

α_s from non-strange hadronic τ decays

SANTI PERIS (UAB + IFAE-BIST)

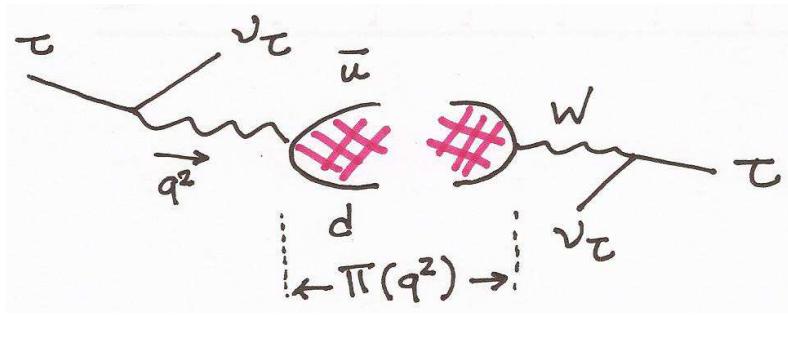
Vienna, March 6, 2018

$$\delta\alpha_s(M_Z) \simeq \left(\frac{\alpha_s(M_Z)}{\alpha_s(m_\tau)} \right)^2 \delta\alpha(m_\tau)$$

Papers

- O. Cata, M. Golterman and S. Peris, JHEP **0508**, 076 (2005)
- O. Cata, M. Golterman and S. Peris, Phys. Rev. **D77**, 093006 (2008)
- O. Cata, M. Golterman and S. Peris, Phys. Rev. **D79**, 053002 (2009).
- D. Boito, O. Cata, M. Golterman, M. Jamin, K. Maltman, J. Osborne and S. Peris, Phys. Rev. **D84**, 113006 (2011)
- D. Boito, M. Golterman, M. Jamin, A. Mahdavi, K. Maltman, J. Osborne and S. Peris, Phys. Rev. **D85**, 093015 (2012)
- D. Boito, M. Golterman, K. Maltman, J. Osborne and S. Peris, Phys. Rev. **D91**, no. 3, 034003 (2015)
- S. Peris, D. Boito, M. Golterman and K. Maltman, Mod. Phys. Lett. **A31**, no. 30, 1630031 (2016)
- D. Boito, M. Golterman, K. Maltman and S. Peris, Phys. Rev. **D95**, no. 3, 034024 (2017)
- D. Boito, I. Caprini, M. Golterman, K. Maltman and S. Peris, [arXiv:1711.10316 \[hep-ph\]](https://arxiv.org/abs/1711.10316), to appear in Phys. Rev. **D**.

QCD in τ decay



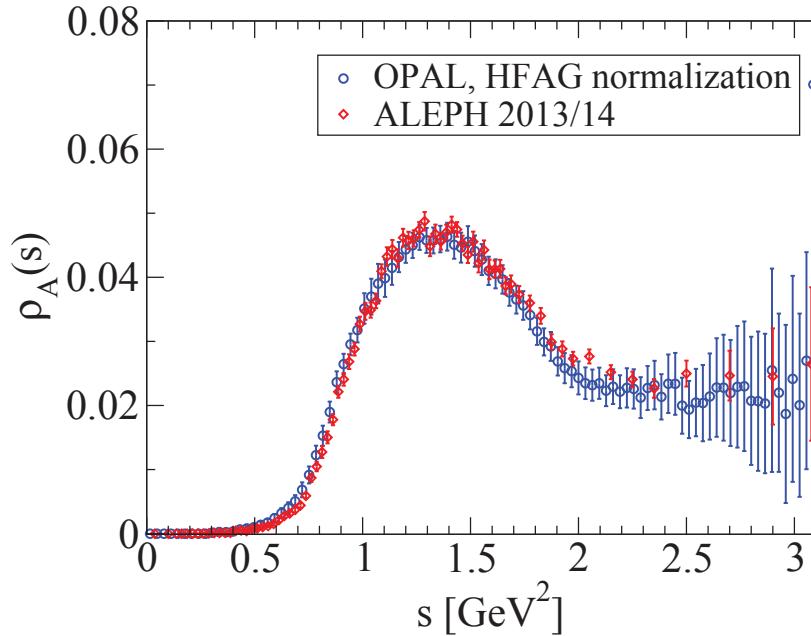
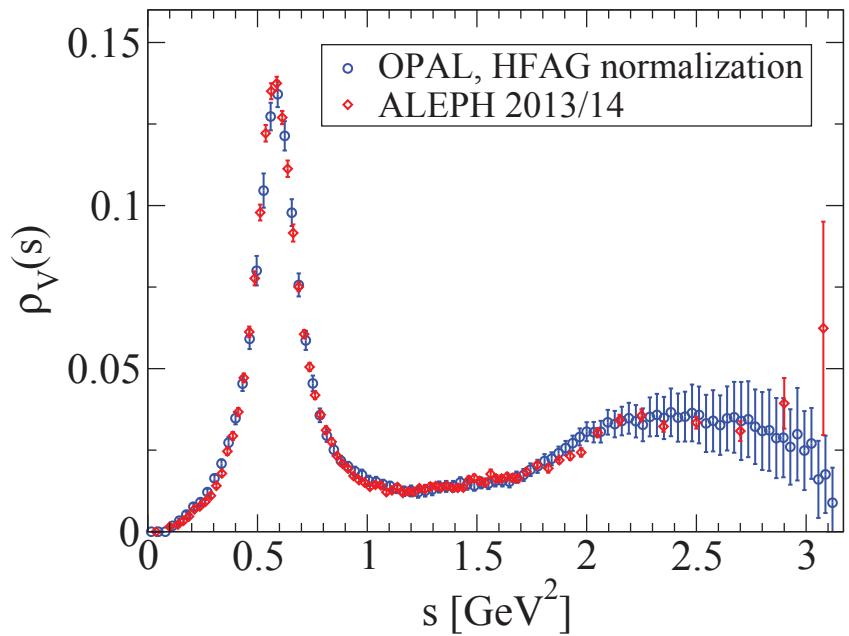
$$w_T(s; s_0) = \left(1 + 2 \frac{s}{s_0}\right) \underbrace{\left(1 - \frac{s}{s_0}\right)^2}_{\text{doubly pinched}}$$

$$w_L(s; s_0) = 2 \left(\frac{s}{s_0}\right) \underbrace{\left(1 - \frac{s}{s_0}\right)^2}_{\text{doubly pinched}}$$

$$s_0 = m_\tau^2$$

$$\rho_{V,A} = \frac{1}{\pi} \text{Im} \Pi_{V,A}$$

$$\frac{\Gamma(\tau \rightarrow \nu_\tau H_{ud}(\gamma))}{\Gamma[\tau \rightarrow \nu_\tau e \bar{\nu}_e(\gamma)]} = 12\pi^2 |V_{ud}|^2 S_{EW} \int_0^{s_0} \frac{ds}{s} \left[w_T(s; s_0) \rho_{V+A}^{(1+0)}(s) - w_L(s; s_0) \rho_A^{(0)}(s) \right]$$



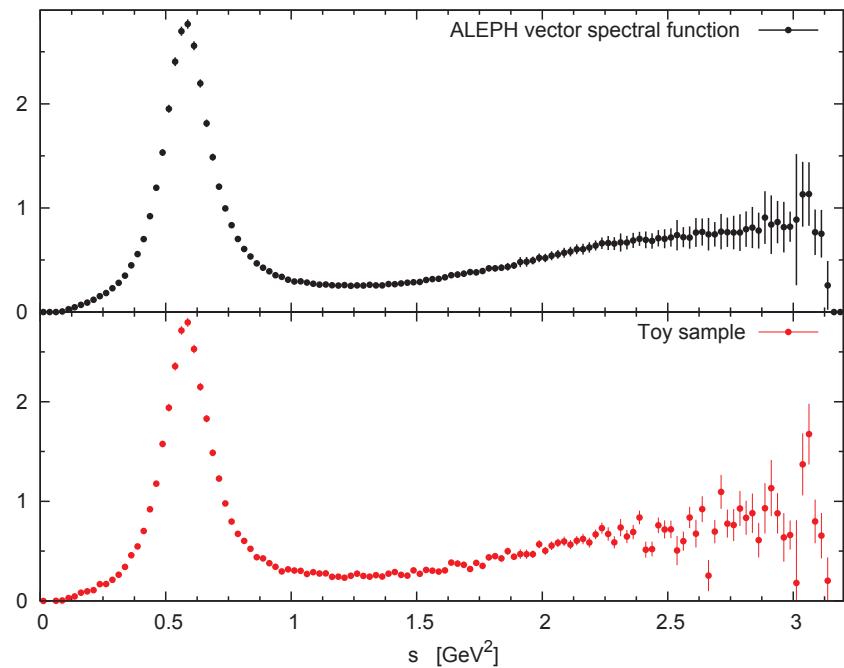
Since 2014: New correlation matrices.

Boito et al. '11

Davier et al. '14

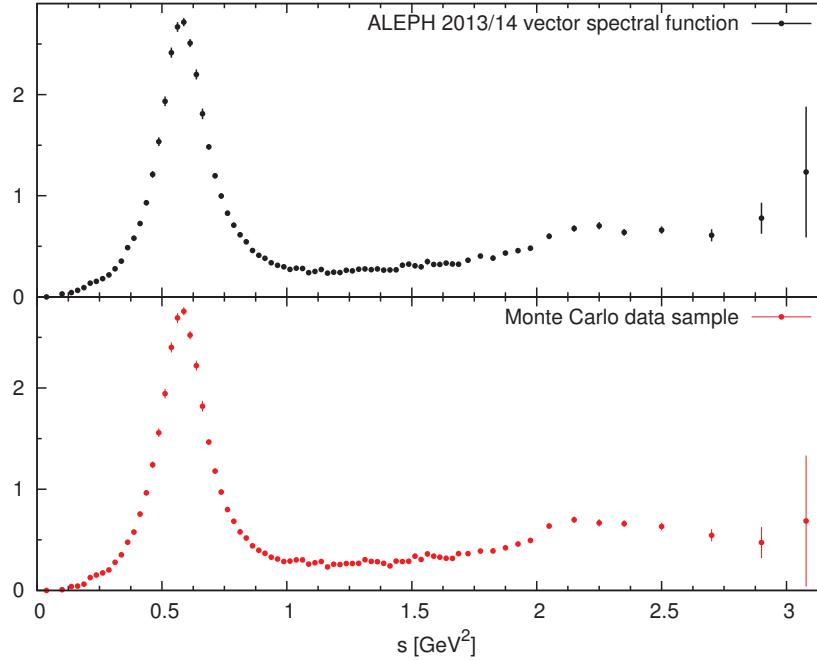
-Create pseudo-data:

2011



WRONG!

2014

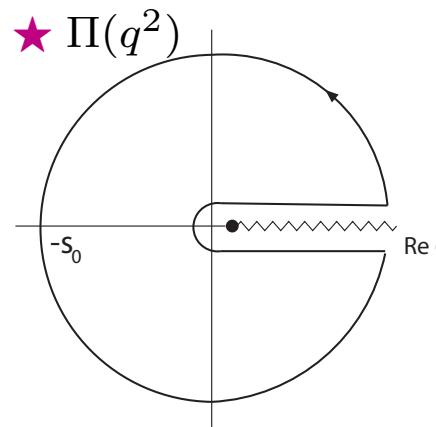


OK!

$t < 2014$ Aleph correlations underestimated.

Theoretical Foundations (I)

Shankar '77; Braaten-Narison-Pich '92



“Cauchy’s Theorem” ($z = q^2$; $\rho(t) = \frac{1}{\pi} \text{Im} \Pi$; $w_n = \text{polynomial}$) :

$$\int_0^{s_0} dt w_n(t) \underbrace{\rho(t)}_{\text{exp.}} = \frac{1}{2i\pi} \oint_{|z|=s_0} dz w_n(z) \Pi(z)$$

$$= \frac{1}{2i\pi} \oint_{|z|=s_0} dz w_n(z) \left[\underbrace{\Pi_{\text{OPE}}(z)}_{\mathcal{O}(\alpha_s^4)} + \underbrace{\Pi(z) - \Pi_{\text{OPE}}(z)}_{\Pi_{DV}(z)} \right]$$

★ $\Pi_{DV} \rightarrow 0 \iff \Pi_{\text{OPE}} \rightarrow \Pi$.

(Cata-Golterman-S.P. '05)

However,

- Π_{OPE} expected asymptotic : $\Pi_{DV}(z) \rightarrow 0$, $z \rightarrow \infty$.
- OPE no good on the Minkowski axis (spect. fnct. shows oscillations)

\implies use polynomial $w_n(s_0) = 0$ (\equiv “pinching”)

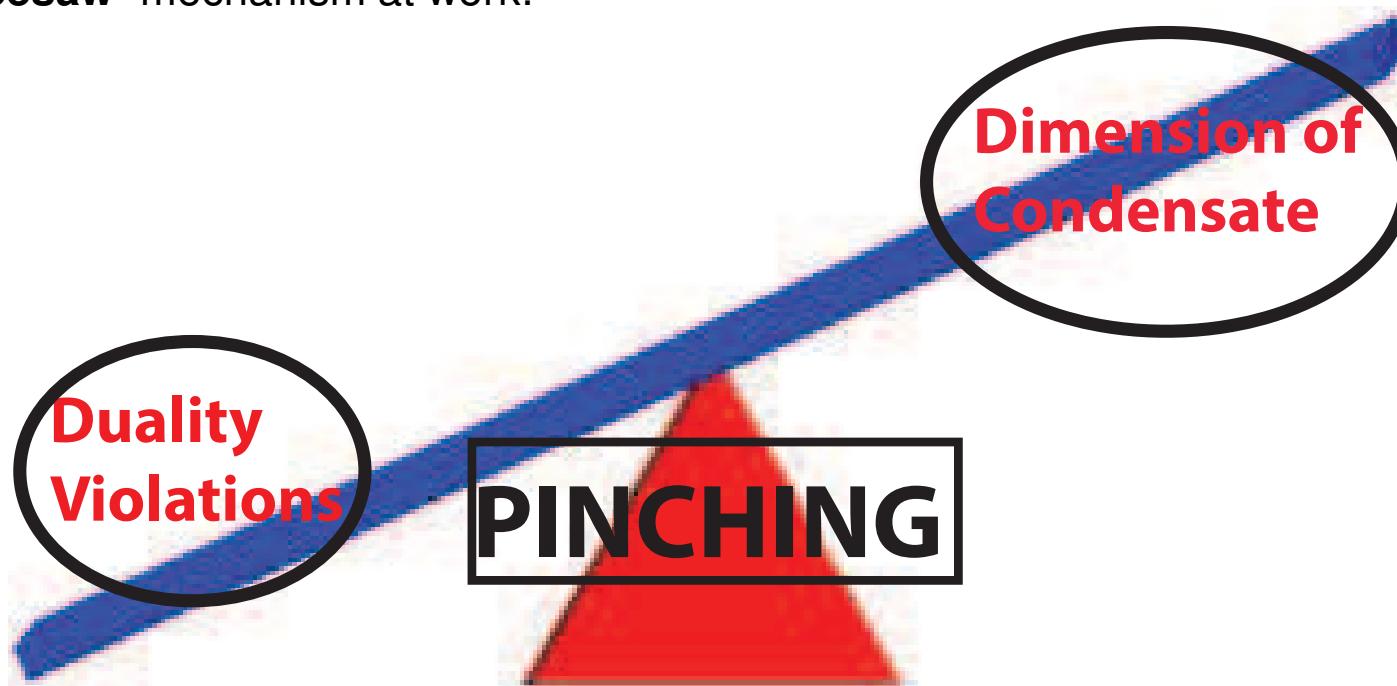
Main Theoretical Message:

(Maltman-Yavin '08, Boito et al. '11)

- ★ No free lunch: with pinching one has a **price to pay**:

It is **not possible** to simultaneously **suppress DVs and condensates**.

- ★ “Seesaw” mechanism at work:

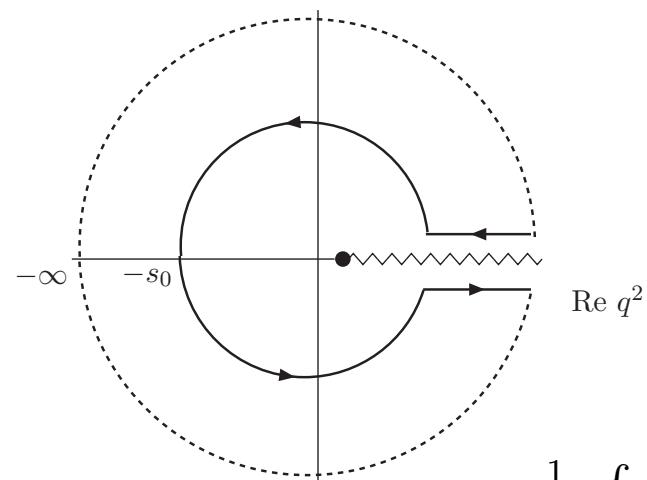


Theoretical Foundations (II)

- Need a better control of systematic error

⇒ need **quantitative** knowledge of DVs.

- $\Pi_{DV}(s) \rightarrow 0$, as $s \rightarrow \infty$. Then:



(Cata-Golterman-S.P. '05)

$$-\frac{1}{2\pi i} \oint_{|z|=s_0} dz w(z) \Pi_{DV}(z) = - \underbrace{\int_{s_0}^{\infty} ds}_{\text{extrapolation!}} w(s) \frac{1}{\pi} \text{Im} \Pi_{DV}(s)$$

Theoretical Foundations (III)

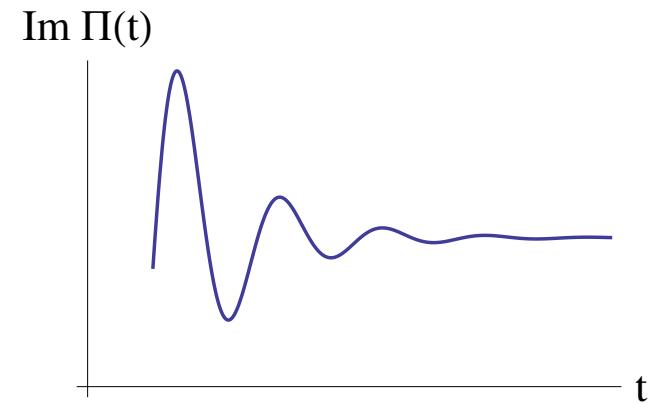
Cata, Golterman, S.P. '05, '08

★ A phenomenological educated guess: (See Regge/Asymptotic Theory theoretical discussion later on...)

-For s large enough:

$$\frac{1}{\pi} \text{Im} \Pi_{DV}(s) \simeq e^{-\delta} \underbrace{e^{-\gamma s}}_{\text{asymp. exp.}} \underbrace{\sin(\alpha + \beta s)}_{\text{oscillations}}$$

(asymp. exp. \Leftrightarrow recall renormalons $\sim e^{-\gamma/\alpha_s}$)



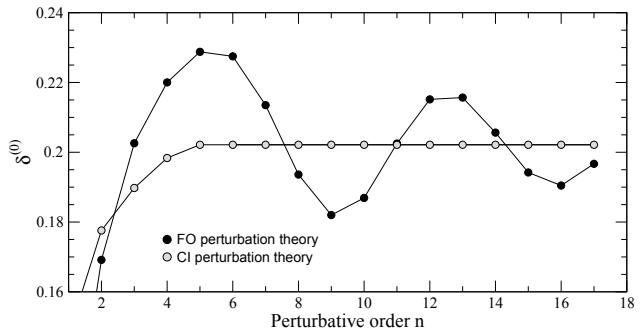
independently for V and A (i.e. 8 DV parameters in total).

- Assuming no DVs \equiv assuming $e^{-\delta} = 0$ (unlike data, which is not flat).

Pert. Theory: CIPT vs. FOPT

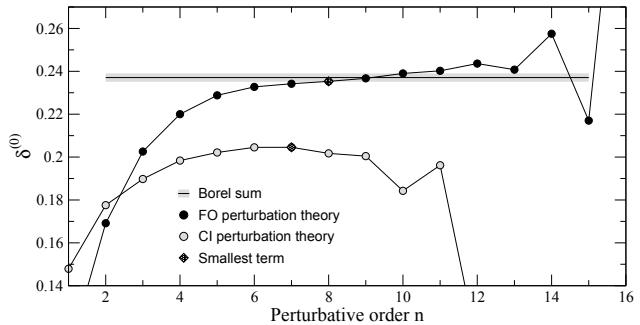
Caprini-Fischer '09; Menke '09; Descotes-Malaescu '09; Cvetic '10,...

- ★ Partial integration: $\oint dz w_n(z) \Pi(z) = \oint dz \tilde{w}_n(z) \underbrace{\left(-z \frac{d}{dz} \Pi(z) \right)}_{\text{Adler's D}(z)}$
- ★ $D(z) = \frac{1}{4\pi^2} \sum_{n,k} c_{n,k} \underbrace{\alpha_s(\mu)^n \log^k (-z/\mu^2)}_{}$
- ★ Since $z = s_0 e^{i\phi}$, two (extreme) choices:



- CIPT: $\mu^2 = -z$. Good *if* Adler function series stops at finite order.

LeDiberder-Pich '92, Pivovarov '92



- FOPT: $\mu^2 = s_0$. Good *if* Adler function series grows factorially (renormalons).

Beneke-Jamin '08

For many years thought to be the dominant source of error...

A Change of Strategy (I)

Old Strategy: (LeDiberder-Pich '92)

- Use 5 pinched weights

$$w_{kl}(y) = (1-y)^2(1+2y)(1-y)^k y^l \quad , \quad y = s/s_0, \quad s_0 = m_\tau^2 \text{ (only})$$

with $(k, l) = \{(0, 0), (1, 0), (1, 1), (1, 2), (1, 3)\}$.

- Fit to extract 4 param. : α_s and $C_{D=4,6,8}$.
- Assume (unknown) OPE condensates $C_{D=10,12,14,16} = 0$. (\sim OPE convergent)
- Assume (unknown) Duality Violations =0.
- May use V and A , but assume $V + A$ more reliable.

(Davier et al. '14)

$$\begin{aligned} \left\langle \frac{\alpha_s}{\pi} GG \right\rangle &= (-0.5 \pm 0.3) \times 10^{-2} \text{ GeV}^4 , \quad \chi^2 = 0.43, p = 51\% \quad V , \\ &\quad (-3.4 \pm 0.4) \times 10^{-2} \text{ GeV}^4 , \quad \chi^2 = 3.4, p = 7\% \quad A , \\ &\quad (-2.0 \pm 0.3) \times 10^{-2} \text{ GeV}^4 , \quad \chi^2 = 1.1, p = 29\% \quad V + A . \end{aligned}$$

- Check Weinberg sum rules.

A Change of Strategy (II)

New Strategy (Boito et al. '11 and '12):

- Do not use $w(y)$ with a term linear in y . (Beneke et al. '13)
- Do not assume any condensate is zero. (Let the data speak.)
- Do not assume that Duality Violations are zero. (Let the data speak.)

For $s \geq s_{min}$ (Regge/asympt. series assumption; see later on):

$$\rho_{DV}^{V,A}(s) = e^{-\delta_{V,A} - \gamma_{V,A}s} \sin(\alpha_{V,A} + \beta_{V,A}s)$$

c.f. old strategy model assumption: $e^{-\delta_{V,A}} = 0$.

- Fit to $\alpha_s, C_{D=6,8}$ and DV parameters with 3 weights:

$$w_0 = 1, w_2 = 1 - y^2 \quad \text{and} \quad w_3 = (1 - y)^2(1 + 2y)$$

Use all data for $s_0 \geq s_{min}$, (s_{min} to be determined by the fit as well).

- Use V and A . Check spectral functions.
- Check Weinberg sum rules.

We did lots of other fits as well...

Fits :

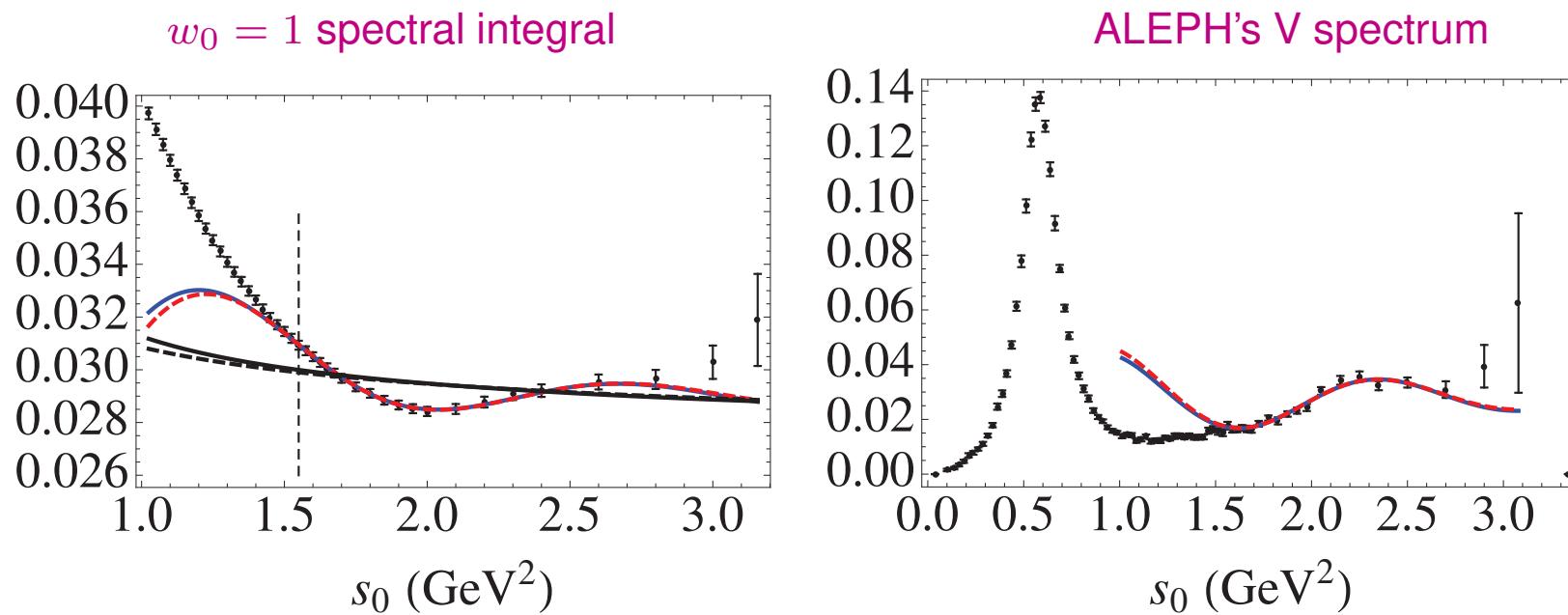
- V channel, $w_0 = 1$.
- V and A channels, $w_0 = 1$.
- V channel, $w_0 = 1$ and $w_2 = 1 - y^2$.
- V and A channels, $w_0 = 1$ and $w_2 = 1 - y^2$.
- V channel, $w_0 = 1, w_2 = 1 - y^2$ and $w_3 = (1 - y)^2(1 + 2y)$.
- V and A channels, $w_0 = 1, w_2 = 1 - y^2$ and $w_3 = (1 - y)^2(1 + 2y)$.

Consistent results in all cases.

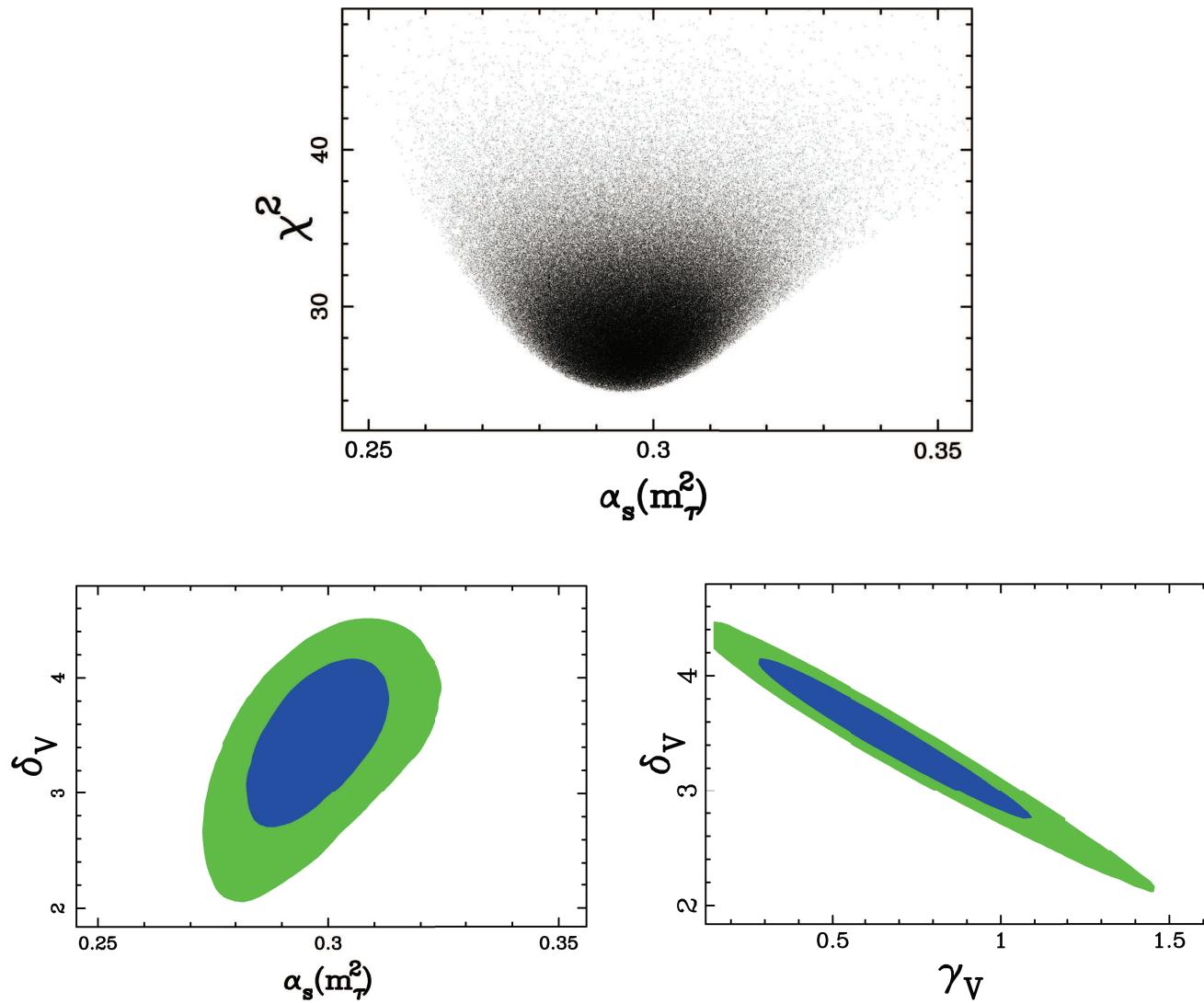
Example: Fit to $w_0 = 1$, V channel (I).

$s_{min} = 1.55 \text{ GeV}^2$, $\chi^2/dof = 24.5/16$ ($p = 8\%$) (This is FOPT, CIPT similar)

curves: red=CIPT blue =FOPT black =no DV



Example: Fit to $w_0 = 1$, V channel (II).



(68% and 95% contour plots), FOPT. Clearly $DVs \neq 0$.

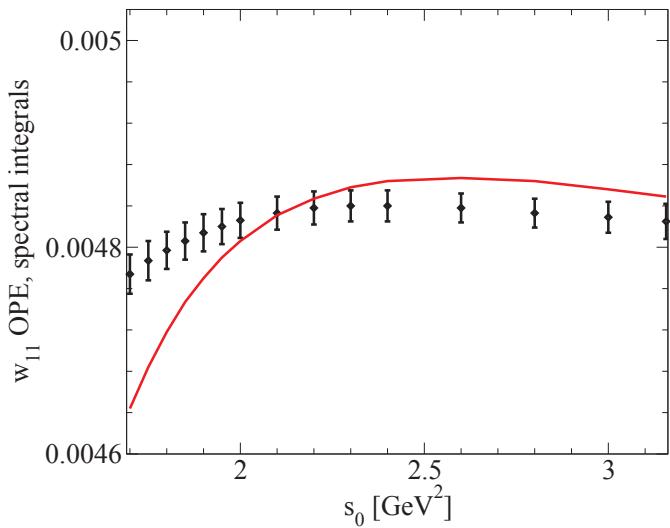
Tests: W₁₁, W₁₂, W₁₃

Looking only at $s = m_\tau^2$ potentially misleading. (Maltman-Yavin '08).

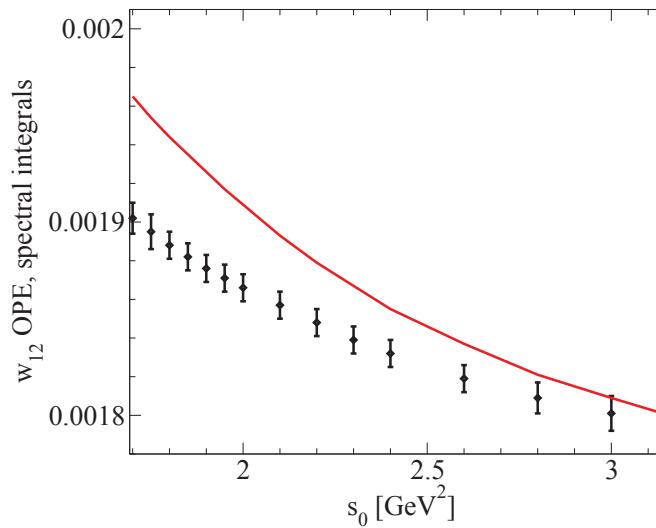
(Davier et al. '14)

CIPT, V+A:

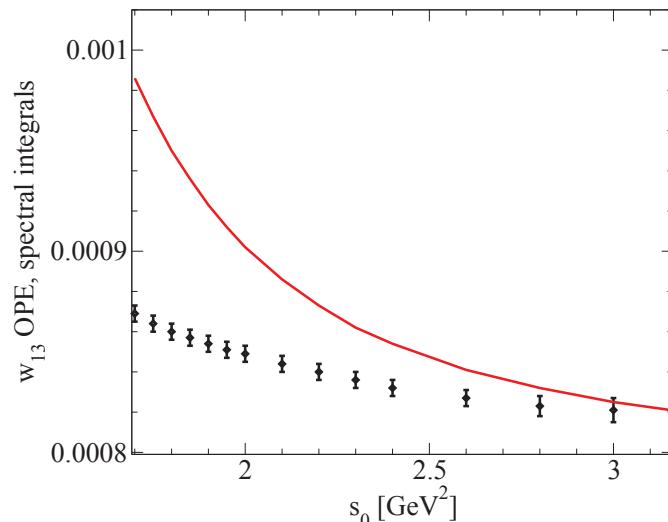
W₁₁



W₁₂



W₁₃



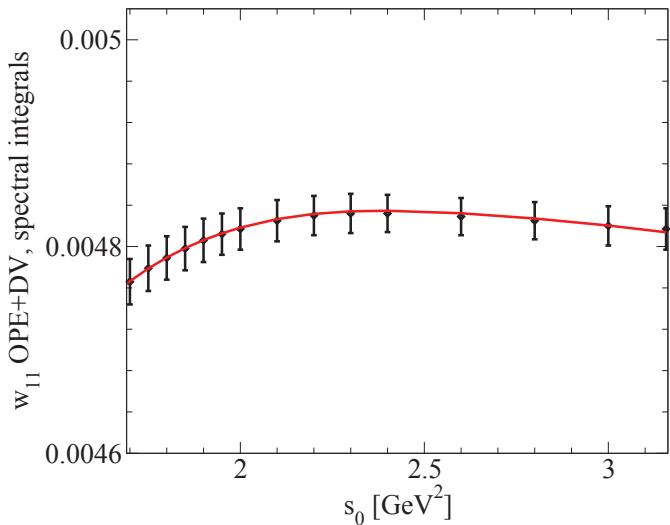
Tests: W₁₁, W₁₂, W₁₃

D > 8 condensates vital !

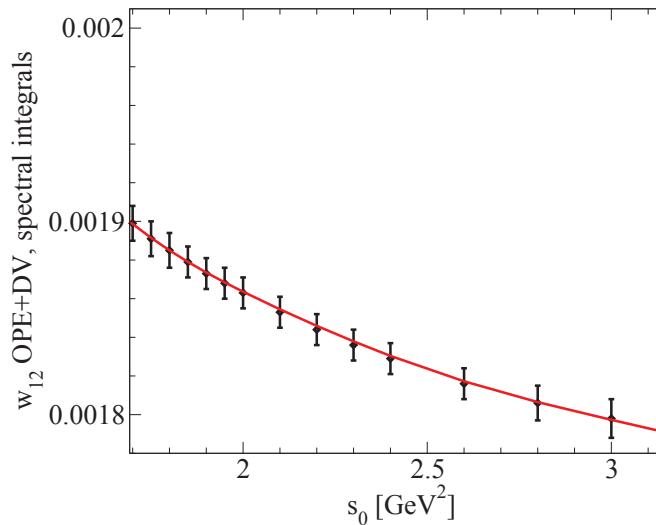
(Boito et al. '15)

CIPT, V+A:

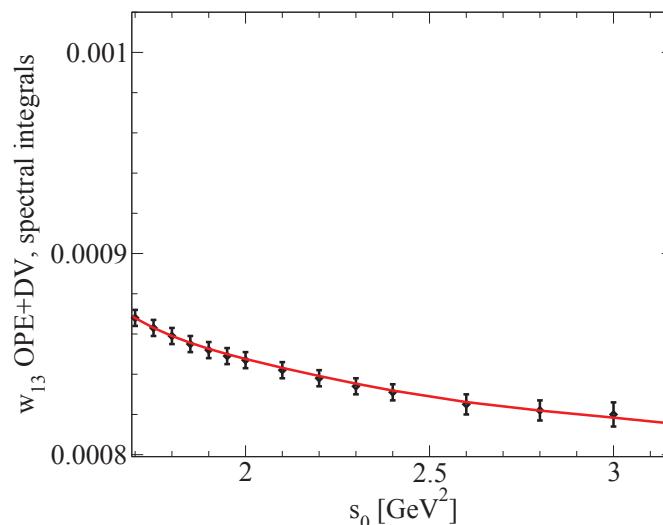
W₁₁



W₁₂



W₁₃



Not part of our fit !

*Used s_{min} = 1.55 GeV²
w = 1, 1 - y², w_τ*

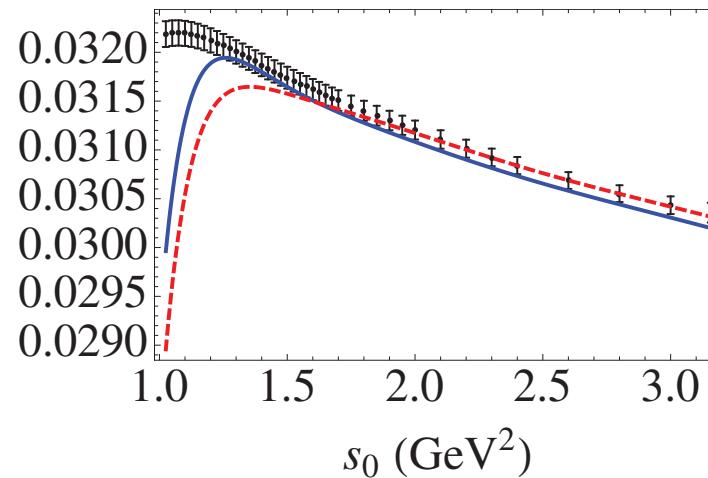
Classic Tests

red=CIPT

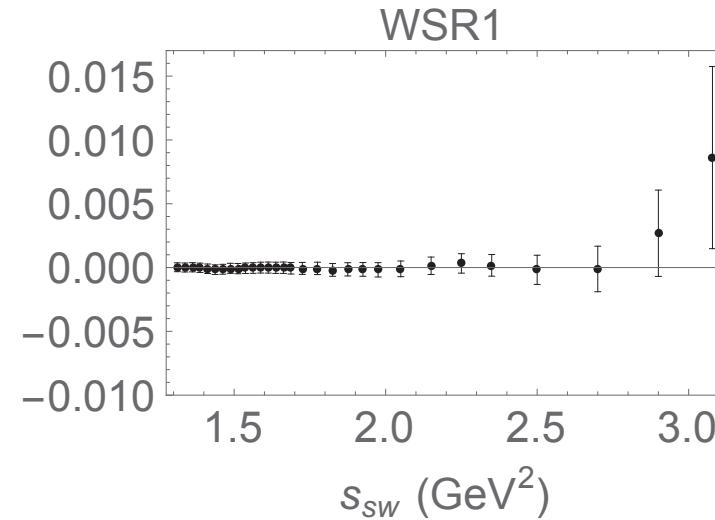
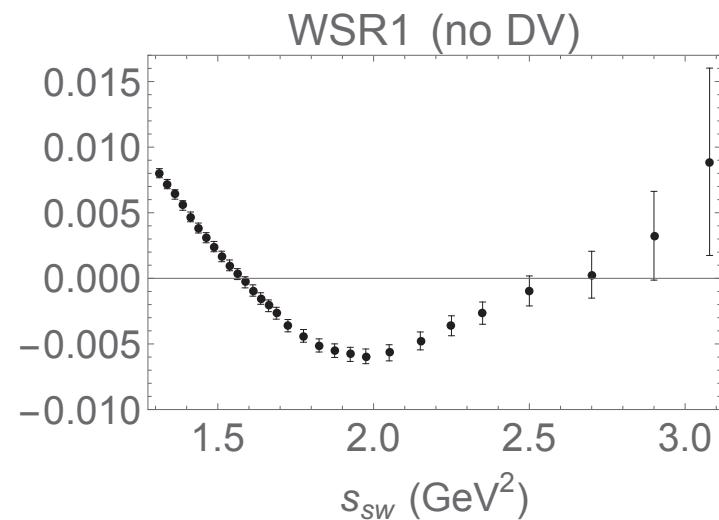
blue =FOPT

(Boito et al. '15).

$$R_{V+A}(s_0)$$



Weinberg sum rule: $\int_0^\infty ds \left(\rho_V^{(1)}(s) - \rho_A^{(1)}(s) \right) - 2f_\pi^2 = 0$



Results

Aleph:

$$(\text{FOPT}) \quad \alpha_s(m_\tau) = 0.296 \pm 0.010 \longrightarrow \alpha_s(m_Z) = 0.1155 \pm 0.0014$$

$$(\text{CIPT}) \quad \alpha_s(m_\tau) = 0.310 \pm 0.014 \longrightarrow \alpha_s(m_Z) = 0.1174 \pm 0.0019$$

- N.B. “Old Strategy” produces a shift, i.e.

$\alpha_s(m_\tau) \sim +0.03$ higher, (and \sim half errors) (Davier et al. '14)

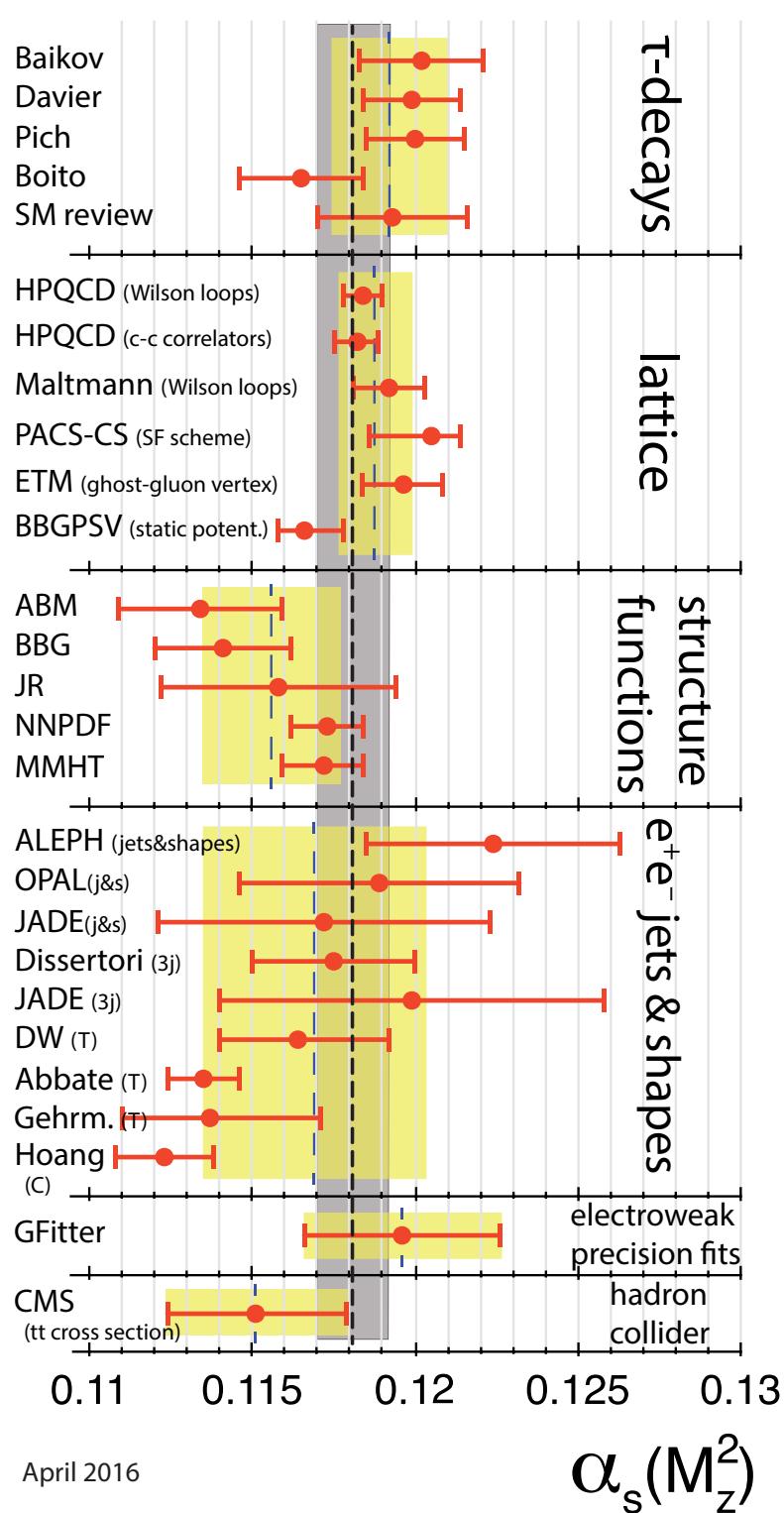
- Using **Aleph + Opal** data, we get:

$$\alpha_s(m_Z) = 0.1165 \pm 0.0012 \text{ (FOPT)} \qquad \alpha_s(m_Z) = 0.1185 \pm 0.0015 \text{ (CIPT)}$$

(Current PDG 2017 world average: $\alpha_s(m_Z) = 0.1181 \pm 0.0011$)

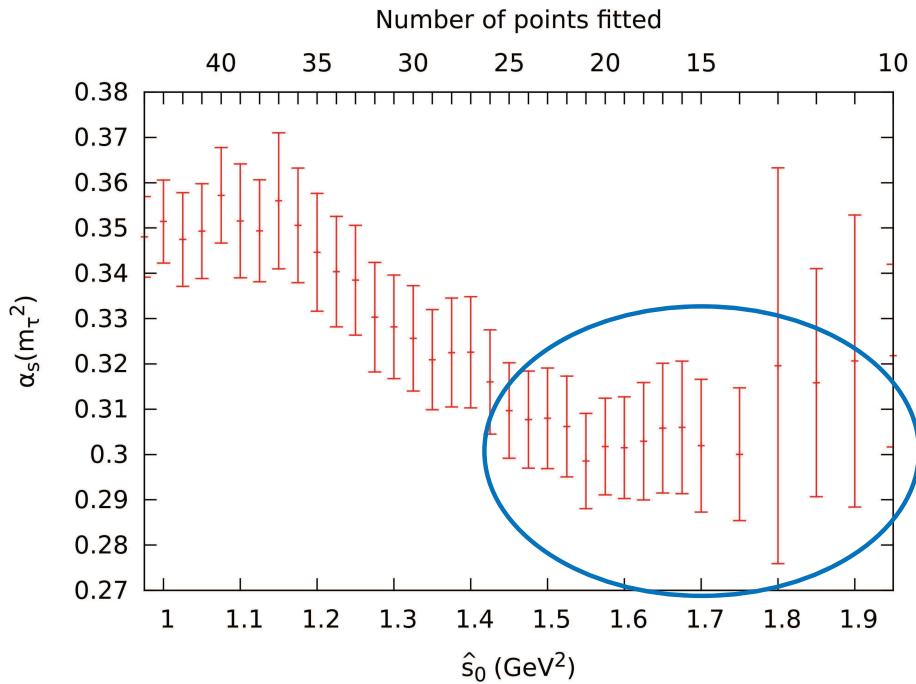
.

α_s Overview



Pich and Rodriguez-Sanchez '16

- New reanalysis with the Old Strategy \Rightarrow same conclusions (not a surprise).
(and same s_0 dependence problems)
All criticisms have been addressed in Boito et al. Phys. Rev. **D95** (2017) 034024.
- Example: when DVs are included they obtain (FOPT)



\Leftarrow Stability as $\hat{s}_0 = s_{min}$ increases,
as expected for the DV ansatz.
(So this is actually nice...)

Question of Principle:

If modeling DVs is useful for determining condensates in $V - A$
(as, e.g., in Glez-Alonso, Pich, Rguez-Sanchez '15,'16)

why wouldn't it be useful for α_s in $V + A$ as well ?

Towards a Theory of DVs ? (I)

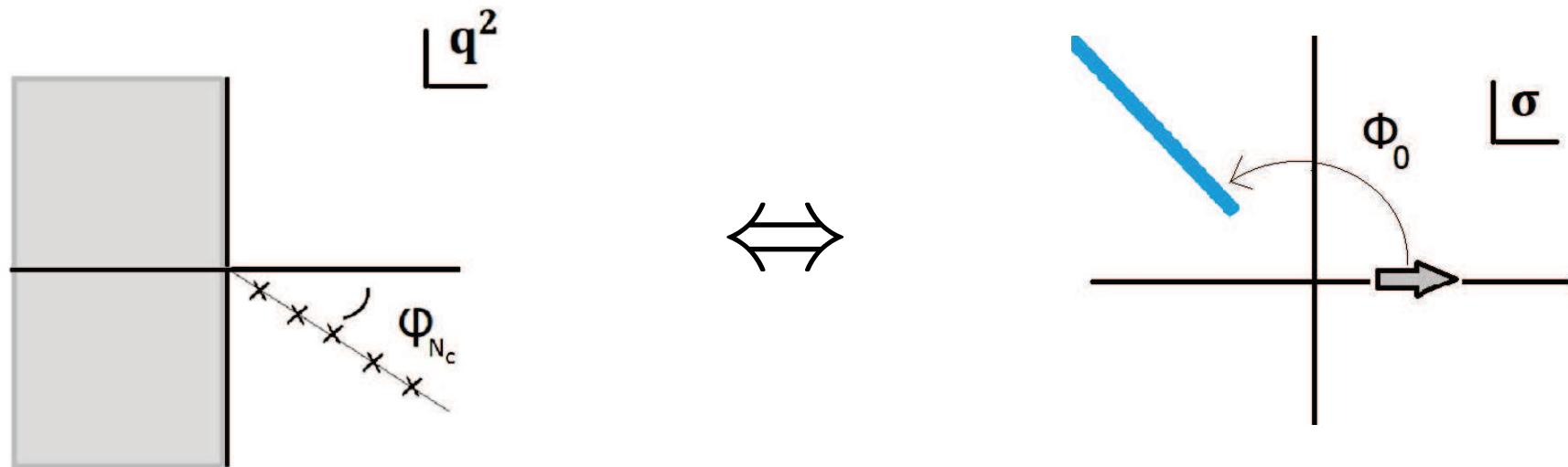
Boito, Caprini, Golterman, Maltman, SP '17

-A theory of DVs requires NP input.

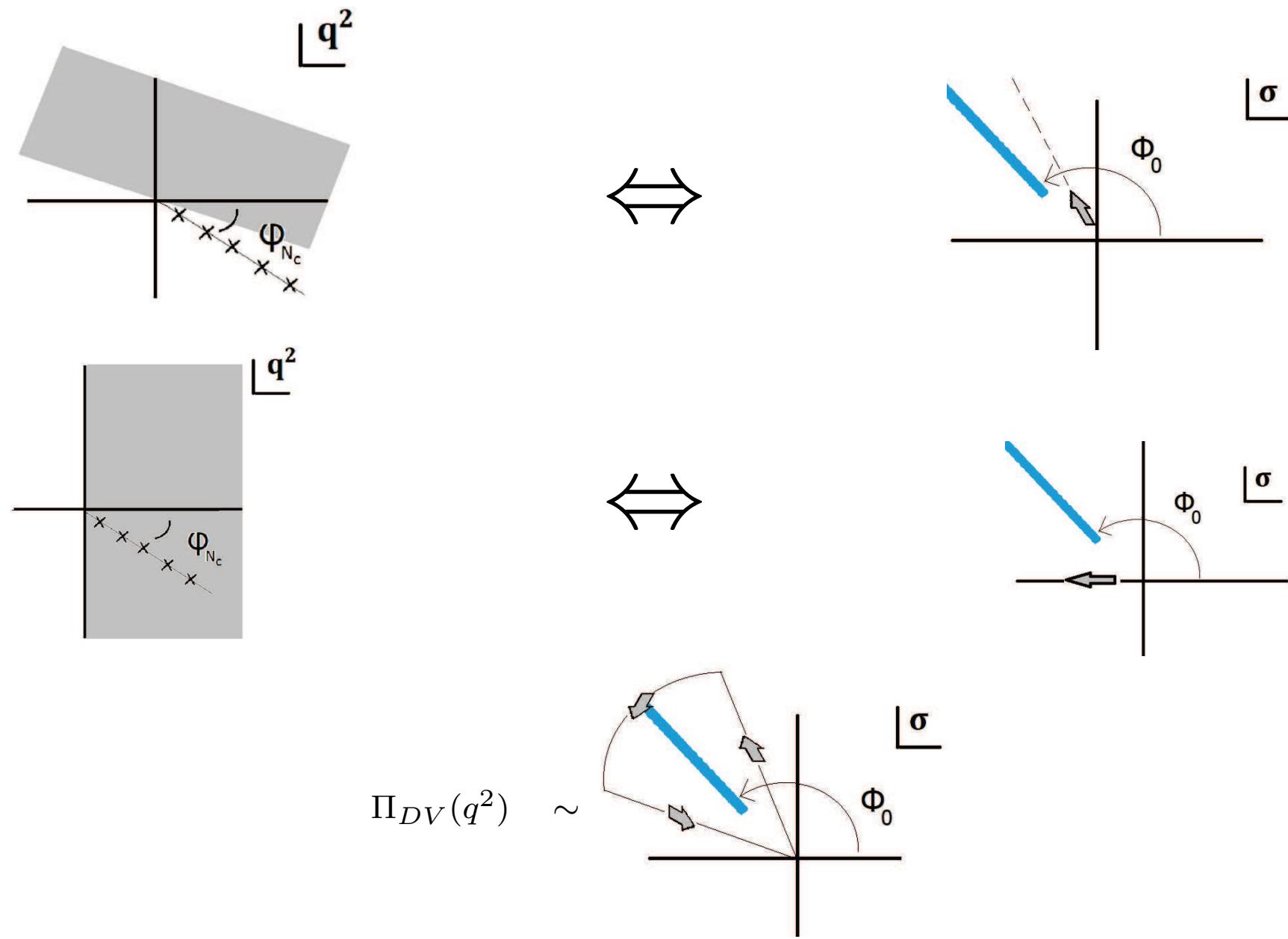
-Let's start with (exact !) Disp. Rel. of Adler's function ($q^2 < 0$, Euclidean):

$$\mathcal{A}(q^2) = -q^2 \int_0^\infty d\sigma e^{\sigma q^2} \sigma \mathcal{B}(\sigma) , \quad \mathcal{B}(\sigma) = \int_0^\infty dt \rho(t) e^{-\sigma t}$$

$\sigma q^2 = (\sigma > 0, q^2 < 0) = (\sigma < 0, q^2 > 0)$, i.e. rotate $\sigma \Leftrightarrow q^2$ analytic continuation.



Towards a Theory of DVs ? (II)



Towards a Theory of DVs ? (III)

- Asymptotic Regge-like spectrum ($\Lambda_{QCD} = 1$, **Regge slope**) for $N_c = \infty$ in chiral limit:

-Masses

$$M^2(n) = n + b \log n + c + \mathcal{O}\left(\frac{1}{(\log n)^{\nu_1}}, \frac{1}{n^{\lambda_1} (\log n)^{\nu_2}}\right) , \quad n \gg 1 .$$

-Decay constants

$$F(n) = 1 + \mathcal{O}\left(\frac{1}{(\log n)^{\nu_3}}, \frac{1}{n^{\lambda_2} (\log n)^{\nu_4}}\right) , \quad n \gg 1 .$$

- When $N_c = 3$ ($d = 2$ QCD, strings, phenomenology):

Blok et al. '98; Shifman et al. '08; Masjuan et al. '12

$$\varphi_{N_c} = -\frac{\Gamma}{M} \sim -\frac{a}{N_c} + \dots$$

we have a branch point in σ plane at

$$\sigma_0 = 2\pi e^{i\Phi_0} + \dots , \quad \Phi_0 = \frac{a}{N_c} + \frac{\pi}{2} + \dots$$

producing ($\text{Re } q^2 > 0$, $\text{Im } q^2 > 0$)

Boito et al. '17

$$\Pi_{DV}(q^2) \sim e^{-2\pi q^2 \frac{a}{N_c}} e^{i2\pi(q^2 - c - b \log q^2)} \left(1 + \mathcal{O}\left(\frac{1}{N_c}; \frac{1}{q^2}; \frac{1}{\log_{\alpha_s} q^2}\right)\right)$$

from non-strange hadronic τ decays – p.22/32

Towards a Theory of DVs ? (IV)

Agreement DVs in tau decay \Leftrightarrow Regge spectrum.

Fits of meson spectrum to radial trajectories:

Anisovich et al. '00; Klemp et al. '12; Masjuan et al. '12;

$$\Lambda^2 = 1.35(4) \text{ GeV}^2 \quad , \quad \frac{\Gamma}{M} = 0.12(8) \simeq \frac{a}{N_c}$$

leading to

$$\beta_V = \frac{2\pi}{\Lambda^2} = 4.7(2) \text{ GeV}^2 \quad , \quad \gamma_V = \frac{2\pi}{\Lambda^2} \frac{a}{N_c} = 0.6(4) \text{ GeV}^{-2}$$

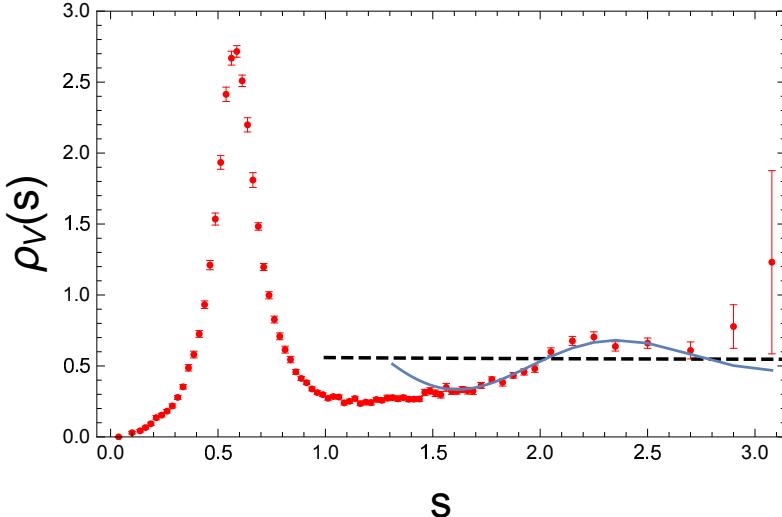
to be compared with results from fits to τ decay:

$$\beta_V = 4.2(5) \text{ GeV}^2 \quad , \quad \gamma_V = 0.7(3) \text{ GeV}^{-2}$$

coincidence ?,... Notice the 2π .

Conclusions and Outlook

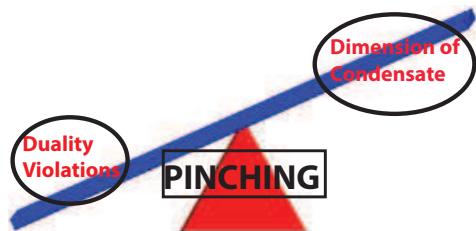
- DVs are clearly visible in the data.



(DVs are not a question of principle, they exist in practice.)

- Pinching does not allow a simultaneous reduction of DVs and higher-dim condensates

(unlike what has been assumed so far in the “Old Strategy” Method).



This introduced an unquantified systematic error.

Conclusions and Outlook (II)

- The new strategy using DV's **passes all known tests**, experimental and theoretical, performing **better** than the "Old Strategy".
- New theoretical framework to analyze DVs, based on analytical properties in the Borel-Laplace variable σ .

So far, analytic result for Π_{DV} based on asymptotic Regge espectrum. We think that this result is general, though (at least in the chiral limit).

Generalization to heavy quarks ?

- Better data (Babar and Belle ?) would help significantly.

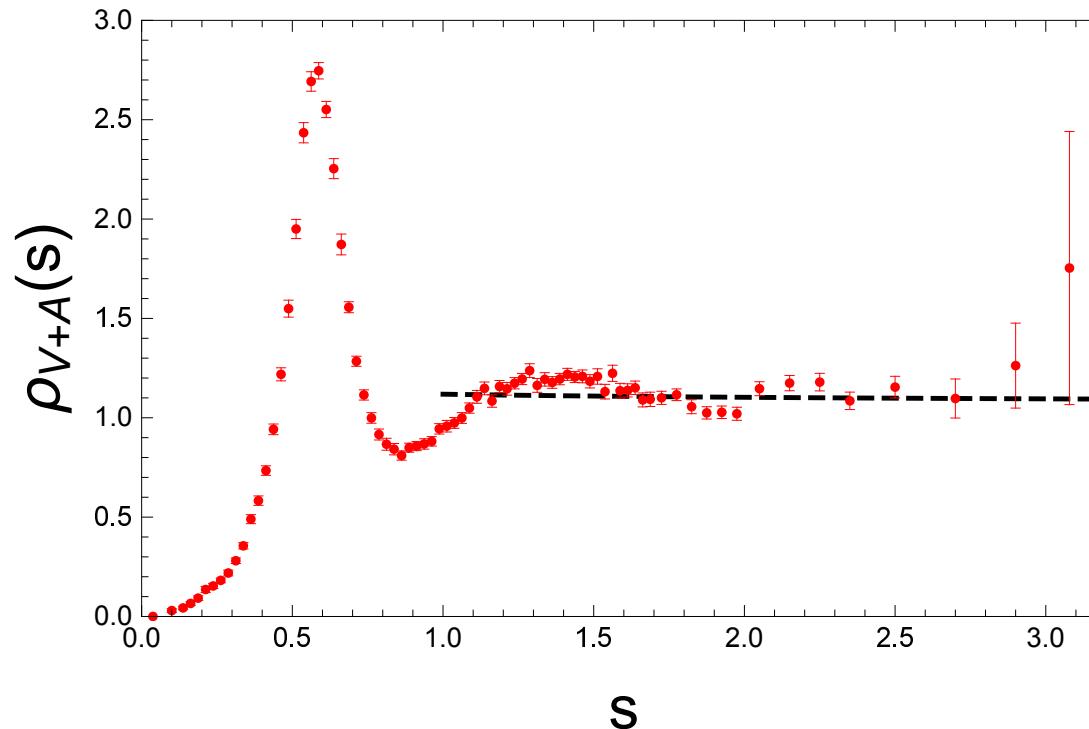
We are currently working on the analysis using e^+e^- data...

THANK YOU !

BACK-UP SLIDES

Spectral Functions (I)

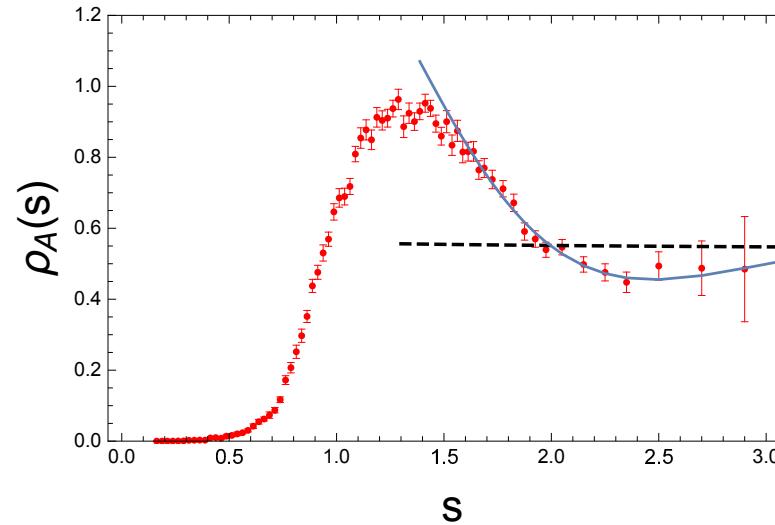
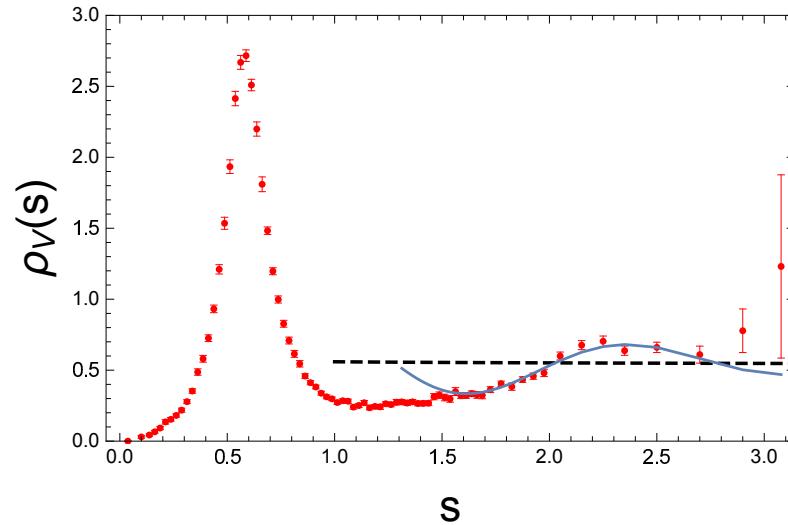
Sometimes $V + A$ is presented as “evidence” that DVs $\simeq 0$ at large s :



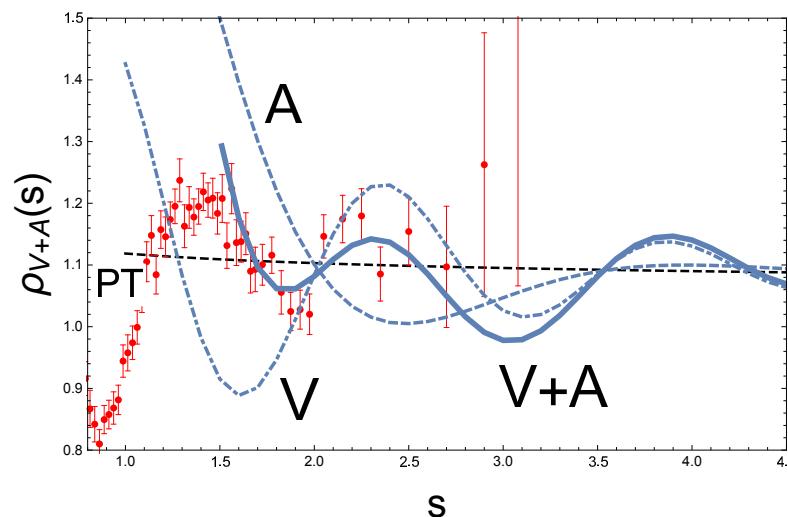
However...

Spectral Functions (II)

A closer look reveals a (partial) fortuitous cancellation for $2 \text{ GeV}^2 \lesssim s \lesssim 2.8 \text{ GeV}^2$



There is no reason why it should persist at higher s :



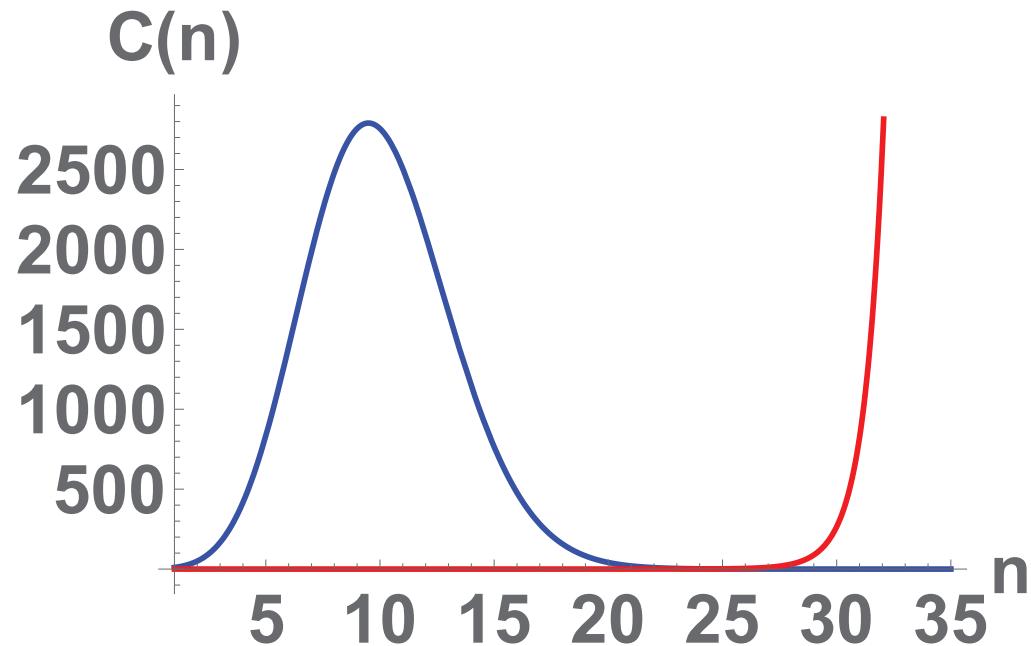
The $V + A$ oscillation at $s = 3 \text{ GeV}^2$ is **larger** than at 2.5 GeV^2 .

Comments on $V + A$

- DV oscillations are still present in $V + A$ (although of a smaller size than in V and A).
- Since we have a good representation of V and A , we also have it of their sum $V + A$.
- Fit results show that DV's exponent in A is \ll than in V , so the reduction for $s \sim 2 - 3 \text{ GeV}^2$ is accidental. At still larger s , the DVs in V will dominate.
- The expectation of strong cancellation of $D = 6$ terms in OPE in $V + A$ is based on the vacuum saturation ansatz. However, the data shows that this ansatz is a very poor approximation.

Asymptotic vs. Convergent

$$f(z) = \sum_{n=1}^{\infty} C(n) z^n$$



$$C(n) = \left(\frac{10^n}{n!} \right), \quad \text{Convergent.}$$
$$C(n) = \left(\frac{n!}{10^n} \right), \quad \text{Divergent.}$$

Poincaré (circa 1893): discussion Geometers vs. Astronomers \Leftrightarrow Convergent vs. Divergent

OPE + DV ($N_c = \infty$)

-Asymptotic Regge behavior:

$$M^2(n) = n + b \log n + c + \mathcal{O} \left(\frac{1}{(\log n)^{\nu_1}}, \frac{1}{n^{\lambda_1} (\log n)^{\nu_2}} \right)$$

$$F(n) = 1 + \mathcal{O} \left(\frac{1}{(\log n)^{\nu_3}}, \frac{1}{n^{\lambda_2} (\log n)^{\nu_4}} \right)$$

produces a **Dirichlet series**

$$\sigma \mathcal{B}(\sigma) = \sum_n^{\infty} F(n) e^{-\sigma M^2(n)} = \underbrace{1 + \mathcal{O} \left(\log^{k_1} \sigma \right)}_{Pert. \ Theory} + \underbrace{\mathcal{O} \left(\sigma^{k_2} \log^{k_3} \sigma \right)}_{Power \ Corrections} + \underbrace{\mathcal{O} \left(\frac{1}{(\sigma - \hat{\sigma})^{1+\gamma}} \right)}_{Duality \ Violations}$$

and leads to

$$\mathcal{A}(q^2) = \underbrace{1 + \mathcal{O} \left(\frac{1}{\log^{p_1}(-q^2)} \right)}_{Pert. \ Theory} + \underbrace{\mathcal{O} \left(\frac{\log^{p_2}(-q^2)}{(q^2)^{p_3}} \right)}_{Power \ Corrections} + \underbrace{\mathcal{O} \left(e^{\hat{\sigma} q^2} (-q^2)^{\gamma} \right)}_{Duality \ Violations}$$