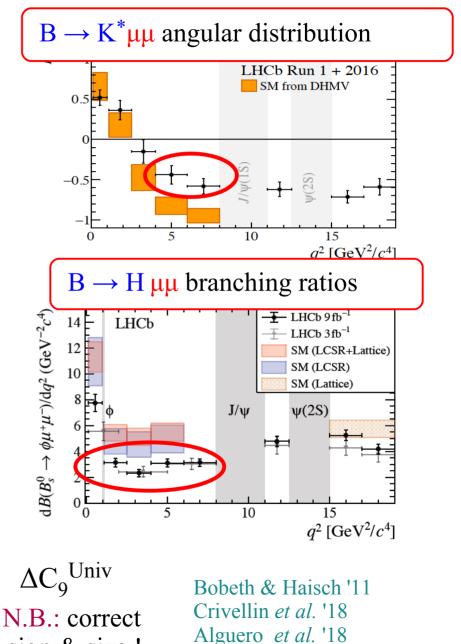


$$\mathcal{O}_9^\ell = (\bar{s}_L \gamma_\mu b_L) (\bar{\ell} \gamma^\mu \ell)$$

- Possible contamination from SM longdistance (*charming penguins*)
- All attempts to <u>compute</u> the effect agree on  $\sim 3\sigma$  deviation from SM
- Compete with SM @ loop-level

Possible explanation connected to CC (hence 3<sup>rd</sup> family LFU violation):



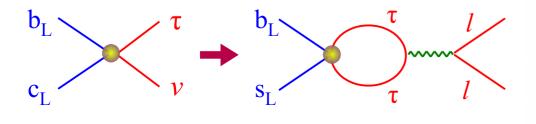




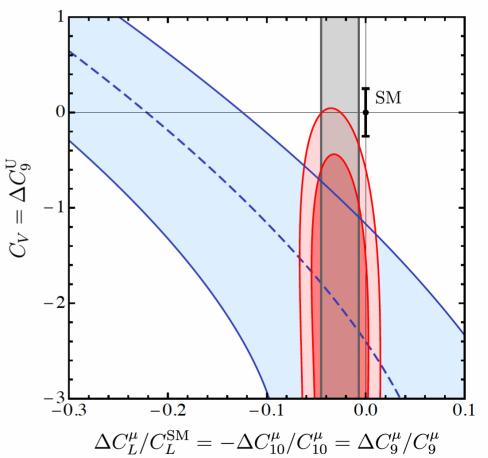
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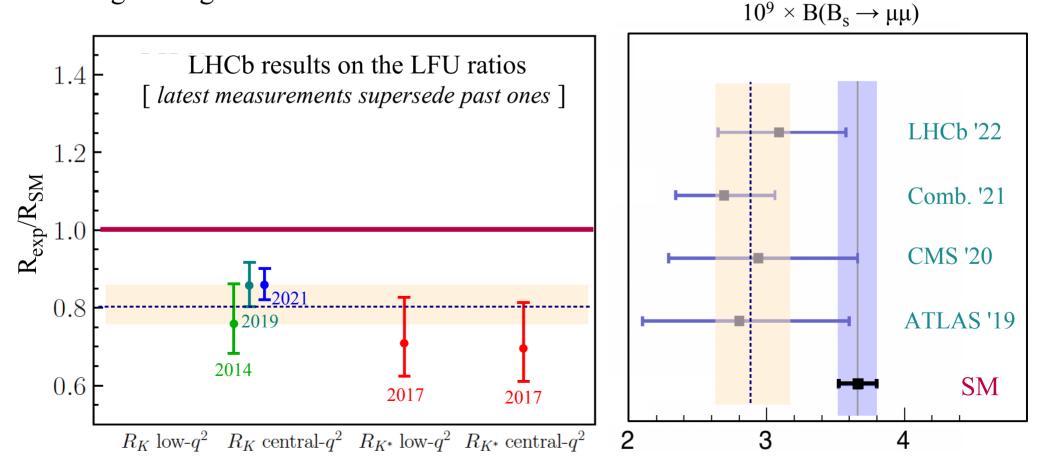


 $2\sigma$  consistent indication from b → s  $l^+l^-$  (*semi-inlcusive*) at high q<sup>2</sup> GI, Poloski, Tinari '23



(III) LFU anomaly in NC [  $\mu$  vs. e] & BR(B<sub>s</sub>  $\rightarrow \mu\mu$ )

- Clean SM predictions (*LFU ratios* + no long-distance in  $B_s \rightarrow \mu\mu$ )
- Highest significance till summer 2022

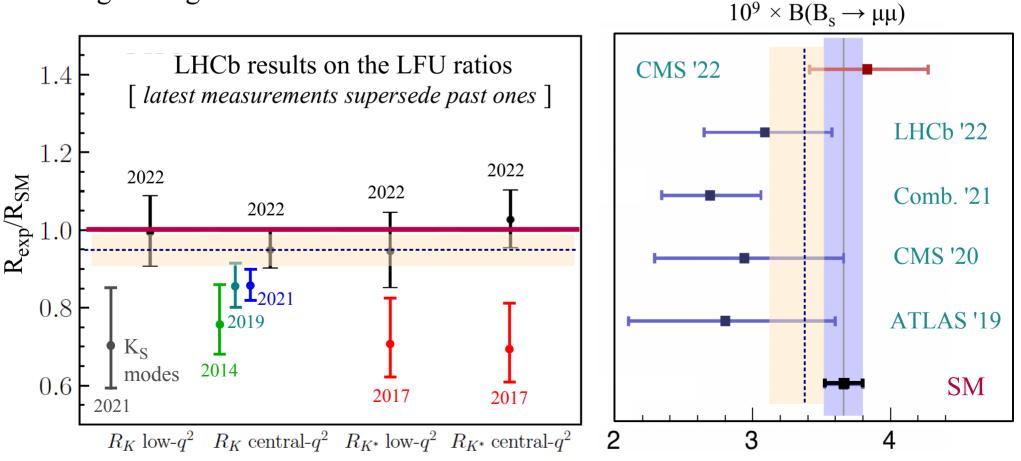


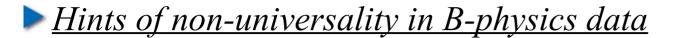


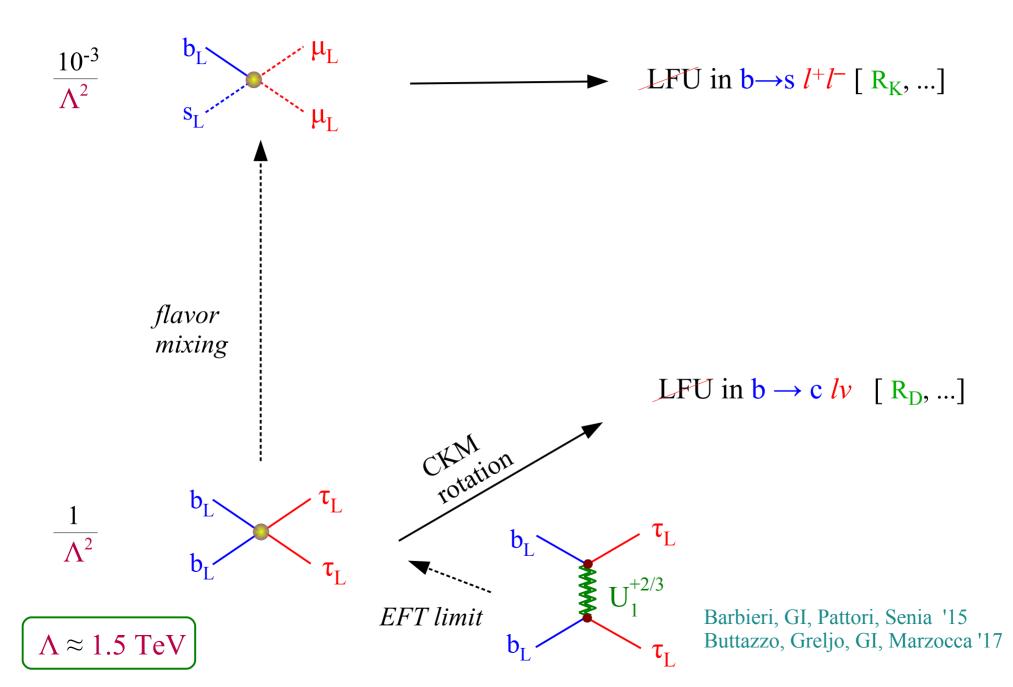
II) LFU anomaly in NC & BR(
$$B_s \rightarrow \mu\mu$$
)

- Clean SM predictions (*LFU ratios* + no long-distance in  $B_s \rightarrow \mu\mu$ )
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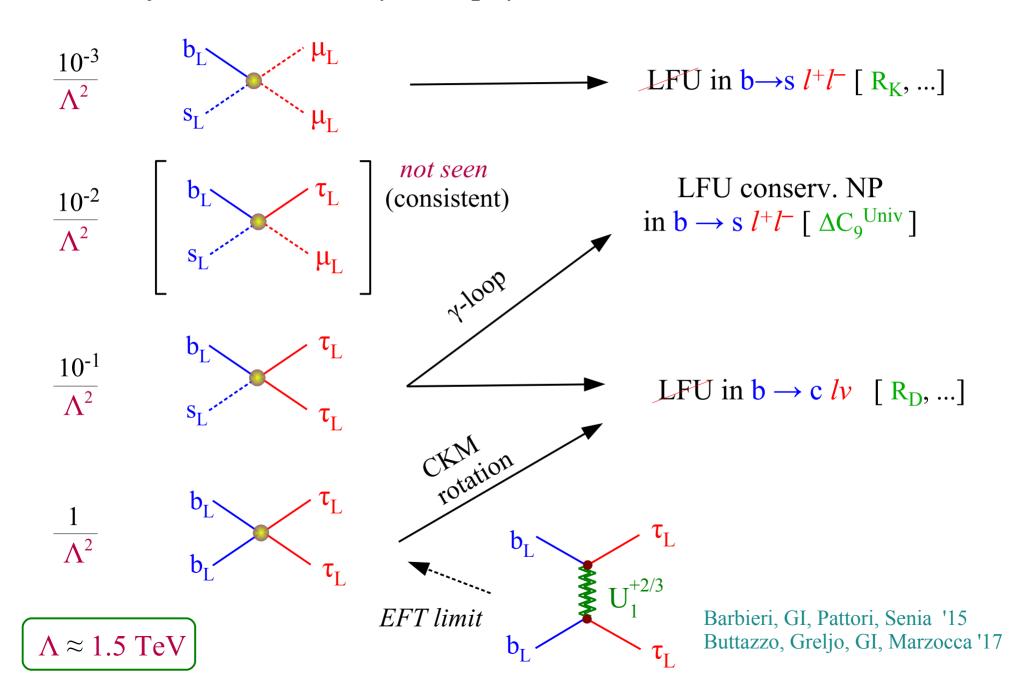
N.B.: While the overall loss of NP significance is high, the implications for multi-scale flavor models are modest



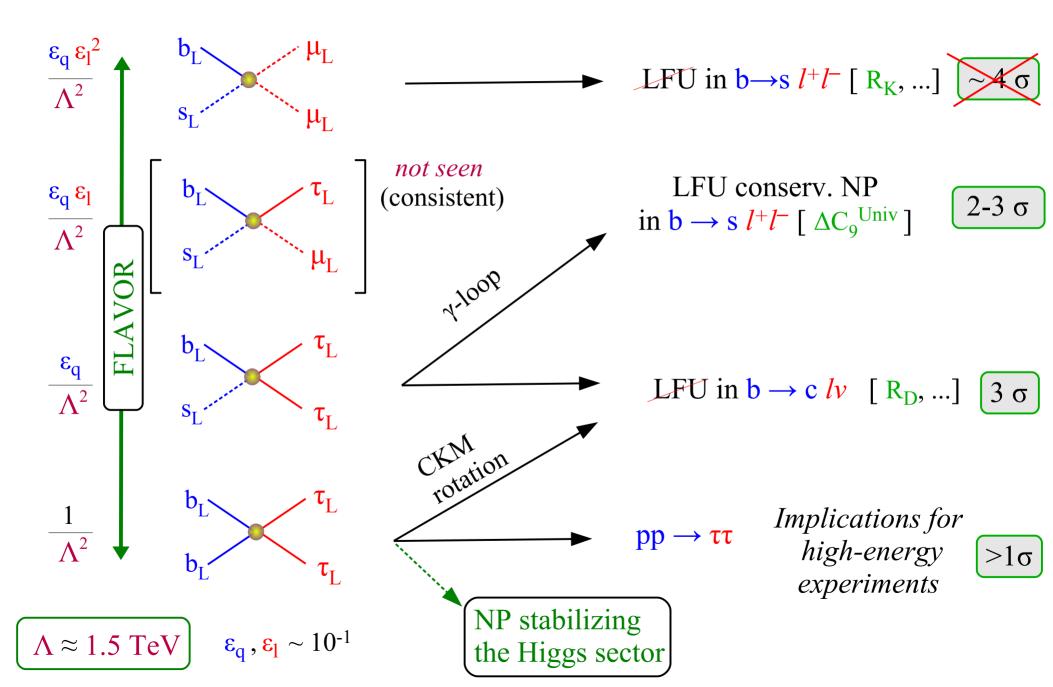




#### Hints of non-universality in B-physics data

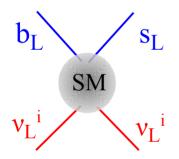


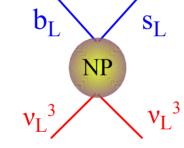
#### Hints of non-universality in B-physics data



The idea of flavor non-universal interactions – with a 1<sup>st</sup> layer of new physics already at the TeV scale – has several interesting implications for various low-energy measurements (*with different degree of model-dependence*)

E.g.: I) Deviations from SM in  $b \rightarrow svv$  rates [  $3^{rd}$  gen. v in the final state ]



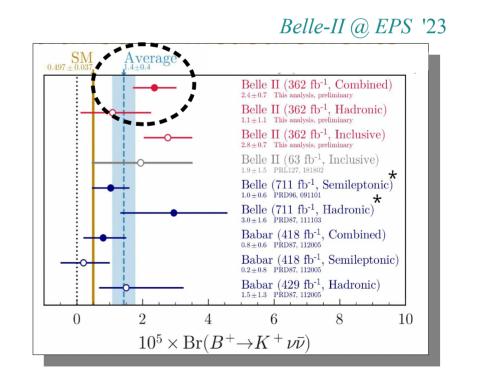


identical for all neutrino species

relevant only for 3<sup>rd</sup> gen. neutrinos

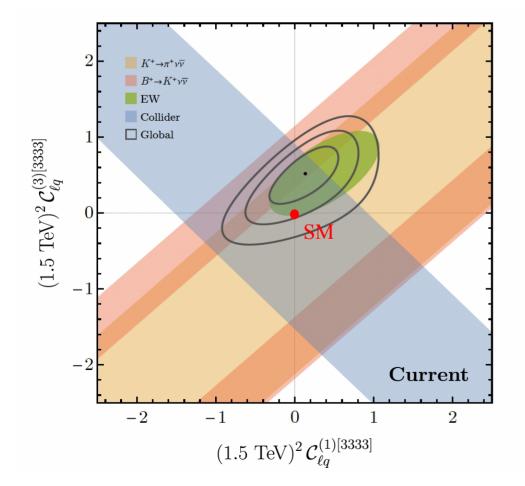
Unambiguos prediction of 30-50% enhancement of  $B(B \rightarrow Kvv)$  in the model with vector LQ, given data on R(D).

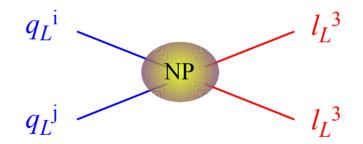
Fuentes-Martin, GI, Konig, Selimovic, '20



The idea of flavor non-universal interactions – with a 1<sup>st</sup> layer of new physics already at the TeV scale – has several interesting implications for various low-energy measurements (*with different degree of model-dependence*)

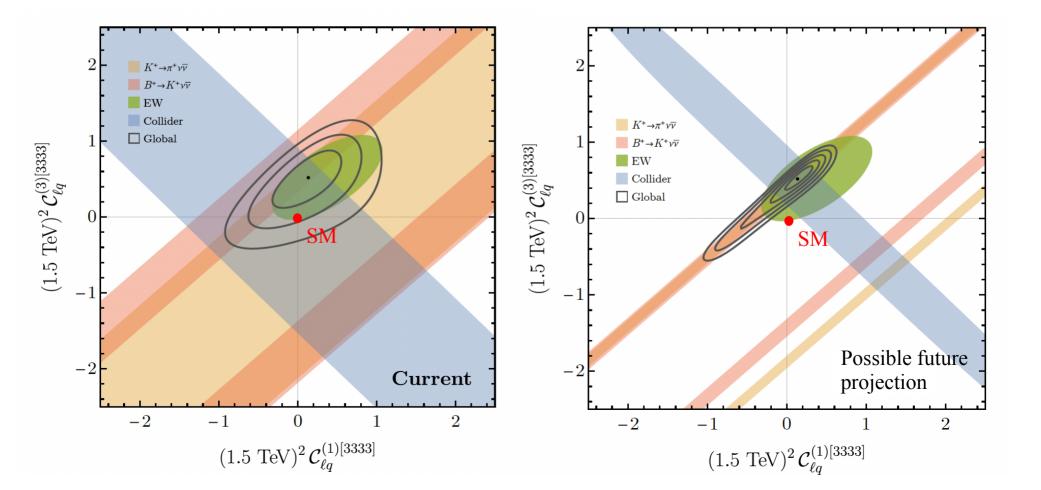
E.g.: II) Deviations from SM in  $b \rightarrow svv$  rates... and  $s \rightarrow dvv$  rates





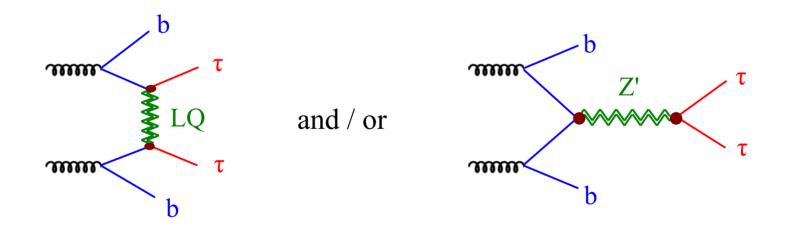
The idea of flavor non-universal interactions – with a 1<sup>st</sup> layer of new physics already at the TeV scale – has several interesting implications for various low-energy measurements (*with different degree of model-dependence*)

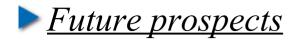
E.g.: II) Deviations from SM in  $b \rightarrow svv$  rates... and  $s \rightarrow dvv$  rates



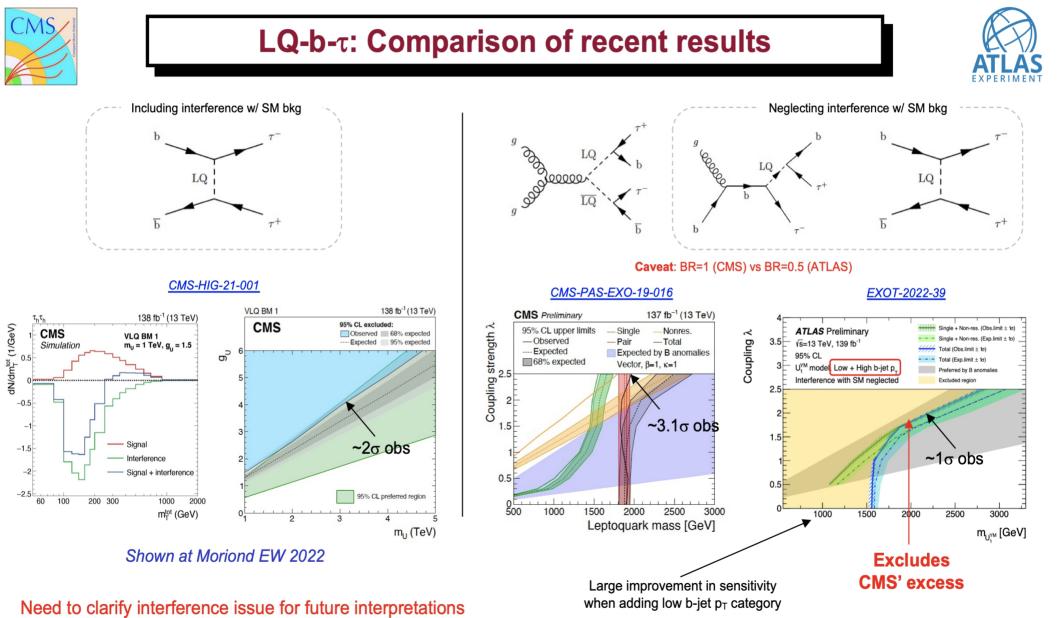
The idea of flavor non-universal interactions – with a 1<sup>st</sup> layer of new physics already at the TeV scale – has several interesting implications for various low-energy measurements & collider observables

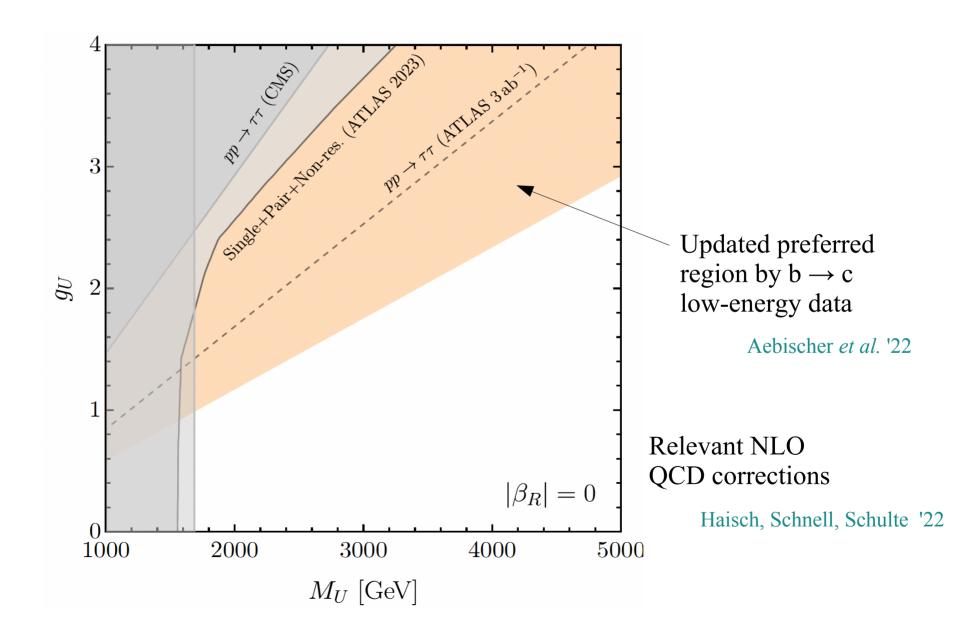
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E.g.: III) pp \rightarrow \tau \overline{\tau} (+b\text{-jets})
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#### Aurelio Juste [Moriond EW'23]





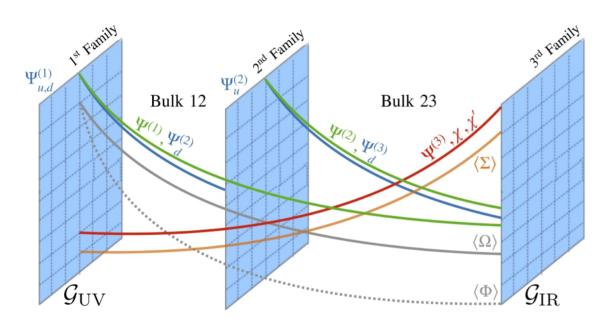
# Conclusions

- Flavor physics represents one the most intriguing aspects of the SM and, at the same time, a great opportunity to investigate the nature of physics beyond the SM.
- The idea of a *multi-scale construction at the origin of the flavor hierarchies* has several appealing aspects. Key observation: non-universal gauge interactions at the TeV scale, involving mainly the 3<sup>rd</sup> family, offer a new way to look at the EW hierarchy problem (and the absence of direct signals of NP so far).
- The model-building efforts along this direction, initially triggered by the B anomalies, are still very motivated and mildly affected by the recent change in low-energy data.
- If these ideas corrects, <u>new non-standard effects should emerge soon</u> both at low and at high energies (→ very interesting opportunities for run-3...).



## Leptoquarks & 4321: UV completions

An ambitious attempt to construct a *full theory of flavor* has been obtained embedding (a variation of the) Pati-Salam gauge group into an extra-dimensional construction:



Flavor  $\leftrightarrow$  special position (topological defect) in an extra (compact) space-like dimension

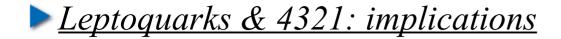
#### Dvali & Shifman, '00

Higgs and SU(4)-breaking fields with oppositely-peaked profiles, leading to the desired flavor pattern for masses & anomalies

Bordone, Cornella, GI, Javier-Fuentes '17

- \* Anarchic neutrino masses via inverse see-saw mechanism Fuentes-Martin, GI, Pages, Stefanek '22
- \* "Holographic" Higgs from appropriate choice of bulk/brane gauge symm.  $[G_{bulk-23} = SU(4)_3 \times SU(3)_{1,2} \times U(1) \times SO(5)]$  $G_{IR} = SU(3)_c \times U(1)_{B-I} \times SO(4)$
- → Light Higgs as pseudo Goldstone Agashe, Contino, Pomarol '05

Fuentes-Martin, Stangl '20 Fuentes-Martin, GI, Lizana, Selimovic, Stefanek '22



 $\rightarrow$  Rare decays of b and  $\tau$ 

