

# From gauge fields to physical particles

Hadrons as relativistic bound states  
of confined quarks and glue

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FWF



# A prelude: The Higgs boson and gauge invariance

CERN 2012: The Higgs boson has been measured!

The 'textbook' Higgs is a gauge-dependent state and thus unphysical!?!

Gauge invariance broken? No! (Elitzur's theorem)

The custodial (global!)  $SU(2)$  symmetry of the SM is broken:  
Goldstone bosons become elements of the elementary BRST quartets!

Gauge-invariant states are necessarily composite!

Relation between gauge-invariant and gauge-dependent states:

Fröhlich, Morchio, Strocchi, PLB 97 (1980), NPB 190 (1981)

Physical  $H$ ,  $W$  and  $Z$  are gauge-invariant  $H$ - $H$ ,  $H$ - $W$  and  $H$ - $Z$  bound states with same mass as elementary fields in unitary gauge.

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- 2 Basics of Covariant Gauge Theory
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  - Gluon, Ghost and Quark Propagators
  - Three-point vertex functions
- 4 Relativistic Three-Fermion Bound State Equations
  - Structure of Baryonic Bound State Amplitudes
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  - Interaction Kernels and Rainbow-Ladder Truncation
  - Coupling of E.M. Current and Quark-Photon Vertex
  - Some Selected Results
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# Motivation: Why Functional Approaches to QCD?

## Where to look for the **nucleon in QCD**?

Free propagation of lowest three-quark bound state:

**Six-quark Green function!**

Calculating it requires either

- to employ a lattice (*i.e.*, give up Poincaré invariance)
- to use Monte-Carlo algorithms (*i.e.*, use a statistical method)
- to run programs on supercomputers

or

- to fix a gauge (*i.e.*, sacrifice gauge invariance)
- to truncate equations in a way which is verified *á posteriori*

Method 1:

Excellent results for hadron properties & insight into hadron structure!

Method 2:

Relation of observables to confinement,  $D\chi$ SB, axial anomaly, ...

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**QCD correlation functions** contribute to the understanding of

- ★ **confinement** of gluons, quarks, and colored composites.
- ★  $D_{\chi SB}$ , *i.e.*, generation of quark masses and chirality-changing quark-gluon interactions.
- ★  $U_A(1)$  **anomaly** and topological properties.

Within **Functional Methods**

(Exact Renorm. Group, Dyson-Schwinger eqs.,  $n$ PI methods, ...):  
Input into hadron phenomenology via **QCD bound state eqs..**

- Bethe-Salpeter equations for **mesons**  
form factors, decays, reactions, ...
- covariant Faddeev equations for **baryons**  
nucleon form factors, Compton scattering, meson production, ...

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## Functional approaches to Landau gauge QCD:

- ▶ in principle ab initio
- ▶ perturbation theory included
- ▶ some elementary Green's functions quite well-known
- ▶ in other gauges complicated . . .
- ▶ truncations for numerical solutions necessary



State-of-the-art:

- **3-particle-irreducible** truncation with dynamical propagators and three-point functions for mesons.
- 2PI **rainbow-ladder truncation** (= dressed gluon exchange) with dynamical propagators for baryons.

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# Basics of Covariant Gauge Theory

Gauge theory: **Unphysical degrees of freedom!**

**QED:** Physical states obey Lorentz condition.

$$\partial_\mu A^\mu |\Psi\rangle = 0 \quad (\text{Gupta - Bleuler}).$$

⇒ In symmetric phase:

Two physical massless photons in physical state space.

Time-like photon (i.e. negative norm state!) cancels longitudinal photon in  $S$ -matrix elements!

**Guaranteed on an algebraic level!**

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# Covariant Gauge Theory

In S-Matrix

$$A_T \text{ (in)} \rightarrow A_0 \text{ (out)} + A_T \text{ (in)} \rightarrow A_L \text{ (out)} = 0$$

$$A_T \text{ (in)} \rightarrow A_0 \text{ (loop)} \rightarrow A_L \text{ (loop)} \rightarrow A_T \text{ (out)} \neq 0$$

# Covariant Gauge Theory

## Quantum Yang-Mills theory:

Selfinteraction of gluons:

transverse gluons scatter into longitudinal ones and vice versa!



⇒ Faddeev–Popov ghosts = anticommuting scalar fields.

Ghosts are **unphysical**  
(anti-commuting scalar)

Yang–Mills degrees of freedom!

Important in quantum fluct., but no associated particles!



Global ghost field as ‘gauge parameter’:

**BRST symmetry of the gauge-fixed action!**

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# Covariant Gauge Theory

Symmetry of the gauge-fixed generating functional:

$$\begin{aligned}\delta_B A_\mu^a &= D_\mu^{ab} c^b \lambda, & \delta_B q &= -igt^a c^a q \lambda, \\ \delta_B c^a &= -\frac{g}{2} f^{abc} c^b c^c \lambda, & \delta_B \bar{c}^a &= \frac{1}{\xi} \partial_\mu A_\mu^a \lambda,\end{aligned}$$

Becchi–Rouet–Stora & Tyutin (BRST), 1975

- Parameter  $\lambda \in$  Grassmann algebra of the ghost fields
- $\lambda$  carries ghost number  $N_{\text{FP}} = -1$
- Via Noether theorem: BRST charge operator  $Q_B$
- generates ghost # graded algebra  $\delta_B \Phi = \{iQ_B, \Phi\}$

# Covariant Gauge Theory

BRST algebra:  $Q_B^2 = 0$ ,  $[iQ_C, Q_B] = Q_B$ ,

- complete in **indefinite metric** state space  $\mathcal{V}$ .
- generates ghost # graded  $\delta_B \Phi = \{iQ_B, \Phi\}$ .
- $\mathcal{L}_{GF} = \delta_B (\bar{c} (\partial_\mu A^\mu + \frac{\alpha}{2} B))$  **BRST exact**.

Positive definite subspace  $\mathcal{V}_{\text{pos}} = \text{Ker}(Q_B)$

(i.e. all states  $|\psi\rangle \in \mathcal{V}$  with  $Q_B|\psi\rangle = 0$ )

contains  $\text{Im}Q_B$  (i.e. all states  $Q_B|\phi\rangle$ ),

c.f. exterior derivative in differential geometry.

Hilbert space: cohomology  $\mathcal{H} = \frac{\text{Ker}Q_B}{\text{Im}Q_B} \simeq \mathcal{V}_S$  **BRST singlet**

longitudinal & timelike gluons, ghosts : **BRST quartet**

(c.f. Gupta–Bleuler mechanism in QED)

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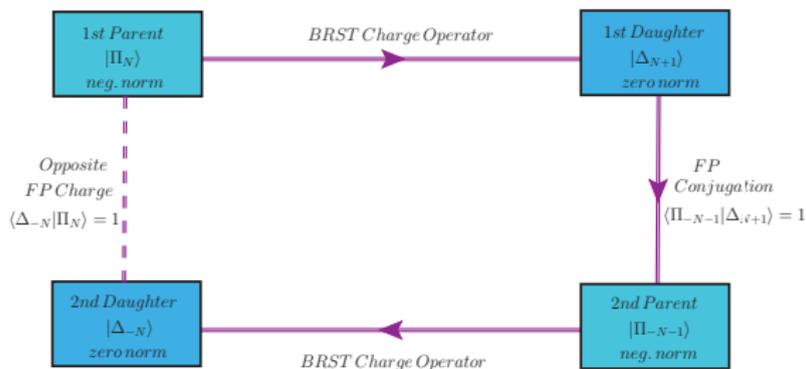
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# Covariant Gauge Theory

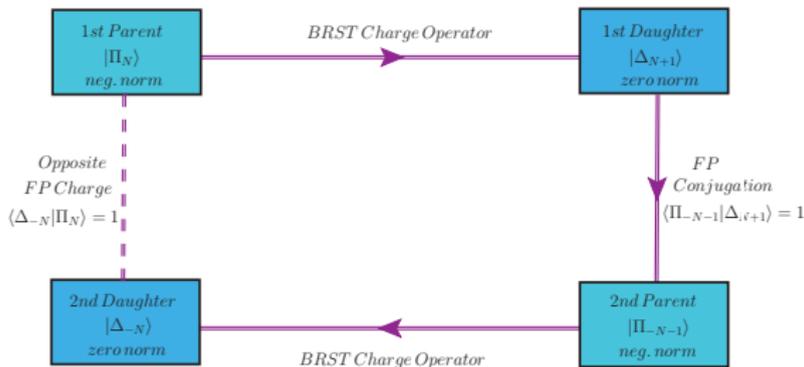
## Perturbative BRST quartet of time-like gluons:



- $|\Pi_0\rangle$  lin. combination of time-like and long. gluon
- $|\Delta_1\rangle$  ghost
- $|\Pi_{-1}\rangle$  antighost
- $|\Delta_0\rangle$  lin. combination of time-like and long. gluon

# Kugo–Ojima confinement

Non-perturbative BRST quartets of transverse gluons, resp., quarks:



$ \Pi_0\rangle$	transverse gluons	quarks
$ \Delta_1\rangle$	gluon-ghost bound states	quark-ghost bound states
$ \Pi_{-1}\rangle$	gluon-antighost bound states	quark-antighost bound states
$ \Delta_0\rangle$	gluon-ghost-antigh./gluonic b.s.	quark-gh.-antigh./quark-gluon

N. Alkofer and R.A., Phys. Lett. B **702** (2011) 158 [arXiv:1102.2753 [hep-th]];

PoS **FACESQCD** (2011) 043 [arXiv:1102.3119 [hep-th]].

# Kugo–Ojima confinement criterion

⇒ Physical states are BRST singlets!

(BRST cohomology: Hilbert space  $\mathcal{H} = \frac{\text{Ker } Q_{BRST}}{\text{Im } Q_{BRST}}$ .)

Time-like and longitudinal gluons (BRST quartet) removed from asymptotic states as in QED, but:

Transverse gluons and quarks also BRST quartets, i.e. confined, if **ghost propagator is highly infrared singular!**

(⇒ Kugo–Ojima confinement criterion)

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(⇒ **Kugo–Ojima confinement criterion**)

# Kugo–Ojima confinement criterion

Realization of Confinement depends on **global gauge structure**:  
Globally conserved current ( $\partial^\mu J_\mu^a = 0$ )

$$J_\mu^a = \partial^\nu F_{\mu\nu}^a + \{Q_B, D_\mu^{ab} \bar{c}^b\}$$

with charge

$$Q^a = G^a + N^a.$$

---

**QED:** MASSLESS PHOTON states in both terms.

Two different combinations yield:

**unbroken global charge**  $\tilde{Q}^a = G^a + \xi N^a$ .

**spont. broken displacements** (photons as Goldstone bosons).

---

**No massless** gauge bosons in  $\partial^\nu F_{\mu\nu}^a$ :  $G^a \equiv 0$ .

(QCD, e.w. Higgs phase, ...)

# Kugo–Ojima confinement criterion

**QCD:** Unbroken global charge

$$Q^a = N^a = \{Q_B, \int d^3x D_0^{ab} \bar{c}^b\}$$

well-defined in  $\mathcal{V}$ .

With  $D_\mu^{ab} \bar{c}^b(x) \xrightarrow{x^0 \rightarrow \pm\infty} (\delta^{ab} + U^{ab}) \partial_\mu \bar{\gamma}^b + \dots$

$\Rightarrow$  **Kugo-Ojima Confinement Criterion:**

$$U^{ab}(0) = -\delta^{ab}$$

where

$$\begin{aligned} & \int dx e^{ip(x-y)} \langle 0 | T D_\mu c^a(x) g(A_\nu \times \bar{c})^b(y) | 0 \rangle \\ & =: (g_{\mu\nu} - \frac{p_\mu p_\nu}{p^2}) U^{ab}(p^2), \end{aligned}$$

If fulfilled: **Physical States  $\equiv$  BRST singlets  $\equiv$  color singlets!**

In Landau gauge:

**Ghost propagator more sing. than simple pole**



**Kugo-Ojima criterion**

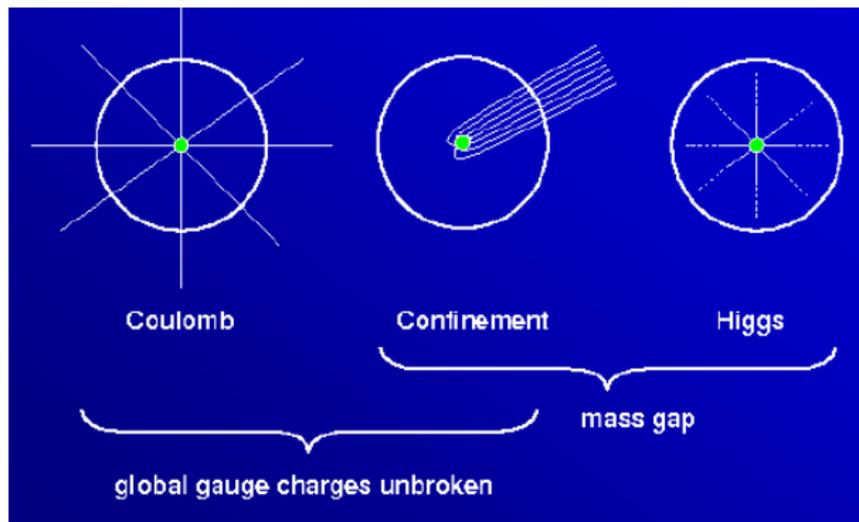
T. Kugo, hep-th/9511033, Int. Symp. “BRS Symmetry”, Kyoto.

# Coulomb, Confinement, & Higgs phase

Confinement vs. Higgs mechanism?

No gauge-invariant order parameter!

A possible definition of **confinement** in the presence of fundamental charges<sup>†</sup>:



*cf.*, V. Mader et al., Eur. Phys. J. **C74** (2014) 2881 [arXiv:1309.0497]; and refs. therein

<sup>†</sup>Wilson loop gives only a clear criterion in the absence of quarks!

# Confinement and Higgs mechanism

If BRST or equivariant BRST symmetry:

Infrared saturation of Quantum E.o.M. of gauge boson propagator discriminates phases of gauge theories!

Coulomb:	massless gauge boson
Confinement:	unphysical current
Higgs:	physical current

Saturating part of current is given by:

$\tilde{j}_\mu(x) = j_\mu^{U(1)} - i\partial_\mu b$	linear covariant Abelian U(1) ,
$\tilde{j}_\mu^a(x) = j_\mu^{LCG^a} - is(D_\mu \bar{c})^a$	in LCG (Kugo-Ojima scenario),
$\tilde{j}_\mu^a(x) = j_\mu^{GLCG^a} - is_\alpha(D_\mu \bar{c})^a$	in ghost-antighost sym. GLCG ,
$\tilde{j}_\mu(x) = j_\mu^{MAG} - i\partial_\mu b$	SU(2) in Maximally-Abelian Gauge ,
$\tilde{j}_k^a(x) = j_k^C{}^a - is(D_k \bar{c})^a$	spatial components in Coulomb gauge ,
$\tilde{j}_\mu^a(x) = j_\mu^{GZ^a} - is\chi_\mu^a$	in Gribov-Zwanziger theory .

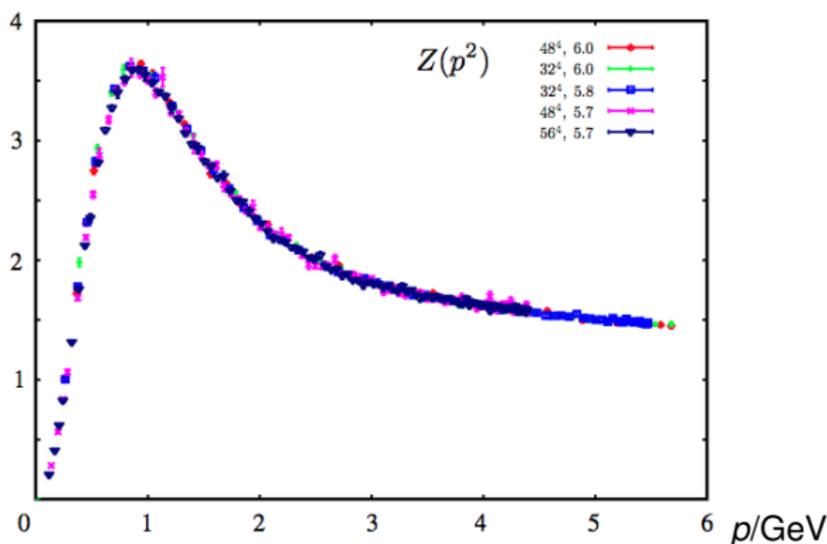
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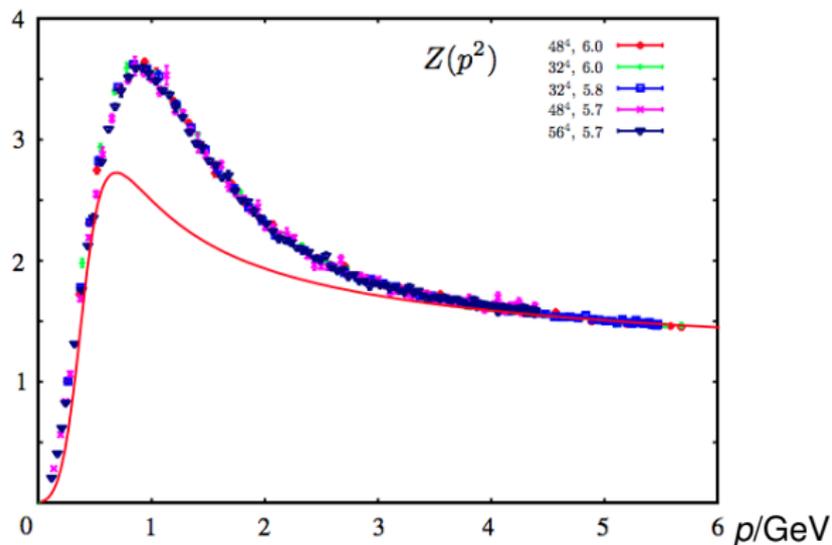
# Gluon Propagator

pure Yang-Mills,  $T = 0$

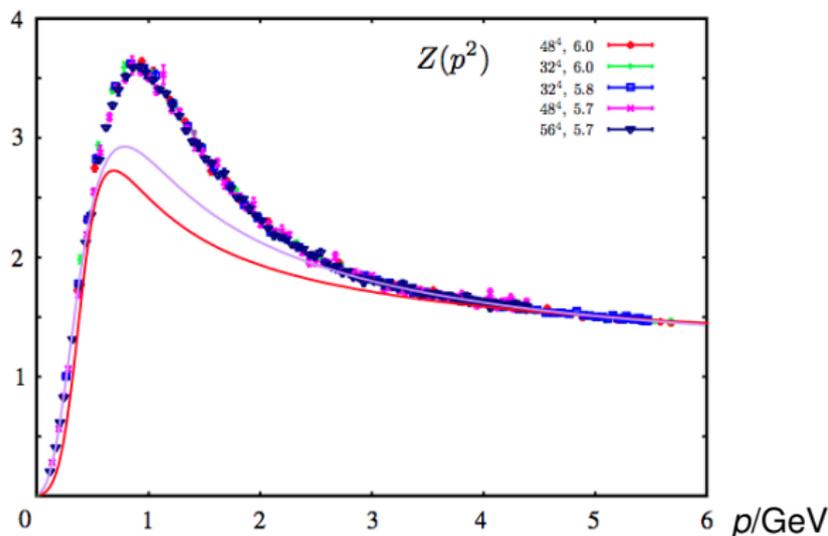
Landau gauge Gluon Ren. Fct.  $D_{\text{Gluon}}^{tr} = \mathbf{Z}(p^2)/p^2$

A. Sternbeck *et al.*, PoS LAT2006, 76





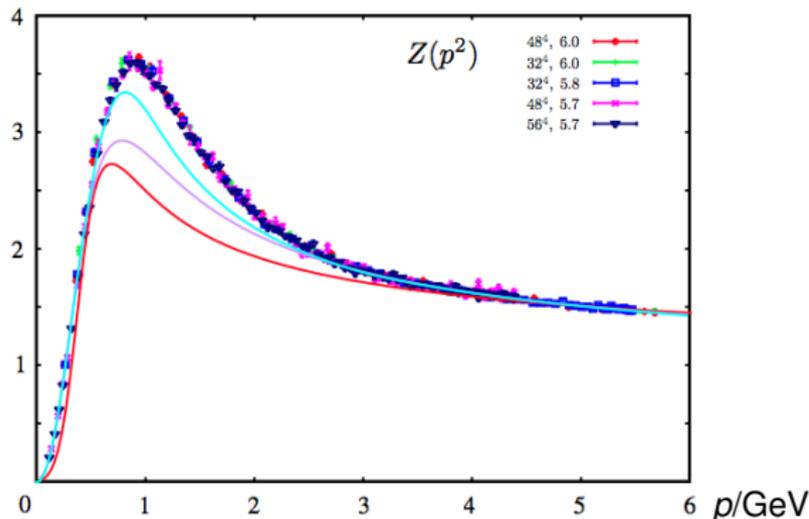
— L. von Smekal, A. Hauck, R.A., Phys. Rev. Lett. 79 (1997) 3591  
Dyson-Schwinger eqs. (DSEs)



— L. von Smekal, A. Hauck, R.A., Phys. Rev. Lett. **79** (1997) 3591

— C. S. Fischer, R.A., Phys. Lett. **B536** (2002) 177;

C. Lerche, L. von Smekal, Phys. Rev. **D65** (2002) 125006.



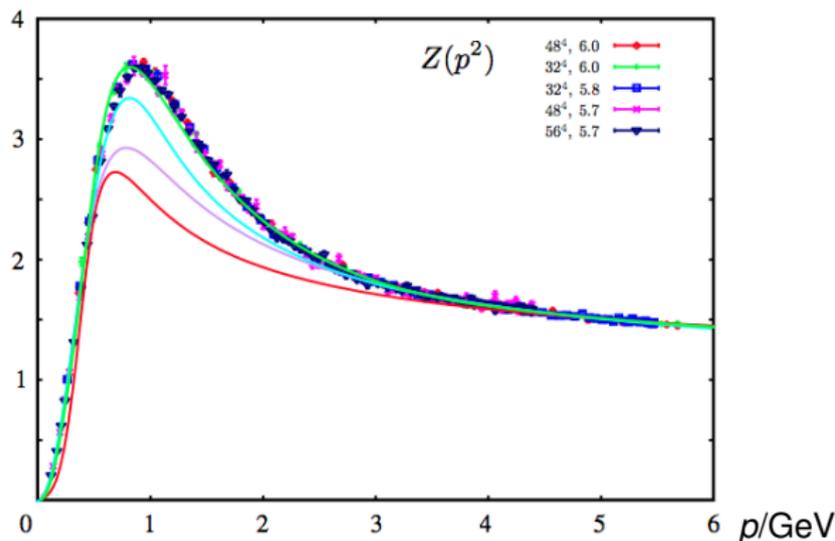
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— C. S. Fischer, R.A., Phys. Lett. **B536** (2002) 177

— J.M.Pawlowski, D.Litim, S.Nedelko, L.v.Smekal, PRL**93** (2004) 152002

Exact Renormalization Group (ERG) eqs.

# Gluon Propagator



— L. von Smekal, A. Hauck, R.A., Phys. Rev. Lett. **79** (1997) 3591

— C. S. Fischer, R.A., Phys. Lett. **B536** (2002) 177

— J.M.Pawlowski, D.Litim, S.Nedelko, L.v.Smekal, PRL**93** (2004) 152002

— C.S. Fischer, A. Maas, J.M. Pawlowski, Ann. Phys. **324** (2009) 2408;

L. Fister, PhD thesis

**combination of ERG,DSE & 2PI!**

# Infrared Exponents for Gluons and Ghosts

Use DSEs **and** FRG eqs:

→ **Two different** towers of equations for Green functions

E.g. ghost propagator

The diagram illustrates the Dyson-Schwinger equation (DSE) for the ghost propagator. It shows the ghost propagator with a self-energy correction loop. The equation is written as:

$$k \partial_k \text{ghost propagator}^{-1} = \text{ghost propagator}^{-1} - \text{ghost loop}^{-1} + \text{ghost loop with ghost-gluon vertex}^{-1} + \text{ghost loop with ghost-ghost vertex}^{-1} + \text{ghost loop with ghost-gluon vertex and ghost-gluon vertex}^{-1} + \text{ghost loop with ghost-gluon vertex and ghost-ghost vertex}^{-1}$$

The diagrams use the following conventions: dashed lines for ghost propagators, solid lines for gluon propagators, and circles for vertices. Vertices are either filled (ghost-gluon) or crossed (ghost-ghost).

MATHEMATICA-based derivation of functional equations:

R. A., M. Q. Huber, K. Schwenzer, *Comp. Phys. Comm.* **180** (2009) 965;

M. Q. Huber and J. Braun, *Comp. Phys. Comm.* **183** (2012) 2441 .

# Infrared Exponents for Gluons and Ghosts:

Apply asymptotic expansion to all primitively divergent Green functions,  
[R. A., C. S. Fischer, F. Llanes-Estrada, Phys. Lett. **B611** (2005) 279.]  
use DSEs and ERGEs:

→ Two different towers of equations for Green functions

IR-Analysis of whole tower of equations  $\Rightarrow$

Unique scaling solution

+ an one-parameter family of solutions with IR trivial Green functions.  
[C.S. Fischer and J.M. Pawłowski, PRD **80** (2009) 025023]

Scaling vs. decoupling solution:

- Lattice calculations for gluon propagator: decoupling solutions.  
[A. Cucchieri et al., many others; but also: A. Sternbeck et al. ]
- Scaling solution respects, decoupling solutions break BRST.
- IR behaviour might depend on non-pert. completion of gauge.  
[A. Maas, Phys. Lett. **B689** (2010) 107.]
- Similar (identical) analytical structure for gluon propagator.

# Infrared Exponents for Gluons and Ghosts:

Apply asymptotic expansion to all primitively divergent Green functions,  
[R. A., C. S. Fischer, F. Llanes-Estrada, Phys. Lett. **B611** (2005) 279.]  
use DSEs and ERGEs:

→ Two different towers of equations for Green functions

IR-Analysis of whole tower of equations  $\Rightarrow$

Unique scaling solution

+ an one-parameter family of solutions with IR trivial Green functions.

[C.S. Fischer and J.M. Pawłowski, PRD **80** (2009) 025023]

Scaling vs. decoupling solution:

- Lattice calculations for gluon propagator: decoupling solutions.  
[A. Cucchieri et al., many others; but also: A. Sternbeck et al. ]
- Scaling solution respects, decoupling solutions break BRST.
- IR behaviour might depend on non-pert. completion of gauge.  
[A. Maas, Phys. Lett. **B689** (2010) 107.]
- Similar (identical) analytical structure for gluon propagator.

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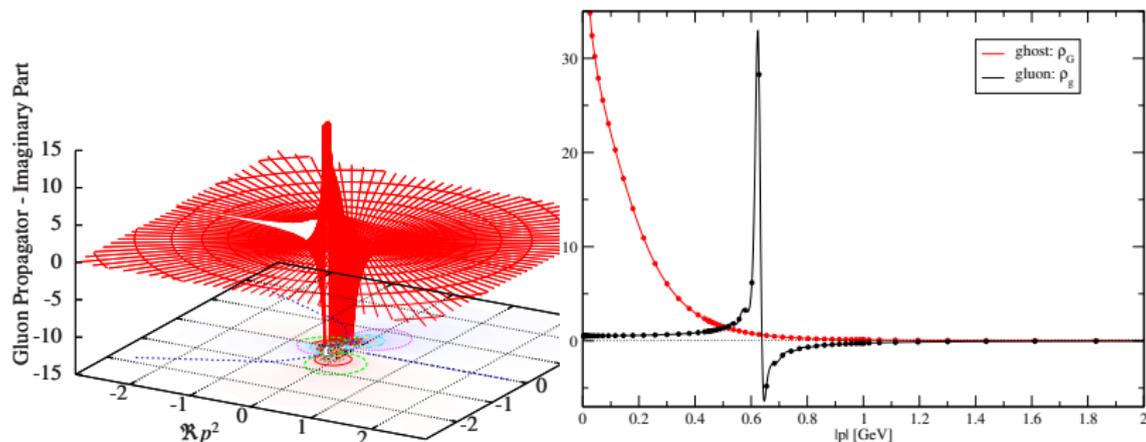
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- Similar (identical) analytical structure for gluon propagator.

# Analytical structure for gluon propagator

Gluon propagator is positivity violating, cut along time-like half-axis.

R.A., W. Detmold, C.S. Fischer and P. Maris, PRD70 (2004) 014014

Imaginary part of gluon propagator:



S. Strauss, C. S. Fischer, C. Kellermann, Phys. Rev. Lett. **109** (2012) 252001  
[arXiv:1208.6239]

# Infrared Exponents for Gluons and Ghosts

Scaling solution:

$n$  external ghost & antighost legs and  $m$  external gluon legs  
(one external scale  $p^2$ ; **solves DSEs and STIs**):

$$\Gamma^{n,m}(p^2) \sim (p^2)^{(n-m)\kappa}$$

Scaling / decoupling solution:

- Ghost propagator IR divergent (Kugo-Ojima for scaling)
- Gluon propagator IR suppressed (Gribov-Zwanziger)
- Ghost-Gluon vertex IR finite
- 3- & 4- Gluon vertex IR divergent / finite

Note:

Same dichotomy of solutions in Coulomb gauge (variational / ERG)  
and in maximally Abelian gauge (DSE / ERG).

# Infrared Exponents for Gluons and Ghosts

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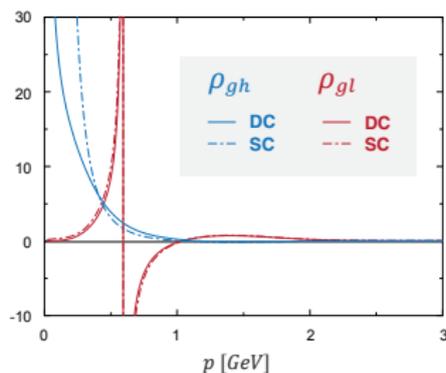
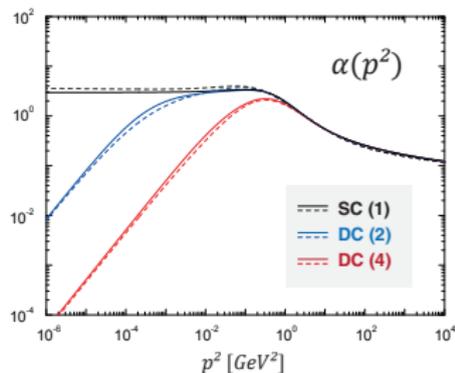
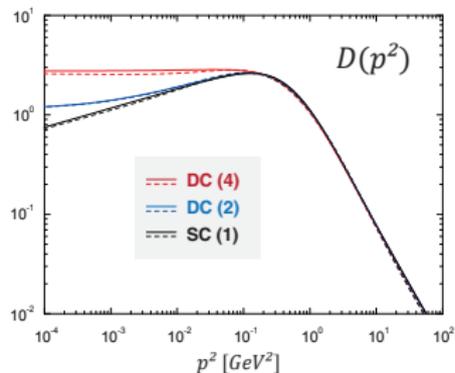
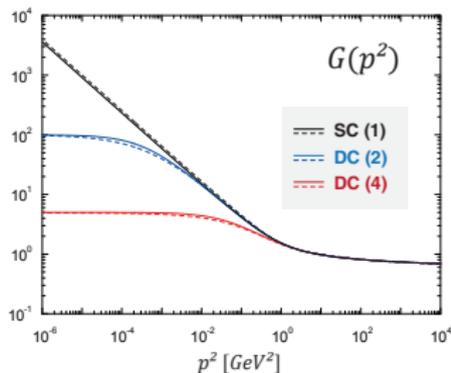
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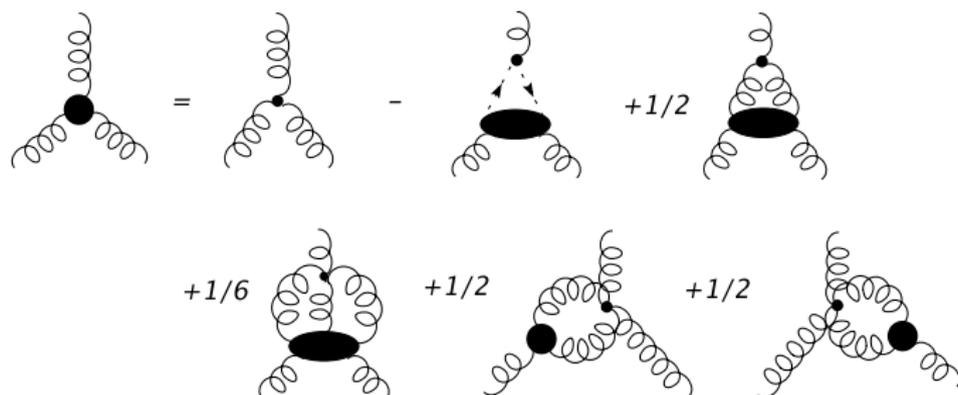
# Gluon and Ghost Propagators



# Three-gluon vertex

DSE for three-gluon vertex:

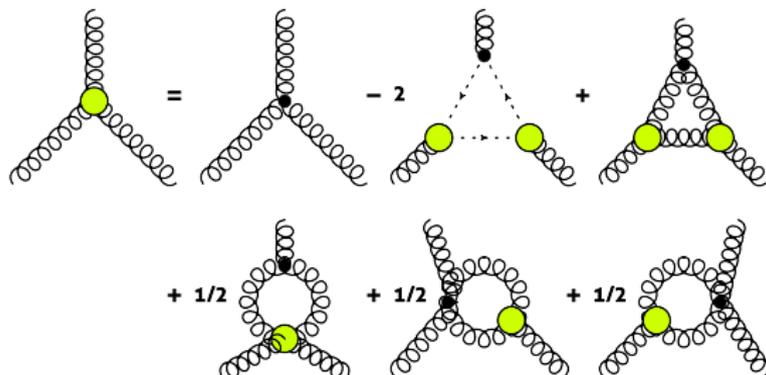
[G. Eichmann, R. Williams, M. Vujanovic, RA, Phys. Rev. D89 (2014) 105014 ]



# Three-gluon vertex

## DSE for three-gluon vertex (truncated):

[G. Eichmann, R. Williams, M. Vujanovic, RA, Phys. Rev. D89 (2014) 105014 ]



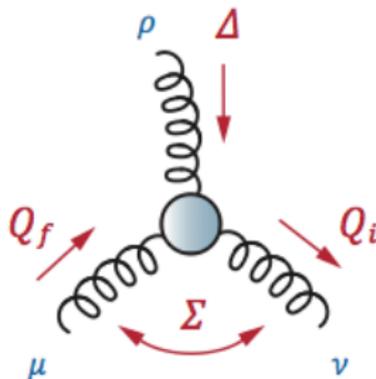
- 14 tensor elements  $\rightarrow$  4 fully transverse ones
- apply cyclic perm. w.r.t. external legs  $\rightarrow$  bose symmetry
- Is there a zero in component projected on tree-level tensor?  
(*cf.*, A. Maas)

# Three-gluon vertex

Applications:

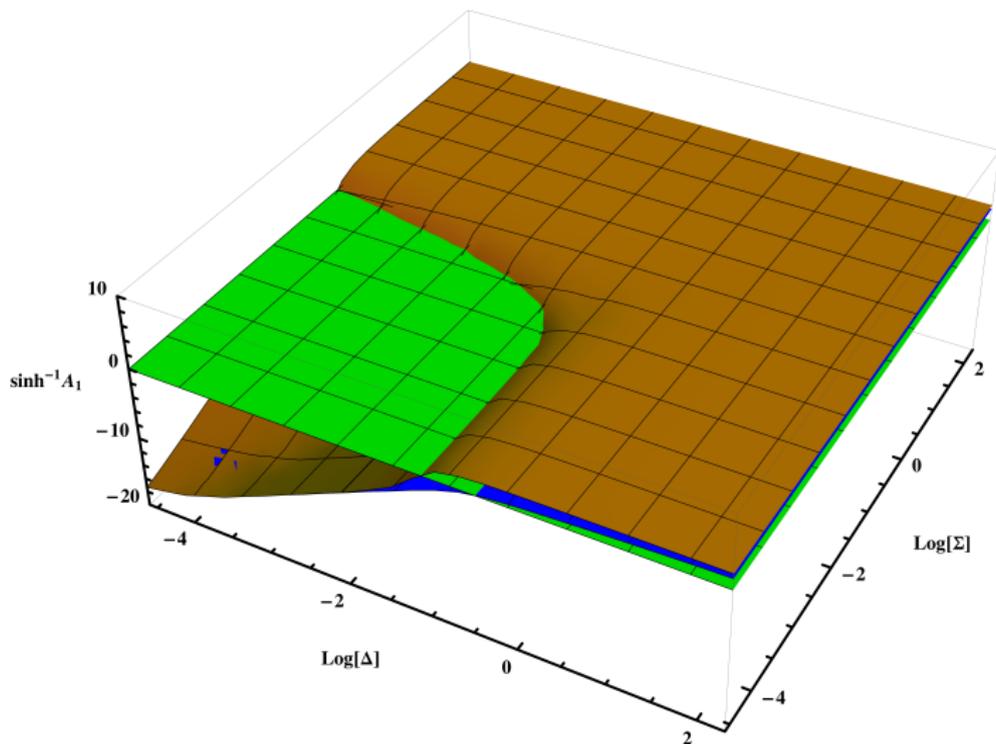
- quark-gluon vertex (and consequently unquenching)
- **conformal window**
- symmetry preserving Bethe-Salpeter kernel
- irreducible three-body forces in the baryon

Kinematics:



# Three-gluon vertex

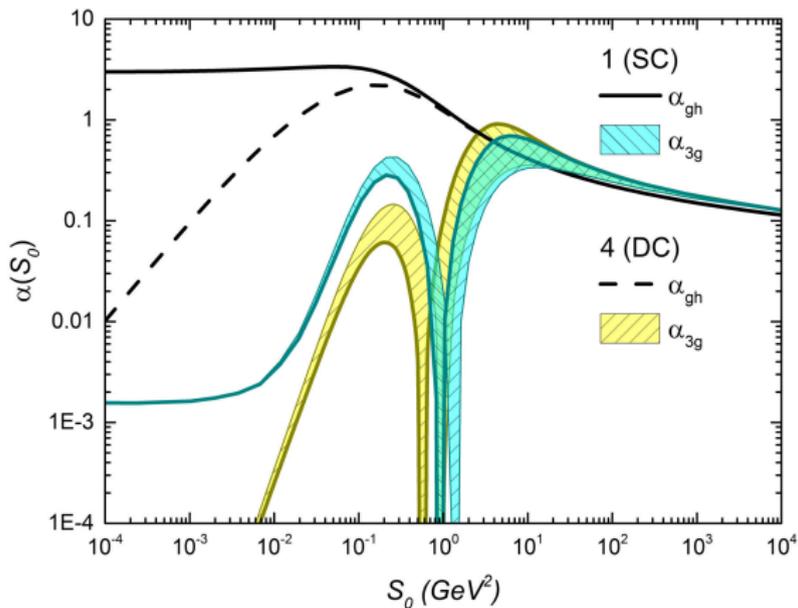
$$\Gamma_{3g, TTT}^{(1)\mu\nu\rho}$$



Green: zero; Orange: ghost triangle; Blue: ghost + swordfish.

# Three-gluon vertex

Running coupling:



# Coupling quarks: quark prop. and quark-gluon vertex

R.A., C.S. Fischer, F. Llanes-Estrada, K. Schwenzer, *Annals Phys.* **324** (2009) 106;

C.S. Fischer and R. Williams, *Phys. Rev. Lett.* 103 (2009) 122001;

A. Windisch, M. Hopfer, G. Eichmann, RA, in preparation.

Chiral symmetry dynamically or explicitly broken:

- quark propagator infrared finite:

$$S(p) = \frac{\not{p} - M(p^2)}{p^2 + M^2(p^2)} Z_f(p^2)$$

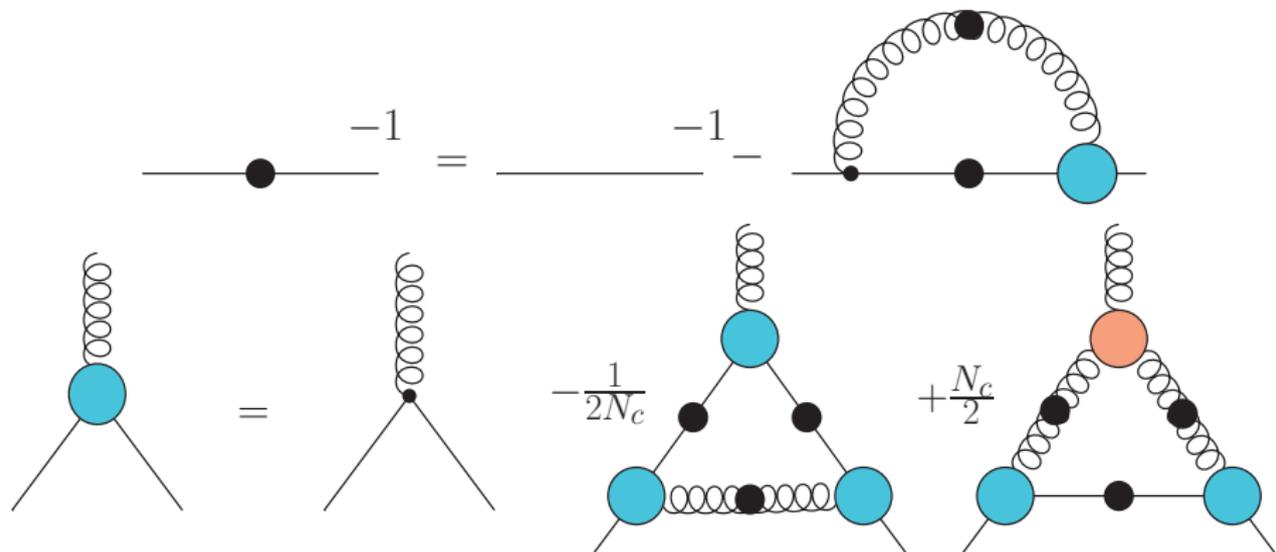
**AND**

- quark-gluon vertex  
incl. dynamically generated  $\chi$ SB tensors structures:

$$\Gamma_\mu = ig \sum_{i=1}^{12} \lambda_i G_\mu^i, \quad G_\mu^1 = \gamma_\mu, \quad G_\mu^2 = \hat{p}_\mu, \quad G_\mu^3 = \dots$$

# Coupling quarks: quark prop. and quark-gluon vertex

DSEs for quark propagator and quark-gluon vertex via 3PI action:

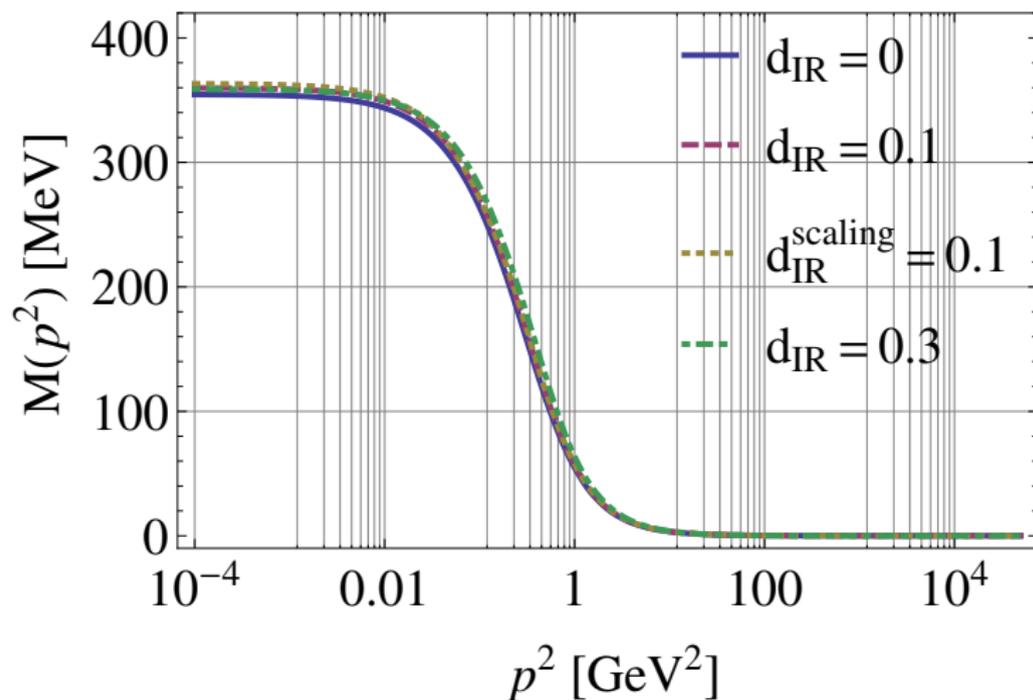


hadron physics

IR behaviour  
confinement

# Coupling quarks to gluons: quark propagator

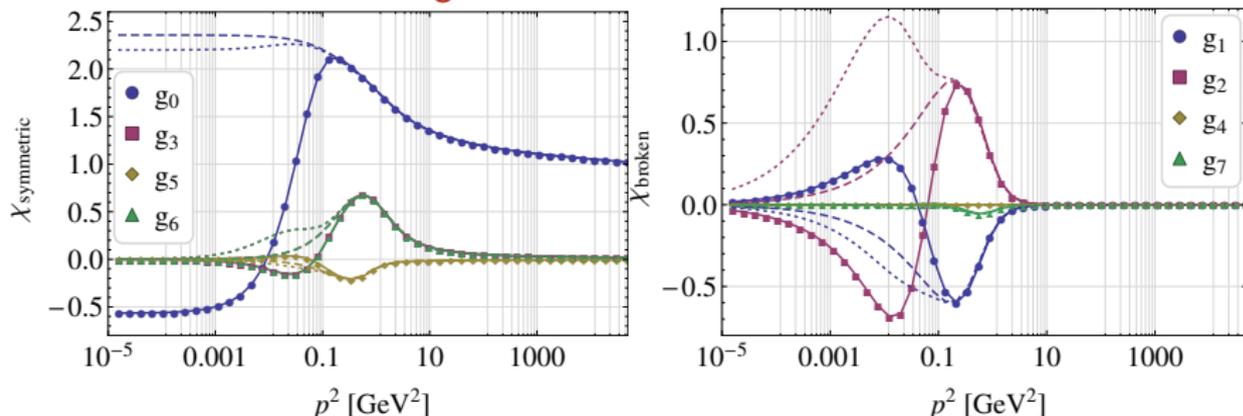
Quark mass function with calculated QGV and modeled 3gV:



# Coupling quarks to gluons: quark-gluon vertex

Eight transverse tensor structures,  
e.g., at symm. momenta  $x = p_1^2 = p_2^2 = p_3^2$ :

Significant IR enhancement!

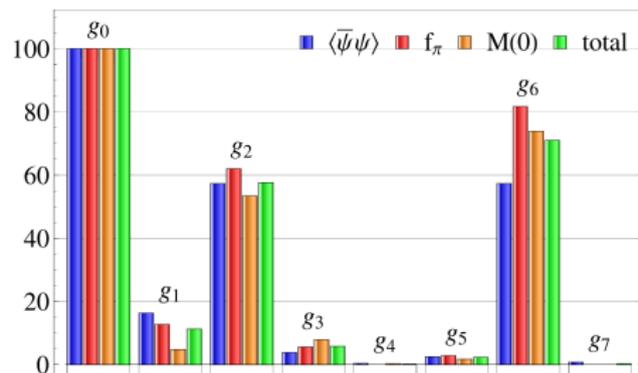


The zero in the three-gluon vertex causes zero in the tree-level structure of quark-gluon vertex!

$D\chi$ SB in QGV!!!

# Coupling quarks to gluons: quark-gluon vertex

Relative importance:



dynamically generated **scalar**-type coupling important!

# Summary on Landau gauge 2- and 3-point-functions

For a small number of light flavours:\*

- IR enhanced ghost propagator
- IR suppressed gluon propagator
- IR decoupled quark propagator & dynamically generated mass
- ghost-gluon vertex close to tree-level
- **zero** in three-gluon vertex
- infrared enhanced quark-gluon vertex & **dynamically generated chirality-changing interactions**

NB: Four-gluon vertex currently under investigation → primitively divergent Landau gauge QCD Green functions known! Higher order Green functions are finite upon renormalization of prim. dvgs. & fulfill (multi-)linear equations.

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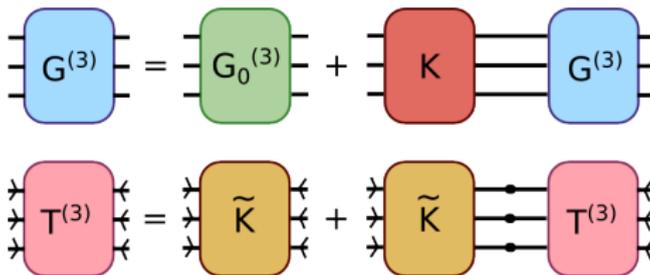
\*Increase  $N_f$ : 2nd order phase transition to conformal window! Propagators and 3-point-functions change significantly!

cf., M. Hoper, C. Fischer, RA, JHEP **1411** (2014) 035 [arXiv:1405.7031] 

- 1 Motivation: Why Functional Approaches to QCD?
- 2 Basics of Covariant Gauge Theory
- 3 QCD Green functions in Landau gauge
  - Gluon, Ghost and Quark Propagators
  - Three-point vertex functions
- 4 Relativistic Three-Fermion Bound State Equations
  - Structure of Baryonic Bound State Amplitudes
  - Quark Propagator and Rainbow Truncation
  - Interaction Kernels and Rainbow-Ladder Truncation
  - Coupling of E.M. Current and Quark-Photon Vertex
  - Some Selected Results
- 5 Summary and Outlook

# Relativistic Three-Fermion Bound State Equations

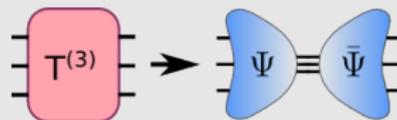
Dyson-Schwinger eq. for 6-point fct.  $\implies$  3-body bound state eq.:



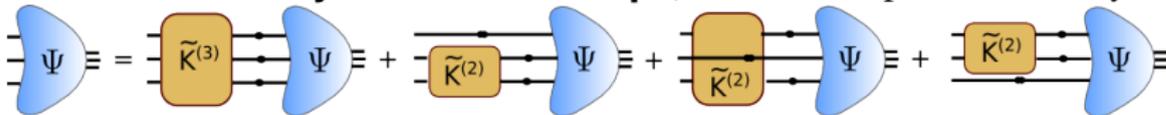
## BOUND STATE:

Pole in  $G^{(3)}$   
 or (equiv.) for  $P^2 = -M_B^2$   
 Pole in  $T^{(3)}$

## bound state amplitudes:

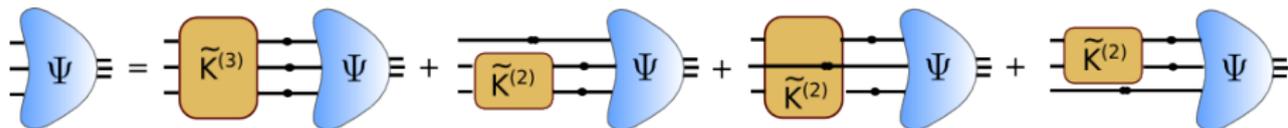


**covariant 3-body bound state eq.** (cf., Bethe-Salpeter for 2-body BS):



# Relativistic three-fermion bound state equations

## 3-body bound state eq.:



NB: With 3-particle-irreducible interactions  $\tilde{K}^{(3)}$  neglected:  
Poincaré-covariant Faddeev equation.

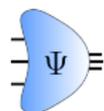
## Elements needed for bound state equation:

- Tensor structures (color, flavor, Lorentz / Dirac) of the BS ampl.
- Full quark propagators for *complex* arguments
- Interaction kernels  $K_{2,3}$

## Needed for coupling to e.m. current:

- Full quark-photon vertex

## Structure of baryonic bound state amplitudes


$$\Psi \equiv \sim \langle 0 | q_\alpha q_\beta q_\gamma | B_{\mathcal{I}} \rangle \propto \Psi_{\alpha\beta\delta\mathcal{I}} \text{ (with multi-indices } \alpha = \{x, D, c, f, \dots\})$$

and  $\mathcal{I}$  baryon (multi-)index  $\implies$  baryon quantum numbers

C. Carimalo, J. Math. Phys. **34** (1993) 4930.

Comparison to mesonic BS amplitudes  $\langle 0 | q_\alpha \bar{q}_\beta | M_{\mathcal{I}} \rangle \propto \Phi_{\alpha\beta\mathcal{I}}$  :

- scalar and pseudoscalar mesons: 4 tensor structures each
- vector and axialvector mesons: 12 tensor struct. each, 8 transv.
- tensor and higher spin mesons: 8 transverse struct. each

C. H. Llewellyn-Smith, Annals Phys. **53** (1969) 521.

## Requirements for (baryonic) bound state amplitudes:

- positive energy (for fermionic bound states)
- well-defined parity
- irreducible representation of the Poincaré group otherwise.

## Possible and recommended:

Complete orthogonal Dirac tensor basis  
s.t. partial-wave composition in rest frame.

# Relativistic three-fermion bound state equations

$s$	$l$	$T_{ij}$	
$1/2$	$0$	$\mathbf{1} \otimes \mathbf{1}$	s waves (8)
$1/2$	$0$	$\gamma_T^\mu \otimes \gamma_T^\mu$	
$1/2$	$1$	$\mathbf{1} \otimes \frac{1}{2} [\not{p}, \not{q}]$	p waves (36)
$1/2$	$1$	$\mathbf{1} \otimes \not{p}$	
$1/2$	$1$	$\mathbf{1} \otimes \not{q}$	
$1/2$	$1$	$\gamma_T^\mu \otimes \gamma_T^\mu \frac{1}{2} [\not{p}, \not{q}]$	
$1/2$	$1$	$\gamma_T^\mu \otimes \gamma_T^\mu \not{p}$	
$1/2$	$1$	$\gamma_T^\mu \otimes \gamma_T^\mu \not{q}$	
$3/2$	$1$	$3(\not{p} \otimes \not{q} - \not{q} \otimes \not{p}) - \gamma_T^\mu \otimes \gamma_T^\mu [\not{p}, \not{q}]$	
$3/2$	$1$	$3\not{p} \otimes \mathbf{1} - \gamma_T^\mu \otimes \gamma_T^\mu \not{p}$	
$3/2$	$1$	$3\not{q} \otimes \mathbf{1} - \gamma_T^\mu \otimes \gamma_T^\mu \not{q}$	
$3/2$	$2$	$3\not{p} \otimes \not{p} - \gamma_T^\mu \otimes \gamma_T^\mu$	d waves (20)
$3/2$	$2$	$\not{p} \otimes \not{p} + 2\not{q} \otimes \not{q} - \gamma_T^\mu \otimes \gamma_T^\mu$	
$3/2$	$2$	$\not{p} \otimes \not{q} + \not{q} \otimes \not{p}$	
$3/2$	$2$	$\not{q} \otimes [\not{q}, \not{p}] - \frac{1}{2} \gamma_T^\mu \otimes [\gamma_T^\mu, \not{p}]$	
$3/2$	$2$	$\not{p} \otimes [\not{p}, \not{q}] - \frac{1}{2} \gamma_T^\mu \otimes [\gamma_T^\mu, \not{q}]$	

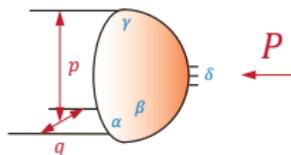
$$\chi(x_1, x_2, x_3) = \langle 0 | T \psi(x_1) \psi(x_2) \psi(x_3) | N \rangle$$

## Momentum space:

Jacobi coordinates  $p, q, P$

$\Rightarrow$  5 Lorentz invariants

$\Rightarrow$  64 Dirac basis elements



$$\chi(p, q, P) = \sum_k f_k(p^2, q^2, p \cdot q, p \cdot P, q \cdot P) \quad \text{Momentum}$$

$$\tau_{\alpha\beta\gamma\delta}^k(p, q, P) \quad \text{Dirac} \quad \otimes \text{Flavor} \quad \otimes \text{Color}$$

## Complete, orthogonal Dirac tensor basis

(partial-wave decomposition in nucleon rest frame):

[Eichmann, Alkofer, Krassnigg, Nicmorus, PRL 104 \(2010\)](#)

$$T_{ij}(\Lambda_\pm \gamma_5 C \otimes \Lambda_\pm)$$

$$(\gamma_5 \otimes \gamma_5) T_{ij}(\Lambda_\pm \gamma_5 C \otimes \Lambda_\pm)$$

$$(A \otimes B)_{\alpha\beta\gamma\delta} = A_{\alpha\beta} B_{\gamma\delta}$$

# Relativistic three-fermion bound state equations

## Facts about the decomposition:

- Independent of any truncation of the bound state equation.
- Only Poincaré covariance and parity invariance exploited.
- It includes all possible internal spin and orbital angular momenta.
- For positive-parity, positive-energy (particle) baryons it consists of

spin- $\frac{1}{2}$  particle: 64 elements

	# elements
s-wave	8
p-wave	36
d-wave	20

G. Eichmann et al., PRL 104 (2010) 201601

spin- $\frac{3}{2}$  particle: 128 elements

s-wave	4
p-wave	36
d-wave	60
f-wave	28

H. Sanchis Alepez et al. PRD 84 (2011) 096003

# Relativistic three-fermion bound state equations

Antisymmetry of the nucleon amplitude under quark exchange:

$$\Psi(p, q, P) = \left\{ \underbrace{\psi_1(p, q, P)}_{M_A} \underbrace{\text{Flavor}_1}_{M_A} + \underbrace{\psi_2(p, q, P)}_{M_S} \underbrace{\text{Flavor}_2}_{M_S} \right\} \underbrace{\text{Color}}_A$$

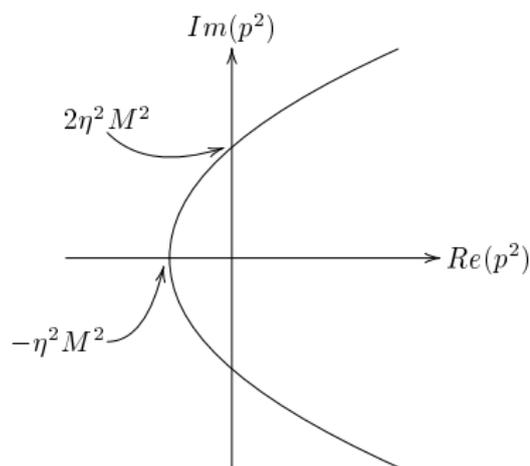
↓                      ↓

Proton:	(ud)u	$\sqrt{2} [uu]d - [ud]u$	
Neutron:	(ud)d	$[ud]d - \sqrt{2} [dd]u$	

Flavor states decouple if the Faddeev kernel is flavor-independent (e.g. rainbow-ladder)  $\Rightarrow$  2 degenerate solutions of the equation:

$$M_A \sim S_{11}^+, \dots \quad M_S \sim A_{11}^+, \dots$$

# Quark Propagator and Rainbow Truncation



## In bound state eqs.:

- Knowledge of the quark propagator inside parabolic region required.
- $\eta \geq 1/2$  for mesons and  $\eta \geq 1/3$  for baryons.
- For ground states no singularities in parabolic region.

- Lattice: Values for real  $p^2 \geq 0$  only.
- Dyson-Schwinger / ERG eqs.: complex  $p^2$  accessible.<sup>†</sup>

<sup>†</sup>Beyond singularities: A. Windisch et al., arXiv:1304.3642; in preparation.

**Dyson-Schwinger eq. for Quark Propagator:**

$$S^{-1}(p) = Z_2 S_0^{-1} + g^2 Z_{1f} \int \frac{d^4 k}{(2\pi)^4} \gamma^\mu S(k) \Gamma^\nu(k, p; q) D_{\mu\nu}(q)$$

$$D_{\mu\nu}(q) \Gamma_\nu(k, p; q) : \quad \begin{cases} D_{\mu\nu}(q) = \left( \delta_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) \frac{Z(q^2)}{q^2} \\ \Gamma_\nu(k, p; q) = \gamma_\nu Z_{1f} + \Lambda_\nu(k, p; q) \end{cases}$$

## Rainbow truncation

Projection onto tree-level tensor  $\gamma_\mu$ , restrict momentum dependence

$$\gamma_\nu Z_{1f} + \Lambda_\nu(k, p; q = p - k) \rightarrow \left( Z_{1f} + \Lambda(q^2) \right) \gamma_\nu$$

$$Z_{1f} \frac{g^2}{4\pi} D_{\mu\nu}(q) \Gamma_\nu(k, p; q) \rightarrow \begin{cases} Z_{1f} \frac{g^2}{4\pi} T_{\mu\nu}(q) \frac{Z(q^2)}{q^2} \left( Z_{1f} + \Lambda(q^2) \right) \gamma_\nu \\ =: Z_2^2 T_{\mu\nu}(q) \frac{\alpha_{\text{eff}}(q^2)}{q^2} \gamma_\nu \end{cases}$$

# Interaction Kernels and Rainbow-Ladder Truncation

- Truncation of the quark-gluon vertex in the quark DSE.
- The BSE interaction kernel must be truncated accordingly.
- **Physical requirement: Chiral symmetry**, here axial WT id.,  
 $\{\gamma^5 \Sigma(-p_-) + \Sigma(p_+) \gamma^5\}_{\alpha\beta} = - \int K_{\alpha\gamma\delta\beta}^{q\bar{q}} \{\gamma^5 S(-p_-) + S(p_+) \gamma^5\}$ ,  
which relates quark DSE and  $q\bar{q}$  (meson) BSE kernel.

## Ladder truncation

$q\bar{q}$  kernel compatible with rainbow truncation and axial WT id.:

$$K^{q\bar{q}} = 4\pi Z_2^2 \frac{\alpha_{\text{eff}}(q^2)}{q^2} T_{\mu\nu}(q) \gamma^\mu \otimes \gamma^\nu$$

Together constitute the DSE/BSE **Rainbow-Ladder truncation**.

**Note: the truncation can and should be systematically improved!**

# Interaction Kernels and Rainbow-Ladder Truncation

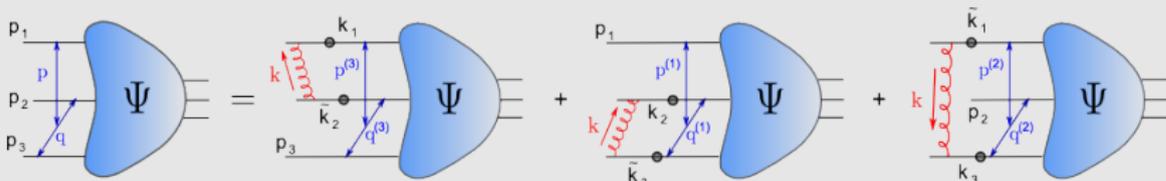
## Rainbow-Ladder truncated three-body BSE:

- Previous studies used successfully the quark-diquark ansatz (reduction to a two-body problem).
- pNRQCD: 3-body contribution  $\sim 25$  MeV for heavy baryons.

Supported by this, **the three-body irreducible kernel  $K^{(3)}$  is neglected** (Faddeev approximation).

- Quark-quark interaction  $K^{(2)}$ : **same as quark-antiquark truncated kernel.** (!Different color factor!)

## Rainbow-Ladder truncated **covariant Faddeev equation**



# Interaction Kernels and Rainbow-Ladder Truncation

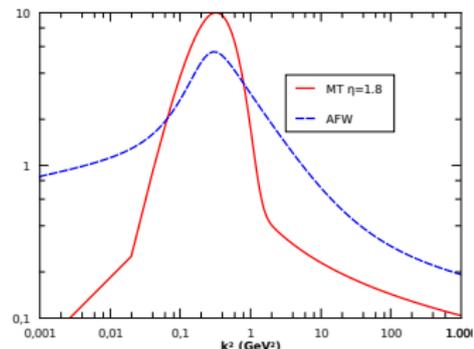
## Effective interaction:

Maris-Tandy model (Maris & Tandy PRC60 1999)

$$\alpha(k^2) = \alpha_{IR}(k^2; \Lambda, \eta) + \alpha_{UV}(k^2)$$

- Purely phenomenological model.
- $\Lambda$  fitted to  $f_\pi$ .
- Ground-state pseudoscalar properties *almost* insensitive to  $\eta$  around 1.8

Describes very successfully hadron properties.



DSE motivated model (R.A.,C.S. Fischer,R. Williams EPJ A38 2008)

$$\alpha(k^2; \Lambda_S, \Lambda_B, \Lambda_{IR}, \Lambda_{YM})$$

- DSE-based in the deep IR.
- Designed to give correct masses of  $\pi$ ,  $\rho$  and  $\eta'$  ( $U_A(1)$  anomaly!).
- 4 energy scales! Fitted to  $\pi$ ,  $K$  and  $\eta'$ .

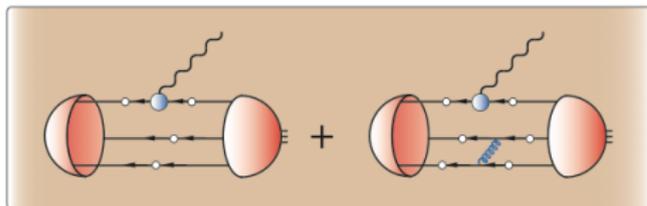
**Note: The resulting qq-interaction is chirality-conserving, flavour-blind and current-quark mass independent.**

## Beyond Rainbow-Ladder

- “Corrections beyond-RL” refers to corrections to the effective coupling but also to additional structures beyond vector-vector interaction.
- They can induce a different momentum dependence of the interaction.
- They can also **induce a quark-mass and quark-flavour dependence of the interaction**
- **Question: how important are beyond-RL effects?**

# Coupling of E.M. Current and Quark-Photon Vertex

Electromagnetic current in the three-body approach:



by “gauging of equations”

M. Oettel, M. Pichowsky and L. von Smekal, Eur. Phys. J. A **8** (2000) 251 [nucl-th/9909082].

Impulse appr. + Coupling to spectator  $q$  + Coupling to 2- $q$  kernel + Coupling to 3- $q$  kernel  
not present in RL appr. not present in Faddeev appr.

Additional Input: Quark-Photon Vertex

## Quark-Photon Vertex:

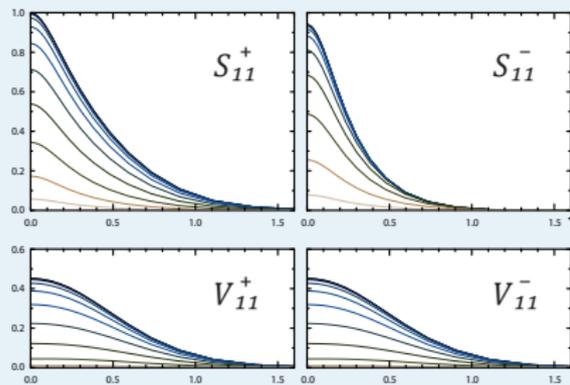
- Vector WT id. determines vertex up to purely transverse parts: “Longitudinal” part (Ball-Chiu vertex) completely specified by dressed quark propagator.
- Can be straightforwardly calculated in Rainbow-Ladder appr.:
  - important for renormalizability (Curtis-Pennington term),
  - anomalous magnetic moment,
  - contains  $\rho$  meson pole!

**The latter property is important to obtain the correct physics!**

---

All elements specified to calculate baryon amplitudes and properties:  
Use computer with sufficient RAM ( $\sim$  tens of GB) and run for a few hours ...

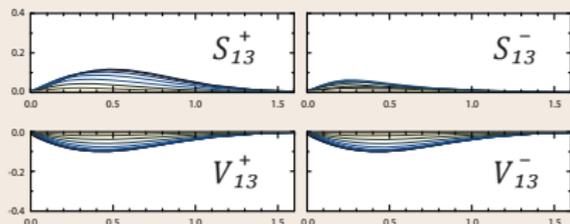
# Some Selected Results



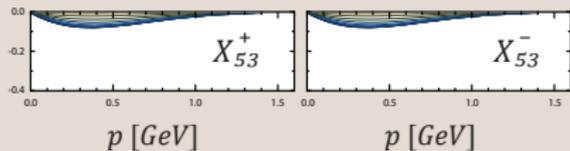
$s = 1/2,$   
 $l = 0$   
 (s-wave)

Dominant  
 covariants  
 ( $M_A$  solution)

$$\sim f_i^{00}(p^2, q^2, 0)$$



$s = 1/2,$   
 $l = 1$   
 (p-wave)

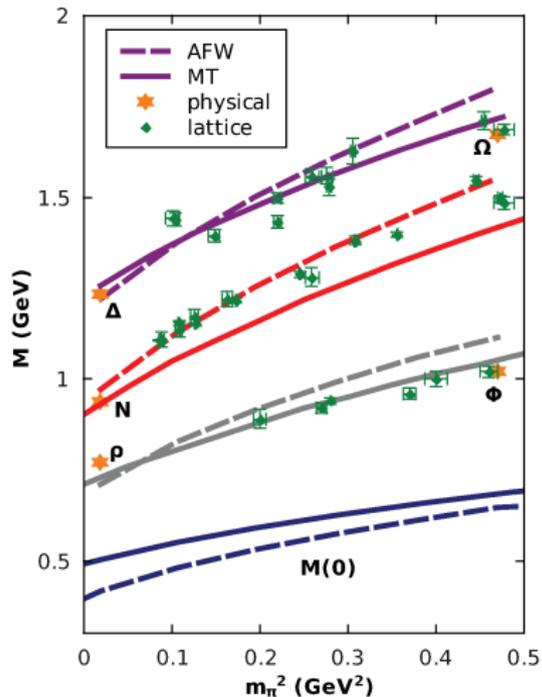


$s = 3/2,$   
 $l = 1$   
 (p-wave)

$q$  [GeV]

0.00  
 0.05  
 0.09  
 0.15  
 0.22  
 0.32  
 0.46  
 0.63  
 0.86  
 1.17

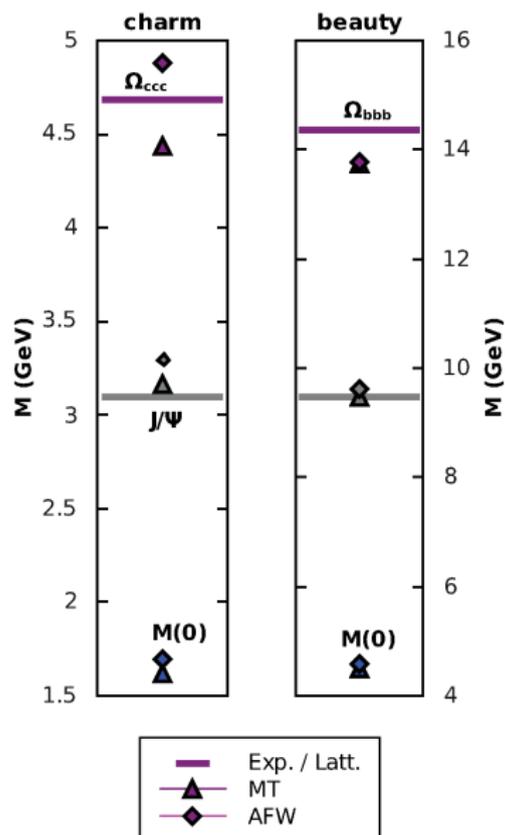
# Some Selected Results



PoS QNP2012 (2012) 112

- Both models designed to reproduce correctly  $D_\chi$ SB and pion properties within RL. **They capture beyond-RL effects at this quark-mass.**
- This behaviour extends to other light states ( $\rho$ ,  $N$ ,  $\Delta$ ), one gets a good description.
- Both interactions similar at intermediate momentum region:  **$\sim 0.5 - 1$  GeV is the relevant momentum region for  $D_\chi$ SB & ground-state hadron props.**
- Slight differences at larger current masses, however, **qualitative model indep.**

# Some Selected Results

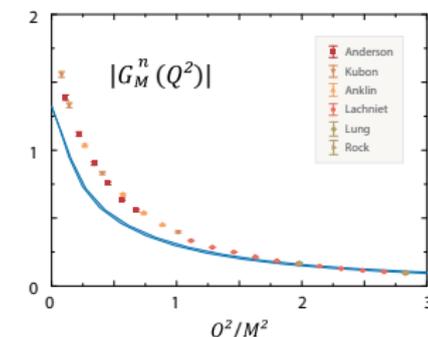
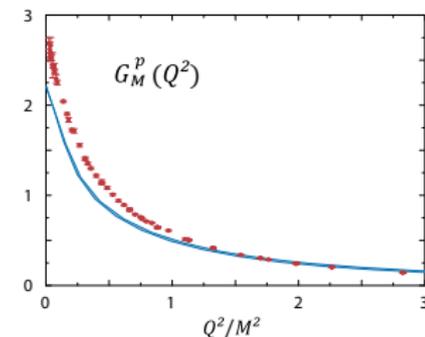
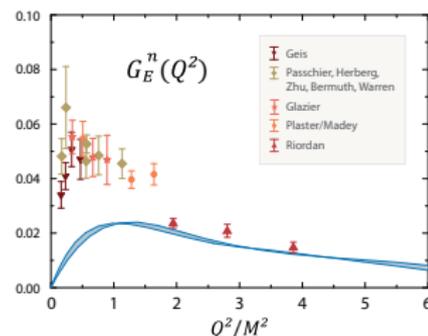
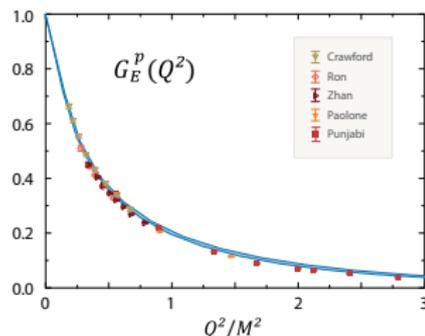


- The trend of both models is maintained for c-quarks, but unexpectedly, **for b-quarks both models exactly agree.**
- $\Upsilon$ -mass is very well reproduced, but not so much  $\Omega_{bbb}$ : **Effect of 3-body interactions?**
- To make precise statements, we should fit the models to the heavy sector (where corrections to RL should be suppressed) and study the evolution to light quarks.  
**Remember: models capture beyond-RL effects at u/d-quark mass.**

## Nucleon electromagnetic form factors

**Nucleon em. FFs**  
vs. momentum transfer  
Eichmann, PRD 84 (2011)

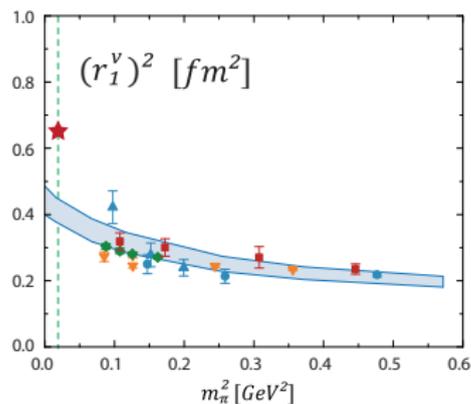
- Good agreement with recent **data** at large  $Q^2$
  - Good agreement with **lattice** at large quark masses
  - **Missing pion cloud** below  $\sim 2 \text{ GeV}^2$ , in chiral region
- $\sim$  nucleon quark core without pion effects



## Nucleon electromagnetic form factors

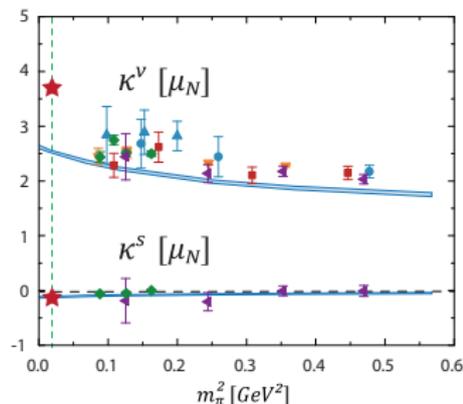
### Nucleon charge radii:

isovector (p-n) Dirac (F1) radius



### Nucleon magnetic moments:

isovector (p-n), isoscalar (p+n)



Eichmann,  
PRD 84 (2011)

- **Pion-cloud effects** missing in chiral region ( $\Rightarrow$  divergence!), agreement with lattice at larger quark masses.

- **But:** pion-cloud **cancels** in  $\kappa^s \Leftrightarrow$  **quark core**

Exp:  $\kappa^s = -0.12$

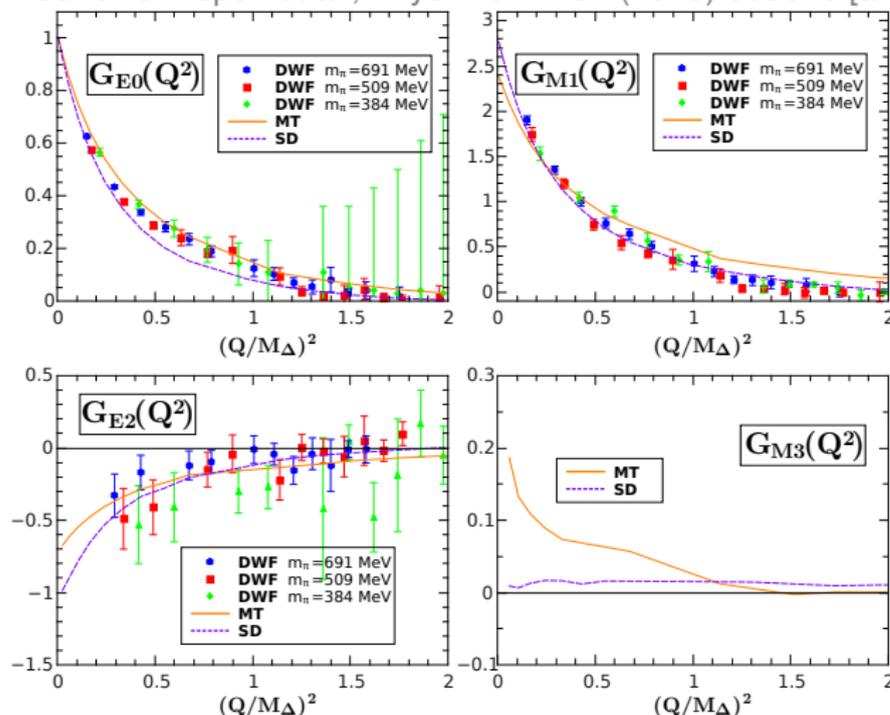
Calc:  $\kappa^s = -0.12(1)$



# Some Selected Results

## $\Delta$ electromagnetic form factors

H. Sanchis-Alepuz *et al.*, Phys. Rev. D **87** (2013) 095015 [arXiv:1302.6048 [hep-ph]].

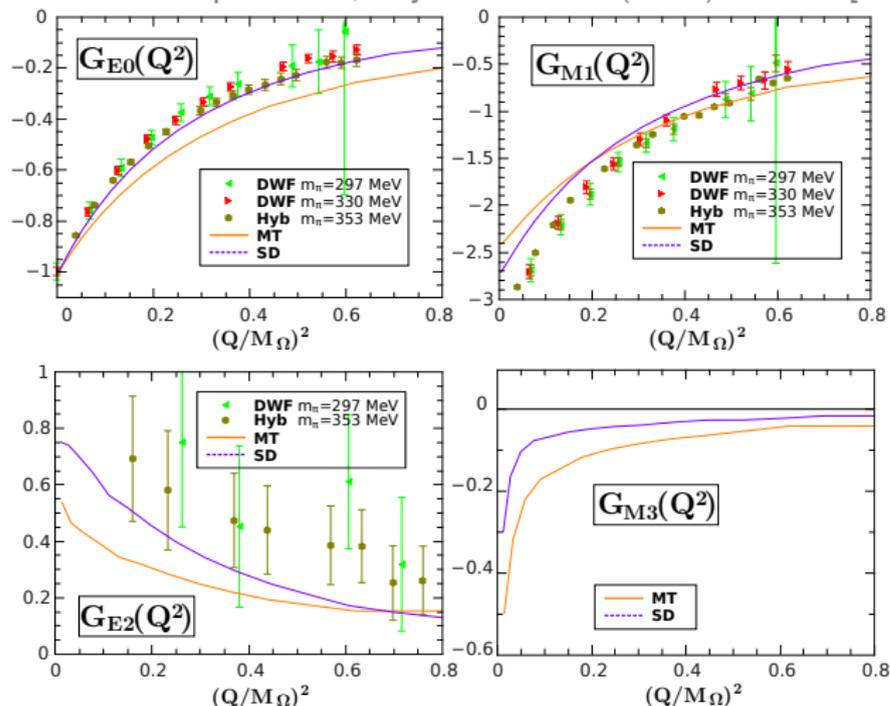


- $G_{E2}$  and  $G_{M3}$ : Deviation from sphericity!
- Important: Difference to quark-diquark model in  $G_{E2}$  and  $G_{M3}$ .
- Large  $G_{E2}$  for small  $Q^2$ !
- “Small”  $G_{M3}$  is a prediction!

# Some Selected Results

## $\Omega$ electromagnetic form factors

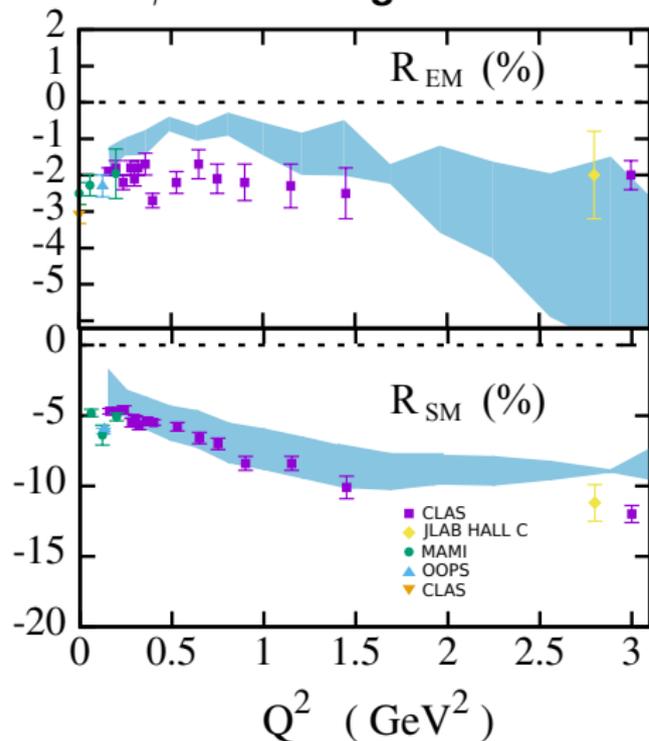
H. Sanchis-Alepuz *et al.*, Phys. Rev. D **87** (2013) 095015 [arXiv:1302.6048 [hep-ph]].



- Again deviation from sphericity!
- Only weak quark mass dependence!

# Some Selected Results

## $\Delta \rightarrow N\gamma$ electromagnetic transition form factors



H. Sanchis-Alepuz *et al.*,  
in preparation

Slight deviation from  
corresponding results in  
diquark-quark model!

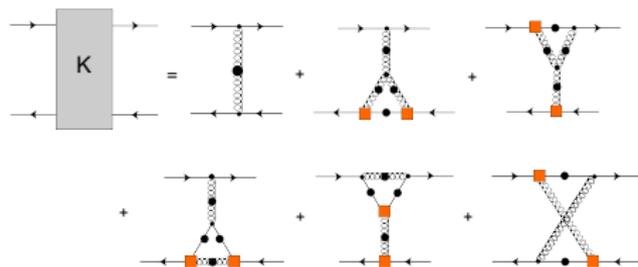
Good agreement with  
experimental results.

Deviation from sphericity  
is an effect from sub-leading  
components required by  
Poincaré invariance,  
*i.e.*, relativistic physics!

## Hadrons from QCD bound state equations:

- ▶ Fundamental (*i.e.*, quark and gluon) Landau gauge Green functions well enough determined for hadron phenomenology!
- ▶ QCD bound state equations:  
Unified approach to mesons and baryons feasible!
- ▶ So far (shown):  
In rainbow-ladder appr. meson observables and  $N / \Delta / \Omega$  masses and (e.m., axial, ...) form factors.
- ▶ So far (not shown):  
Behaviour of propagators in conformal window, *i.e.*, for  $N_f > N_f^{\text{crit}}$ .  
M. Hopfer, C. Fischer, RA, JHEP **1411** (2014) 035 [arXiv:1405.7031]  
**Meson properties beyond rainbow-ladder approximation.**  
M. Vujanovic, R. Williams, arXiv:1411.7619; M. Mitter et al., arXiv:1411.7978;  
R. Williams et al., arXiv:1512.00455; M. Vujanovic, RA, in preparation

SU(2) gauge theory with two massless fund. fermions:



QCD with two light flavours:

	RL	2PI-3L	3PI-3L	PDG
$0^+$ ( $\pi$ )	0.14	0.14	0.14	0.14
$0^{++}$ ( $\sigma$ )	0.64	0.52	1.1(1)	0.48(8) or 1.3
$1(\rho)$	0.74	0.77	0.74	0.78
$1^{++}(a_1)$	0.97	0.96	1.3(1)	1.23(4)
$1^+(b_1)$	0.85	1.1	1.3(1)	1.23
$f_\pi$	0.092	0.103	0.105	0.092

$J^{PC}$	NA, 1PI	NA + AB, 1PI	NA, 3PI	NA + AB, 3PI
$0^{-+}$	0	0	0	0
$0^{++}$	1.39(3)	1.22(2)	1.33(3)	1.25(2)
$1^{--}$	2.27(5)	2.00(4)	2.37(5)	1.99(4)
$1^{++}$	2.87(5)	2.65(5)	3.09(6)	2.67(5)

- ▶ In rainbow-ladder appr. 2-photon processes as, *e.g.*, nucleon Compton scattering.
- ▶ Dynamical hadronization incl. dressed vertex functions in the Exact Renormalization Group approach.
- ▶ Technicolour theories:  
Bound states for near-conformal gauge theories  
as, *e.g.*, decay width of techni- $\rho$ -meson ( $\rightarrow$  signal at LHC?).
- ▶ Uncharged (technicolour) bound states as candidates for  
**Dark Matter?**